

UG 11

Demand Response Information System User's Guide

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Revision History

Version	Effective Date	Revisions
1.0	06/15/2010	Initial Release
1.1	09/14/2010	 Global Implemented changes related to new functionality delivered in the September 14, 2010, deployment as detailed in the section-specific entries following.
		 Document Scope and Usage > Updated to summarize new functionality delivered in the September 14, 2010, deployment.
		Section 1.1
		Updated to summarize new functionality delivered in the September 14, 2010, deployment.
		Section 1.3.3
		Updated Table 1.1 to reflect which privilege levels confer rights to new functionality delivered in the September 14, 2010, deployment.
		Section 1.5.1
		Updated menu descriptions and screenshots to reflect new functionality delivered in the September 14, 2010, deployment.
		Section 2.1
		Added allocation of partial resource sales as an event for which the DRIS Calendar reflects timeframes/deadlines.
		Section 3
		Added to outline new functionality related to viewing Market Participant (MP) organization program enrollment, resulting in renumbering of subsequent pre-existing sections.
		Section 4
		Added to outline new functionality related to managing MP organization contacts, resulting in renumbering of subsequent pre- existing sections.
		Section 5
		Updated to reflect new enrollment time frame for EDRP resources.
		Section 5.1
		Updated Table 5.1 and Table 5.2 to reflect new requirements for the TO Account Number field in resource enrollment files, where resources assigned Rochester Gas & Electric or NYS Electric & Gas must now use the Point of Distribution ID (POD ID).
		Section 5.2
		Added additional pre-requisites necessary when importing a resource enrollment import file.
		Section 5.3.2.1



		Updated to reflect new functionality allowing MPs to cancel all pending resource enrollment requests.
		Section 7
		Updated to cite new functionality related to allocating resource auction sales when there is a partial sale of the aggregation.
		Section 7.4
		Added to outline new functionality related to viewing aggregation and resource auction sales.
		Section 7.5
		Added to outline new functionality related to allocating resource partial auction sales.
1.2	01/19/2011	Global
		Implemented changes related to new functionality delivered in the January 19, 2011 deployment as detailed in the section-specific entries following.
		Document Scope and Usage
		 Updated to summarize new functionality delivered in the January 19, 2011, deployment.
		Section 1.1
		Updated to summarize new functionality delivered in the January 19, 2011, deployment.
		Section 1.3.3
		Updated Table 1.1 to reflect which privilege levels confer rights to new functionality delivered in the January 19, 2011, deployment.
		Section 1.5.1
		Updated menu descriptions and screenshots to reflect new functionality delivered in the January 19, 2011, deployment.
		Section 2.1
		Added several new events pertaining to the new functionality delivered in the January 19, 2011 deployment for which the DRIS Calendar reflects timeframes/deadlines.
		Section 3
		Removed Performance Factor field from MP program page because it is now visible within the new menu option Performance Factor.
		Section 4
		Added to outline new functionality related to viewing MP performance factor, resulting in renumbering of subsequent pre- existing sections.
		Section 6.1
		Updated to allow for all DRIS import files to be imported as Excel files. Previously, import files were only accepted in .csv format.
		Updated Table 5.2 to reflect new SCR enrollment file field requirement for Shutdown kW.
		Updated Table 5.2 and Table 5.3 to reflect new requirements for the TO Account Number field in resource enrollment files, to require that a 'T' precede all account numbers. In instances where



resources assigned Rochester Gas & Electrico TVS Electric & use the POID ID. > Updated Table 5.2 and Table 5.3 to reflect that the dash (-) in 1 digit zip code is required in the resource enrollment files. Section 6.3 > Updated to cite additional statistic headings resulting from importing a file into DRIS. Section 6.3.1 > Updated to display new format of the Exception Export file. The Exception file now provides import results for Exceptions, Alerts and Pending messages. Section 6.3.2 > Added to outline new functionality related to reviewing and act on resource enrollment Alerts, resulting in renumbering of subsequent pre-existing sections. Section 6.3.3 > Added to OAccount Number as a new field to Table 6.4 of Fields Monitored by DRIS for Changes. Section 7.1.1 > Added Small Customer Aggregation indicator field to the Resour Details page. Removed resource Performance Factor from the Capability Period page. Section 8.3 > Added resource Offer Floor prices to UCAP Export, when applicat Section 8.3.1 > Updated to allow for all DRIS import files to be imported as Exc files. Previously, import files were only accepted in .csv format. Section 8.6 > Updated to reflect process change in allocating resource auctio sales through DRIS screen. Section 8.6 > Added to outline new functionality related to viewing resource subject to an Offer Floor, resulting in renumbering of subseque pre-existing sections. Section 8.6 > Updated to allow for all DRIS import files to be imported as Exc files. Pre	
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navment and experting event navment details	Added to outline new functionality related to performing Demand Response event-specific or test-specific tasks which include viewing event or test details, creating and importing an event response file, rectifying event response file exceptions, viewing resource responses to an event or test, monitoring responses reported for navment, and exporting event payment details.



1.3	09/13/2011	Global
		Implemented changes related to new functionality delivered in the September 15, 2011 deployment as detailed in the section-specific entries following.
		Document Scope and Usage
		Updated to summarize new functionality delivered in the September 15, 2011, deployment.
		Section 1.1
		Updated to summarize new functionality delivered in the September 15, 2011, deployment.
		Section 1.2
		Updated to clarify DRIS browser requirements.
		Section 1.3.3
		Updated Table 1.1 to reflect which privilege levels confer rights to new functionality delivered in September 15, 2011 deployment.
		Section 1.5.1
		Updated menu descriptions and screenshots to reflect new functionality delivered in the September 15, 2011, deployment.
		Section 2.1
		Added several new events pertaining to the new functionality delivered in the September 15, 2011 deployment for which the DRIS Calendar reflects timeframes/deadlines.
		Section 2.4
		Added to outline new functionality related to viewing SCR Load Zone Peak Hours.
		Section 3.1
		Removed text and screenshots related to viewing Details of MP Program Enrollment. These screens were removed from the DRIS application and information from them made viewable in other areas of the application.
		Section 3.2
		Removed the prior Section 3.2 titled: Viewing Details of Demand Response Program Enrollments of an MP, resulting in renumbering of subsequent pre-existing sections. These screens were removed from the DRIS application and information from them made viewable in other areas of the application.
		Section 4.1
		Updated to include new functionality delivered in September 15, 2011 deployment.
		Section 5
		Added to outline new functionality related to viewing MP deficiency, resulting in renumbering of subsequent pre-existing sections.
		Section 6
		Removed text related to viewing Details of MP Program Enrollment. These screens were removed from the DRIS application and



	information from them made viewable in other areas of the application
	Section 7
	 Updated for change to import file for SCR enrollment template which can now only be imported as Excel. Previously, SCR enrollment import file was accepted in Excel or .CSV format.
	Section 7.1
	Updated Table 7.1 to reflect new SCR enrollment import file format as Excel with multiple tabs.
	Updated Table 7.2 to reflect new SCR enrollment file field requirements for Average Coincident Load baseline methodology and Provisional ACL. Removed field requirements for Average Peak Monthly Demand baseline methodology.
	Section 7.3.3
	Added Provisional ACL, Meter Installation Date, and ACL kW to Table 7.4 of Fields Monitored by DRIS for Changes. Removed all Peak Monthly Demand kW, Date, and Hour fields.
	Added text describing new field, Reason for Pending Request, added to the Resource Enrollment Requests screen.
	Section 8
	Updated for change to import file for SCR enrollment template which can now only be imported as Excel. Previously, SCR enrollment import file was accepted in Excel or .CSV format.
	Section 8.1.1
	Added new ACL fields which will be viewable from the Resource Capability Period Enrollments screen.
	Added text describing new field, Approval Date, added to the Resource Capability Period Enrollments screen.
	Section 8.1.3
	Added text describing when the ACL functionality takes effect in DRIS.
	Added new ACL fields, To, Meter Installation Date, and Raw Performance Factor to the Resource Monthly Details screen.
	Section 9
	Added additional tasks to the list of SCR Specific Tasks.
	Section 9.1
	Added to outline new functionality related to viewing Transmission Owner add-back kW Values for a Resource, resulting in renumbering of subsequent pre-existing sections.
	Section 9.2
	Added Note to clarify when Aggregation Performance Factors will be viewable in DRIS.
	Section 9.4
	Added text describing ability to view Pending and Under Review resources on the UCAP Export.
	Section 9.8



	1	
		Added to outline new functionality related to managing Summer 2011 ACL resource enrollments.
		Section 9.9
		Added to outline new functionality related to managing Provisional ACL resource enrollments.
		Section 10
		Added Note explaining null values in First Hour of Performance and Last Hour of Performance fields on the DR Event.
		Section 10.2.4.2
		Added Net ACL field to the Hourly Meter Data tab on the Event Response Details screen for Capability Periods selected which are greater than or equal to Summer 2011.
1.4	01/18/2012	Global
		Implemented changes related to new functionality delivered in the January 18, 2012 deployment as detailed in the section-specific entries following.
		Section 9
		Incorporated TB 212. (TB 212 can be retired)
		Section 9.4
		Updated title of section from Downloading UCAP Values to Aggregation UCAP Values to incorporate the transfer of aggregation UCAP values from DRIS to ICAP AMS.
		Section 9.4.1
		Added section Viewing Transfer of UCAP Values to ICAP AMS to summarize new functionality delivered in the January 18, 2012 deployment.
		Section 9.4.2
		Updated section Downloading UCAP Values to reflect that the UCAP Export may still be downloaded following the January 18, 2012 deployment.
1.5	03/16/2012	Global
		Implemented changes related to new functionality delivered in the September 2011 deployment but not viewable in DRIS until the Summer 2012 Capability Period as detailed in the section specific entries following.
		Document Scope and Usage
		 Updated to include the Aggregation Performance Factor calculation and Aggregation UCAP calculation.
		Section 1.1
		Updated to include the Aggregation Performance Factor calculation and Aggregation UCAP calculation.
		Table 7-2
		Clarified the rule for TO Account Number on the SCR enrollment import file to align with TB 201.
		Table 7-3



		 Clarified the rule for TO Account Number on the EDRP enrollment import file to align with TB 201.
		Section 9
		Re-ordered sub-sections under Section 9, Performing SCR Specific Tasks, to align with sequence of tasks.
		Updated to include the Aggregation Performance Factor calculation and Aggregation UCAP calculation.
		Section 9.2
		\succ Updated to list the possible tasks when managing aggregations.
		Section 9.2.3
		Updated to reflect the changed fields on the Aggregation Assignment page due to the aggregation performance factor functionality.
		Section 9.2.3.1
		 Added section for viewing aggregation performance factors and UCAP values.
		Section 9.2.3.2
		 Added section for exporting of aggregation performance factor details.
		Added section to explain the data exported on the aggregation performance factors export.
		Section 9.2.3.3
		 Added section for exporting of Capability Period summary of aggregation UCAP values.
		Added section to explain the data exported on the summary of aggregation UCAP values export.
		Section 9.2.4
		Clarified fields viewable on the Aggregation Assignment page when moving resources between aggregations due to the aggregation performance factor functionality.
		Section 9.2.6
		Added section for viewing of aggregation data prior to Summer 2012 on the Aggregation Assignment Pre-Summer 2012 page.
		Section 9.2.6.1
		Clarified the use of the UCAP Export for viewing UCAP values prior to Summer 2012 and the aggregation performance factor functionality.
1.6	06/14/2012	Global
		Implemented changes related to new functionality delivered in the June 2012 deployment as detailed in the section specific entries following.
		Document Scope and Usage
		Updated to include the Event Notification functionality.
		Section 1.1
		> Updated to include the Event Notification functionality.



		Section 1.3.2
		 Clarified that digital certificates may be requested for multiple
		computers and that they are machine specific.
		Section 1.3.3
		Updated Table 1.1 to reflect which privilege levels confer rights to new functionality delivered in the June 2012 deployment.
		Section 1.5.1
		Updated menu descriptions and screenshots to reflect new functionality delivered in the June 2012 deployment.
		Section 4.1
		 Clarified definitions of resource raw performance factor and resource performance factor.
		Section 6
		Updated to highlight requirement for MP organizations to have contacts with the Event-Responder contact assignment to receive Event Notifications.
		Section 10
		Updated to include new sections related to the Event Notification component of Performing DR Event and Test specific tasks.
		Section 10.1
		Added section for receiving Event Notifications.
		Section 10.2
		Added section for viewing Event Notifications requiring an expected curtailment response.
		Section 10.3
		Added section for responding to Event Notifications requiring an expected curtailment response.
		Section 10.4
		Added section for viewing Event Notifications.
		Section 10.5
		Added section for receiving and acknowledging Communication Tests.
		Section 10.6
		Moved from section 10.1 to place in proper sequence based on Event Notification functionality.
		Updated to remove reference to use of the RETX Notification system for Event Notification.
		Section 10.7
		Moved from section 10.2 to place in proper sequence based on Event Notification functionality.
1.7	03/19/2013	Global
		Implemented changes related to new functionality delivered in the March 2013 deployment as detailed in the section specific entries following.
		Document Scope and Usage



 Updated to include the Demand Side Ancillary Services Program (DSASP) functionality.
Revision History
Corrected date of Version 1.4 from "01/18/2011" to "01/18/2012"
Updated revision details for Version 1.4 to note retirement of TB- 212
Section 1.1
Updated to include the DSASP functionality.
Section 1.3.3
Updated to include additional rights for the DSASP functionality and DRIS Web UI User Roles.
Section 1.5.1
Updated to include the DSASP Dashboard display.
Updated to include new option of DSASP Product/Aggregation Types under the Tables Menu
Added new Menu option of DSASP with sections for Aggregation Management, Submittals and Enrollment Details
Section 2.1
Updated to include the DSASP functionality.
Section 2.5
Added new section for DSASP Product/Aggregation Types selection from the Tables Menu.
Section 3.1
Updated to include the DSASP functionality
Section 3.2
Updated to include the DSASP functionality.
Section 6.2
 Clarified differences between SCR, EDRP and DSASP when updating contacts.
Section 7.1
Updated to include the DSASP functionality.
Added Sample DSASP Import File
Added rules specific to resource data in DSASP enrollment files
Section 7.2
Updated to include the DSASP functionality.
Section 7.3.2
> Updated to include the new Alert types.
Section 7.3.3
Updated to include Pending Enrollment functionality for DSASP.
Section 7.3.3.1
Clarified differences between SCR, EDRP and DSASP when viewing and acting upon Resource Enrollment Requests.



Section 8.1.1
Clarified differences between SCR, EDRP and DSASP when viewing Resource Enrollments by Capability Period.
Section 8.1.3
> Updated title of UCAP column.
Section 8.1.4
Added section for viewing DSASP Resource Enrollment Details
Section 8.3
 Clarified difference between separating SCR, EDRP and DSASP resources.
Added sub-section for separating a DSASP resource.
Section 8.4
 Clarified differences when re-enrolling SCR, EDRP and DSASP resources.
Section 9.4.4
Clarified steps to allocating resource partial auction sales through the DRIS Summary of Aggregation Auction Sales page.
Section 10
Added section for performing DSASP specific tasks.
Section 10.1
Added section for viewing DSASP Aggregations.
Section 10.1.1
Added section for viewing DSASP Aggregation Management Summary Details.
Section 10.1.2
Added section for viewing Demand-Side Resources within a DSASP Aggregation.
Section 10.2
Added section for managing DSASP Aggregations.
Section 10.2.1
Added section for adding or updating a Validated Demand-Side Resource for the Qualified DSASP Aggregation.
Section 10.2.2
Added section for removing a Validated Demand-Side Resource from the Qualified DSASP Aggregation.
Section 10.2.3
Added section for the DSASP Resource Report.
Section 10.2.3.1
Added section for the DSASP Resource Report Section 1.
Section 10.2.3.2
Added section for the DSASP Resource Report Section 2.
Section 10.2.3.3
Added section for the DSASP Resource Report Section 3.



		 Section 10.3 Added section for viewing DSASP Submittals. Section 10.3.1 Added section for viewing DSASP Aggregation Submittal Summary. Section 10.3.2 Added section for viewing Demand-Side Resources within a DSASP Submittal. Section 11 Clarified Event-Specific tasks for Reliability Programs.
1.8	03/17/2014	 Global Implemented changes related to new functionality delivered in the March 2014 deployment as detailed in the section specific entries following. Document Scope and Usage Updated to include the Provisional ACL project functionality. Section 1.1 Updated to include the Provisional ACL project functionality. Section 1.2 Updated to include new technology requirements. Section 1.2.5 Updated to include additional rights for the Provisional ACL project functionality and DRIS Web UI User Roles. Section 1.4.1 Updated to include the MP Action Required display category. Updated to include new option of Verification Peak Hours (Summer 2014 - Winter 2014/2015 under the Tables Menu Updated to include new option of Monthly Peak Load Hours under the Tables Menu Section 2.1 Clarified differences between SCR Capability Period Load Zone Peak Hours and SCR Monthly Load Zone Peak Hours and Verification of a resource with a Provisional ACL Section 2.5 Added section for SCR Capability Period Load Zone Verification peak Hours and SCR Monthly Load Zone Peak Hours and Verification peak Hours used for the verification of a resource with a Provisional ACL Section 2.5 Added section for SCR Capability Period Load Zone Verification peak Hours for the verification of resources enrolled with a Provisional ACL Section 2.6 Added section for SCR Monthly Load Zone Verification Peak Hours
		Added section for SCR Monthly Load Zone Verification Peak Hours for the verification of resources enrolled with an Incremental ACL.



	Section 5.2
	Updated introduction to include new and updated fields on the MP Deficiency screen.
	Section 7
	Updated introduction to include functionality for the Provisional ACL Eligibility check and enrolling resources with an enrollment request for ACL data.
	Section 7.1
	Added section for creating the import file to check resources eligibility to enroll with a Provisional ACL.
	Section 7.2
	Added section for user to import the file to check resources eligibility to enroll with a Provisional ACL.
	Section 7.2.1
	Added section for user to review and act on Provisional ACL Eligibility check outputs.
	Section 7.3
	> Updated
	Figure 73 for new and updated individual resource field validations in the SCR Enrollment Import file.
	Section 7.5
	Updated introduction for concept of new "General Alerts" category, included with resource enrollment request results.
	Section 7.5.3
	Updated to include resource enrollment requests for ACL data.
	Section 7.5.3.1
	> Updated to include resource enrollment requests for ACL data.
	Section 7.5.3.2
	Added section for Processing ACL Data Requests
	Section 8.1.1
	Updated to include new fields and search filters on resource screens.
	Section 8.1.3
	> Updated to include new fields on resource Monthly Details screen.
	Section 10
	Updated introduction for concepts of Resource ACL Adjustments, Incremental ACL verification data and Incremental ACL shortfall.
	Section 10.1
	Clarified change of screen name from "TO Add-backs" to "Resource ACL Adjustments".
	Updated introduction to include new concepts of DADRP add-backs and DSASP Baseline replacement adjustments.
	Section 10.1.1



		Updated to include new resource fields and concepts of DADRP add-backs and DSASP Baseline replacement adjustments, at the summary level.
		Section 10.1.2
		Updated to include new resource fields and concepts of DADRP add-backs and DSASP Baseline replacement adjustments, at the detailed level.
		Section 10.6.5
		Updated to include new resource fields and concepts of Shortfall, DADRP add-backs and DSASP Baseline replacement adjustments, at the summary level.
		Section 10.6.6
		Updated to include new resource fields and concepts for a Verified ACL, DADRP add-backs and DSASP Baseline replacement adjustments, at the detailed level.
		Section 10.7
		Added section for managing Incremental ACL enrollments.
		Section 10.7.1
		Added section for downloading of the Incremental ACL verification file.
		Section 10.7.2
		Added section for creating the Incremental ACL verification file.
		Section 10.7.3 > Added section for importing the Incremental ACL verification file.
		Section 10.7.4
		Added section for managing the Incremental ACL verification file import results.
		Section 10.7.5
		Added section for viewing resource Incremental ACL verification data.
		Section 10.7.6
		Added section for viewing resource Incremental ACL verification details.
		Section 12.7.4.1
		Updated section to include new fields when viewing resource responses to events and/or tests.
		Section 12.7.4.2
		Updated section to include new Performance Hourly meter data tab when viewing resource response details.
		Updated section to include new fields in the Enrollment Hourly meter data tab when viewing resource responses details.
		Clarified difference between the new Performance Hourly meter data tab and the existing Enrollment Hourly meter data tab.
1.9	10/23/2014	Global



		Implemented changes related to new functionality delivered in the October 2014 deployment as detailed in the section specific entries following.
		Changed all references of "Market Participant (MP) Deficiency" to "Responsible Interface Party (RIP) Portfolio Performance Shortfall."
		Document Scope and Usage
		Updated to include the functionality for the viewing and reporting of a SCR Change of Status and the viewing of resources subject to multiple shortfalls within a Capability Period.
		Section 1
		Updated introduction to include new sections and to incorporate the retired Document Scope and Usage section.
		Section 5
		Updated section to reflect change in name of section from "Market Participant Deficiency" to "RIP Portfolio Performance Shortfall".
		Section 5.2
		Updated section to include new fields which are inputs to the RIP Portfolio Performance Shortfall.
		Section 10.8
		Added section for reporting, managing, and viewing an SCR Change of Status in DRIS, after the close of enrollment for the applicable month.
		Section 10.9
		Added section for viewing resource Shortfall kW summary data.
2.0	6/17/2015	Global
		Implemented changes related to new functionality delivered in the June 2015 deployment as detailed in the section specific entries following.
		Document Scope and Usage
		Updated to include the functionality for viewing Proxy Test Values used to satisfy the First Performance Test requirement
		Section 7
		Updated Table 7-7 to include TO Service Voltage ID and Calculated ACL kW for each resource for Fields Monitored for SCR Enrollments
		Section 9.2
		Updated to include new and updated fields on the Aggregation Assignment screen
		Section 9.2.3
		 Updated menu descriptions and screenshots for the Aggregation Performance Factors Export File containing new fields
		Section 11.2
		 Updated menu descriptions, search criteria and screenshots for Notifications screens.
		Section 11.7
		Updated to include Proxy Test Indicator to menu descriptions and screenshots.



2.1	12/10/2015	Section 9 Clarified timelines for Reporting Change of Status
2.2	06/23/2016	 Section 1.2 Updated to clarify that digital certificates will no longer be NYISO issued and must be NAESB compliant
2.3	11/21/2016	 Global Revisions related to new functionality delivered in the November 2016 Behind-the-Meter Net Generation ("BTM:NG") deployment as detailed in the section specific entries following.
		Document Scope and Usage> Updated to include the BTM:NG project functionality.
		Section 1.1 Updated to include Section 12, BTM:NG Viewing details
		Section 1.4.1 Addition of BTM:NG menu bar option
		Section 12 ➤ View BTM:NG Peak Load Hours ➤ View BTM:NG Monthly Enrollment
3.0	02/20/2019	 Section 1 ➢ Added Documentation Vault Management in Document Purpose and System Capabilities ➢ Updated NYISO website screen shots for Accessing the System
		Section 2.3 ➤ Updated Figure 31 Generator Types Page in Generator Type IDs
		 Section 7.5.3 ➢ Added "Note" for MP Action Required in Monitoring Resource Enrollment Request
		Section 9 ➤ Added new section Documentation Vault Management
		Section 10 ➤ Deleted references to Summer 2011 ACL
3.1	09/23/2019	Section 9 Updated screen shots for Reply Window
3.2	06/01/2020	Section 7.3 Updated SCR Enrollment File to include Meter Authority column
		Section 8.1.3 > Added Meter Authority to SCR & EDRP Resource Enrollment screen
		 Section 10.6.2 > Updated Provisional ACL Verification File to include Meter Authority column > Added Mater Authority column to Provisional ACL Verification across
		Section 10.7.2



		 Updated Incremental ACL Verification File to include Meter Authority column Added Meter Authority column to Incremental ACL Verification screen
3.2	01/05/2021	Recertified without revisions
3.3	03/11/2021	Section 1.4.1
		 Updated Figure 6 to reflect new Menu Bar changes Updated Figure 6 to remove the "Respond" option Section 3.1
		 Updated Figure 39 to reflect new Menu Bar changes Updated Figure 40 to reflect new Menu Bar changes Section 4.1
		Updated Figure 42 to reflect new Menu Bar changes
		Section 5.1
		Updated Figure 47 to reflect new changes to "RIP Portfolio Performance Shortfall Details" section
		Section 5.2
		Updated Figure 50 to reflect new changes to "RIP Portfolio Performance Shortfall Details" section
		Updated Figure 51 to reflect new changes to "RIP Portfolio Performance Shortfall Details" section
		Section 7.2
		 Updated Figure 65 to reflect new look of Import/Export Page Updated Figure 66 to reflect new look of Import/Export Page
		Section 7.4
		 Updated Figure 76 to reflect new look of Import/Export Page Updated Figure 77 to reflect new look of Import/Export Page Section 7.5.1
		 Updated Figure 81 to reflect new look of Import/Export Page Updated Figure 81 to reflect new look of Import/Export Page
		Section 7.5.2
		 Updated Figure 83 to reflect new look of Import/Export Page Updated Figure 84 to reflect new look of Import/Export Page
		Section 7.5.3
		Updated Figure 87 to reflect changes to Resource Enrollment Request screen
		Updated Figure 88 to reflect changes to Resource Enrollment Request screen



Section 8.1.3
Updated SCR Data List, Removed "Resource Contribution" and added "Adjusted ICAP value"
Section 8.5
 Updated Figure 112 to reflect new look of Import/Export Page Updated Figure 113 to reflect new look of Import/Export Page Section 10.2.1
 Updated Figure 133 to reflect new "Last Update Date" column Updated Figure 134 to reflect new "Last Update Date" column
Section 10.2.1.1
Updated Figure 136 to reflect new Menu Bar changes
Section 10.2.1.2
Updated Figure 137 to reflect new "Last Update Date" column.
Section 10.2.3
Updated View Aggregation Data instructions to include language describing the new Duration Adjustment Factor and Adjusted ICAP MW.
Updated Figure 142 to reflect the insertion of "Adjusted Duration Factor" and "Adjusted ICAP" columns to the Aggregation Assignment Page.
Updated Figure 142 to rename the "ICAP MW of Resources Using Aggregation PF" to "Adjusted ICAP MW of Resources Using Aggregation PF",
Updated View Data for Resources Assigned to the Aggregation instructions to include language describing Duration Adjustment Factor and Adjusted ICAP MW.
Inserted new language: "Note: Duration Adjustment Factor and Adjusted ICAP MW columns will be empty prior to the Summer 2021 Capability Period."
Updated Figure 143 to include ECE changes for Resources.
Section 10.2.3.1
Updated View Aggregation Performance Factor and UCAP data instructions to include language describing the new Duration Adjustment Factor and Adjusted ICAP.
Updated Figure 144 to include "Adjusted Duration Factor", "Adjusted ICAP Resources Using MP PF", "UCAP MW from ICAP AMS" columns.
Section 10.2.3.2
Updated Figure 145 to reflect new look of Import/Export Page
Updated Figure 145 to reflect new look of Import/Export Page
Section 10.2.3.3
Updated Figure 152 to reflect new look of Import/Export Page
Updated Figure 153 to reflect new look of Import/Export Page



Section 10.2.4	
Updated Figure 155 to include "Adjusted ICAP MV Duration Factor", "Adjusted ICAP MW of Resource PF", "UCAP MW of Resources Using MP PF" and MW in DRIS" columns.	V" and "Adjusted s Using Aggregation "Aggregation UCAP
 Updated Figure 155 Resource sections to include MW column. 	new Adjusted ICAP
Inserted language describing new fields in Figure	155.
Updated Figure 156 to include new "Adjusted ICA ICAP MW of Resources using Aggregation PF", " Factor", "UCAP MW of Resources Using Aggrega ICAP MW of Resources Using MP PF", "UCAP MW MP PF" and "UCAP MW from ICAP AMS" columns	 > MW", "Adjusted Adjusted Duration ation PF", "Adjusted N of Resources using s.
Inserted language describing new fields in Figure	156.
Updated Figure 157 to include new "Adjusted ICA ICAP MW of Resources using Aggregation PF", " Factor", "UCAP MW of Resources Using Aggrega ICAP MW of Resources Using MP PF", "UCAP MW MP PF" and "UCAP MW from ICAP AMS" columns	P MW", "Adjusted Adjusted Duration ition PF", "Adjusted N of Resources using s.
Section 10.2.6.1	
Section 10.2.6.1 removed as part of ECE changes	
Section 10.3.1	
Updated Figure 165 to reflect new look of Auction	Sales Pane
Section 10.4.2	
 Updated Figure 170 to reflect new look of Import/E Updated Figure 171 to reflect new look of Import/E 	Export Page Export Page
Section 10.4.3	
Updated Figure 174 to reflect new look of Import/E	xport Page
Updated Figure 175 to reflect new look of Import/E Section 10.4.4	xport Page
Updated Figure 177 to reflect new look of Auction	Sales Pane
Updated Figure 178 to reflect new look of Auction	Sales Pane
Section 10.6.1	
Updated Figure 183 to reflect new look of Import/E	Export Page
Section 10.6.3	sport rage
 Updated Figure 189 to reflect new look of Import/E Updated Figure 190 to reflect new look of Import/E 	Export Page Export Page
Section 10.6.4	
Updated Figure 193 to reflect new look of Import/F	Export Page
 Updated Figure 194 to reflect new look of Import/E 	Export Page



		Section 10.7.1
		 Updated Figure 201 to reflect new look of Import/Export Page Updated Figure 202 to reflect new look of Import/Export Page
		Section 10.7.3
		 Updated Figure 207 to reflect new look of Import/Export Page Updated Figure 208 to reflect new look of Import/Export Page
		Section 10.7.4
		 Updated Figure 211 to reflect new look of Import/Export Page Updated Figure 212 to reflect new look of Import/Export Page
		Section 12.7.2
		 Updated Figure 286 to reflect new look of Import/Export Page Updated Figure 287 to reflect new look of Import/Export Page
		Section 12.7.2
		 Updated Figure 290 to reflect new look of Import/Export Page Updated Figure 291 to reflect new look of Import/Export Page
		Section 12.7.7
		 Updated Figure 306 to reflect new look of Import/Export Page Updated Figure 307 to reflect new look of Import/Export Page
4.0	02/23/2024	Table of Figures
		Updated Table of Figures
		Section 10.2.3
		 Updated "Duration Adjustment Factor" column references to "DAF/CAF" Updated Figure 142 to reflect new attributes for Installed Capacity Accreditation (ICA)
		> Updated Figure 143 to reflect new attributes for ICA
		Updated Figure 144 to reflect new attributes for ICA
		Section 10.2.4
		Updated Figure 155 to reflect new attributes for ICA
		Updated Step 4 to include "CARC" and "DAF/CAF"
		Updated Figure 156 to reflect new attributes for ICA Updated Figure 157 to reflect new attributes for ICA
		 Updated Figure 158 to reflect new attributes for ICA Updated Figure 158 to reflect new attributes for ICA
		Section 12.7.1
		Inserted language for steps to retrieve Event Response Template from NYISO website.



		Updated Figure 281 to reflect updated Sample Event Response File in Excel
		Updated Figure 283 to include Meter Authority field.
		Updated the Note section to account for updated Template for Event Response File
5.0	04/16/2024	Introduction
		Referenced Aggregation System User's Guide and Aggregation Manual for DER participation model transition information
6.0	05/20/2024	Section 7.4 Importing the Resource Enrollment File
		Noted that enrollment imports to DRIS are limited to 2,500 resources per upload.

Relation of this Guide to NYISO's Tariffs and Agreements

To the extent that information in this Demand Response Information System Market Participant's User Guide is inconsistent with the NYISO's tariffs or agreements, the NYISO's tariffs and agreements shall control. This guide is intended solely for informational purposes and is subject to change.

Capitalized terms used in this guide shall have the meanings established in this guide and shall neither define nor prescribe a party's rights or obligations under the NYISO's tariffs or agreements.

1. Introduction

The Demand Response Information System (DRIS) is a New York Independent System Operator (NYISO) software application designed to automate certain tasks required to participate in the Installed Capacity (ICAP)/Special Case Resources (SCR) program (hereinafter "SCR program") the Emergency Demand Response Program (EDRP) and the Demand Side Ancillary Services Program (DSASP) with the NYISO.

This document describes how to use the Demand Response Information System (DRIS) to perform certain tasks required to participate in the Installed Capacity (ICAP)/Special Case Resources (SCR) program (hereinafter "SCR program"), the Emergency Demand Response Program (EDRP) and the Demand Side Ancillary Services Program (DSASP) with the New York Independent System Operator (NYISO).

Market Participants should refer to the NYISO *Aggregation Manual* and *Aggregation System User's Guide* for the requirements associated with transitioning between the SCR program, EDRP, and DSASP.

Intended Audience

This document is designed for Market Participant (MP) representatives who will be performing the tasks made possible by DRIS.

1.1. Document Purpose and System Capabilities

Via a secure Web-based interface, DRIS is the means of enrolling resources in the SCR program, EDRP and DSASP, managing resources, and performing certain tasks specific to the SCR program. DRIS also provides visibility to Market Participant (MP) organization enrollment data based on program type and Capability Period (SCR and EDRP) and allows for management of organization contacts.

In support of these functions, as listed in the following sections of this document, DRIS provides the means for the MP to:

- Section 1 summarizes the tasks that can be performed in DRIS and the requirements for system use. Also provided are instructions for accessing and exiting the system as well as working with the system interface.
- Section 2 describes how to find deadlines for DR calendar events and outlines the steps for ascertaining transmission owner (TO) abbreviations, voltage level IDs, generator type IDs, Capability Period SCR Load Zone Peak Hours, Monthly SCR Load Zone Peak Hours and DSASP Product/Aggregation Types which are among the data that must be reported when enrolling resources.
- Section 3 provides instructions to view MP organization enrollment data for a specific demand response program and Capability Period.
- Section 4 provides instructions to view the MP performance factor for a Capability Period and to view those resource performance factors contributing to the MP performance factor.
- Section 5 describes how to view MP organization shortfall data for a specific Capability Period, month and zone.
- Section 6 provides instructions on managing MP organization contacts by program and by contact type.
- Section 7 provides instructions related to enrolling resources, including creating the necessary file, importing the file to DRIS, and monitoring and managing data changes or omissions that may delay or prevent resource enrollment.
- Section 8 focuses on resource enrollment maintenance, describing how to monitor and update resource enrollments, re-enroll resources, and separate resources from a portfolio. Also provided are instructions for downloading pre-existing resource enrollment data for the purpose of creating a file containing updated resource enrollment data for subsequent reporting to DRIS, whether to correct problems found in previous enrollment files, update resource enrollments, or re-enroll resources.
- Section 9 provides instructions on how to utilize the Documentation Vault Management feature, which displays documentation request records in accordance with pending enrollments and verifications. It details the ability to view and reply to specific documentation requests for Resources.
- Section 10 outlines tasks specific to the SCR program, that include managing aggregations and strike prices, viewing and making changes to Transmission Owner Add-Back values, viewing system-calculated aggregation performance factor and aggregation UCAP, viewing the results of the automatic transfer of system-calculated aggregation UCAP values to ICAP AMS, downloading system-calculated UCAP values, allocating sales to resources when an aggregation has partial sales, importing resource Incremental ACL and Provisional ACL Verification data and viewing resource Change of Status, Incremental ACL and Provisional ACL Shortfall(s), reporting and viewing resource Change of Status Shutdown kW values after the close of enrollment and viewing resource Offer Floor details.
- Section 11 outlines tasks specific to the DSASP Provider, that includes viewing DSASP Resources that are aggregations of an individual Demand-Side Resource (DSR) or a grouping of DSRs,

managing Demand-Side Resource participation in DSASP Aggregations, viewing DSASP Enrollment Statuses, submitting a DSASP Aggregation for *Qualification* in the Ancillary Services Program, viewing current and previous DSASP Submittals, generating new and viewing previous DSASP Resource Reports.

- Section 12 outlines tasks specific to a Demand Response event or test that include the requirement to receive an Event Notification, respond to an Event Notification with expected curtailment values, receive and acknowledge Communication Tests, and report the resource responses after the event or test for the purpose of payment and performance calculations. Details relating to resource payments for the event or test are also provided.
- Section 13 outlines tasks specific to viewing BTM:NG information, including BTM:NG Resource peak Load hours once they have been imported into DRIS and the BTM:NG monthly enrollment information.

Presentation Conventions

As an aid to locating key components of instructions, the following typographical conventions are used throughout this document:

- Boldface is used to highlight components of the system interface requiring some action on the user's part, as in "From the MP menu, choose Event Calendar."
- Boldface small capital letters are used to highlight keystrokes, as in "To select multiple contiguous resources, click the listing for the first desired resource, press and hold the SHIFT key, click the listing for the last desired resource, then release the SHIFT key."

This document also includes screenshots of the system interface for user reference in performing tasks. Some of these screenshots have been cropped for ease of presentation and/or captured after resizing the browser window, the latter of which may have resulted in rearrangement of interface components as compared to their positioning in a full-sized browser window. Interface-component positioning may also vary slightly across browser type and screen-resolution settings. Finally, while screenshots in this document reflect interface appearance at the time of drafting, minor differences may be apparent at the time of deployment.

1.2. Requirements

1.2.1. System Requirements

The NYISO recommends the following for use with this application:

- 1. Microsoft Internet Explorer Version 11 or the latest version of Firefox, as the NYISO has tested this application with those browser versions,
- 2. Minimum 1024x768 screen resolution and
- 3. Minimum internet connection speed of 56Kbps.

Additionally, the NYISO requires for use with this application:

- 1. A NAESB compliant digital certificate and
- 2. Valid user credentials including User ID and associated password.

1.2.2. Using Digital Certificates

All users must have a NAESB compliant digital certificate linked to their MIS user name in order to access NYISO applications.

For instructions on obtaining and using a NAESB compliant digital certificate refer to the *NYISO Market Participant User's Guide*, available from the NYISO Web site at the following URL:

https://www.nyiso.com/manuals-tech-bulletins-user-guides

Note: Digital certificates are not application-specific. If the user already has an active certificate in conjunction with other NYISO secured systems, that same certificate can be used to access DRIS, assuming appropriate system use privileges are in place.

In addition to having a NAESB compliant digital certificate, those representatives of the MP organization who require access to this application must also be assigned via MIS the DRIS-specific privilege appropriate to their roles in relation to the applicable DR program(s).

1.2.3. Pre-Requisites for System Use

The following are pre-requisites for using this application:

- 1. Registering as an MP in a supported DR program
- 2. Configuring user computer(s) for system use
- 3. Complying with system use privileges

4. Assigning system use privileges at the organization level

1.2.4. Registering as a NYISO Demand Response Market Participant

The initial pre-requisite for accessing DRIS is to register with and be approved by the NYISO as an MP in the SCR program, the EDRP or the DSASP.

Note: A Responsible Interface Party (RIP) enrolls resources in the SCR program, a Curtailment Service Provider (CSP) enrolls resources in the EDRP and a Demand Side Ancillary Services Program Provider (DSASP Provider) enrolls resources in the DSASP. Further information can be found in the *NYISO Installed Capacity Manual*, the *NYISO Emergency Demand Response Manual* and the NYISO Ancillary Services Manual, respectively. These documents are available from the NYISO Web site athttps://www.nyiso.com/manuals-tech-bulletins-user-guides.

As part of the registration process, the prospective MP must specify one or more representatives of the MP organization who will administer DRIS privileges for the organization. In approving MP registration, the NYISO will assign the MP organization the privilege required to access DRIS, which will allow the designated MP Administrator(s) to assign DRIS user privileges to members of the MP organization. If the MP Administrator is not already registered for another NYISO-administered program, the NYISO will assign the MP Administrator a User ID and temporary password for accessing the NYISO Market Information System (MIS), via which DRIS use privileges are administered. Otherwise, the MP Administrator's User Name and Password are the same as those already used to access MIS.

Note: User IDs and Passwords for accessing DRIS are established as part of administering DRIS privileges at the organization level, as outlined in the corresponding topic.

Further information on registering as a NYISO MP can be found in the *NYISO Market Participant User's Guide,* available from the NYISO Web site at the following URL:

https://www.nyiso.com/manuals-tech-bulletins-user-guides

The MP must next ensure that the computers of all prospective DRIS users are properly configured for system use.

1.2.5. Understanding System Privileges

The tasks a user will be able to perform within DRIS depend on the user's DRIS privilege level. Figure 1 lists the two levels of DRIS privilege along with the usage rights conferred by those privilege levels.

Figure 1: DRIS Privilege Levels and Corresponding Usage Rights

Privilege Level	Usage Rights
DRIS Web UI MP Read-Only User	View, track, and extract from the system data specific to the user's organization, including organization enrollment, contact

	information, performance factor data, RIP and resource shortfalls, and data specific to the organization's resources, including resource enrollment, aggregation assignments, aggregation submittals, aggregation performance factor, strike price, UCAP data, allocation of partial sales data, event notification details, event notification responses, resource event responses, TO/DADRP add-back or DSASP replacement data, reported COS Shutdown kW data, resources that may be subject to an Offer Floor price, and SCR Load Zone Peak Hours (as applicable based on the program(s) in which the organization has enrolled resources).
DRIS Web UI MP User	All rights conferred by the DRIS Web UI MP Read-Only User privilege plus enroll organization and maintain organization contacts, enroll and re-enroll resources; separate resources from a portfolio; cancel pending enrollment requests; respond to event notifications with expected curtailment values; acknowledge receipt of communication tests, report resource event responses and, for SCR resources, verify Provisional ACL eligibility; approve ACL Data requests, establish and manage aggregations and strike prices, allocate partial sales, report Change of Status conditions after the close of enrollment, report resource Provisional ACL verification data, and report Incremental ACL verification data, for DSASP Demand-Side Resources, establish and manage aggregations, submit resources for registration, export DSASP Resource Reports.

As reflected in Figure 1, system use is restricted at the DRIS Web UI MP Read-Only User level, whereas the DRIS Web UI MP User confers full usage rights.

User privileges are assigned via MIS as the final pre-requisite step before using DRIS.

1.2.6. Administering Privileges at the Organization Level

The MP organization representative(s) designated as Administrator(s) in relation to DRIS is responsible for managing DRIS privileges for authorized representatives of the MP organization. This task is accomplished via the NYISO MIS by designating each representative that the MP organization wants to have access to DRIS (including the MP Administrator, if applicable) as a User in relation to the organization, and activating for each such User the authorization flag corresponding to the appropriate level of privilege in relation to DRIS use (that is, DRIS Web UI MP Read-Only User or DRIS Web UI MP User). A User should be assigned only one of the DRIS roles described in section 1.2.5. Once this is done, the organization representatives will be able to access DRIS and perform tasks based on their respective levels of privilege (refer to Figure 1).

For further information on designating organization Users, refer to the User Details section in the *NYISO Market Participant User's Guide*, available from the following URL: <u>https://www.nyiso.com/manuals-tech-bulletins-user-guides</u>

1.3. Accessing the System

Access to DRIS is initiated from a secure page on the NYISO Web site.

Pre-requisite

• The MP has completed all pre-requisite tasks for system use, as outlined in Section 1.2.3.

To access DRIS

Note: This procedure outlines the access path to the DRIS login page from the NYISO Web site home page. If you would instead prefer to directly access the login page, the location is <u>https://dris.nyiso.com</u>. In this case, skip directly to step 6 of this procedure.

1. Point your browser to the NYISO Home page at <u>www.nyiso.com</u> (see Figure 2).

Figure 2: NYISO Home Page



- 2. On the NYISO Home page, position your mouse pointer over the **Markets** header. The header expands to list of related categories of information.
- Select Distributed Energy Resources (DER) from the expanded list of related categories. (see Figure 3)

Figure 3: Expanded NYISO Markets Header Highlighting Distributed Energy Resources (DER) page and

Demand Response Link

AUGPENATOR	MARKETS ~ LIBP	ABOUT US V	Sitemap ING ❤ CC	OMMITTEES ~	TRAINING ~	Cogin
ARKETS / DISTRIBUTED ENERGY RESOURCES (DER)	ENERGY ER)		Contact C stakeholde 6060	ustomer Supp r_services@nyis	oort so.com 518-356-	
DER are poised to transform New York's whole clear path toward integrating DER into the who	sale electric system. The NYISO's DER Roadma lesale markets.	ip identifies a	Useful Lin Price Marko Marko NVIS Price DER	iks Working Group Responsive Loa al-Issues Workin O Key Topics Tr Roadmap	ad Working Group ng Group acker (DER)	
Markets Real-Time Dashboard Interactive Energy Pricing Map System Conditions Energy Market & Operational Data v Installed Capacity Market (ICAP)	Distributed Energy Resour The NYISO's released its DER Roadmap in Fi in the NYISO's energy, ancillary services, ar modifications to its existing Demand Resp market design concepts outlined in the Ro- To learn more, download our DER Roadma	rces Particip ebruary 2017, as a fir id capacity markets. onse programs as pa admap. p.	ation Mo st step to enha The NYISO is a Int of this effor	del Incing its market Ilso currently eva t. This project wi	t rules for DER parr aluating potential ill continue develop	ticipation
Transmission Congestion Contracts (TCC)						
	DER Roadmap					
Demand Response Behind-the-Meter Net Generation (BTMNG) Market Access Login	DER Roadmap Name V DER01 - DER Roadmap V DER02 - DER Roadmap Workshop 9- V DER03 - NYISO Pilot Program V DER04 - Stakeholder Comments	22-2016			Published	Type

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Copyright © 2018 New York Independent System Operator: All Rights Reserved. Legal Notice Sitemap 4. Under the Demand Response page, the Demand Response Information System (DRIS) Login Link page is displayed (see Figure 4)

About Us 🗸 Sitemap Calendar Support v Login 🗸 150 NEW YORK INDEPENDENT SYSTEM OPERATO MARKETS ~ COMMITTEES ~ Q MARKETS / DISTRIBUTED ENERGY RESOURCES (DER) / DEMAND RESPONSE **Contact Customer Support** DEMAND RESPONSE stakeholder services@nviso.com 518-356-6060 NYISO's demand response programs pay qualifying participants to reduce their consumption ("load") for discrete periods of time at the NYISO's direction **Useful Links** DEMAND RESPONSE INFORMATION SYSTEM (DRIS) LOGIN & NYISO Registration Information pdf DRIS Users Guide pdf ICAP Manual pdf EDRP Manual pdf DADRP Manual Markets **Demand Response** Real-Time Dashboard Demand Response (DR) is the act of reducing energy consumption from the grid at the direction of the NYISO. Interactive Energy Pricing Map Demand Response is provided by Demand Side Resources, which are electricity consumers located in New York State System Conditions that enroll to take part in a specific DR program. These resources are capable of reducing the power consumed from the grid for discrete periods of time as directed by the NYISO. Energy Market & Operational Data 🗸 NYISO's Demand Response programs pay qualifying participants to reduce their consumption ("load") for discrete periods Installed Capacity Market (ICAP) of time. Transmission Congestion Contracts (TCC) Reliability-Based Programs - NYISO determines activiation Distributed Energy Resources (DER) A SCR EDRP Demand Response Rehind-the-Meter Net Generation (BTMNG) Economic-Based Programs - Resource determines when to participate (through supply offers) Market Access Login DSASP DADRP For further details, please see NYISO Demand Response Programs: Frequently Asked Questions or contact NYISO Stakeholder Services. **Demand Response** Published Name Туре DR01 - General Information

Figure 4: Link for the Demand Response Information System (DRIS)

 Demand Response

 Name
 Published
 Type

 DR01 - General Information
 DR02 - Special Case Resource ICAP Program
 DR03 - Emergency Demand Response Program
 DR04 - Demand Side Ancillary Service Program
 DR05 - Day Ahead Demand Response Program
 DR06 - Demand Response Activations
 DR07 - Monthly Net Benefit Offer Floor

5. Under the **Demand Response Information System** heading, choose **User Login**.

The Demand Response Information System login page is displayed (as shown in

Figure 4, following).

Note: DRIS may also be accessed from a link on the Demand Response Programs page or from a link on the ICAP Data & Information page on the NYISO Web site.

Figure 5: NYISO Demand Response Information System Login Page

quireu	

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6. In the corresponding fields, type your **User ID** and **Password**.

Note: The password is case sensitive.

7. Activate the **Login** button.

The DRIS system opens to the Dashboard page, and you may begin using the software per your assigned privileges.

1.4. Working with the System Interface

The term *system interface* refers to the composite mechanisms displayed on screen that provide for interaction with a system. Key DRIS interface components include the following:

- Menus
- Pages
- Grids
- Status bar
- Filters
- Configuration controls
- Data navigation devices

Note: The appearance of the system interface may vary slightly across browser type. Likewise, interface component placement may vary depending on sizing of the browser window.

1.4.1. Menus

Menus (see Figure 5) allow users to initiate the various tasks made possible by the system. In DRIS,

menus are accessed by clicking a heading in the static bar always displayed across the top of the interface.

Figure 6: DRIS Menu Bar

Bullion I	DINDEPENDENT SYSTEM OPERATOR	Demand I <u></u>	Response Inform d	ation System	DSASB- BTM-		
SCR	Resource + SCR+ P	EDRP	SR Event + Miligation +	Documentation Va	lt	DSASP	
Capability Period: Auction Month: Enrollment Request Pending: Approved: Denied: Cancelled: MP Action Req'd: View Requests	Winter 2020-2021 March 2021	Capability Period: Month: Enrollment Reg. Pending: Approved: Denied: Cancelled: View Reguests Enrollments	Winter 2020-2021 March 2021 eds 0 0 0 0	MYSO Awaiting: MP Awaiting: Verification NYISO Awaiting: MP Awaiting: View Documentation	0 0 4 21 Requests	Pending: Pending: Approved: Denied: Cancelied: View Requests Summer: Winter:	503 0 0 0 0
Enrollments Under Review: Aggregation Reque Pending: Approved: Denied: Cancelled:	1 sts 0 0 0 0	Side Neven,					

Eleven menus are provided in DRIS, as follows:

- Main The Main menu (see Figure 6) provides access to the Dashboard, where users may view at a glance:
 - deadline-driven DR events by date for the next 10 days (see Section 2.1);
 - number of documentation vault requests (see section 9);

 the number of resource enrollment requests and aggregation ID requests by status category (see Section 7.5.2 and Section 10.2.1.1 respectively) and the total number of Qualified Megawatts, participating in DSASP (see Figure 87)

Also accessed from this menu is the Imports/Exports page, where users can:

- initiate import of resource enrollments (see Section 7.5.1);
- generate import files for the purpose of correcting exceptions (i.e., data errors, changes, or omissions) that prevent some or all resources from being imported to the system (see Section 7.5.1);
- download resource enrollment data in the format required for reporting to the system (see Section 8.5);
- download UCAP values for SCR resources (see Section 10.2);
- initiate import of allocation of auction sales at the resource level when aggregations have partial sales in the ICAP Market (see Section 10.4);
- initiate import of resource Incremental ACL verification data (see Section 10.7);
- download resource Incremental ACL verification data file in the format required for reporting to the system (see Section 10.7.1);
- initiate import of resource Provisional ACL Eligibility data (see Section 7.2);
- download resource Provisional ACL Eligibility data file in the format required for reporting to the system (see Section 7.1);initiate import of resource Provisional ACL verification data (see Section 10.6)
- download resource Provisional ACL verification data file in the format required for reporting to the system (see Section 10.6.1);
- initiate import of resource responses to DR events and tests (see Section 12.7.1); and
- download resource hourly response payment details (see Section 12.7.7.)

Figure 7: Main Menu Options

Main	•	
	D	ashboard
	In	nports/Exports

• *MP* – The MP menu (see Figure 8) provides access to the DRIS Event Calendar, where users can monitor deadline-driven DR events by specific date or a date range in order to ensure

completion of tasks within required time frames (see Section 2.1). Also accessed from this menu is the page summarizing the MP organization program enrollments (see Section 3) and the access to MP organization contacts and contact maintenance (see Section 6).

Figure 8: MP Menu Options

MP	-
	Program Summary
	Contact Summary
	Contact Maintenance
	Event Calendar

Resource – The Resource menu (see Figure 9) provides access to system pages that allow users to view resource enrollments at varying levels of detail (see Section 8.1). Also accessed from this menu is the page detailing any resource enrollments earmarked as requests requiring further intervention (see Section 7.5.2).

Figure 9: Resource Menu Options



 SCR – The SCR menu (see Figure 10) provides access to system pages that facilitate performance of SCR-related tasks, specifically viewing resource Transmission Owner/DADRP Add-backs and DSASP Baseline values (see Section 10.1), managing aggregations (see Section 10.2), strike prices (see Section 10.2.2), and allocation of auction sales at the resource level when aggregations have partial sales in the ICAP Market (see Section 10.4). *Note:* In the SCR menu the selection of "Aggregation Assignment" will navigate the user to the Aggregation Management screen which provides Aggregation Performance Factors. This screen will be enabled with the start of the SCR Enrollment calendar event for the May 2012 auction month. The selection of "Aggregation Assignment Pre-Summer 2012" will navigate the user to the process for Aggregation Management prior to the Summer 2012 Capability Period. The "Aggregation Assignment Pre-Summer 2012" selection will continue to provide historical views after the Winter 2011 - 2012 Capability Period but will no longer be available for the Aggregation Management process beginning with the Summer 2012 Capability Period. Beginning with the Summer 2012 Capability Period, users should perform the Aggregation Management process through the "Aggregation Assignment" SCR menu option.

Figure 10: SCR Menu Options

SCR	•
	Auction Sale Summary
	Aggregation Assignment
	Aggregation Assignment Pre-Summer 2012
	Aggregation Request
	Strike Price Management
	Resource ACL Adjustment

Performance Factor – The Performance Factor menu (see Figure 11) provides access to the SCR resource performance factors contributing to the MP performance factor for the Capability Period (see section 4). Also accessed from this menu is the page detailing the RIP Portfolio Performance Shortfall for the Capability Period (see Section 5), the resource Provisional ACL shortfall (see Section 10.6.5) the resource Incremental ACL shortfall (see Section 10.7.5), the resource Change of Status shortfall (see Section 10.8) and the resource Shortfall kW summary data (see Section 10.9).

Figure 11: Performance Factor Menu Options

Perf	ormance Factors -
	MP
	RIP Portfolio Performance Shortfall
	Provisional ACL
	Incremental ACL
	Change of Status
	Resource Shortfall Summary

DR Event – The DR Event menu (see Figure 12) provides access to pages from which users can view details of DR events and tests (see Section 12.1). Also accessed from this menu is the page detailing

resource responses to events and tests and resource hourly payment information (see Section 12.7.4).

Figure 12: DR Event Menu Options

DR E	vent 👻
	DR Event Summary
	Event Response Details

 Mitigation – The Mitigation menu (see Figure 13) provides access to viewing the SCR resources that are subject to an Offer Floor, as well as the ability to view the current count of months in which the resource has cleared its Offer Floor in the ICAP auction (see Section 10.5).

Figure 13: Mitigation Menu Options

Mitigation -Validate Auction Sales

Tables – The Tables menu (see Figure 14) provides access to pages from which users can ascertain which transmission owner (TO) abbreviations, voltage level IDs (based on specific transmission loss factors), and generator type IDs should be included among the data provided when enrolling resources (see Section 2.2 and Section 2.3, respectively). The Peak Load Hours accessed from this menu is the page detailing the Capability Period SCR Load Zone Peak Hours (see Section 2.4). The Verification Peak Hours (S14 & W14-15) accessed from this menu is the page detailing only the Capability Period Load Zone Peak Hours used for Provisional ACL Verification to be used for the verification of enrollments made during the Summer 2013 and Winter 2013-2014 Capability Periods(see Section 2.5). The Monthly Peak Load Hours accessed from this menu is the page detailing the Monthly SCR Load Zone Peak Hours (see section 2.6). Also accessed from this menu is the DSASP Product/Aggregation Types matrix. This matrix provides the DSASP product type and aggregation type combinations permitted for DSASP Demand-Side Resources when enrolling into DRIS (see Section 2.7).

Figure 14: Table Menu Options



Notification – The Notification menu (see Figure 15) provides access to pages that facilitate viewing event notifications (see Section 12.4), responding to event notifications with expected curtailment values (see Section 12.3), and viewing responses in summary and detail (see section 12.2).

Figure 15: Notification Menu Options

Notification -			
	Summary		
	Response Summary		

- DSASP The DSASP menu (see Figure 16) provides access to pages that facilitate the management
 of Demand Side Resources (DSRs) participating as either a group of resources within an
 aggregation or as an individual resource within an aggregation (see Section 11).
- Also accessed from this menu is the ability to view the history of aggregation submittals in both summary and detail (see Section 11.3) and view enrollment details for a DSR (see Section 8.1.4).

Figure 16: DSASP Menu Options

DSA	KSP 🔫
	Aggregation Management
	Submittals
	Enrollment Details

- *BTM:NG Resources* The BTM menu (see Figure 17) provides access to pages that facilitate the management of BTM:NG Resources (see Section 13).
- Also accessed from this menu is the ability to view the BTM:NG Resource peak Load hours (see Section 13.1) and view monthly enrollment details for BTM:NG Resources (see Section 13.2).

Figure 17: BTM:NG Menu Options



1.4.2. Pages

Pages, which are invoked by the user choosing an option from one of the system menus, gather together and display all mechanisms specific to performing a specific task. Pages may encompass various frames, which, in turn, may be divided into various panes, as a means of refining presentation of information or providing access to additional information or functions (see Figure 18). **Figure 18: Page Containing Frames and Panes**

		Mp Name; In riso neuror Panicipali 🗸 Zone; Al	✓ Aggregation: All	lary.
Aggregations				
MP Aggregation ID	Zone Resource Count. UCAP MVV			
Page				Fra
Resources		Resources		
Resource D = Resource Name	UCAP INV	Resources Resource D x Resource Name	UCAPIWY	
Resources Resource D = Resource Name	UCAP NV	Resources Resource D + Resource Name	UCAPIW	

1.4.3. Grids

Grids (see Figure 19) are used to display data in table format utilizing rows and columns. Within certain grids in DRIS, further details on the entry in a row can be displayed by clicking the row itself. In other grids, clicking on a row provides access to editing certain information.

Monthly Deta	ils												
Resource ID	Resource Name	TO Account Number	Month	Begin Effective Date	End Effective Date	Program	Status	Subscribed Load	Subscribed Gen	Performance Factor	UCAP	Aggregation	
59493050	Resource Six	20000000	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	211400	0	0.9851	208250	5417	/ ^
83929302	Resource Twelve	40000000	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	8000	0	1	8000	4652	2 =
69605049	Resource Twenty	80000000	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	0	4000	0.9992	3996	4252	2-
80920792	Resource Fourteen	60000000	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	0	11060	1	11060	4252	2
70392032	Resource One	30000000	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	0	2800	1	2800	4252	2
63039827	Resource Seven	90000000	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	0	1200	1	1200	4252	2
58677204	Resource Fifteen	20000000	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	3000	1000	0.8761	3504	2158	\$
59493050	Resource Twenty-six	60000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	0	70	0	0		
87463821	Resource Three	70000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	110	0	0	0		
77938572	Resource Sixteen	80000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	0	1000	0	0		
62248394	Resource Thirty-eight	40000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	0	0	0	0		
92654238	Resource Forty	90000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	100	0	0	0		
58009247	Resource Eighteen	10000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	50	0	0	0		
74420956	ResourceThreaden Adda	60000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	30	0	0	٩	4	~
	- ^ ^				and a start of the				- Andrew		1	>	

Figure 19: Sample Grid

1.4.4. Status Bar

The status bar, located at the bottom of pages or frames, displays text summarizing system activity, such as the number of enrollment records displayed after narrowing a task to a specific Zone or month. In DRIS, the status bar may also house navigation controls in the form of arrow buttons providing for movement among multiple pages of data within grids and/or buttons that link directly to other pages of the system or allow for extraction of data (see Figure 20).

Figure 20: Status Bar

TO:	in/ Une	✓ Resou	rce Filter:	v (3)	Month: February	2010 ~	Zone:	*	Status:	Y 15	ispisy! .	
CR Monthly D	etails	and a single state of the second	Theorem		PLACE AND A DATA		Alex is	a constant of a	Concerned.		-	
esource D	Resource Hame	TU Account Number	a south	pegin a mective Date	End Effective Date	Program	bistus	Subscribed Load S	Joscoped Gen	Performance Factor	DOGOCO	mggregation
0000000	Resource Twelve	200302003	Eabruary 2010	01012010	04/30/2010	ece	Englad	211400		8390 0.8657	200200	5400
00015020	Resource Twenty	73444360	February 2010	01/01/2010	04/30/2010	808	Fornied	0000	4000	0.9992	3000	9796 -
00000-3	Resource Fourteen	883303030	February 2010	01/01/2010	04/30/2010	508	Fornied	0	11060	0.0002	11080	9070
0392032	Resource One	688392819	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	0	2800	1	2800	8453
3039827	Resource Seven	796077602	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	0	1200	1	1200	9786
\$8677204	Resource Fifteen	980698989	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	3000	1000	0.8761	2504	8390
9493050	Resource Twenty-six	834003277	February 2010	11/01/2009	04/30/2010	EDRP	Enroled	0	70	0	٥.	
7463821	Resource Three	544930212	February 2010	11/01/2009	04/30/2010	EDAP	Enrolled	110	8	0	0	
7938572	Resource Sideen	721339149	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	0	1000	Q	¢.	
2248394	Resource Thirty-eight	861204325	February 2010	11/01/2009	04/30/2010	EDRP	Enroled	0	٥	٥	c	
2654238	Resource Forty	600788332	February 2010	11/01/2009	04/30/2010	EDRP	Entoled	100		c	σ	
8009247	Resource Eighteen	948000283	February 2010	11/01/2009	04/30/2010	EDRP	Enroled	50	0	0	۵	
4420956	ResourceFour	837515295	February 2010	11/01/2009	04/30/2010	EDR#	Enroled	30	0	0	0	

1.4.5. Filters

Filters are mechanisms that allow the user to define the scope of a task by selecting system-defined values for one or more system-defined parameters.

In DRIS, drop-down filters, and, sometimes, cascading drop-down filters, are used, for example, to limit export of UCAP values to a specific Capability Period and/or auction month, as well as to narrow the scope of data initially displayed when performing tasks such as viewing resource enrollments. The scope of data initially displayed can be further and dynamically narrowed using context-sensitive filters accessed via column headers within display grids in DRIS. Figure 21 and Figure 22 illustrate the various types of filters in DRIS.

Figure 21: Drop-Down Filters Employed in DRIS

Drop	-down filter	Cascadin	g drop-down filter	
Status:	Y	Resource ID:	*	
	Pending	Resource Filter:	Resource 10	*
Approved Denied		Resource Name	*	
	Canceled		TO Account Number	¥

Figure 22: Context-Sensitive Filters Employed in DRIS

	Input fil	er
ne 🔻		
	Sort Ascending Sort Descending Columns Filters	 Image: A state of the state of
	Operationa	l filters
ed C	ert Ascending	
Z* S A	Fort Descending	
F F	Columns 🕨	< Enter Filter
		> Enter Filter

To limit task scope or data display via drop-down filters

- 1. Using the filter for a parameter by which task scope or data display should be limited, set the parameter value in one of the following ways:
 - Click the corresponding drop-down arrow, then from the displayed list, choose the applicable value.

Note: For cascading filters, first click the drop-down arrow for the primary filter, then click the drop-down arrow for the secondary filter to access the list of values.

- Position the cursor in the filter field, start typing the applicable value until the system displays the complete value either in the field or in a drop-down list, then either press the **TAB** key (if the field is already populated with the value) or click the actual value in the drop-down list (if the field is not already populated with the value).
- 2. Optionally, repeat step 1 in relation to other parameters to further limit task scope or data display.

Note: The more parameters for which values are set, the narrower the task scope or data displayed. For example, the only parameter value the system requires for viewing resource enrollments is Capability Period or Resource ID. Designating a value for only the former in this context will result in the display of data for all resources enrolled for the specified Capability Period. However, if values are set for additional parameters such as program and Zone, the system will display data for only those resources located within the specified Zone and enrolled in the specified program for the specified Capability Period.

3. Click the **Display** button in the uppermost frame of the page.

To limit currently displayed data via context-sensitive filters

Note: Assuming values for all drop-down filters have not been set before initially displaying data, the data currently displayed in a grid can be further limited by choosing values for additional parameters via the drop-down filters, then clicking the **Display** button. Context-sensitive filters, however, provide for dynamic updating of the data display based on input and, in some grids, allow data to be filtered by parameters not represented by drop-down filters.

1. Position the mouse pointer over the header of the column corresponding to the parameter by which display of data is to be further limited, then click the displayed drop-down arrow.

The system displays a drop-down menu.

2. On the displayed drop-down menu, position the mouse pointer over the **Filter** option.

The system displays interactive components in the form of either a text input field, multiple operational filters, or a date-designation sub-menu (see Figure 22).

- 3. Limit the scope of the data displayed in the grid in one of the following manners, depending on type of column filter displayed:
 - *If a text input field is displayed,* start typing the applicable value in the field until the data displayed in the grid is limited to those records for which the value of the parameter in question matches the value desired.

• If *multiple operational fields are displayed*, type the complete value in the applicable field. The system refreshes the grid to display only those records for which the value of the parameter matches the value specified.

Note: Operational filters are mutually exclusive, allowing designation of a greater-than, less-than, or equal-to value.

OR

• *If a date-designation sub-menu is displayed*, position the mouse pointer over the desired operand option on the sub-menu, then make the desired selection from the displayed calendar. The system refreshes the grid to display only those records for which the date value matches the value designated for the specified operand.

Note: When grid display is modified by using a context-sensitive filter, the system applies boldface italic to the corresponding column header as a visual cue that filtering by that column is in effect. To discontinue context-sensitive filtering, position the mouse pointer over the column header in question, click the displayed drop-down arrow, then click the check box beside the **Filter** option until the checkmark is cleared from the box.

1.4.6. Configuration Controls

Configuration controls allow for showing or hiding certain interface elements, changing the order in which data is displayed, and resizing areas of the interface relative to each other.

The user can control which columns are displayed in grids, change the parameter by which and order in which data are sorted in grids, and resize grid columns and certain frames.

To control which columns are displayed in grids

1. Position the mouse pointer over any column header to display a drop-down arrow, then click the drop-down arrow.

The system displays a menu (see Figure 23).

Figure 23: Sample Grid Column Menu

Zone	-
	Ag↓ Sort Ascending
	ZA↓ Sort Descending
	Columns 🕨
	Filters

On the displayed menu, position the mouse pointer over the **Columns** option.
 The system displays a sub-menu listing each column in the grid (see Figure 24).

Resource Count

Figure 24: Sample Grid Column Sub-Menu

- 3. Via the sub-menu, indicate which columns should be displayed in the grid, as follows:
 - To have the system hide a column, click the corresponding check box until the checkmark is cleared.

The system hides the column.

OR

• To have the system show a column, click the corresponding check box until a checkmark is displayed.

The system displays the column.

- 4. Repeat step 3 until the grid displays only the desired columns.
- 5. Close the sub-menu by clicking anywhere outside it.

Note: Settings related to display of grid columns persist until the user either changes them or exits the page in question, and these settings apply only to the display of columns on screen. All columns will be represented in a downloaded or exported Excel file.

To control the parameter by which and order in which data are sorted in grids

1. Click the grid column header corresponding to the parameter by which the data should be sorted.

The system sorts the grid data in ascending order based on the parameter of the column in question, as indicated by the upward pointing arrow () displayed to the right of the column header text (see Figure 25).

2. Optionally, change the sort order of the data for the parameter selected in step 1 to descending by clicking the same column header.

The system sorts the grid data in descending order based on the parameter of the column in question, as indicated by the downward pointing arrow () displayed to the right of the column header text.

Note: Any change in sort order persists until either a subsequent change is implemented or the page in question is exited, and sort order changes apply to the display of data both on screen and in any file exported via the Excel option located on DRIS pages.

Figure 25: Example of Changing Sort Order

Main + MP + Resource + SCR +	IK IDENT SPERATOR OF OF TomorrowToday Performance Factors •	Demano Strike Pr	I Response I ice Management ation - Tables - Notific		ystem	
MP: MP One	▼ Z	one: All 🔻 A	ggregation: All	▼ Display	5	
Strike Prices						
/P	Aggregation	Zone Strike Pri	ce Start Month End M	onth Last Updated By	Last Update Date	Original grid sort order
MP One	8123	K 500	11/01/2009	J Smith	11/23/2009 09:19:45	esulted in the Zono data
MP One	8124	J 500	11/01/2009	J Smith	11/19/2009 17:28:56	esuled in the zone data
MP One	8125	F 500	11/01/2009	J Smith	11/23/2009 09:19:45	being sorted in the order of
MP One	8126	D 500	11/01/2009	J Smith	12/01/2009 15:41:53	K, J, F, D.
		Dem	and Respoi	nse Informa	tion System	Clicking the Zone column
Building The Energy &	ronk ENDENT MOPERATOR andres Of TomorrowTr Performance Factors -	Dem oday DR Event - Mitig	and Respol ke Price Manage ation+ Tables+ Notific	nse Informa ment ation+ DSASP+ BTM+	tion System	Clicking the <i>Zone</i> column neader once sorts all data i the grid by the <i>Zone</i>
Alin MP Resource SCR MP: MP One	YORK HENDENT M OPERATOR Interest of TomorrowT Performance Factors -	Dem oday Stri DR Event - Mitig Zone: All	and Respo ke Price Manage ation+ Tables+ Notific Aggregation: A	nse Informa ment ation > DSASP + BTM J Y DB	tion System	Clicking the <i>Zone</i> column neader once sorts all data in the grid by the <i>Zone</i> parameter in ascending order of D. F. J. K. changing
Alain MP Resource SCR MP: MP One	rork ENDENT IN OPERATOR INCO OF TOMOROWT Performance Factors •	Derm oday Stri DR Event+ Mitig Zone: All	and Respo ke Price Manage atlon• Tables• Notific • Aggregation: A	mse Informa ment ation• DSASP• BTM•	tion System	Clicking the <i>Zone</i> column neader once sorts all data in the grid by the <i>Zone</i> parameter in ascending prder of D, F, J, K, changing the order of data in other
tain - MP - Resource - SCR - MP: MP One	PORK ENDENT WACCOUTOMOTOW.T Performance Factors •	Derri aday Stri DR Event - Mitig Zone: All	and Respon ke Price Manage ation • Tables • Notific v Aggregation: A rike Price Start Month	nse Informa ment ation - DSASP - BTM - II - Dia End Month Last I	tion System	Clicking the <i>Zone</i> column neader once sorts all data in the grid by the <i>Zone</i> parameter in ascending order of D, F, J, K, changing the order of data in other columns accordingly as
studiage no encourse - SCR- MP: MP One Strike Prices MP One	PORK ENDENT TOPE OF ONE OF ONE OF ONE Performance Factors - Performance Factors - Aggregation 8126	Dem oday Stri DR Event Mitig Zone: All Zone: SS D SS	and Respon ke Price Manage ation - Tables - Notific Aggregation: A rike Price Start Month 0 11/01/2009	nse Informa ment ation - DSASP - BTM - II - Dia End Month Last U J Smt	tion System	Clicking the Zone column neader once sorts all data in the grid by the Zone barameter in ascending order of D, F, J, K, changing the order of data in other columns accordingly as
Lain- MP- Resource- SCR- MP: MP One Strike Prices MP One	Performance Factors - Aggregation 8126 8125	Dermoday Stri DR Event Mitig Zone: All Zone Si D St F St	and Respon ke Price Manage ation - Tables - Notific Aggregation: A rike Price Start Month 0 11/01/2009 0 11/01/2009	nse Informa ment alion - DSASP - BTM I - Dis End Month Last I J Smi J Smi	tion System	Clicking the Zone column neader once sorts all data in the grid by the Zone parameter in ascending order of D, F, J, K, changing the order of data in other columns accordingly as Illustrated by the order of the data in the Aggregation and
Main + MP + Resource + SCR+ MP - MP One Strike Prices MP One MP One MP One MP One MP One	Performance Factors - Aggregation 8126 8125 8124	Derri oday Stri DR Event • Milig Zone: All Zone St F St J St	and Respo. ke Price Manage ation Tables Notific Aggregation: A rike Price Start Month 0 11/01/2009 0 11/01/2009	Inse Information ment ation - DSASP - BTM I - Dia End Month Last I J Smit J Smit	tion System	Clicking the <i>Zone</i> column neader once sorts all data in the grid by the <i>Zone</i> parameter in ascending order of D, F, J, K, changing the order of data in other columns accordingly as Illustrated by the order of the data in the <i>Aggregation</i> and
Main + MP + Resource + SCR+ MP · MP One Strike Prices MP MP One MP One MP One MP One MP One MP One	Performance Factors - Aggregation 8126 8125 8124 8123	DR Event Milig Zone: All Zone: SS D SS F SS J SS K SS	and Respo. ke Price Manage ation Tables Notific Aggregation: A rike Price Start Month 0 11/01/2009 0 11/01/2009 0 11/01/2009 0 11/01/2009	Inse Information ment ation · DSASP · BTM I · Dise End Month Last U J Smi J Smi J Smi J Smi	tion System	Clicking the <i>Zone</i> column neader once sorts all data i the grid by the <i>Zone</i> parameter in ascending order of D, F, J, K, changing the order of data in other columns accordingly as Illustrated by the order of th data in the <i>Aggregation</i> and <i>Last Update Date</i> columns

— To change column width in grids

1. Position the mouse pointer over the vertical border on either side of the header for the column header to be resized.

The mouse pointer changes to a double-headed arrow.

- 2. Click and hold the primary mouse button then drag the mouse to the left or right until the column is resized as desired.
- **To resize frames**
- 1. Position the mouse pointer over the border where the frame to be resized abuts the adjacent frame.

The mouse pointer changes to a double-headed arrow.

2. Click and hold the primary mouse button then drag the mouse up or down until the frame is resized as desired.

1.4.7. Data Navigation Devices

Data can be navigated by various mechanisms. In addition to scroll bars, which are located on the right side and/or bottom of frames and allow for viewing data that is present on the active page but currently out of view, DRIS employs arrow buttons (see Figure 26). Located on grid status bars, these mechanisms, when clicked, provide for movement between multiple pages of data within grids whenever a complete data set is too large to fit within a single grid page.

Also located on the status bar, between the forward and backward arrow buttons, is a Page field (see Figure 26), where the user may replace the currently displayed page number with another number within the noted range then press the **ENTER** key to move the view to the newly designated page.



Figure 26: Arrow Buttons and Page Field

In addition, DRIS makes use of tabs, which allow for toggling between different sets of data within the same area of the interface (see Figure 27). Specifically, if a resource has been enrolled in more than one DR program during a Capability Period, DRIS provides for toggling between viewing program capability detail data for each such program within a single pane by clicking the applicable program-specific tab.

		teren - magazar /abies - recursatori	DSASP · BTM ·				
MP Name:	Market Participant	Resource ID: Capal	bility Period: Summer 2010	✓ Program:	~		
				Zone:	*	Display -	
Capability Period Enro	Iments						
Resource Details		Program Capability Details					
Resource ID:	200173	SCR EDRP ACL Details					
Resource Name:	Resource One	Enrolment Date: 05/01/2010	CBL Method:	APMD	3584	Provisional APMD	14
TO Account Number:	7987654321	PMD Date 1: 06/12/2009	PMD Hour 1: 15	PMD KW 1;	3218	YorN	
Transmission Owner:	CEC	PMD Date 2: 07/29/2009	PMD Hour 2: 17	PMD kW 2:	3454	Question:	
Zone:	J	PMD Date 3: 08/21/2009	PMD Hour 3: 15	PMD kW 3:	4123		
Sub-load Pocket:		PMD Date 4: 09/23/2009	PMD Hour 4: 17	PMD kW 4:	3543	1	
Meter Instalation Date:	Lat						
Small Customer							

Figure 27: Tabs on Resource Capability Period Enrollments Page

1.5. Exiting the System

Exiting DRIS is accomplished by logging out of the system.

Pre-requisite

• The MP has accessed the system as described under section 1.3.

To exit the system

In the lower-right corner of the active page, click the **Logout** link (see Figure 28).

The system logs out the user, as indicated by the message displayed on screen.

Figure 28: Logout Link

MP Name	MP One	✓ Rei	source ID:	✓ Capa	ability Period: Winter 2	2009-2010 ~	Program	(*) A	ogregation:	*		
TO	f	Y Resou	arce Filter:	*	Month: February	2010 -	Zone:	*	Status:	* 5	· (Scelezel	
SCR Monthly	Details											
Resource ID	Resource Name	TO Account Number	Month	Begin Effective Date	End Effective Date	Program	Status	Subscribed Load Subr	scribed Gen	Performance Factor	UCAP	Aggrégation /
59493050	Resource Str	20000000	February 2010	01/01/2010	04/30/2010	SCR	Enroled	211400	0	0.9851	208250	8453
83929302	Resource Twelve	400000000	February 2010	01/01/2010	04/30/2010	SCR	Enroled	8000	0	00000	8000	8390
69605049	Resource Twenty	800000008	February 2010	01/01/2010	04/30/2010	SCR	Enrolled	0	4000	0.9992	3996	9786
80920792	Resource Fourteen	600000000	February 2010	01/01/2010	04/30/2010	SCR	Enroled	.0	11060	1	11060	8678
70392032	Resource One	30000000	February 2010	01/01/2010	04/30/2010	SCR	Enroled	D	2800		2800	8453
63039827	Resource Seven	900000000	February 2010	01/01/2010	04/30/2010	SCR	Enroled	0	1200	1	1200	9786
58677204	Resource Afteen	200000000	February 2010	01/01/2010	04/30/2010	SCR.	Enroled	3000	1000	0.8781	3504	8390
59493050	Resource Twenty-sk	600000000	February 2010	11/01/2009	04/30/2010	EDRP	Enroled	с.	70	.0	0	
\$7463821	Resource Three	700000000	February 2010	11/01/2009	04/30/2010	EDRP	Enroled	110	0	ć	Ó	
77938572	Resource Sodeen	000000008	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	0	1000	0	0	
62248394	Resource Thirty-eight	400000000	February 2010	11/01/2009	04/30/2010	EDRP	Enroled	0	0	0	0	
92654238	Resource Forty	900000000	February 2010	11/01/2009	04/30/2010	EDEP	Enroled	100	0	¢	ċ	
58009247	Resource Eighteen	100000000	February 2010	11/01/2009	04/30/2010	EDRP	Enrolled	50	0	0	0	
74420956	ResourceFour	60000000	February 2010	11/01/2009	04/30/2010	EDRP	Enroled	30	Ó	.0	0	
Pag	and at 2 P PL	æ								Displaying 1 - 100 of 11	8 Capabilit	y Period Enrotment
02009 New Y	ik Independent System Op	erator. All rights reserved.								You are	logged in a	s Jiones (Logout
									You	i are logg	jed i	in as R

2. Obtaining Key Preliminary Information

In support of enrolling resources and performing certain other activities related to participating in the SCR, EDRP or DSASP programs, DRIS provides ready access to related event time frames and deadlines, as well as TO abbreviations, voltage level IDs for specific Transmission Loss Factors, generator type IDs, Capability Period SCR Load Zone Peak Hours, Monthly SCR Load Zone Peak Hours and DSASP Product/Aggregation types.

2.1. Demand Response Event Calendar, Time Frames, and Deadlines

DRIS makes available a calendar of events for the SCR and EDRP programs, which provides applicable DR event time frames and applicable deadlines that include requirements for events such as:

- viewing Capability Period SCR Load Zone Peak Hours,
- viewing Monthly SCR Load Zone Peak Hours,
- resource enrollment,
- reporting of Offer Floor information for Zone J resources which are new to the SCR program,
- aggregation ID creation,
- aggregation (including strike price) management,
- allocation of resource sales for partially sold aggregations,
- reporting of resource Incremental ACL verification data,
- reporting of resource Provisional ACL verification data,
- reporting of resource response to an event or test,
- updating of contacts to be used for event/test notification, and
- viewing Capability Period performance factors

Pre-requisite

- The MP has logged in to DRIS, as outlined under section 1.3, "Accessing the System".
 - **To monitor the DR event calendar time frames and deadlines**
 - 1. From the **MP** menu, choose **Event Calendar**.

The system displays the Event Calendar page (see Figure 29).

- 2. By choosing from the **Events From** and **To** filters near the top of the Event Calendar page, indicate the range of dates for which the system should display events.
- 3. Click the **Display** button, located to the right of the date filters.

The lower frame refreshes to display a list of all DR-related events falling within the timeframe designated at step 2 and organized by corresponding date in ascending order (see Figure 29).

4. Review the displayed data to determine the start and end dates for the DR events that need to be performed in that time period.

Tip: To collapse the list of events for a specific date, click the minus icon to the left of the date. The program also provides for automatically displaying only those events having a start or end date within the next 10 days. To do so, click the **Main** menu then choose **Dashboard**. The system displays events for the upcoming 10 days in the lowermost frame of the Dashboard page.

Note: The data displayed on the Event Calendar page can be downloaded in Excel format by clicking the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, opening or saving the file. Be advised, however, that the information on the Event Calendar may be updated at any time, including subsequent to download.

Figure 29: Event Calendar Page Showing Events by Date

vents	
Date -	Message Message
June 23 (2 Events)	
06/23/2010 00:00	Start of SCR Enrollments for August 2010
06/23/2010 00:00	Start of New Aggregations for August 2010
July 7 (1 Event)	
07/07/2010 23:59	End of New Aggregations for August 2010
July 9 (1 Event)	
07/09/2010 23:59	End of SCR Enrollments for August 2010
July 14 (1 Event)	
07/14/2010 00:01	Start of Aggregation Management for August 2010
July 15 (1 Event)	
07/15/2010 23:59	End of Aggregation Managment for August 2010

2.2. Transmission Owner Abbreviations and Voltage Level IDs

Among the data the MP must provide when enrolling a resource are the abbreviation for the TO with which the resource is associated and the voltage level ID corresponding to the voltage level description for that TO.

The system provides ready access to this information.

Pre-requisite

• The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To ascertain voltage level IDs

1. From the Tables menu, choose Transmission Loss Factors.

The system displays the Transmission Loss Factors page (see Figure 30).

- 2. Referencing the **Transmission Owner** column, ascertain which abbreviation corresponds to the TO with which the resource is associated:
 - CEC Consolidated Edison Company of New York
 - CHG Central Hudson Gas & Electric

- *LIP* Long Island Power Authority
- *NMP* Niagara Mohawk Power Corporation
- NYS New York State Electric & Gas
- ORU Orange and Rockland Utilities
- *RGE* Rochester Gas & Electric Corporation
- 3. In the corresponding column of the displayed table, locate the **Voltage Level Description** specific to the TO with which the resource is associated, then note the **Voltage Level ID** displayed in the first column of the same row.

Note: The data displayed in the grid on the Transmission Loss Factors page can be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, open or save the file.

Figure 30: Transmission Loss Factors Page

an extension from 1 of	the Factoria								
Vitage Level D	Transmission	Votage Level Description	Votage Level Kange	Transmittation Loss Fector	Begin Effective Date	End Ethecline Date	Lind Updated By	Let Update Date	
6	CEC	High Tension	>= 600 V, < 25 KV	0.01214	11/01/2009 00:00:00		Volenie Caputo	11/12/2009 15:12:29	
0	CLC	Low Tension	- 600 V	0.04013	11/01/2000 00:00:00		Velene Caputo	11/12/2009 15:12:28	
7	CEC	Tracismission	> 35 KV	0	11/01/2008 00:00:00		Velerie Cessifo	11/12/2009 15:12:29	
13)	CHO	Primary	* 69 KV	0.042	11/01/2009 00:00:00		Veletia Caputo	11/12/2009 15:12:29	
12	CHO	Secondary	~ 69 KV	0.042	11/01/2000 00:00:00		Valenti Caputo	11/12/2009 15:12:29	
14	CHO	Subtransmittion	₩ 69 KY	0.01	11/01/2009 00:00:00		Valerie Caputo	11/12/2009 15:12:29	
15	CHG.	Transmitteion	== 69 KV	0.01	11/01/2003 00:00:00		Valerie Caputo	11/12/2009 15:12:29	
2	LIP	Primery	3+2.4 KV, +23 KV	0.0494	11/01/2008 00:00:00		Velene Caputo	11/12/2009 16:12:29	
1	LIP	Secondary		0.0894	11/01/2008 00:00:00		Valerie Coputo	11/12/2009 15:12:29	
3	LID	Subtransmission	==23 kV, =69 kV	0.0181	11/01/2008 00:00:00		Valerie Caputo	11/12/2009 15:12:29	
4	LP	Transmission	69 KV	0	11/01/2008 00:00:80		Velerie Ceputo	11/12/2009 15:12:25	
17	10.7	Priesse y	2.2.15 M	0.0694	11/01/2008-00.00 00		Valerin Capula	11/12/2000 15 12:20	
16	riam	Secondary	0-2.2 KY	0 0918	11/01/2008 00 00/08		Valerie Capito	11/12/2009 15:12:29	
tñ	THE	Subtransmission	22-50 aV	0.053	11/01/2008 00:00:00		Vienne Capital	11/12/2008 15:12:29	
19.	NMS/	Trensmission	and BO KV	0.0287	11401/2008 00:00:00		Vienier Caputo	11/12/2009 15:12:29	
21	Nevs:	Primary	=-2400, <35 i/V (regulated)	0.048	11/01/2008.00.00.00		Vomen Capito	11/12/2009 15:12:29	
20	NYS	Secondary	× 800 V	0.0728	11/01/2008 00:00:00		Véletie Caputo	11/12/2009 15:12:29	
22	NYS.	Supponentiation	34.5 to 46 kV (Non-Regulated	9.02	11/01/2008 00:00:00		Villana Capita	11/12/2009 15:12:29	
23	NYS	transmission	115 KV and above (Non-Regulated	0	11/01/2000 00:00:00		Valene Caputo	11/12/2009 15:12:29	
9	XORU.	Primary	>=2400, <25 kV (grounded-Wyk)	0.07273	11/01/2009 00:00:00		Volene Coputo	11/12/2009 15:12:29	
0	UNO:	Secondary	→ 600 V	0.0030	11/01/2008 00:00:00		Velene Capito	11/12/2009 15:12:29	
10	URU.	Substation	>=2400, <35 kV (grounded/VVye)	0.03627	11/01/2008 00:08:00		Valerie Capito	11/12/2009 15:12:29	
11	ORU	Transmission	34 5 KV (delta), 69 kV or 138 KV	0.02711	11/01/2009 00:00:00		Valerie Caputo	11/12/2009 15:12:29	
25	RCE	Primary.	600 V	0.0466	11/01/2008 00:00:00		Valeria Caputo	11/12/2009 15:12:29	
24	ROE	Secondary	× 600 ∀	0.0648	11/01/2009 00:00:00		Valerie Caputo	11/12/2009 15:12:29	

2.3. Generator Type IDs

Among the data the MP must provide to enroll a resource is the generator type ID corresponding to the type of generator being used by the resource.

The system provides ready access to this information.

Pre-requisite

• The MP has logged in to DRIS, as outlined under section 1.3, "Accessing the System".

To ascertain generator type IDs

1. From the **Tables** menu, choose **Generator Types**.

The system displays the Generator Types page (see Figure 31).

2. In the corresponding column of the displayed table, locate the **Description** specific to the resource in question, then note the displayed **Generator Type ID** displayed in the first column of the same row.

Note: The data displayed in the grid on the Generator Types page can be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, open or save the file.

Figure 31: Generator Types Page



2.4. Capability Period SCR Load Zone Peak Hours

Among the data the MP must provide to enroll an SCR resource is the Average Coincident Load kW (ACL kW) of the resource for each SCR Load Zone Peak Hour from the Prior Equivalent Capability Period. Capability Period SCR Load Zone Peak Hours are made viewable to the MP 90 days prior to the start of the Capability Period as specified on the DRIS Event Calendar (refer to Section 2.1).

The system provides ready access to this information.

Note: Optionally, the MP may either export templates from DRIS, pre-populated with the Capability Period SCR Load Zone Peak Hours by zone, or obtain them from the NYISO website. The templates which use the Capability Period SCR Load Zone Peak Hours and are available to the MP are the SCR Enrollment File (see Section 8.5).

Note: New rules for determining the Capability Period SCR Load Zone Peak Hours are effective beginning with the Summer 2014 Capability Period. The rules prior to Summer 2014 enrollment for determining the SCR Load Zone Peak Hours will apply for reporting Provisional ACL verification data from enrollment in the Summer 2013 Capability Period and the Winter 2013-2014 Capability Period. To view and download the Capability Period SCR Load Zone Peak Hours used for the verification of a resource enrolled with a Provisional ACL in Summer 2013 and Winter 2013-2014 Capability Periods, refer to Section 2.5.

Pre-requisite

• The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To ascertain Capability Period SCR Load Zone Peak Hours

1. From the **Tables** menu, choose **Peak Load Hours**.

The system displays the Capability Period Peak Load Hours page (see Figure 32).

 From the corresponding search filter in the uppermost frame on the Peak Load Hours page, choose the Capability Period and optionally, the Zone for which the system should display SCR Load Zone Peak Hours.

Figure 32: Peak Load Hours Page Search Filters



3. Near the top of the Peak Load Hours page, click the **Display** button.

The system populates the Search Results grid below the search filters with the Capability Period SCR Load Zone Peak Hours meeting the criteria chosen at Step 2 (see Figure 33).

Capability Proof. Some mad Pask tail too some and too som	50		Demar Capab	nd Respon lity Period SC	n se Informatio R Load Zone Peak H
na fea k Load Hours toa. 2006 Re A C C C C C C C C C C C C C	nod: Summer 2	015	Zone:	* Display	 Mingandh = 1304
Description 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 <td< td=""><td>ad thoses</td><td></td><td></td><td></td><td></td></td<>	ad thoses				
A	Zone Rank -	NYCA Rank	Date Hour Begi-	Last Updated By	Last Update Data
0					
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5. 10.11.12.12.12.14.14.12.12.14.14.12.12.14.14.12.12.14.14.12.12.14.14.15.12.12.14.14.15.12.12.14.14.15.12.14.14.15.12.14.14.15.15.12.14.14.15.15.15.15.15.15.15.15.15.15.15.15.15.		46	09/11/2014 15	NYISO	\$2/03/2614 10/21-42
10 11 12 12 14 14 14 15 16 16 17 16 17 16 17 17 17 17 17 17 17 17 17 17 17 17 17	3	49	07/16/2014 12	NYESO	02/03/2014 10:21-42
11 12 14 14 15 14 15 14 15 15 15 15 15 15 15 15 15 15 15 15 15	10	90	09/31/2014 14	WY250	61/03/2014 10/21/43
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林 12 13 13 13 14 14 15 22 11 14 15 15 15 15 15 15 15 15 15 15 15 15 15	13	55	07/15/2014 31	AVISO	02/03/2014 10:21:43
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19 39 31 22 23 24 25	10		07/16/2014 11	ATTOC	10/03/2014 10/21:43
23 21 22 23 24 25	19		04/34/3014 17	AVTIO	03/03/2014 20/21/43
23 23 24 25	20	14	07/08/2014 14	10130	\$3/09/3544 \$5-21-\$1
22 23 24 25	23		06/24/2014 13	MYISO	02/03/2014 10:21-41
23 24 25	22	79	07/09/2014 16	AVISO	02/03/2014 10:01:43
24 25	23	71	06/24/2014 15	NYISO	02/03/2014 10:21:43
-25	24	72	07/09/2014 15	NYEG	02/03/2014 10:21:43
	-25	N	07/08/2014 13	WY25O	\$2,03/2014 30(23,43
28	28	75	07/09/2014 54	AV150	02/03/2014 30-21-43
25	25	76	07/05/2014 16	MYZSO	02/03/2014 10/21/47
28	28	72	07/10/2014 16	NYISC/	02/03/2014 10:21-43

Figure 33: Peak Load Hours Page with Zone J Expanded to View SCR Load Zone Peak Hours

Note: The data displayed in the grid on the Peak Load Hours page can be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, open or save the file.

2.5. SCR Capability Period Load Zone Verification Peak Hours - Summer 2014 & Winter 2015

Among the data the MP must provide to support the use of a Provisional ACL for a SCR is the Average Coincident Load kW (ACL kW) of the resource for each SCR Load Zone Peak Hour from the Prior Equivalent Capability Period. SCR Load Zone Peak Hours are made viewable to the MP 90 days prior to the start of the Capability Period as specified on the DRIS Event Calendar (refer to Section 2.1).

As a result of a change in the rules for determining SCR Load Zone Peak Hours, resources enrolled with a Provisional ACL during the Summer 2013 and Winter 2013-2014 Capability Periods will provide supporting ACL kW data corresponding to a set of SCR Load Zone Peak Hours determined based on the rules prior to Summer 2014 enrollment, which peak hours differ than those used for enrollment. The MP will need to provide the verification data in accordance with the calendar events preceding enrollment for Summer 2014 and Winter 2014-2015 (refer to Section 2.1).

Note: The MP may export the template from DRIS, pre-populated with the SCR Load Zone Verification Peak Hours by Load Zone. The template that uses the SCR Load Zone Verification Peak Hours is available to the MP in the SCR Provisional ACL Verification File (see Section 10.6.1).

The system provides ready access to this information.

Pre-requisite

• The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To ascertain SCR Capability Period Load Zone Verification Peak Hours

1. From the Tables menu, choose Verification Pk Hrs (S14 & W14-15).

The system displays the Verification Peak Load Hours page (see Figure 34).

2. From the corresponding search filter in the uppermost frame on the Peak Load Hours page, choose

the **Capability Period** and optionally, the **Zone** for which the system should display SCR

Capability Period Load Zone Verification Peak Hours.

Note: NOTE: For Provisional ACL enrollments made during the Summer 2013 Capability Period, select Summer 2014 from the drop down list; for enrollments during the Winter 2013-2014 Capability Period, select Winter 2014-2015 from the drop down list.

Figure 34: Verification Peak Load Hours Page Search Filters

		Tomorrow	De	emand F Verification	Respons Peak Load	se Information System Hours for Summer 2014 and Winter 2014-201
Main · MP · Resourc	e• SCR• Performan	nce Factors -	DR Event -	Mitigation - Tabl	les - Notification -	- DSASP- BTM-
Capability Period:	Summer 2014	~	Zone:	~	Display	
Verification Zonal P	eak Load Hours					

3. Near the top of the Verification Peak Load Hours page, click the **Display** button.

The system populates the Search Results grid below the search filters with the SCR Load Zone Peak Hours meeting the criteria chosen at Step 2 (see Figure 35).
Figure 35: Verification Peak Load Hours Page with Zone J Expanded to View SCR Capability Period Load Zone Verification Peak Hours

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Capacity renor Verification 2 and Tomachine and an and any and a search of any any any any any any any any any any any any any any any any any any any any any any any any any any any any any	tP - Resourt	e. SCR.	Performance Facto	rs + DR Event	 Milligation + Tal
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3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	3	27	07/17/2013 18	NY250	02/07/2014 14/50/19
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		33	07/15/2013 18	NYESO	02/07/2014 14/50/19
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		37	07/16/2013 16	NTISO NTISO	02/07/2014 14/50/19
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3			09/11/2013 16	NTEO NTEO	02/07/2014 14/5019
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		50	09/11/2013 14	NYESO	02/07/2014 14:50:20
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	2	54	09/11/2013 17	NY250	02/07/2014 14:50:20
3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	10	56	07/08/2013 16	NYESO	02/07/2014 14:50:20
3 3 3 3 3 3 3 3 3 3 3 3 3 3	11	58	07/08/2013 15	NYESO	02/07/2014 14:50:20
3 3 3 3 3 3 3 3 3	12	59	09/11/2013 13	NY250	02/07/2014 14:50:20
3 3 3 3 3 3 3 3 3	13	64	07/08/2013 17	NYESO	02/07/2014 14:50:20
3 3 3 3 3 3 3	14	65	D6/24/2013 14	NV250	02/07/2014 14:50:20
3 3 3 3	15	66	07/08/2013 14	NYESO	02/07/2014 14:50:20
3 3 3 3	16	69	06/24/2013 13	NY250	02/07/2014 14:50:20
3	17	70	07/09/2013 16	NYESO	02/07/2014 14:50:20
3	18	71	06/24/2013 15	NYESO	02/07/2014 14:50:20
	17	72	07/09/2013 15	NIESO	02/07/2014 14:50:20
3	20	76	07/06/2013 13	NYESO NYESO	02/07/2014 14/50/20
1	22	13	07/05/2013 14	87250	02/02/2014 14/50-14
1	23	77	07/10/2013 16	NYISO	02/07/2014 14/50-20
3	24	78	06/25/2013 15	NYESO	02/07/2014 14/50:20
3	25	79	07/05/2013 15	NYESO	02/07/2014 14:50:20
3	26	60	09/11/2013 18	NYESO	02/07/2014 14:50:20

Note: The data displayed in the grid on the Verification Peak Load Hours page can be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, open or save the file.

2.6. Monthly SCR Load Zone Peak Hours

Among the data the MP must provide to verify an enrollment of an SCR resource with an Incremental ACL is the Average Coincident Load kW (ACL kW) of the resource for each SCR Monthly Load Zone Peak Hour from the Prior Equivalent Capability Period, in the months which the resource was enrolled with an Incremental ACL. Monthly SCR Load Zone Peak Hours are made viewable to the MP 90 days prior to the start of the Capability Period as specified on the DRIS Event Calendar (refer to Section 2.1).

The system provides ready access to this information.

Note: The MP may export templates from DRIS, pre-populated with the Monthly SCR Load Zone Peak Hours by Load Zone. The template which uses the Monthly SCR Load Zone Peak Hours and is available to the MP is the SCR Incremental ACL Verification File (see Section 10.7).

Pre-requisite

• The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To ascertain Monthly SCR Load Zone Peak Hours

1. From the **Tables** menu, choose **Monthly Peak Load Hours**.

The system displays the Monthly Peak Load Hours page (see Figure 36).

2. From the corresponding search filter in the uppermost frame on the Monthly Peak Load Hours page, choose the **Capability Period** and optionally, the **Zone** and **Auction Month** for which the system should display Monthly SCR Load Zone Peak Hours.

Figure 36: Peak Load Hours Page Search Filters



3. Near the top of the Monthly Peak Load Hours page, click the **Display** button.

The system populates the Search Results grid below the search filters with the Monthly SCR Load Zone Peak Hours meeting the criteria chosen at Step 2 (see Figure 37).

Figure 37: Monthly Peak Load Hours Page with August 2014 - Zone B Expanded to View Monthly SCR Load Zone Peak Hours

	50	YORK MENDENT IN OPERATOR	Dem Mon	and Response thly SCR Load Zone F	nformation System
ain 🕆 M	P + Resource	a+ SCR+ Perfo	emance Fac	tors - DR Event - M	Agailon - Tables - Notification - DSASP - BTM -
apability i	Period: Summer	2015 · Au	action Month:	×	Zone: Chadre
they sca	Load Zone Peak	Hours			
Rank	Monthly Ra	k Date Hour Begi	Last Updated	By Last Update Date	
Mary 24					
and a					
· June 2	014				
July 20	014				
August	2014				
Seber	1000 2014				
Octobe	er 2014				
May 20	014				
- June 2	014				
- July 20	914				
August	1 2014				
	1	08/01/2014 11	NY150	01/22/2014 12:40:20	
	2	08/01/2014 12	NYISO	01/22/2014 12:40:20	
	-3	08/01/2014 13	NY150	01/22/2014 12:40:20	
	4	08/01/2014 14	NYISO	01/22/2014 12:40:20	
		08/02/2014 11	NYISO	01/22/2014 12:40:20	
		08/02/2014 13	NYISO	01/22/2014 12:40:20	
		05/02/2014 14	NTISO	01/22/2014 12:40:20	
		00/02/2014 15	NY LOU	01/22/2014 12/40/20	
	11	08/02/2014 16	NYISO NYISO	01/22/2014 12:40:20	
	14	06/02/2014 15	NYTEO	01/22/2014 12:40:20	
	15	05/03/2014 11	NYISO	01/22/2014 12:40:20	
	17	06/03/2014 13	NYISO	01/22/2014 12:40:20	
	18	08/03/2014 14	NYISO	01/22/2014 12:40:20	
	19	08/03/2014 15	NY150	01/22/2014 12:40:20	
	20	08/03/2014 16	NYISO	01/22/2014 12:40:20	
	21	08/03/2014 17	NYISO	01/22/2014 12:40:20	
	22	06/03/2014 18	NYISO	01/22/2014 12:40:20	
	23	08/03/2014 19	NYISO	01/22/2014 12:40:20	
	24	08/04/2014 11	NYISO	01/22/2014 12:40:20	
	25	08/04/2014 12	NYISO	01/22/2014 12:40:20	
	26	08/04/2014 13	NYTSO.	01/22/2014 12:40:20	
	28	08/04/2014 15	NYISO	01/22/2014 12:40:20	
	29	08/04/2014 16	NYISO	01/22/2014 12:40:20	
	30	08/04/2014 17	NYISO	01/22/2014 12:40:20	
	31	08/04/2014 18	NY150	01/22/2014 12:40:20	
	32	08/04/2014 19	NYISO	01/22/2014 12:40:20	
	33	08/05/2014 11	NY150	01/22/2014 12:40:20	

Note: The data displayed in the grid on the Monthly Peak Load Hours page can be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, open or save the file.

2.7. DSASP Product/Aggregation Types

Among the data the MP must provide to enroll a Demand-Side Resource (DSR) in the Ancillary Services Program is the Aggregation Type ID corresponding to the Response Type and Product Type of the DSR.

The system provides ready access to this information.

Pre-requisite

• The DSASP Provider has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

— To ascertain Aggregation Type IDs

1. From the **Tables** menu, choose **DSASP Product/Aggregation Types**.

The system displays the DSASP Product/Aggregation Types page (see Figure 38).

 In the corresponding column of the displayed table, locate the Demand-Side Resource Response Type specific to the DSR in question, then note the displayed Product Type and Aggregation Description for which the DSR is to participate in. Select the Aggregation Type ID displayed in the first column of the same row, which corresponds to the proper correlation of Description, Response Type and Product Type.

Note: The data displayed in the grid on the DSASP Product/Aggregation Types page can be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, open or save the file.

Figure 38: DSASP Product/Aggregation Types Page

-	504 wilding The En	NDEPEN NDEPEN YSTEM	RK VDENT OPERATO	потомТос	Dema _{lay} DSA	and Respo SP Product/A	nse Inforr ggregation 1	nation System _{Types}
Admin + MP	 Resource - 	SCR-	Performa	nce Factors	DR Event N	ditigation • Tables •	Notification - DSAS	SP• BTM•
Aggregation	Types							
		Der	mand Side Response	Resource Type		Product Type		
Aggregation Type ID	Description	в	с	G	Spinning	Spinning & Regulation	Non-Sync	
1	Individual	N	Y	N	Y	Y	Y	
2	Group	N	Y	N	Y	Y	N	
3	Group	Y	Y	Y	N	N	Y	
4	Individual	Ŷ	N	Y	N	N	Y	
C.	Individual	Y	N	N	N	Y	N	

3. Viewing Market Participant Organization Program Enrollment

Once an MP is registered in the NYISO MIS to participate in the SCR, EDRP or the DSASP programs (see Section 1.2.3, "Pre-Requisites for System Use"), organizational and DR program specific data may be viewed and managed in DRIS.

The MP can view organization enrollments by Capability Period in summary format.

3.1. Viewing Summary of Demand Response-Program Enrollments

Viewing a summary of MP organization program enrollments provides the MP with a snapshot of organization eligibility to participate in the SCR, the EDRP or the DSASP demand response program based on a selected Capability Period. Data displayed includes program-specific MIS registration status and DRIS enrollment status as well as the Customer Type of the organization.

- *Customer Type:* Specific type of DR-program customer assigned by the NYISO, i.e., Aggregator, Competitive Load Serving Entity, Transmission Owner/LSE, Direct Customer, or Curtailment Program End User.
- MIS Status: Registration status in the NYISO MIS pertaining to eligibility of the MP to participate in a specific DR program. Registered in the NYISO MIS equates to a *Qualified* MIS Status in DRIS. An MP organization must have a *Qualified* MIS Status in DRIS for the specific program prior to enrolling resources for the specific program.
- DRIS Status: Enrollment status in DRIS pertaining to participation in a specific DR program. An
 Enrolled DRIS status reflects participation in the specific program for the specific Capability Period
 (see Section 3.2, "Enrolling the Market Participant Organization").
- Enrollment Date: The date of MP organization enrollment in DRIS for the specific program for the specific Capability Period (see Section 3.2 "Enrolling the Market Participant Organization").

To view summary of DR program enrollments

1. From the **MP** menu, choose **Program Summary**.

The system displays the Summary of MP Programs page.

- 2. From the corresponding search filter in the uppermost frame on the Summary of MP Programs page (see
- 3. Figure 39), choose the **Capability Period** for which the system should display enrollments.

Figure 39: Summary of MP Programs Page Search Filters

-150	NTW YORK	Dem Sun	and Respo mary of MP Pr	o <mark>nse Info</mark> ograms	ormation	System						
Main - MP - Res	ource - SCR - Perfo	rmance Factors - D	DR Event - Mitiga	tion - Tables	Notification -	DSASP- B	тм≁					
MP:	1	Capability Period:	Winter 2021-2022	❤ Displ	ay							
Summary of Progra	m Enrollments											
1.0				SCR			EDRP			DSASP		
MP	MP ID	Customer Type	MIS Status	DRIS Stat	Enrollment D	MIS Status	DRIS Stat	Enrollment D	MIS Status	DRIS Stat	Enrollment D	

1. Near the top of the Summary of MP Programs page, click the **Display** button.

The system populates the Search Results grid below the search filters with an entry for the programs meeting the criteria chosen at step 2 (see Figure 40.)

Figure 40: Summary of MP Programs Page Populated with Data

-150#	W YORA DEPENDENT TEM OPERAT	De preserve_Today	emand Res Summary of MP	ponse In Programs	formation S	ystem					
Main - MP - Resource	- SCR - P	erformance Factors	- DR Event - Miti	gation - Tables	s - Notification - DS	ASP ▼ BTM ▼					
MP:		Capability Peri	od: Summer 2015	× [D	isplay						
Summary of Program Enro	liments										
in the second se	I.com		-	SCR			EDRP			DSASP	
MP	MP ID	Customer Type	MIS Status	DRIS Status	Enrollment Date	MIS Status	DRIS Stat	Enrollment Date	MIS Status	DRIS Status	Enrollment Date
Market Participant	1234	Aggregator	Qualified	Enrolled	02/0402014	Qualified	Enrolled	02/04/2014	Qualified	Enrolled	02/042014

- 4. Optionally, view further details on MIS Status and/or DRIS Status if indicated by color coding of the row displayed in the Search Results grid, clicking the **Legend** button in the lower-right corner of the page to do so (see
- 5. Figure 41).

Figure 41: Program Summary Search Results with Color Coding Indicating Attention to a Program Status

MP:	*	Capability Period:	Summer 2015	Y Displ	ay.						
Summary of Program Enroll	ments										
	Lores			SCR			EDRP			DSASP	
MP	MP ID	Customer Type	MIS Status	DRIS Stat	Enrollment D	MIS Status	DRIS Stat	Enrollment D	MIS Status	DRIS Stat	Enrollment D.
Market Participant 1	1234	Aggregator	Qualified	Enrolled	02/04/2014	Qualified	Enrolled	02/04/2014	Qualified	Enrolled	02/04/2014
										Tatal count: 81	Legend + Ma) Ex

3.2. Enrolling the Market Participant Organization

An MP organization will be automatically enrolled by DRIS in SCR and EDRP for each demand response program and Capability Period in which the organization enrolls resources. A program-specific Enrollment Date and *Enrolled* enrollment status will be assigned in DRIS (see Figure 40) to the MP organization based on the date within the Capability Period when the MP first imports a resource-enrollment file for the specific program. An MP organization will be automatically enrolled by DRIS in DSASP the first time the organization enrolls DSRs. Enrollment of DSRs is not date or Capability Period specific.

Before the MP organization can import a resource enrollment file into DRIS to become enrolled in a DR program for a Capability Period, the following criteria must be met:

- The MP organization must be registered in the NYISO MIS as eligible to participate in the specific DR program and reflect a *Qualified* MIS Status in DRIS
- The MP organization must have set up and assigned one or more Admin Contacts, for all
 programs and one or more Event-Responder Contacts for SCR and EDRP, in DRIS(see Section 6)

 Managing Market Participant Organization Contacts for SCR and EDRP, an MP organization may enroll resources in the Capability Period in accordance with the applicable time frame for resource open enrollment provided on the DRIS Event Calendar (see Section 2.1) for the specific DR program.

For DSASP, a DSASP Provider may enroll resource(s) at any point in the calendar year. DSASP enrollments and participation are not governed by calendar events or historical, current or future Capability Periods.

For SCR and EDRP, an MP organization may have a *Qualified* MIS Status in DRIS but not be *Enrolled* in DRIS if no resource enrollment file was imported for a specific program in the Capability Period. This does not affect enrollments in future Capability Periods, provided that the MP organization retains a *Qualified* MIS Status in DRIS.

For DSASP, a DSASP Provider will continue to be *Enrolled* in DRIS as long as the organization maintains one or more *Validated* DSRs, provided that the DSASP Provider retains a *Qualified* MIS Status in DRIS.

4. Viewing Market Participant Organization SCR Program Performance Factor

The Demand Response Information System provides the means for MP organizations to view their performance factor for a specific Capability Period including those resource performance factors contributing to the MP performance factor.

The MP performance factor may be viewed beginning with the time period specified as the calendar event, *NYISO makes (Season yyyy) performance factors available in DRIS*, on the DRIS Event Calendar (refer to Section 2.1).

The MP can view performance factor by Capability Period and Month.

4.1. Viewing Market Participant Performance Factor

Viewing the Market Participant performance factor provides the MP with a list of resources that were enrolled in the MP portfolio in the previous like Capability Period. In instances when a resource was enrolled by more than one MP in a Capability Period, the resource and the resource's performance factor are assigned to the MP that had the resource last enrolled in the Capability Period. The performance factors for these resources are used to calculate the MP performance factor for the current Capability Period. Resource performance factor data displayed for each resource includes the following:

- Resource ID
- Resource name
- TO account number of resource
- Zone of resource
- Max declared of resource Maximum Declared Value for the resource in the previous like Capability Period
- Raw performance factor of resource resource Performance Factor before it is adjusted (viewable when the Capability Period selected is greater than or equal to Summer 2012)
- Performance factor of resource resource Performance Factor after it has been adjusted. When the Raw Performance Factor is greater than 1 it is adjusted to 1. When the Raw Performance Factor is less than or equal to 1, it is not adjusted.
- Proportional declared of resource Calculated as (Resource Performance Factor times Max Declared)
- MP performance factor

Beginning with the Summer 2012 Capability Period, MP performance factors will be calculated using the resource raw performance factor. The resource raw performance factor allows for over performance of the resource. This occurs when a resource raw performance factor is greater than one (1). The resource raw performance factor will be viewable on the MP performance factor screen beginning with the Summer 2012 Capability Period.

Note: The resource performance factors visible through the MP Performance Factor page are for resources that were enrolled with that specific MP in the previous like Capability Period. To view the performance factors of resources enrolled with the specific MP in the current Capability Period see Section 8.1.3 Viewing SCR and EDRP Resource Enrollments by Monthly Details.

- **To view MP organization performance factor**
- 1. From the **Performance Factor** menu, choose **MP**.

The system displays the MP Performance Factor page.

 From the corresponding search filter(s) in the uppermost frame on the MP Performance Factor page (see Figure 42), choose the **Capability Period** and **Month** for which the system should display the MP performance factor.

Figure 42: MP Performance Factor Page Search Filters

SUBJECT TO COMPARENT OF TOTOLOGY	Demand Response Information System MP Performance Factor	
Main - MP - Resource - SCR - Performance	Factors - DR Event - Mitigation - Tables - Notification - DSASP - BTM -	
Capability Period: Winter 2021-2022	th: November 2021 V MP: Market Participant V	Display -

1. Near the top of the MP Performance Factor page, click the **Display** button.

The system populates the Resource Contribution to MP Performance Factor grid below the search filters with an entry for the MP Performance Factor meeting the criteria chosen at step 2 (see Figure 43).

Figure 43: MP Performance Factor Page Populated with MP Performance Factor

Main' MP' Resource' SCR' Performance Patters - DR Evert Addigation - Tables - Notification - DRAIP - BTA- Collar Product Information Patters - The Addigation - Tables - Notification - DRAIP - BTA- Tables - Notification - DRAIP - DRAIP - DRAIP - DRAIP - DRAIP - BTA- Screen - DRAIP - BTA- 2 Bhar Product - DRAIP - DRAIP - DRAIP - DRAIP - DRAIP - DRAIP - BTA- 2 Bhar Product - DRAIP - DRAIP - DRAIP - DRAIP - DRAIP - DRAIP - BTA- 2 Bhar Product - DRAIP - DRA	15			Deman MP Per	d Re	sponse l ce Factor	nformation Sys	tem				
Copular Internet 201 Mark Bag 201 Mark Parlageant Exercision Secona land Copular Internet 201 <	Main - MP	- Resource -	SCR	Performa	nce Fa	ictore - Di	R Event+ Mitigate	no - Tables -	Notification - DSASE	P- BTM-		
America Calificative Net Februaries Tealine Teal Interpresent Teal Interpresent America Calificative Net Teal Internet Tealine Associa Calificative Net Teal Internet Tealine Associa Calificative Net Teal Internet Teal Interne	Capability Period	Summer 2014	- Ha	th: May 2014		× HP	Market Participant	I' Dupler				
Name Name Description Name Name Description Description 2 description 1 1 10 0.004 0.004 0.004 2 description 1 1 10 0.004 0.004 0.004 2 description 1 0.004 0.004 0.004 0.004 10117231 Reserve 3 3 0.00 1.256 1 1.024 10117231 Reserve 3 3 0.00 1.256 1 1.024 10117231 Reserve 3 3.00 1.057 1 21047	Remover Contribu	tion to HP Furlarmania	Tatter									
a Mana Marangang 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 - 2007 -	Resource ID **	Resource Name		TO Account Num	(Jare)	Has Declared	Ran Performance Factor	Performance Factor	Proportional Declared			
Biscure 1 J No. D.964 407 1011203 Rescure 2 J 400 12308 1 762.13 14515871 Rescure 3 J 700 1378 1 965.23 1600 1.007 1 219.027 1 219.027	a Market Particip	t mac										
19112131 Resume 2 3 400 1.266 1 7624 14151971 Resume 3 3 100 1.2667 1 2190a7	123456789	Nesource 1	-		.1	500	0.9654	0.9654	482.7			
14555877 Recource 3 3 700 1.3789 1 96523 1669 1.0567 1 2150.07	101112131	Resource 2			3.	600	1.2369	1	742.14			
	141516171	Resource 3			4	700	1.3789	1	965.23			

2. View further details for the resource components of the MP performance factor by expanding the row next to the MP name within the grid.

The system populates the details of the resource performance factors contributing to MP performance factor (see Figure 44).

Figure 44: MP Performance Factor Page Populated with Resource Performance Factors which contribute to the MP Performance Factor

Main+ MP+ Resou Ma	ain - MP - Reso	ource - SC	CR - Performa	nce Fac	tors - DR Ever	- Millionting Tables		
and the second s	100000000000000000000000000000000000000					m ▼ milugau0n ▼ Tables	 Notification - DSAS 	SP TIM
Capability Period: Su	ummer 2014	* Month	: May 2014		✓ MP:	Market Participant	Y Display 🔹	
Resource Contribution	to MP Performance	Factor			_			
Resource ID *	Resource Name		TO Account Num	Zone	Max Declared	Raw Performance Factor	Performance Factor	Proportional Declared
Market Participant	1							
123456789	Resource 1			J	500	0.9654	0.9654	482.7
101112131	Resource 2			J	600	1.2369	1	742.14
141516171	Resource 3			3	700	1.3789	1	965.23
					1800	1.0567	1	2190.07

5. Viewing RIP Portfolio Performance Shortfall

The Demand Response Information System provides the means for RIP organizations to view shortfalls for a specific Capability Period, including the details used to determine the shortfall.

The RIP can view shortfalls by Capability Period, Month and Zone.

5.1. Viewing Summary of RIP Portfolio Performance Shortfall

Viewing the RIP Portfolio Performance shortfall provides the RIP with a snapshot of specific Capability Periods in which a shortfall exists.

When viewing RIP Portfolio Performance shortfall, the RIP may optionally specify a Capability Period, Month, and Zone. The RIP may also select the Shortfall filter to view only those records which have a shortfall. RIP Portfolio Performance shortfall data displayed at the summary level includes the following:

- RIP name
- Capability Period
- Shortfall indicator

To view summary of RIP Portfolio Performance shortfall

1. From the **Performance Factor** menu, choose **RIP Portfolio Performance Shortfall**.

The system displays the RIP Portfolio Performance Shortfall page.

2. From the corresponding search filter(s) in the uppermost frame on the RIP Portfolio Performance Shortfall page (see Figure 45), optionally choose the **Capability Period** for which the system should display the RIP Portfolio Performance Shortfall, or leave all search criteria unselected to view RIP Portfolio Performance Shortfall for multiple Capability Periods.

Figure 45: RIP Portfolio Performance Shortfall Page Search Filters

e de		roe Tomorro	Den RIF	Portfolio Perf	orman	e Infor	mati ^{fall}	on Syst	em	
Main -	MP + Resource + SCR + Performanc	e Factor	s • DR Event • Mitig	ation - Tables - Notif	lication + E	SASP+ BT	м·			
MP:	Market Participant 1	~	Capability Period:	Summer 2015	*	Zone:	¥	Shortfall:	~	
			Month:		*					Display
Summ	ary of RIP Portfolio Performance	Shortf	all							

Note: RIP Portfolio Performance Shortfall will be viewable in DRIS beginning with the calculation of the RIP Portfolio Performance Shortfall for the Summer 2011 Capability Period. The calculation of the RIP Portfolio Performance Shortfall will occur following the close of the Capability Period for which the shortfall has been determined by the NYISO.

3. Optionally, further limit the scope of Capability Periods in which a RIP Portfolio Performance

Shortfall exists to be displayed by the system to only a specific **Month** or **Zone** by choosing the applicable option(s) from the corresponding search filter(s) near the top of the page.

4. Near the top of the RIP Portfolio Performance Shortfall page, click the **Display** button.

The system populates the Summary of RIP Portfolio Performance Shortfall grid below the search filters with an entry for each month in which a RIP may have incurred a RIP Portfolio Performance Shortfall for the Capability Period(s) meeting the criteria chosen at Steps 2 and 3 (see

Figure 46).

Figure 46: RIP Portfolio Performance Shortfall Page Illustrating Summary Results Based on Selected Search Criteria

MP: Marke	Participant 1	~ (apability Period:	Summer 2015	*	Zone:	*	Shortfall:	*		
			Month:		*					Display	
Summary of RI	P Portfolio Performano	ce Shortfall									
MP Name	Capability Period	Shortfall									
Market Pa	Summer 2015	V									

5. Optionally, view details of the RIP Portfolio Performance Shortfall for a specific Capability Period by clicking the corresponding row in the Summary of RIP Portfolio Performance Shortfall grid.

The system expands a frame at the bottom of the page, where additional RIP Portfolio

Performance Shortfall details are displayed (see Figure 47).

Figure 47: Highlighted Row for which Shortfall Details will be Displayed

	50 ME	YORK PENDENT EM OPERATOR MARKES OF Tomorow, Today	Demano RIP Portf	I Respo	nse Info mance Shor	rmation Systen	n					
Main - MF	P - Resourc	e - SCR - Perform	ance Factors -	DR Event	 Mitigation 	 Tables - Notification 	on - DSASP - BTM -					
мр: М	Aarket Partic	ipant 🛛 👻 Cəpəbi	ity Period: Summ Month:	er 2015	✓ Zone:	Y Shortfall: Y	Y. Dis	play Export Re	source Contribution to RIPPP	Shortfall		
Summary of I	RIP Portfolio Pe	rformance Shortfall										
MP Name	Capabilit	y Period Shortfall										
PIP Partfalia	Performance SI	sortfall Details										
KTh holdono	Performance Si	iortrail Details		Incas	Internet	Greatect LICAR Equivalent of	Additional LICAR Equivalent of		Final Greatest	Louis	The second	
Zone * Aucti	ion Month Great	test UCAP Equivalent pacity Reduction MW Date/I	HB UCAP MW Sold	Excluded MW Sales	Final UCAP MW Sales	Capacity Reduction MW in Event or Test	Capacity Reduction MW from Tests	Excluded MW Reduction	UCAP Equivalent of Capacity Reduction MW	Monthly Shortfall MW	\$/kW/Month	Deficiency \$
⊞ A												
⊞ B												
⊞c												
1 D												
∃E												
⊕F												
⊞G												
⊞н												
∃ I												
H]												

5.2. Viewing RIP Portfolio Performance Shortfall Details

In viewing RIP Portfolio Performance shortfall details, the RIP can see comprehensive data used in the calculation of a specific shortfall, broken down by zone and month for the selected Capability Period.

This data is visible in a frame at the bottom of the RIP Portfolio Performance Shortfall page (see Figure 51).

The system displays the following information for the selected Capability Period, month, and zone:

- Zone
- Auction Month
- *Greatest UCAP Equivalent of Capacity Reduction MW Date/HB:* The date and hour beginning of the UCAP equivalent of the MW value of the zonal greatest quantity MW reduction was achieved within the zone.
- *UCAP MW Sold:* The sum of the UCAP MW sold for all SCR resources in the RIP portfolio for the zone.

- *Excluded MW Sales:* The sum of the UCAP MW sold for all SCR resources in the RIP portfolio for the zone to be excluded from the RIP Portfolio Performance Shortfall calculation due to incurring an individual SCR deficiency in the Capability Period.
- *Final UCAP MW Sales:* The net value of UCAP MW Sold, minus the Excluded MW Sales.
- Greatest UCAP Equivalent of Capacity Reduction MW in Event or Test: The UCAP equivalent of the MW value of the zonal greatest quantity MW reduction achieved within the zone.
- Additional UCAP Equivalent of Capacity Reduction MW from Tests: The UCAP equivalent of the MW value of the additional zonal greatest quantity MW reduction achieved within the zone, in instances when an additional hour is necessary to determine the Total Greatest MW Reduction.
- Excluded MW Capacity Reduction: The sum of the UCAP MW capacity reduction from an event or test, for all SCR resources in the MP portfolio for the zone, to be excluded from the RIP Portfolio Performance Shortfall calculation due to incurring an individual SCR deficiency in the Capability Period.
- Final Greatest UCAP Equivalent of Capacity Reduction MW: Sum of UCAP Equivalent of Greatest MW Reduction in Event or Test and the Additional UCAP Equivalent of Capacity Reduction MW from tests, minus the Excluded MW Capacity Reduction.
- Monthly Shortfall: Final UCAP MW Sales less the Final Greatest UCAP Equivalent of Capacity Reduction MW.

To view details of RIP Portfolio Performance Shortfall

1. From the **Performance Factor** menu, choose RIP Portfolio Performance Shortfall.

The system displays the RIP Portfolio Performance Shortfall page.

From the corresponding search filter(s) in the uppermost frame on the RIP Portfolio Performance Shortfall page (see Figure 48), optionally choose the **Capability Period** for which the system should display the RIP Portfolio Performance Shortfall. Or, leave all search criteria unselected to view RIP Portfolio Performance Shortfall for multiple Capability Periods.

Figure 48: RIP Portfolio Performance Shortfall Page Search Filters

Z		Tomorro	Den RIF	Portfolio Perf	ormano	e Infor	mati ^{fall}	on Syst	em	
Main +	MP • Resource • SCR • Performanc	e Factor	s ▼ DR Event ▼ Mitiga	ation - Tables - Notifi	cation + D	SASP+ BT	M+			
MP:	Market Participant 1	*	Capability Period:	Summer 2015	~	Zone:	~	Shortfall:	۷	
			Month:		*					Display

Note: RIP Portfolio Performance Shortfall will be viewable in DRIS beginning with the calculation of the RIP Portfolio Performance Shortfall for the Summer 2011 Capability Period. The calculation of RIP Portfolio Performance Shortfall will occur following the close of the Capability Period for which the shortfall is being determined by the NYISO.

- 2. Optionally, further limit the scope of Capability Periods in which an RIP Portfolio Performance Shortfall exists to be displayed by the system to only a specific **Month** or **Zone** by choosing the applicable option(s) from the corresponding search filter(s) near the top of the page.
- 3. Near the top of the RIP Portfolio Performance Shortfall page, click the **Display** button.

The system populated the Summary of RIP Portfolio Performance Shortfall grid below the search filters with an entry for each RIP Portfolio Performance Shortfall for the Capability Period(s) meeting the criteria chosen at Step 2 (see Figure 49).

Figure 49: RIP Portfolio Performance Shortfall Page Illustrating Summary Results Based on Selected Search Criteria

MP: Market	Participant 1	~	Capability Period:	Summer 2015	~	Zone:	~	Shortfall:	~		
			Month:		~					Display	
ummary of RIF	Portfolio Performano	ce Shortfa									
IP Name	Capability Period	Shortfal	C I I								
Market Pa	Summer 2015	1									

4. View details of the RIP Portfolio Performance shortfall for a specific Capability Period by clicking the corresponding row in the Summary of RIP Portfolio Performance Shortfall grid.

The system expands a frame at the bottom of the page, where additional RIP Portfolio Performance Shortfall details are displayed (see Figure 50).

4	150	NEW YORK INDEPENDENT SYSTEM OPERATON Emerge Manuel Of Tomorow, Today	Demand RIP Portf	Respon	nse Informance Short	rmation Systen	n					
Main -	MP - Re	source - SCR - Performa	nce Factors -	DR Event-	Mitigation	Tables Notificatio	n• DSASP• BTM•					
MP:	Market	Participant 🛛 👻 Capabilit	y Period: Summe Month:	er 2015	✓ Zone:✓	Y Shortfall: Y	Dis	play Export Res	ource Contribution to RIPPP	Shortfall		
Summan	y of RIP Port	olio Performance Shortfall										
MP Name		Capability Period Shortfall										
RIP Port	tfolio Perform	ance Shortfall Details										
Zone +	Auction Month	Greatest UCAP Equivalent of Capacity Reduction MW Date/HE	UCAP MW Sold	Excluded MW Sales	Final UCAP MW Sales	Greatest UCAP Equivalent of Capacity Reduction MW in Event or Test	Additional UCAP Equivalent of Capacity Reduction MW from Tests	Excluded MW Reduction	Final Greatest UCAP Equivalent of Capacity Reduction MW	Monthly Shortfall MW	Monthly Spot \$/kW/Month	Monthly Deficiency \$
A E												
⊞В												
⊎c												
∎D												
∋E												
⊞F												
⊞G												
⊞н												
U I												
H 1												
⊞к												

Figure 50: Highlighted Row for which Shortfall Details will be Displayed

5. To view RIP Portfolio Performance shortfall details for a specific zone, click the "+" to the left of the zone letter to expand the view (see Figure 51).

Note: When electing to view RIP Portfolio Performance Shortfall Details, all zones will be made viewable to the MP, regardless of the zones having a shortfall.

Figure 51: RIP Portfolio Performance Shortfall Details Displaying Details for Zone J

5	Stationy the See	STEM OPERATOR TEM OPERATOR Typ Markets Of Tomor	rowToday	RIP Portfoli	o Perform	ance Sho	rtfall				
Main -	MP - Resour	ce▼ SCR▼ Pe	rformance Facto	ors - DR Eve	nt∙ Mitigati	on - Tables	 Notification - DSASI 	P▼ BTM▼			
MP:	Market Pa	rticipant	Capability Perio	d: Summer 201	5 👻	Zone: J	Y Shortfall: Y				
			Mont	h:	~			Display			
Summa	ry of RIP Portfolio	Performance Sho	tfall								
MP Nam	e Capabili	y Period Sho	rtfall								
Market P	articipant Summ	er 2015									
RIP Po	tfolio Performanc	e Shortfall Details Greatest UCAP Equ	ivalent	UCAP MW Sold	Excluded	Final UCAP	Greatest UCAP Equivalent of Capacity Reduction MW in	Additional UCAP Equivalent of Capacity Reduction MW	Excluded MW Reducti	Total co Final Greatest UCAP Equivalent of	Monthly
		or capacity recauco	STITI Date, 10		THE DUILD	the sales	Event or Test	from Tests		Capacity Reduction MW	Shortrainth
31											
1	October 2015	08/27/2015 13		37.7	0	37.7	56.845	0	0	56.8	0
1	September 2015	08/27/2015 13		37.7	0	37.7	56.845	0	0	56.8	0
1	August 2015	08/27/2015 13		37.7	0	37.7	56.845	0	0	56.8	0
1	July 2015	08/27/2015 13		37.7	0	37.7	56.845	0	0	56.8	0
J	June 2015	08/27/2015 13		37.6	0	37.6	56.845	0	0	56.8	0
1.2	May 2015	08/27/2015 13		37.4	0	37.4	56.845	0	0	56.8	0
1											

6. Managing Market Participant Organization Contacts

The Demand Response Information System provides the means for MP organizations to manage their organizational contacts through adding new contacts, assigning contacts to specific DR programs and specific contact types, maintaining contact information, and deleting contacts no longer in use.

The MP organization is required to maintain one or more Admin contact types for all programs in which the organization participates and one or more Event-Responder contact types for each Reliability (SCR and EDRP) DR program into which the MP wants to enroll resources.

Note: An MP organization participating in the SCR program must establish an Event-Responder Contact for both the SCR and EDRP programs to ensure receipt of Event Notifications in auction months when an SCR resource has zero auction sales and is converted to an EDRP resource.

6.1. Viewing Market Participant Contacts

Market Participant organization contacts can be viewed by program and/or contact type in summary or detail format.

6.1.1. Viewing Summary of Market Participant Contacts

Viewing a summary of its organizational contacts provides each MP with a snapshot of its contacts who are associated with a specific DR program and/or a specific contact type. Summary contact data for each contact displayed includes the following:

- First and last name
- Program association
- Contact type
- Primary and secondary phone
- Primary and secondary email

Note: Only SCR and EDRP contacts within DRIS with a contact type association of Event-Responder will receive Event Notifications of Demand Response Events and Tests called by the NYISO.

To view summary of MP contacts

1. From the **MP** menu, choose **Contact Summary**.

The system displays the Contact Summary page.

 From the corresponding search filter(s) in the uppermost frame on the Contact Summary page (see Figure 52), choose the **Program** and/or **Contact Type** for which the system should display contacts.

Figure 52: Contact Summary Page Search Filters

	ENT CRATCH Willowing	wToday	Demano Contact	Res Summai	ponse i v	nform	ation Sy	ste	m			
Main - MP - Resource - SCR - 8	Performance	Factors -	DR Event • M	Rigilion •	Tables No	inceton•	DSASP+ BTM					
MP: NYISO Market Participant	*	Program:	All	* C	iontact Type:	All)		Display			
Contact Summary												
MP	Program	Contact Typ	xe F	first Name		Last Name	Prin	mary P	hone	Secondary Phone	Primary Email	Secondary Email

1. Near the top of the Contact Summary page, click the **Display** button.

The system populates the Contact Summary grid below the search filters with an entry for the contacts meeting the criteria chosen at step 2 (see Figure 53.)

Figure 53: Contact Summary Page Populated with Data

	RK NDENT OPERATOR NO. OF TORON	Dema cont	and Respons act Summary	e Informati	on System			
Main + MP + Resource + SCR MP: NYISO Market Participa	t Performa	Program: SCR	vent+ Mitgation+ Tab	vpe: All	DSASP - BTM -			
Contact Summary	1						1	
NF NYISO Market Participant NYISO Market Participant	Program SCR SCR	Contact Type Admin Event-Responder	First Hame Marsha Bob	Last Name Smith Green	518-234-6789 518-543-9876	Secondary Phone	bobgmen@mp.com	Secondary Email
							Contact Maint	enance

Optionally, view further details for or modify a specific contact by clicking the corresponding row in the Contact Summary grid then clicking on the **Contact Maintenance** button in the lower right corner (see Figure 54).

Figure 54: Contact Summary Search Results with Options to View and Modify Contact Details via Contact Maintenance

	ENT ENT ENATON S OF Towners	wToday	Dema Cont	and Re	sponse i ^{Iary}	nformati	ion System			
Main * MP * Resource * SCR *	Performan	ce Factors *	DR Ever	nt * Mitigatio	on • Tables •					
MP: NYISO Market Participant	۷	Program:	SCR	¥	Contact Type:	All	Y Display			
Contact Summary										
MP	Program	Contact Typ	e	First Nam	e	Last Name	Primary Phone	Secondary Phone	Primary Email	Secondary Email
NYISO Market Participant	SCR	Admin		Marsha	-	Smith	518-234-6789			
NYISO Market Participant	SCR	Event-Resp	onder	Bob		Green	518-543-9876		bobgreen@mp.com	
									Contact Main	tenance

To view details of MP contacts

1. From the **MP** menu, choose **Contact Maintenance**.

The system displays the Contact Maintenance page.

The Contact Maintenance page can also be accessed directly from the Contact Summary page by clicking the corresponding row in the Contact Summary grid then clicking the **Contact Maintenance** button in the lower-right corner (see Figure 54).

2. From the corresponding search filter in the uppermost frame on the Contact Maintenance page (see Figure 55), choose the **Contact** for which the system should display details.

Figure 55: Contact Maintenance Page Search Filters

2		NK DENT DPERATON DE OF TomorrowTo	Del c	mand Respo	nse Inform	ation System
Main • MF	• Resource • SCR • Performan	nce Factors . DR Event	Miligation T	Fables + Notification + DSASP +	• BTM •	
MP:	NYISO Market Participant	¥	Contact:	Smith, Marsha	✓ Display	Add Contact

1. Near the top of the Contact Maintenance page, click the **Display** button.

The system populates the Contact Details pane below the search filters with data for the contact meeting the criteria chosen at step 2 (see Figure 56).

Assignments for First Name: Massla Primary Phone: 518-234-6789 Ext: #SOR Last Name: Smith Secondary Phone: Ext: #SOR Ext: #SOR MP: NYISO Masket Particip Primary Email Ext: #SOR Ext: #SOR Street Address1: 13 Broadway Secondary Email Ext: #SOPP Street Address2: Fax: #SECOPP #SEBergency	MP: NYISO Maske	t Participant 👻	Contact: Smit	h, Marsha 👻	Display.	Add Contact	
First Name: Maxba Primary Phone: \$18-2346789 Ext: \$3000000000000000000000000000000000000	ontact Details				_		Assignments for
Last Name: Secondary Phone: Ext: Energency MP: NYISO Market Particip Primary Email: Ext: Ext: Street Address1: 15 Broedway Secondary Email: Ext: Ext: Street Address2: Fax: Ext: Ext:	First Name:	Marsha	Primary Phone:	518-234-6789	Ext:		- Sor
MP: NYISO Market Particip Primary Email: SC Event-Responder Street Address1: 15 Broadway Secondary Email: Sc Event-Responder Street Address2: Fax: St Energency	Last Name:	Smith	Secondary Phone:		Ext:		El Energency
Street Address1: 13 Broedway Secondary Email: Image: Comparison of the comparison	MP:	NVISO Market Particip	Primary Email:				Event-Responder
Street Address2: Fax:	Street Address1:	15 Broadway	Secondary Email:				Cother
	Street Address2:		Fax:				Energency
Oty: Tay Pager: El@Admin	City:	Тюу	Pager:				
State: NY Last Update Date: 06/13/0010 07/0041 20/2004	State	NY	Last Update Date:	08/13/0010 07:00:41			Event-Responder
Zp Code: 12180 Last Update By: Valine Double	Zip Code:	12180	Last Update By:	Valine Capato			# DSASP

Figure 56: Contact Details Displayed on the Contact Maintenance Page

 Optionally, elect to update contact information, update contact program assignments, or update contact type assignments from this detailed view (see Section 6.2, "Updating Contacts").

6.2. Updating Contacts

The MP can update contact information, contact program assignments, and/or contact type assignments at any time. In processing such an update, DRIS overwrites any previous contact information, program assignments, and/or type assignments previously used for the specific contact.

Note: Only SCR and EDRP contacts within DRIS with a contact type association of Event-Responder will receive Event Notifications of Demand Response Events and Tests called by the NYISO.

- **To update contact information**
- 1. From the **MP** menu, choose **Contact Maintenance**.

The system displays the Contact Maintenance page.

The Contact Maintenance page can also be accessed directly from the Contact Summary page by clicking the **Contact Maintenance** button in the lower-right corner (see Figure 54).

- 2. From the corresponding search filter in the uppermost frame on the Contact Maintenance page (see Figure 55), choose the **Contact** for which the system should display details.
- 3. Near the top of the Contact Maintenance page, click the **Display** button.

The system populates the Contact Details pane below the search filters with data for the contact meeting the criteria chosen at step 2 (see Figure 57).

MP: NYESO Market	Participant 👻	Contact: Smith	i, Marsha 👻	Desplay	Add Contact	
intact Details					-	Assignments for
First Name: Last Name: MP: Street Address1: Street Address2: City: State: Zip Code:	Musha Smith NYISO Market Particip 15 Broadway Troy NY 12180	Primary Phone: Secondary Phone: Primary Email: Secondary Email: Fax: Pager: Last Update Date: Last Update By:	518-234-6789 518-234-6789 518-2345-67-60-46 518-2345-67-60-46 Vallette Casting	Ext:		SCR ScR

Figure 57: Contact Details Displayed on the Contact Maintenance Page

- 4. In the Contact Details pane, type the desired contact information into the field requiring an update.
- 5. In the lower-right corner of the Contact Details pane, click the **Save** button.

The updated contact information is displayed in the Contact Details pane for the contact.

To update contact program and type assignments

1. From the **MP** menu, choose **Contact Maintenance**.

The system displays the Contact Maintenance page.

The Contact Maintenance page can also be accessed directly from the Contact Summary page by clicking the **Contact Maintenance** button in the lower-right corner (see Figure 54).

- 2. From the corresponding search filter in the uppermost frame on the Contact Maintenance page (see Figure 55), choose the **Contact** for which the system should display details.
- 3. Near the top of the Contact Maintenance page, click the **Display** button.

4. The system populates the Contact Details pane below the search filters with data for the contact meeting the criteria chosen at step 2 (see Figure 58)

MP: NYISO Marke	t Participant 🛛 👻	Contact: Seut	h Marsha	Display Acks Cor	tect
ntact Details					Assignments for
First Name	Marsha	Primary Phone:	518-234-6789	Ext:	A CI SCR
Last Name	Smith	Secondary Phone:		Ext:	Emergency
MP	NYISO Market Particip	Primary Email:			Surv Adma Surv Event-Responder
Street Address1	15 Broadway	Secondary Email:			Cther
Street Address2		Fax:			
Oty	Troy	Pager:			BUL Emergency
State	NY	Last Update Date:	09/11/2010 07:00-4		Event-Responder
Zip Code	12180	Last Update By:	Valuese Cabloto		Cther

Figure 58: Contact Details Displayed on the Contact Maintenance Page

 In the Assignments For pane on the right of the page, indicate to which program(s) the contact visible in the Contact Details pane should be assigned and to which contact type(s) within each program, clicking the corresponding check box(es) until a checkmark is either displayed (to activate the assignment) or cleared (to deactivate the assignment).

Note: A contact can have one or more program assignments and one or more type assignments within a Program.

The system automatically initiates the saving process upon each click of a check box, as indicated by graying out the Assignments For pane and displaying a **Saving** icon, then again makes the Assignments For pane available for further program and type updates for the contact, as applicable (see Figure 59).

Figure 59: Contact Program and Type Assignment Saving in DRIS

NP 10130 Market Party states	Contact South Manles	Renter Anti-Anternal		
dust Databa			Andground's far	A subscene make for
Prot Name: Marile Let Name: Death HP: MYDO Maleir Perseg	Internet Process (10.2044/98) Descendery Phone Protocy Direct	E-t	A Dist Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Conseptor Con	# SCR
Shart Addent2 13 Beading Smart Addent2 Ohr Tary State: Nr	Factorial Factor		1000 Advent	Admin Event-Responder Defen
De Cade, 1200	Lef (place by) raise " grint	1000 (1000) 201 (1000) 201 (1000) 201 (1000) 201 (1000)	* 10.5P 32 here pring 32 Area 32 other	Entergency Event-Responder Other
				CBASP Sill Energency Rev Adam.
				Saving

To add a new contact

1. From the **MP** menu, choose **Contact Maintenance**.

The system displays the Contact Maintenance page.

The Contact Maintenance page can also be accessed directly from the Contact Summary page by clicking the **Contact Maintenance** button in the lower-right corner (see Figure 54).

2. Near the top of the Contact Maintenance page, click the **Add** button.

The system displays a blank Contact Details pane (see Figure 60).

and the second of the	ain + MP + Resource + SCR + Performance Factors + DR Event + Mitigation + Tables + Notification + DSASP + BTM +						
MP: NYISO Market	Participant 👻	Contact:	*	- times	Add Contact		
tact Details					-	Assignments for	
First Name:		Primary Phone:		Ext:		# SCR	
Last Name:		Secondary Phone:		Ext:		III Emergency	
MP:	NYISO Market Particip	Primary Email:				Event-Responder	
Street Address1:		Secondary Email:				33 Other	
Street Address2:		Fax:				= EDRP	
Oty:		Pager:				3 Admin	
State:		Last Update Date:				Event-Responder	
Zin Code:		Last Update By:				31 Johner	

Figure 60: Blank Contact Details Pane When Adding a New Contact

- 3. Enter contact information in the Contact Details pane.
- 4. In the lower right corner of the Contact Details pane, click the **Save** button.

The contact information is displayed in the Contact Details pane for the contact.

5. In the Assignments For pane on the right of the page, indicate to which program(s) the contact visible in the Contact Details pane should be assigned and to which contact type(s) within each program, clicking the corresponding check box(es) until a checkmark is either displayed (to activate the assignment) or cleared (to deactivate the assignment).

Note: A contact can have one or more Program assignments and one or more Type assignments within a Program.

The system automatically initiates the saving process upon each click of a check box, as indicated by graying out the Assignments For pane and displaying a **Saving** icon, then again makes the Assignments For pane available for further program and type updates for the contact, as applicable (see Figure 59).

Note: Specific contact types require that certain contact information be saved for the contact prior to assigning the contact type. Specifically, the Admin contact type requires *Name*, *Address*, and *Primary Phone*, whereas the Event-Responder contact type requires *Name*, *Primary Phone*, and *Primary Email*.

To delete a contact

1. From the **MP** menu, choose **Contact Maintenance**.

The system displays the Contact Maintenance page.

The Contact Maintenance page can also be accessed directly from the Contact Summary page by clicking the **Contact Maintenance** button in the lower-right corner (see Figure 54).

- 2. From the corresponding search filter in the uppermost frame on the Contact Maintenance page (see Figure 55), choose the **Contact** for which the system should display details.
- 3. Near the top of the Contact Maintenance page, click the **Display** button.
- 4. The system populates the Contact Details pane below the search filters with data for the contact meeting the criteria chosen at step 2 (see Figure 61)

Figure 61: Contact Details Displayed on the Contact Maintenance Page

MP: NYISO Market	Participant 👻	Contact: Smit	h, Marsha	· Desplay	Add Contact	
ntact Details						Assignments for
First Name: Last Name: Mis: Street Address: Street Address: City: State: Zip Code:	Marsha Smith NYISO Market Particip 13 Broadway Thoy NY 12180	Primary Phone: Secondary Phone: Primary Email: Secondary Email: Fax: Pager: Last Update Date: Last Update By:	512-234-6789	Ext:	Save Deter	SOR Sorrer Conter Conter

 In the lower-right of the Contact Details pane, click the **Delete** button to remove the displayed contact from DRIS.

Note: The system requires an MP organization to maintain at least one Admin contact type for all programs in which the organization participates and at least one Event-Responder contact type for each Reliability (SCR and EDRP) DR program in which the MP organization participates. When there is only one contact assigned the contact type of Admin or contact type of Event-Responder for the specific DR program, DRIS will not delete the contact until another MP contact is assigned the Admin and/or Event-Responder contact type.

7. Enrolling Resources

Resources can be enrolled in the SCR program only within a pre-established time frame. This limited enrollment period occurs during the month preceding the effective auction month as specified on the DRIS Event Calendar (refer to Section 2.1). EDRP resource enrollment is also limited to an enrollment period, which occurs during the month preceding the effective month of the enrollment as specified on the DRIS Event Calendar. DSASP enrollment may occur at any time in the calendar year.

A resource's enrollment remains in effect until:

- the MP modifies it (see Section 8),
- a duplicate enrollment condition occurs,
- the NYISO changes the status of an enrolled resource, or
- the Capability Period ends (Reliability programs only).

Note: Any resources the MP wants to continue using in the SCR or EDRP programs for the subsequent Capability Period must be re-enrolled (see Section 8.4).

It is the responsibility of the MP to perform the following:

- 1. Optionally, perform the Provisional ACL Eligibility Import process
- 2. Create a correctly structured, formatted, and populated resource enrollment file
- 3. Import the resource enrollment file to DRIS
- 4. Review and manage as necessary the results of the import process
- 5. Review and manage as necessary, resource enrollment requests with a Request for ACL Data

Tasks 3 & 4 immediately preceding may require the MP take an additional action in the form of, for example, correcting exceptions (i.e., data errors, changes, or omissions) that prevent import of some or all data or canceling resource enrollment requests reported in error.

7.1. Creating a Resource Provisional ACL Eligibility File

Processing the Provisional ACL Eligibility of resources in the SCR program is initiated via MP import to DRIS of the NYISO provided Excel (.xlsx or .xlsx) file for the SCR program. Checking the eligibility of multiple resources to enroll with a Provisional ACL is initiated at one time. **Note:** Importing an appropriately populated Provisional ACL Eligibility file will allow the MP to check the ability of a resource to be enrolled with either a Provisional ACL, a Request for ACL Data or as a SCR participant, accompanied by metered load data. This import does not enroll the resource into DRIS. The MP is still required to use the process described in Section 7.4 to enroll the resource in DRIS.

As illustrated by the sample enrollment files in Figure 62 and 7.3, the file must contain header data in the form of the effective date and DR program. The file must also contain a set of data for each resource being checked for Provisional ACL Eligibility for the Capability Period, Auction Month and program, and may contain column headings.

Figure 62: Sample Provisional ACL Eligibility Import File in Excel

	A	В	С	D	E
1	Effective Date=05/01/2014&				
2	Program=SCR&				
3	Resource ID	Resource Name	TO Account Num	Zone	Transmission Owner
4	123456789	Resource 1	X987123654	J	CEC
5		Resource 2	P687412654	J	CEC
6	321654987	Resource 3	X987111355	J	CEC

In order for the Provisional ACL Eligibility file to be successfully processed by DRIS, the header data must meet pre-defined rules that are based on the specific DR program requirements. Figure 63 details the rules specific to the SCR program files along with the error messages the system will generate should the file violate any of the rules. Likewise, in order for resource data to be processed by the system, the data must meet pre-defined rules related to formatting and value, again based on the SCR program Figure 64 details the rules specific to SCR resource data.

Note: Under certain circumstances, some fields in the enrollment file must be left blank. See Figure 64.

After creating a properly structured, formatted, and populated eligibility Provisional ACL Eligibility file, the MP may import the file to DRIS as the next step in the process to check the enrollment eligibility of the resource.



Figure 63: Rules for Successful Processing of Provisional ACL Eligibility Import File

Attribute	Rule	Error Message
Effective Date	The month in the header must match that chosen via the system interface.	Effective Date < Effective Date > in the Import File header does not match the Month selected.
	Day must be the first of the month.	Effective Date < Effective Date > must be the first of the month.
Program Type	The program type in the header must match that chosen via the system interface.	Program <program> in the Import File header does not match Program selected for Import.</program>

Figure 64: Rules Specific to Resource Data in Provisional ACL Eligibility File

SCR Resource Provisional ACL Eligibility Field Name	Column in Import File	Field Format	Description and Rule(s)
Resource ID	А	Numeric	The Resource ID assigned by the NYISO.
			If Resource is new to the MP, leave blank.



SCR Resource Provisional ACL Eligibility Field Name	Column in Import File	Field Format	Description and Rule(s)
Resource Name	В	Text	The name of the SCR resource.
		Up to 100 characters	



SCR Resource Provisional ACL Eligibility Field Name	Column in Import File	Field Format	Description and Rule(s)
TO Account Num	С	Text Up to 30 characters	The account number assigned by the Transmission Owner.
			The account number assigned by the Transmission Owner must be reported into DRIS with the letter T preceding the account number. For example, if the account number is <i>5436789</i> , it must be reported on the import file to DRIS as <i>T5436789</i> .
			For resources assigned Rochester Gas & Electric or NYS Electric & Gas, do not use the TO Account Number. Instead, use the Point of Distribution ID (POD ID).
			When the POD ID assigned by the Transmission Owner is preceded by an R or an N , do not precede the POD ID with the letter T . For example, if the POD ID is $R5436789$ it must be reported on the import file to DRIS as $R5436789$.
			Can only be numbers and the preceding T , or R or N for POD IDs. No other characters may be added to the utility account number including additional letters, dashes, spaces between numbers/letters, or any odd characters.
Zone	D	Text 1 character	The letter of the Load Zone where the resource facility is located.



SCR Resource Provisional ACL Eligibility Field Name	Column in Import File	Field Format	Description and Rule(s)
Transmission Owner Abbreviation	E	Text 3 characters	The three-letter abbreviation for the Transmission Owner/District in which the resource facility is located. • CEC for Con Edison • CHG for Central Hudson • LIP for LIPA • NMP for Niagara Mohawk • NYP for NYPA • NYS for New York State E & G • ORU for Orange & Rockland • RGE for Rochester G & E



7.2. Importing the Resource Provisional ACL Eligibility File

After creating a properly structured, formatted, and populated Provisional ACL Eligibility file, the MP may import the file to DRIS as the next step in the process to check the enrollment eligibility of the resources.

Pre-requisites

- The MP is registered in MIS to participate in the SCR program in which it intends to enroll resources.
- The MP organization has one or more contacts assigned as an Admin contact type and one or more contacts assigned as an Event-Responder contact type in DRIS for the SCR program.
- For the SCR program, the DRIS Event Calendar indicates that the Capability Period and month for which the MP intends to enroll resources are open for performing this task (refer to Section 2.1).
- The MP has created a properly structured, formatted, and populated .xlsx or .xlsx file for the SCR program for upload of resource data to the system, as outlined under Section 7.1.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To import a resource Provisional ACL Eligibility file

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 65).



Figure 65: Import/Export Page as Initially Displayed

	Demand Response Information System				
Main • MP • Resource • SCR •	Performance Factors •	DR Event • Mitigation •	Tables • Notifica	tion • DSASP •	BTM •
Capability Period: Summer 2015	➤ Display				
SCR Resource Imports SCR Resource Imports Provisional ACL Elipibility EXPP Resource Import SCR Resource Amport SCR Resource Amport Resource Auction Sales Event Response Deports SCR Resource Export SCR					

- 2. From the corresponding filter near the top of the page, for Provisional ACL Eligibility choose the **Capability Period** for which resources are being checked for enrollment.
- 3. Beside the Capability Period filter, click the **Display** button.

The system activates the middle frame and populates the lowermost frame with a list of import events for the Capability Period chosen at step 2.

On the left side of the middle frame and under the Imports heading, click Provisional ACL
 Eligibility.

The area to the right refreshes to display input components specific to the type of import being performed (see Figure 66).



Figure 66: Import/Export Page Displaying Input Components Specific to Provisional ACL Eligibility Imports

Display	Miligauon +	ables • Noulication •	DONOF BIM
Provisional ACL Eligib	ility		
	1		
Month:	May 2015	*	
File:	Select a file	Browse	
	Display Provisional ACL Eligib Month: File:	Display Provisional ACL Eligibility Month: May 2015 File: Select a file	Display Provisional ACL Eligibility Month: May 2015 File: Select a file Browse

- 5. When importing resources, choose **Provisional ACL Eligibility** from the **Import Type** dropdown filter on the right side of the middle frame.
- 6. When importing the **Provisional ACL Eligibility** file, choose the applicable **Month** from the corresponding drop-down filter on the right side of the middle frame.
- 7. On the right side of the middle frame, click the **Browse** button.

The system displays a File Upload dialog box.

8. Via the File Upload dialog box, navigate to and choose the file containing the data for the resources being enrolled, then click the **Open** button.

The File Upload dialog box closes, and the system populates the Resource File field in the right pane of the middle frame with the name of the chosen file.

Click the **Import** button, located in the lower-left corner of the right side of the middle frame.
 The system displays a dialog box summarizing the results of the eligibility process (see Figure 67).


10. Review the import results and proceed accordingly, as outlined under Section 7.5.

Import Type: SCR_F	RESOURCE_ELIGIBILITY	Record Count:	3										
File Name: SCR_F	ROVISIONAL_ELIGIBILITY_F	Records Added:	: 0										
Start Time:		Records Exception:	: 3										
End Time:		Records General Alerts:	. 0										
		Records Pending:	: 0										
		Records Potential Mitigation:	0										
		Records Payment:	: 0										
		Records No Change:	: 0										
essage													
essage													
ceptions Id Name	Field Value Exception	in Code								U	Inique Id		
ceptions id Name prisional ACL Question	Field Value Exception Y Resour	in Code rcel D: 123456789 is NOT	T eligib	ole for enrolin	Iment with a Pi	rovisional ACL	, in this Capal	bility Period	AND there	u are 20 R	Inique Id esource ID: 12	13456789	
ceptions dd Name ovisional ACL Question	Field Value Exception Y Resour Y Resour	m Code rce D: 123456789 is NOT rce D: 101112131 is eligil	T eligib jible fo	ole for enrolin	Iment with a Provis	rovisional ACL	, in this Capal	bility Period	AND there	u are 20 R R	Inique Id esource (D: 12 esource (D: 12	13456789	
ceptions dd Name svisional ACL Question svisional ACL Question svisional ACL Question	Field Value Exceptio Y Resour Y Resour Y Resour	in Code foe ID: 123456789 is NOT roe ID: 101112131 is eligii roe ID: 415161718 is NOT	T eligib jible fo T eligib	ole for enrollr or enrollment ole for enrollr	Iment with a Pr t with a Provis Iment with a Pr	rovisional ACL ional ACL in th rovisional ACL	, in this Capal his Capabilitiy , in this Capal	bility Period Period bility Period	I AND there	u are 20 R R R	Inique Id esource ID: 12 esource ID: 11 esource ID: 41	13456789 21112131 15161718	

Figure 67: Sample Provisional ACL Eligibility Import Summary Dialog Box.

7.2.1. Reviewing and Acting on Resource Provisional ACL Eligibility Exceptions

When the MP optionally attempts to import a resource Provisional ACL Eligibility file to DRIS, the system generates a report outlining the results of the process. Resources in the eligibility file are required to be added to the SCR enrollment file, to be formally enrolled in the program. DRIS will return one of three messages for each resource, based on previous enrollment history and the possible presence of metered load data in DRIS, sufficient enough to calculate an ACL for the enrollment period. These resources will receive the message type of Records Exception on the Enrollment Exception report. A separate line is provided for each Resource ID or TO Account Number of the record receiving the exception with a message specifying the possible enrollment type and options. Below are potential Exception messages returned by the system based on the eligibility of the resource to enroll in the SCR program.

Potential Exception messages for the Provisional ACL Eligibility import file:

Resource is eligible to enroll with a Provisional ACL

"Resource is eligible for enrollment with a Provisional ACL in this Capability Period"

The exception message displayed indicates that the MP may enroll the resource with a Provisional ACL, following the steps as outlined in Section 7.3.

Resource is not eligible to enroll with a Provisional ACL or a Request for ACL Data



"Resource is NOT eligible for enrollment with a Provisional ACL in this Capability Period"

The exception message displayed indicates that the MP may not enroll the resource with either a Provisional ACL or a Request for ACL Data. Optionally, the MP may attempt to obtain metered load data to enroll the resource as a regular SCR participant, following the steps as outlined in Section 7.3.

Resource is eligible to enroll with a Request for ACL Data

"Resource is NOT eligible for enrollment with a Provisional ACL in this Capability Period AND there are 20 or more metered load values from the Prior Equivalent Capability Period in DRIS"

The exception message displayed indicates that the MP may not enroll the resource with a Provisional ACL but may enroll the resource with a Request for ACL Data from the NYISO, following the steps as outlined in Section 7.3.

7.3. Creating a Resource Enrollment File

Enrollment of resources in any program is initiated via MP import to DRIS of the NYISO provided comma-separated value (.csv) file for the EDRP and DSASP or an Excel (.xlsx or .xlsx) file for the SCR program, EDRP, and DSASP. Enrollment of multiple resources in the same DR program is initiated at one time.

Note: If the MP has previously enrolled resources, the MP may export a file populated with resource enrollment data currently in DRIS as a model for creating a new file with updated data, as outlined under Section 8.5.

As illustrated by the sample enrollment files in Figure 68 and Figure 70 the file must contain header data in the form of the effective date and DR program. The file must also contain a set of data for each resource being enrolled for the Capability Period, effective date, and program, and may contain column headings.



Figure 68: Sample SCR & EDRP Enrollment Files in Excel

n		B	c	D	E	F		G	н	1	1	К	
fective Date=05/	1/20208												
ogram=SCR&	Press	and Manua	TO Assessed Marrie	Martes Authority		Termenicales Ourses	Chever 1		000000	Cit.		To Cale	
source in	6003100 Resour	rce One	T49930828	NYP	Lone	NYP	219 Other Street		Street 2	Some City	NY	10036	
	6203339 Resour	rce Two	T399302204	CEC	3	CEC	41 Another Street			Another City	NY	10305	
	7209628 Resour	rce Three	T703395031	CEC	J	CEC	56 General Road			Far City	NY	10458	
	1		М	N		0	P	Q	R	S		T	
	TO Service Ve	oltage ID Gene	erator Type ID Gene	erator Name Plate R	ating Cl	BL Method Complianc	e Question Aggre	gation ID Resp	onse Type Su	bscribed Load	Subsc	nibed Gen	
	•	5			W			555555 C		50			
		5			W			555555 C		60		a	
	(U	V	W		х	Ŷ	14		Z			
				isional ACL Questic	an Renu	est to use existing ACI		Intion Date ACI	Mill for Beak	and Bats Marine		0/3040 47	
		Shutdown kW I	ncremental KW Prov		N	that to use existing Add	Data Meter Install 04/30/2013	ation Date Act	NW IOF Peak	Load Date Hour	1 12/18	1000	
		Shutdown kW I. 0	0 Y		N	cat to use existing Act	Data Meter Install 04/30/2013 04/30/2013	ation Date Act	KW IOF PEAK	Load Date Hour	1 12/18	1000	
		Shutdown kW I 0 0 0	0 Y 0 Y 0 Y		N N N	Lat to use existing Au	Data Meter Install 04/30/2013 04/30/2013 04/30/2013	ation bate Act	KW IOF PEAK	Load Date Hour	1 12/18	1000 1100 1200	
		Shutdown kW I 0 0 0	0 Y 0 Y 0 Y 0 Y		N N N	Lat to use existing Act	Data Meter Install 04/30/2013 04/30/2013 04/30/2013	ation bate ACI	NV IOF PEAK	.oad Date Hour	1 12/18	1000 1100 1200	
		Shutdown kW I 0 0 0	Incremental KW Prov 0 Y 0 Y 0 Y		N N N		Data Meter Install 04/30/2013 04/30/2013 04/30/2013	ation Date Act	. KW TOT PEAK	Load Date Hour	1 12/18	1000 1100 1200	
		Shutdown kW I 0 0 0	Incremental KW Prov 0 Y 0 Y 0 Y		N N N		Data Meter Instal 04/30/2013 04/30/2013 04/30/2013	ation Date Act	KW IOI PEAK	Load Date Hour	1 12/18	1000 1100 1200	
		Shutdown KW I 0 0 0	Incremental KW Prov OY OY OY BJ		N N N	BK	Data Meter Install 04/30/2013 04/30/2013 04/30/2013	ation Date ACL	BL	.cad Date Hour	1 12/18	1000 1100 1200 BM	
		Shutdown KW I 0 0 0	BJ		N N N	BK	Data Meter Install 04/30/2013 04/30/2013 04/30/2013		BL	.cad Date Hour	1 12/18	1000 1100 1200	
		Shutdown KW I 0 0 0	BJ		N N N	BK	. Data Meter Install 04/30/2013 04/30/2013 04/30/2013		BL	oad Date Hour	1 12/18	1000 1100 1200 BM	
		Shutdown KW I 0 0 0 0	Incremental KW Prov 0 Y 0 Y 0 Y 0 Y 0 Y	12/2019 18 ACL kv	N N N	EK ak Load Date Hour 38 02	Data Meter Install 04/30/2013 04/30/2013 04/30/2013 04/30/2013	W for Peak Load	BL Date Hour 39	03/06/2019 18 /	1 12/18	1000 1100 1200 BM	03/06/2
		Shutdown KW 0 0 0 0	Incremental KW Prov 0 Y 0 Y 0 Y 0 Y 0 Y 0 Y	(12/2019 18 ACL kV 3060 122	N N N	BK ak Load Date Hour 38 02	2010 Meter Install 04/30/2013 04/30/2013 04/30/2013 04/30/2013 10/2019 18 ACL K 3081 122	W for Peak Load	BL Date Hour 39	33/06/2019 18 / 30/88 123	1 12/18	1000 1100 1200 BM	1 03/06/2

Sample SCR Enrollment File

Note: Columns "Z" through "BM" contain the ACL kW for Peak Load Date Hour 1 through 40. AN ACL kW value must be specified for each of the Top 40 SCR Load Zone Peak Hours as indicated in the SCR Enrollment File

Sample EDRP Enrollment File

4	A	В	C	D	E	F	G	H		J
1	Effective Date=05/01/2020&									
2	Program=EDRP&									
3	Resource ID	Resource Name	TO Account Num	Zone	Transmission Owner	Street	Street 2	City	State	Zip Code
4		Resource North		J	ABC	157 Broadway		Away	NY	10309
5		Medium Resource		J	ABC	123 Main Street		Outer	NY	12077
6		Certain Resource		K	ABC	433 Elm Street		Limit	NY	11345
7		Factory Resource		J	LMN	10009 South Main		Somewhere	NY	11765
8		Office Resource		J	EFG	6543 High Street		Center	NY	10459
9		Resource Central		н	ABC	76590 Over Way		Another	NY	10305

K	L	М	N	0	Р
Generator Type ID	Generator Name	CBL Method	Response Type	Subscribed Load	Subscribed Gen
		A	С	200	0
		A	С	150	0
		A	C	5671	0
		Α	С	234	0
1	1500	Α	В	0	456
2	1200	Α	В	34	167





Figure 69: SCR Enrollment File Detail of ACL kW Column Label

Figure 70: Sample EDRP Enrollment File in .CSV Format

Effective Date=08/01/2010&,

Errective Date=08/01/2010&, Program=EDR#&, "Resource ID", "Resource Name","TO Account Num","Zone","Transmission Owner Abbreviation","Street","Street 2","City","State","Zip Code", "1234567","Resource Two","T123456789","J", "NYP","12 Main st","Second Floor","New York", "NY","12345","Z","1230","A","B","75","Z50" "1243567","Resource Two","T1987654321","J","CEC","34 Broadway","", "New York","NY","12345","","A","C","85","" "1253467","Resource Three","T192837465","J","CEC","56 N Plaza Rd","","New York","NY","12345","L",","A","C","110",""





Figure 71: Sample DSASP Enrollment File in Excel

Effective Date=04/01/2013&							
PROGRAM=DSASP&							
Resource ID	Resource Name	TO Account Num	Zone	Transmission Owner Abbreviation	Street	Street 2	City
	Name 1	R564867431	C	RGE	123 Pine Mill Rd		Rochester
22222222	Name 2	963498552	F	NMP	564 4th Ave Extension		Albany
	Name 3	111963459	J	CED	1064 19th St.		Brooklyn
4444444	Name 4	R357814337	C	RGE	54 Allen St.		Rochester
55555555	Name 5	468473589	F	NMP	63-584 North Main		Albany
66666666	Name 6	111934863	J	CED	1574 4th St.		Manhattan
77777777	Name 7	93728569723	E	NYP	2 Hills Ct		Elmira

State	Zip Code	Generator Type ID	Generator Name Plate Rating	DSASP Authorization	Aggregation ID	Aggregation Type	Response Type
NY	14626		700	Y	101	2	C
NY	12210		500	Y	102	2	C
NY	11230	4	600	Y	103	3	В
NY	14626		300	Y	101	2	C
NY	12210	1	400	Y	102	2	С
NY	11230	4	800	Y	103	3	В
NY	14903		1	Y	104	1	С

Spinning	Spinning & Regulation	Non - Sync	Summer Subscribed Load kW	Summer Subscribed Gen kW	Winter Subscribed Load kW	Winter Subscribed Gen kW	Direct Communication
Y	N	N	800	0	800	0	Y
N	Y	N	800	0	800	0	Y
N	N	Y	500	500	500	500	Y
Y	Ň	N	600	0	600	0	Y
N	Y	N	700	0	700	0	Y
N	N	Y	900	700	900	700	Y
N	Y	N	2300	0	2300	0	Y

In order for an enrollment file to be successfully processed by DRIS, the header data must meet pre-defined rules that are based on the specific DR program requirements. Figure 72 details the rules specific to SCR, EDRP and DSASP enrollment files along with the error messages the system will generate should the file violate any of the rules. Likewise, in order for resource data to be imported to the system, the data must meet pre-defined rules related to formatting and value, again based on the DR program.



Figure 73 details the rules specific to SCR resource data, Figure 74 details the rules specific to EDRP resource data and Figure 75 details the rules specific to DSASP resource data.

Note: Under certain circumstances, some fields in the enrollment file must be left blank. Figure 73, Figure 74, and Figure 75, indicate fields that meet this condition.

After creating a properly structured, formatted, and populated enrollment file, the MP must import the file to DRIS as the next step in enrolling resources.



Attribute	Rule	Error Message
Effective Date	The month in the header must match that chosen via the system interface.	Effective Date <effective date=""> in the Import File header does not match the Month selected.</effective>
	For SCR and EDRP, day must be the first of the month.	Effective Date <effective date=""> must be the first of the month.</effective>
	For DSASP, day must be equal to the System Date.	Effective Date <effective date=""> in the import file header is not equal to today's date.</effective>
Program Type	The program type in the header must match that chosen via the system interface.	Program <program> in the Import File header does not match Program selected for Import.</program>
Multiple Tabs	The SCR program enrollment file must have a tab designated for each zone within the Excel file.	
	The two header rows, Effective Date and Program Type, must appear on each zonal tab within the Excel file.	

Figure 72: Rules for Successful Processing of SCR, EDRP and DSASP Resource Enrollment Import Files

Figure 73: Rules Specific to Resource Data in SCR Enrollment Files

Note: The SCR Enrollment File contains column headings for the SCR Load Zone Peak Hour fields. Each ACL kW column heading begins with the column label and is then followed by the specific Date of the Peak Hour followed by the specific Hour Beginning of the Peak Hour. In the example: **ACL kW for Peak Load Hour 1 12/06/2010 17**, the field label is "**ACL kW for Peak Load Hour 1**", the specific Date of the Peak Hour is "**12/06/2010**", and the specific Hour Beginning of the Peak Hour is "**17**".

SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Resource ID	A	Numeric	The Resource ID assigned by the NYISO. If Resource is new to the MP portfolio, leave blank.	NO	N/A



SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Resource Name	В	Text Up to 100 characters	The name of the SCR resource.	YES	NO
TO Account Num	C	Text Up to 30 characters	 The account number assigned by the Transmission Owner. The account number assigned by the Transmission Owner must be reported into DRIS with the letter <i>T</i> preceding the account number. For example, if the account number is <i>5436789</i>, it must be reported on the import file to DRIS as <i>T5436789</i>. For resources assigned Rochester Gas & Electric or NYS Electric & Gas, do not use the TO Account Number. Instead, use the Point of Distribution ID (POD ID). When the POD ID assigned by the Transmission Owner is preceded by an <i>R</i> or an <i>N</i>, do not precede the POD ID with the letter <i>T</i> . For example, if the POD ID is <i>R5436789</i>. Can only be numbers and the preceding <i>T</i>, or <i>R</i> or <i>N</i> for POD IDs, No other characters may be added to the utility account number including additional letters, dashes, spaces between numbers/letters, or any odd characters. 	NO [<i>Note:</i> To change this field, the MP must contact the NYISO Stakeholder Services Department(SSD), and, if approved, the change must be made in DRIS by the NYISO.]	N/A
Meter Authority	D	Text Up to 3 characters	The Transmission Owner or Meter Services Entity that is providing the meter data used for the Top 40 ACL values for the resource being enrolled. The Meter Authority will be the 3 character abbreviation for the Meter Authority being used for the resource. The list of abbreviated Meter Authority names can be found on the NYISO website: <u>Approved Meter Services</u> <u>Entities</u>	YES	NO



SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Zone	E	Text 1 character	The letter of the Load Zone where the resource facility is located.	YES	YES
Transmission Owner Abbreviation	F	Text 3 characters	The three-letter abbreviation for the Transmission Owner/District in which the resource facility is located. • CEC for Con Edison • CHG for Central Hudson • LIP for LIPA • NMP for Niagara Mohawk • NYP for NYPA • NYS for New York State E & G • ORU for Orange & Rockland • RGE for Rochester G & E	YES	YES
Street	G	Text	Street address of the resource facility.	YES	YES
Street2	н	Text	Second street address, if applicable, of the resource facility.	YES	NO
City	I	Text	City (town, village) in which the resource facility is located.	YES	YES
State	J	Text 2 characters	NY.	NO	N/A
Zip Code	К	Text Up to 10 characters in the following format: 12345-7890	Zip code in which the resource facility is located. Only the first 5 digits are required; however, when using nine digits, the dash separating the first 5 digits and the last 4 digits is required.	YES	YES
TO Service Voltage ID	L	Numeric 1- or 2-digit number	The Voltage Level ID corresponding to the Transmission Owner voltage service level and description of the resource. (See section 2.2, for instructions on ascertaining Voltage Level IDs via the Transmission Loss Factors table in DRIS.)	YES	NO



SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Generator Type ID	Μ	Numeric 1-digit number	The Generator Type ID corresponding to the generator type of the resource. (See section 2.3, for instructions on ascertaining Generator Type IDs via DRIS.) Required when using Response Type G (Generation) or B (Both) for the resource. When using Response Type C (Curtailment), must be left blank.	YES	YES
Generator Name Plate Rating	N	Numeric Up to 5 digits No decimals	The Generator Name Plate Rating as a numeric value representing the kW rating of the generator. If using more than one generator, provide the total generator kW. Required when using Response Type G (Generation) or B (Both). When using Response Type C (Curtailment), must be left blank.	YES	YES
CBL Method	0	Text 1 character	A single letter representing the CBL Method that will be used to report Energy Payment data for the resource: • A for Average Day • W for Weather-sensitive	YES (only upon initial enrollment in a Capability Period)	NO
Compliance Question	Ρ	Text 1 character	 When using Response Type G (Generation) or B (Both), specify Y or N in response to the following question: Does customer comply with all legal and regulatory requirements of the local utility and all federal, state, and local authorities with respect to operation of generation used to reduce load on the NYCA system during an SCR event? When using Response Type C (Curtailment), must be left blank. 	YES	NO



SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Aggregation ID	Q	Numeric	The aggregation ID to which the resource is assigned.	YES	YES
			Required in the import file.	(can be modified	
			For resources new to the MP portfolio, must be an aggregation ID already existing in DRIS for the specific MP.	<i>ONLY</i> in conjunction with a modification to	
			For existing resources, must match the aggregation ID to which the resource is currently assigned in DRIS.	the Zone of the resource)	
			<i>Note:</i> Aggregation reassignment must be done via the Aggregation Assignment page in DRIS, as outlined under section 10.2.2.		
			<i>Note:</i> The aggregation ID used in DRIS is not the same as that in the SCR Workbook used under the legacy enrollment process prior to DRIS deployment. <i>Do not</i> use the aggregation IDs previously entered in the SCR Workbook or import exceptions will result.		
Response Type	R	Text	A single letter of the response type of the resource:	YES	NO
		Must be C, G, or B	 C for Curtailable Load G for Generation B for Both or for Net-metered generation 		
Subscribed Load	S	Numeric	For resources with Response Type C or B, the	YES	NO
		Up to 6 digits	Curtailment Declared ICAP value in kW/h must be greater than or equal to zero.		
	No decima	No decimals	For resources with Response Type G, must be either blank or zero.		
			For resources requesting ACL Data from the NYISO, must be null.		
			The Declared Value of the resource (the combination of Subscribed Load and Subscribed Generation) cannot be greater than the resource Net ACL.		



SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Subscribed Gen	T Numeric Up to 6 digits No decimals	Numeric Up to 6 digits No decimals	For resources with Response Type G or B, the Generation Declared ICAP value in kW/h must be greater than or equal to zero. For resources with Response Type G or B, the Generation Declared ICAP value in kW/h cannot be greater than the Generator Name Plate Rating. For resources with Response Type C, must be either blank or zero. For resources requesting existing ACL Data from the NYISO, must be null.	YES	NO
			Subscribed Load and Subscribed Generation) cannot be greater than the resource Net ACL.		
Shutdown kW	U	Numeric Up to 7 digits No decimals	 For SCR resources with a Change in Status, the Shutdown value in kilowatts must be greater than or equal to zero. For the first month in which the Change in Status occurs, enter the kilowatt value of the Shutdown and then enter the kilowatt value for each subsequent month in which the Change in Status is in effect. For the first month in which the Change in Status is no longer in effect, enter a zero as the kilowatt value of the Shutdown. For resources requesting existing ACL Data from the NYISO, must be null. For resources with a Calculated ACL value less than 500 kW, must be zero. The kW value entered must be greater than or equal to 30% of the calculated ACL, unless value supplied is greater than 5,000 kW in Zone J or 10,000 kW in Zones A-I and K. 	YES	NO



SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Incremental kW	V	Numeric Up to 7 digits No decimals	For resources requesting existing ACL data from the NYISO, must be null. For resources requesting to enroll with a Provisional ACL, must be zero. For resources with a calculated ACL value less than 500 kW, must be zero. The kW value entered must be greater than or equal to 20% of the Calculated ACL, unless value supplied is greater than 5,000 kW in Zone J or 10,000 kW in Zones A-I and K.	YES	NO
Provisional ACL Question	W	Text Must be Y or N	Y if using Provisional ACL. N if not using Provisional ACL. A resource Provisional ACL is allowed if a new SCR resource has not previously been enrolled with the ISO and never had interval billing meter data from the Prior Equivalent Capability Period. For resources requesting existing ACL data from the NYISO, must be N.	NO	N/A
Request to use existing ACL Data	X	Text Must be Y or N	Y if requesting to use existing ACL data in DRIS for the resource. N if providing ACL data in file. For resources requesting to enroll with a Provisional ACL, must be N.	NO	N/A
Meter Installation Date	Y	Date MM/DD/YYYY	Date on which the resource meter was installed. Required for all resources enrolling with a Provisional ACL. Required for all resources enrolling with a request for ACL data. Must be less than or equal to the system date.	YES	YES (after the first month of enrollment in a Capability Period it will become a Pending Request requiring approval)



SCR Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
ACL kW for Peak Load Date Hour 1 MM/DD/YYYY HH	Z	Numeric Up to 7 digits before decimal and 1 digit after decimal	The kW meter value for the resource for the specified Capability Period SCR Load Zone Peak Hour. For resources enrolling with a Provisional ACL, RIP must provide a single kW value representing the Provisional ACL for the enrollment period. For resources enrolling with a request for ACL data, must be null.	YES	YES (only during the Capability Period)
ACL kW for Peak Load Date Hour 2 MM/DD/YYYY HH	AA	Numeric Up to 7 digits before decimal and 1 digit after decimal	The kW meter value for the resource for the specified Capability Period SCR Load Zone Peak Hour. For resources enrolling with a Provisional ACL, must be null. For resources enrolling with a request for ACL data, must be null.	YES	YES (only during the Capability Period)
Note: Continue with a s The ACL kW column la	separate column fo abels begin with "Z	or each of the Top 4 " and end with "BM	0 Capability Period Load Zone Peak Hours. ".		
ACL kW for Peak Load Date Hour 40 MM/DD/YYYY HH	BM	Numeric Up to 7 digits before decimal and 1 digit after decimal	The kW meter value for the resource for the specified Capability Period SCR Load Zone Peak Hour. For resources enrolling with a Provisional ACL, must be null. For resources enrolling with a request for ACL data, must be null.	YES	YES (only during the Capability Period)

Note: When enrolling a resource with an Incremental ACL, there are three thresholds which DRIS will validate against, for the value supplied in the resource Incremental kW field. The Incremental kW may be between 20% and 29.99% of the *NYISO Calculated ACL* if the sum of the Subscribed Load and Subscribed Gen (Declared Value) do not change over the prior equivalent Capability Period. When the Incremental kW value supplied for the resource is between 30% and 100% of the *NYISO Calculated ACL*, the sum of the values supplied for the Subscribed Gen (Declared Value) may change over the prior equivalent Capability Period. The value supplied for the resource Incremental kW field may not exceed 100% of the *NYISO Calculated ACL*.



Figure 74: Rules Specific to Resource Data in EDRP Enrollment Files

EDRP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Resource ID	A	Numeric	The Resource ID assigned by the NYISO. If Resource is new to the MP portfolio, leave blank. Note: For MPs that participated in the EDRP prior to the June 2010 deployment of DRIS, DRIS-generated Resource IDs must be used for EDRP resources.	NO	N/A
Resource Name	В	Text Up to 100 characters	The name of the EDRP resource.	YES	NO
TO Account Num	C	Text Up to 30 characters	 The account number assigned by the Transmission Owner. The account number assigned by the Transmission Owner must be reported into DRIS with the letter <i>T</i> preceding the account number. For example, if the account number is <i>5436789</i>, it must be reported on the import file to DRIS as <i>T5436789</i>. For resources assigned Rochester Gas & Electric or NYS Electric & Gas do not use the TO Account Number. Instead, use the Point of Distribution ID (POD ID). When the POD ID assigned by the Transmission Owner is preceded by an <i>R</i> or an <i>N</i>, do not precede the POD ID with the letter <i>T</i>. For example, if the POD ID is <i>R5436789</i>. Can only be numbers and the preceding <i>T</i>, or <i>R</i> or <i>N</i> for POD IDs, No other characters may be added to the utility account number including additional letters, dashes, spaces between numbers/letters, or any odd characters are not allowable. 	NO (<i>Note:</i> To change this field, the MP must contact the NYISO Stakeholder Services Department(SSD), and, if approved, the change must be made in DRIS by the NYISO.)	N/A
Zone	D	Text 1 character	The letter of the Load Zone where the resource facility is located.	YES	YES



EDRP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Transmission Owner Abbreviation	E	Text 3 characters	The three-letter abbreviation for the Transmission Owner/District in which the resource facility is located. • CEC for Con Edison • CHG for Central Hudson • LIP for LIPA • NMP for Niagara Mohawk • NYP for NYPA • NYS for New York State E & G • ORU for Orange & Rockland • RGE for Rochester G & E	YES	YES
Street	F	Text	Street address of the resource facility.	YES	YES
Street2	G	Text	Second street address, if applicable, of the resource facility.	YES	NO
City	н	Text	City (town, village) in which the resource facility is located.	YES	YES
State	1	Text 2 characters	NY.	NO	N/A
Zip Code	J	Text Up to 10 characters in the following format: 12345-7890	Zip code in which the resource facility is located. Only the first 5 digits are required; however, when using nine digits, the dash separating the first 5 digits and the last 4 digits, is required.	YES	YES



EDRP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Generator Type ID	К	Numeric 1-digit number	 The Generator Type ID corresponding to the generator type of the resource. (See section 2.3, for instructions on ascertaining Generator Type IDs via DRIS.) Note: This field is not currently required for any EDRP resource response type. However, if the value is supplied, the following business rules apply: May be entered when using Response Type G (Generation) or B (Both) for the resource. When using Response Type C (Curtailment), must be left blank. 	YES	NO
Generator Name Plate Rating	L	Numeric Up to 5 digits No decimals	 The Generator Name Plate Rating as a numeric value representing the kW rating of the generator. <i>Note</i>: This field is not currently required for any EDRP resource response type. However, if the value is supplied, the following business rules apply: If using more than one generator, provide the total generator kW. May be entered when using Response Type G (Generation) or B (Both) for the resource. When using Response Type C (Curtailment), must be left blank. 	YES	NO
CBL Method	Μ	Text 1 character	A single letter representing the CBL Method that will be used to report Energy Payment data for the resource: • A for Average Day • W for Weather-sensitive	YES (Only upon initial enrollment in a Capability Period.)	NO



EDRP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Response Type	N	Text Must be C, G, or B	 A single letter of the response type of the resource: C for Curtailable Load G for Generation B for Both or for Net-metered generation 	YES	YES
Subscribed Load	0	Numeric Up to 6 digits No decimals	The Curtailment Declared value in kW/h. For resources with Response Type C or B, must be greater than or equal to zero. For resources with Response Type G, must be either blank or zero.	YES	NO
Subscribed Gen	Ρ	Numeric Up to 6 digits No decimals	The Generation Declared value in kW/h. For resources with Response Type G or B, must be greater than or equal to zero. For resources with Response Type G or B, when Generator Name Plate Rating has been provided, the Generation Declared ICAP value in kW/h cannot be greater than the Generator Name Plate Rating. For resources with Response Type C, must be either blank or zero.	YES	NO



Figure 75: Rules Specific to Resource Data in DSASP Enrollment Files

DSASP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Resource ID	A	Numeric	The Resource ID assigned by the NYISO. If Resource is new to the MP portfolio, leave blank.	NO	N/A
Resource Name	В	Text Up to 100 characters	The name of the DSASP resource.	YES	NO
TO Account Num	C	Text Up to 30 characters	 The account number assigned by the Transmission Owner. The account number assigned by the Transmission Owner must be reported into DRIS with the letter <i>T</i> preceding the account number. For example, if the account number is <i>5436789</i>, it must be reported on the import file to DRIS as <i>T5436789</i>. For resources assigned Rochester Gas & Electric or NYS Electric & Gas, do not use the TO Account Number. Instead, use the Point of Distribution ID (POD ID). When the POD ID assigned by the Transmission Owner is preceded by an <i>R</i> or an <i>N</i>, do not precede the POD ID with the letter <i>T</i>. For example, if the POD ID is <i>R5436789</i> it must be reported on the import file to DRIS as <i>R5436789</i>. Can only be numbers and the preceding <i>T</i>, or <i>R</i> or <i>N</i> for POD IDs, No other characters may be added to the utility account number including additional letters, dashes, spaces between numbers/letters, or any odd characters. 	NO [<i>Note:</i> To change this field, the MP must contact the NYISO Stakeholder Services Department (SSD), and, if approved, the change must be made in DRIS by the NYISO.]	N/A



DSASP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Zone	D	Text 1 character	The letter of the LBMP Zone where the resource facility is located.	NO [<i>Note:</i> To change this field, the MP must contact the NYISO Stakeholder Services Department(SSD), and, if approved, the change must be made in DRIS by the NYISO.]	N/A
Transmission Owner Abbreviation	E	Text 3 characters	The three-letter abbreviation for the Transmission Owner/District in which the resource facility is located. • CEC for Con Edison • CHG for Central Hudson • LIP for LIPA • NMP for Niagara Mohawk • NYP for NYPA • NYS for New York State E & G • ORU for Orange & Rockland • RGE for Rochester G & E	NO [<i>Note:</i> To change this field, the MP must contact the NYISO Stakeholder Services Department (SSD), and, if approved, the change must be made in DRIS by the NYISO.]	N/A
Street	F	Text	Street address of the resource facility.	YES	YES
Street2	G	Text	Second street address, if applicable, of the resource facility.	YES	NO
City	Н	Text	City (town, village) in which the resource facility is located.	YES	YES
State	1	Text 2 characters	NY.	NO	N/A



DSASP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Zip Code	J	Text	Zip code in which the resource facility is located.	YES	YES
		Up to 10 characters in the following format: 12345-7890	Only the first 5 digits are required; however, when using nine digits, the dash separating the first 5 digits and the last 4 digits is required.		
Concreter Type ID	K	Numorio	The Concreter Type ID corresponding to the generator	VES	VES
Generator Type ID	n l	1-digit number	type of the resource. (See section 2.3, for instructions on ascertaining Generator Type IDs via DRIS.)	TES	160
			Required when using Response Type G (Generation) or B (Both) for the resource.		
			When using Response Type C (Curtailment), must be left blank.		
Generator Name Plate Rating	L	Numeric	The Generator Name Plate Rating as a numeric value representing the kW rating of the generator.	YES	YES
		No decimals	If using more than one generator, provide the total generator kW.		
			Required when using Response Type G (Generation) or B (Both).		
			When using Response Type C (Curtailment), must be left blank.		
DSASP Authorization	М	Text	Specify Y or N in response to the following	NO	N/A
		1 character	acknowledgement:		
			Acknowledgement by the program provider that an authorization form or contract exists between the provider organization and the demand-side resource to participate in the DSASP.		
			Only demand-side resources with DSASP Authorizations may participate in the DSASP.		



DSASP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Aggregation ID	Ν	Numeric	The aggregation ID to which the resource is assigned.	YES	NO
			If resource is new to the MP must be left blank.		
			For existing resources which are to remain in the same aggregation, must match the aggregation ID to which the resource is currently assigned in DRIS.		
			For existing resources changing aggregations, must be left blank and DRIS will assign the resource to the correct aggregation ID based on zone and product type of the resource.		
			<i>Note:</i> Aggregation reassignment must be done via the Import process into DRIS. Only resources without an active Submittal or those that are not part of a Qualified aggregation can change aggregation assignments.		
Aggregation Type	0	Numeric 1-digit number	The Aggregation Type ID corresponding to the resource. (See section 2.7, for instructions on ascertaining Aggregation Type IDs via DRIS.)	YES (Can be modified via the import file ONLY when a resource does not have an active Submittal or is not part of a Qualified	NO
			An Aggregation Type of 1, represents an individual demand-side resource (Response Type B, C or G) participating in the Ancillary Services market, in any one of the three product categories.		
			An Aggregation Type of 2 represents a group of Response Type C demand-side resources participating in the Ancillary Services market, in either the Spinning or Spinning & Regulation market product categories.	aggregation.)	
			An Aggregation Type of 3 represents a group of demand-side resources (Response Type B, C or G) participating in the Ancillary Services market, in the Non-Synchronous market product category.		
			An Aggregation Type of 4, represents an individual demand-side resource (Response Type B or G) participating in the Ancillary Services market, in the Non-Synchronous market product category.		



DSASP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	lf Modifiable, Approval Required?
Response Type	Ρ	Text Must be C, G, or B	 A single letter of the response type of the resource: C for Curtailable Load G for Generation B for Both or for Net-metered generation 	YES	YES
Spinning	Q	Text 1 character Must = Y or N	One of three market products in which a demand-side resource can participate. All resources within an aggregation must have the same response. Cannot be Y if another market product is also Y. Cannot be Y when Aggregation Type ID is 3.	YES (Can be modified via the import file ONLY when a resource does not have an active Submittal or is not part of a Qualified aggregation.)	NO
Spinning & Regulation	R	Text 1 character Must = Y or N	One of three market products in which a demand-side resource can participate. All resources within an aggregation must have the same response. Cannot be Y if another market product is also Y. Cannot be Y when Aggregation Type ID is 3.	YES (Can be modified via the import file ONLY when a resource does not have an active Submittal or is not part of a Qualified aggregation.)	NO
Non - Sync	S	Text 1 character Must = Y or N	One of three market products in which a demand-side resource can participate All resources within an aggregation must have the same response. Cannot be Y if another market product is also Y. Cannot be Y when Aggregation Type ID is 2.	YES (Can be modified via the import file ONLY when a resource does not have an active Submittal or is not part of a Qualified aggregation.)	NO



DSASP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Summer - Subscribed Load kW	т	Numeric Up to 6 digits No decimals	For resources with Response Type C or B, the Curtailment Declared value in kW/h must be greater than or equal to zero.	YES	NO
			For resources with Response Type G, must be either blank or zero.		
Summer - Subscribed Gen kW	U	Numeric Up to 6 digits No decimals	For resources with Response Type G or B, the Generation Declared value in kW/h must be greater than or equal to zero.	YES	NO
			For resources with Response Type C, must be either blank or zero.		
			For resources with Response Type G or B, the Generation Declared value in kW/h cannot be greater than the Generator Name Plate Rating.		
Winter - Subscribed Load kW	V	Numeric Up to 6 digits No decimals	For resources with Response Type C or B, the Curtailment Declared value in kW/h must be greater than or equal to zero.	YES	NO
			For resources with Response Type G, must be either blank or zero.		
Winter - Subscribed Gen kW	W	Numeric Up to 6 digits No decimals	For resources with Response Type G or B, the Generation Declared value in kW/h must be greater than or equal to zero.	YES	NO
			For resources with Response Type C, must be either blank or zero.		
			For resources with Response Type G or B, the Generation Declared value in kW/h cannot be greater than the Generator Name Plate Rating.		



DSASP Resource Field Name	Column in Import File	Field Format	Description and Rule(s)	Modifiable?	If Modifiable, Approval Required?
Direct Communication	X	Text 1 character	The type of communication path used by the demand- side resource to communicate to the NYISO. Must be Y when the resource is communicating directly with the NYISO. Must be N when the resource is communicating through	YES (Can be modified via the import file ONLY when a resource does not have an active Submittal	YES
			the Transmission Owner. All resources within an aggregation must have the same Direct Communication response.	or is not part of a Qualified aggregation)	



7.4. Importing the Resource Enrollment File

After creating a properly structured, formatted, and populated enrollment file, the MP must import the file to DRIS as the next step in the process to enroll resources.

Pre-requisites

- The MP is registered in MIS to participate in the specific DR programs in which it intends to enroll resources.
- The MP organization has one or more contacts assigned as an Admin contact type and one or more contacts assigned as an Event-Responder contact type in DRIS for the specific DR program.
- For SCR program and EDRP enrollment, the DRIS Event Calendar indicates that the Capability Period and month for which the MP intends to enroll resources are open for performing this task (refer to Section 2.1).
- The MP has created a properly structured, formatted, and populated .csv file for the EDRP or the DSASP or .xlsx or .xlsx file for the SCR program, EDRP, and DSASP for upload of resource data to the system, as outlined under Section 7.3.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
- Each enrollment file is limited to a maximum of 2,500 resources. To enroll more than 2,500 resources, the MP must upload separate files of no more than 2,500 individual resources. Uploads may be submitted sequentially after the prior file has finished uploading. Only one enrollment file should be uploaded to DRIS at a time.

To import a resource enrollment file

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 76).



Figure 76: Import/Export Page as Initially Displayed

Capability Period: Summer 2014	Display			
a mports				
SCR Resource Imports				
Provisional ACL Eligibility				
EDRP Resource Import				
E DSASP Resource Import				
E Resource Auction Sales				
Event Response				
Exports				
SCR Resource Exports				
Trovisional ACL Eligibility				
EDRP Resource Export				
DSASP Resource Export				
Energy Payments				
S Aggregation Performance Factors				

- From the corresponding filter near the top of the page, for SCR and EDRP choose the Capability Period for which resources are being enrolled. For DSASP, choose the current Capability Period.
- 3. Beside the Capability Period filter, click the **Display** button.

The system activates the middle frame and populates the lowermost frame with a list of import events for the Capability Period chosen at step 2.

 On the left side of the middle frame and under the Imports heading, click either SCR Resource Imports, EDRP Resource or DSASP Resource Import, depending on the program into which the resources are being enrolled.

The area to the right refreshes to display input components specific to the type of enrollment being performed (see Figure 77).



Figure 77: Import/Export Page Displaying Input Components Specific to SCR Resource Imports

	Demand Response Information System Imports/Exports
Main • MP • Resource • SCR • Performan Capability Period: Summer 2014	a Factors • DR Event • Mitigation • Tables • Notification • DSASP • BTM • splay
Grand State S	SCR Resource Imports Import Type: SCR Enrollments Month: May 2014 SCR Resource File: Select a file Browse Import

- 5. When importing SCR resources, choose **SCR Enrollments** from the **Import Type** drop-down filter on the right side of the middle frame.
- 6. When importing either SCR resources or EDRP resources, choose the applicable **Month** from the corresponding drop-down filter on the right side of the middle frame.
- On the right side of the middle frame, click the Browse button.
 The system displays a File Upload dialog box.
- 8. Via the File Upload dialog box, navigate to and choose the file containing the data for the resources being enrolled, then click the **Open** button.

The File Upload dialog box closes, and the system populates the Resource File field in the right pane of the middle frame with the name of the chosen file.

- Click the Import button, located in the lower-left corner of the right side of the middle frame.
 The system displays a dialog box summarizing the results of the import process (see Figure 78).
- 10. Review the import results and proceed accordingly, as outlined under Section 7.5.



File Name: SCR_Enrollments_05-01-2014.xis Records Added: 35 Start Time: 04/01/2014 14:06:08 Records Exception: 0 End Time: 04/01/2014 14:06:08 Records General Alerts: 0 Records Potential 0 Records Potential 0 Records Payment: 0 Records No Change: 0 sessage	File Name: SCR_Enrollments_06-01-2014.xls Records Addedi 35 Start Time: 04/01/2014 14:06:08 Records Exception: 0 End Time: 04/01/2014 14:06:08 Records General Alerts: 0 Records Potential 0 Records Potential 0 Records No Change: 0 0 0 Records No Change: 0 Seeptions	import Type:	SCR_Resource	Record Count:	35		
Start Time: 04/01/2014 14:06:08 Records Exception: 0 End Time: 04/01/2014 14:06:08 Records General Alerts: 0 Records Pending: 0 Records Payment: 0 Records Payment: 0 Records No Change: 0 seegeo	Start Time: 04/01/2014 14:06:08 Records Exception: 0 End Time: 04/01/2014 14:06:08 Records General Alerts: 0 Records Pending: 0 Records Potential 0 Records Potential 0 Records Payment: 0 Records Payment: 0 Records No Change: 0	File Name:	SCR_Enrollments_05-01-2014.xis	Records Added:	35		
End Time: 04/01/2014 14:06:08 Records General Alerts: 0 Records Potential 0 Mitigation: 0 Records Payment: 0 Records No Change: 0 seage	End Time: 04/01/2014 14:06:08 Records General Alerts: 0 Records Pending: 0 Records Potential Records Payment: 0 Records No Change: 0 ssage ssage ssage stage stage stage	Start Time:	04/01/2014 14:06:08	Records Exception:	0		
Records Pending: 0 Records Potential 0 Mitigation: 0 Records Payment: 0 Records No Change: 0 sesage	Records Pending: 0 Records Potential 0 Mitigation: Records No Change: 0 ssage septions: d Name Field Value Exception Code Unique Id	End Time:	04/01/2014 14:06:08	Records General Alerts:	0		
Records Potential 0 Mitigation: 0 Records Payment: 0 Records No Change: 0 ssage eptions Jame Field Value Exception Code Unique Id	Records Potential Mitigation: Records Payment: 0 Records No Change: 0			Records Pending:	0		
Records Payment: 0 Records No Change: 0	Records Payment: 0 Records No Change: 0			Records Potential Mitigation:	0		
Records No Change: 0 seage eptions: I hame Field Value Exception Code Unique Id	eptions I Name Field Value Exception Code Unique Id			Records Payment:	0		
eptions d Name Field Value Exception Code Unique Id	segge septions d Name Field Value Exception Code Unique Id			Records No Change:	0		
Id Name Field Value Exception Code Unique Id	ld Name Field Value Exception Code Unique Id						
		ceptions					
		ceptions Id Name	Field Value Except	ion Code		Unique Id	
		ceptions Id Name	Field Value Excepti	ion Code		Unique Id	
		cceptions ald Name	Field Value Except	ion Code		Unique Id	
		xceptions eld Name	Field Value Except	ion Code		Unique Id	

Figure 78: Sample Import Summary Dialog Box for Resource Enrollments

7.5. Monitoring and Managing Enrollment Results

Once the MP initiates import of the resource enrollment file, DRIS evaluates the file and displays a Summary dialog box detailing a number of statistics, including the following:

- *Record Count:* The number of resources reported for enrollment, which equates to the number of
 rows excluding the heading rows in the import file.
- *Records Added:* The number of enrollments successfully processed and added to the system, including the addition of new resources to DRIS and updates to existing resources in DRIS.
- *Records Exception:* The number of enrollments for which the system halted processing without importing due to exceptions (i.e., data errors, changes, or omissions).
- *Records General Alerts:* The number of resources in the enrollment file for which the system has generated an informational alert.
- *Records Potential Mitigation:* The number of added and/or pending enrollments requiring that additional Offer Floor information for the resource to be reported directly to NYISO Market Mitigation and Analysis for determination of a possible floor price to be used in the NYISO Installed Capacity Auction. These resources are denoted with an *Alert* (For further details, refer to Section 7.5.2.)



- *Records Pending:* The number of enrollments requiring further intervention. (For further details, refer to Section 7.5.3.)
- *Records No Change:* The number of enrollments for which data in the import file exactly matched data pre-existing in the system.
- *Records for Payment:* The number of resource responses to an event or test accepted into DRIS for possible energy payment. (For further details, refer to Section 12.7.2.)

If the displayed dialog box indicates that one or more rows have been designated as pending or as having an alert or exceptions, the MP must take further action to determine the reason(s) for the pending designation and/or the alert or exception(s) and take corrective action in order to proceed with the enrollment of resource(s).

Note: If instead of similar information to that illustrated in Figure 78, the *Message* pane in the Summary dialog box displayed by the system indicates that the import failed, no resource data will be imported. In order to proceed with the enrollment process in this case, the MP must rectify the errors in the file header, as outlined in the *Exceptions* pane of the dialog box, and report the revised file containing data for all resources. Section 7.3, provides guidance on creating a properly structured and formatted enrollment file.

7.5.1. Reviewing and Rectifying Resource Enrollment Exceptions

Whenever the MP attempts to import a resource enrollment file to DRIS, the system generates a report outlining the results of the process. If the data for one or more resources in the enrollment file contain exceptions (i.e., data errors, changes, or omissions) that prevent one or more resources from being imported to the system, the report details each exception on a separate line and identifies for each exception the resource ID or TO account number of the record containing the exception, the specific field containing the exception, the value supplied in the field containing the exception, and a message specifying the nature of the exception (see

Figure 79). The MP must review the report for purposes of creating and reporting a file containing

correct data for the resources in question, along with correct header data, prior to the deadline for

enrolling resources in the specific DR program as specified on the DRIS Event Calendar (refer to Section

2.1).

Note: Enrollment deadlines for DR programs specified on the DRIS Event Calendar pertain to the SCR program and the EDRP. Enrollment for DSASP can occur at any point in the calendar year.

Note: The MP may access the import report directly from the Summary dialog box displayed immediately following import by clicking the **Excel** button in the lower right-hand corner of the dialog box then taking the requisite steps to either open or save the corresponding file.



	A	В	C	D	E
1	File NamescrImportTest2.csv				
2	MP NameNYISO Market Participant				
3	User				
4	Upload Type	SCR_RESOURCE			
5	Capability Period	Winter 2010-2011			
6	Auction Month	Jan-11			
7	Start Date of Import	12/07/2010 12:44:11 EST			
8	End Date of Import	12/07/2010 12:44:25 EST			
9	Records in File	10			
10	Records Saved	6			
11	Records Pending Approval	1			
12	Records With Exceptions	3			
13	Records With Energy Payment	0			
14	Records With Potential InCity	1			
15	Records With No Change in DRIS	0			
16					
17	Reference #	Message Type	Field	Value Supplied	Message
18	Resource ID:200806	Alert	Resource ID	200806	ALERT: Resource 200806 is new to Zone J and may be subject to mitigation. Completed SCR paperwork must be sent to NYISO Market Monitoring.
19					
20					
21	Resource ID: 22998765	Exception	Generation Type ID	100	100 is not a valid Generation Type ID
22	Resource ID: 22998766	Exception	Subscribed Load	null	For Response Type C or B, Subscribed Load value must be greater than or equal to zero
23	Resource ID: 33498767	Exception	Declared Value	11400	The Declared Value 11400 cannot be greater than the calculated APMD 10480
24					
25					
26	Resource ID: 32099890	Pending	Street	300 Oak Ave	Detected Monitored Field Change: Old Value: '300 Elm Street' New Value: '300 Oak Ave', Creating an Enrollment Request

Figure 79: Sample Results Report for a Resource Enrollment File Import with Exceptions Highlighted

Pre-requisite

 The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To access the import report and manage related errors

Note: If the Summary dialog box displayed after import is open, the import report may instead be accessed by clicking the **Excel** button in the lower right corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 80).



Figure 80: Imports/Exports Page

Main • MP • Resource •	SCR .	Performance Factors •	DR Event •	Mitigation +	Tables •	Notification +	DSASP -	BTM +					
Capability Period: Summer 20	14	* Display											
= Imports													
SCR Resource Imports													
Provisional ACL Eligibility													
EDRP Resource Import													
E DSASP Resource Import													
Resource Auction Sales													
Event Response													
Exports													
SCR Resource Exports													
Provisional ACL Eligibility													
EDRP Resource Export													
DSASP Resource Export													
Energy Payments													
Aggregation Performance	Factors												
Aggregation UCAP Summa	ry Export												
Import History													
										Borot	Boror	Boror	

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for the previously reported enrollment file that generated the exception(s).
- 3. Beside the Capability Period filter, click the **Display** button.

The Import History frame at the bottom of the page refreshes to display a grid listing each import event for the chosen Capability Period (see Figure 81).





Figure 81: Populated Import History Grid on Imports/Exports Page

- 4. In the **Import History** grid, select the entry for the import event that generated the exception(s).
- 5. In the lower-right corner of page, click the **Export Exceptions** button.

The system displays a dialog box via which the import report can be saved or opened.

6. Take the requisite steps to either save or open the import report.

The report is either saved to the designated location or displayed on screen.

7. Review the report, correct all exceptions in the resource enrollment file, then import the updated file to DRIS.

Note: The import file containing corrections to exceptions may be re-imported to DRIS with only those resources having updates or with all resources from the original file. If the latter approach is taken, and any new resources were successfully enrolled in DRIS during the original import, the updated file must contain the Resource IDs newly generated by DRIS for those resources prior to re-importing the corrected file.

7.5.2. Reviewing and Acting on Resource Enrollment Alerts

When the MP attempts to import a resource enrollment file to DRIS, the system generates a report outlining the results of the process. One or more resources in the enrollment file may require that further information be reported or additional action be taken, or that the MP be made aware of specific circumstances. These resources will receive the message type of Alert on the Enrollment Exception report. Separate lines are provided for each Resource ID or TO Account Number of the record receiving the alert with a message specifying the nature of the alert (see Figure 82). Below are potential Alert messages returned by the system based on the program to which the resource is being enrolled.

Potential **Alert** messages for all programs:

Truncating Exception List to first 200

"Resource ID xxx received one or more Exceptions not listed on this report."

The Enrollment Exception report automatically truncates the message type of Exception at 200 records. The first 200 records signify the number of actual Exception messages rather than the number of resources which receive an Exception. A resource may receive one or more Exceptions and each of these increments to the total of 200 Exceptions. For resources which the system identifies as having errors after the initial 200, DRIS will assign the following Alert message: "Resource ID received one or more Exceptions not listed on this report."

To successfully view Exceptions past the first 200 identified by the system, the MP must correct the listed Enrollment Exceptions and re-import the file. Once imported, the new Enrollment Exceptions report will display **Exceptions** which were originally truncated.

Potential Alert messages for all programs:

Resource ID xxx has been assigned to resource with TO Account Number xxx

"When a resource is new to the MP organization but not new to DRIS, the system returns an Alert message providing the Resource ID."

Potential Alert message for the SCR program only:

Resource ID xxx is new to Zone J and may be subject to mitigation. Completed SCR paperwork
must be sent to NYISO Market Monitoring

The additional information for the SCR resource must be reported directly to NYISO Market Mitigation and Analysis by the deadline stated on the DRIS Event Calendar (refer to Section 2.1). These resources may have otherwise been successfully processed and added into DRIS or may have been earmarked for review by the NYISO in regard to other SCR enrollment data.

Potential **Alert** message for the DSASP only:

 Resource ID is enrolled in a NYISO demand response reliability program with another Market Participant



When a DSASP Demand Side Resource is first imported into DRIS and the resource is also enrolled in a Reliability Program (SCR or EDRP), with a different Market Participant, DRIS notifies the MP.

The MP must review the report for purposes of identifying the alerted resources and report the additional Offer Floor information to NYISO Market Mitigation and Analysis or handle the listed Exceptions and re-import the Enrollment file. The Alert message of a Resource ID or of a resource which has an enrollment in both DSASP and a Reliability Program does not require action and is provided solely for informational purposes.

Note: The MP may access the import report directly from the Summary dialog box displayed immediately following import by clicking the **Excel** button in the lower right-hand corner of the dialog box, then taking the requisite steps to either open or save the corresponding file.

					-
_	A	В	C	U	E
1	File NamescrImportTest2.csv				
2	MP NameNYISO Market Participant				
3	User				
4	Upload Type	SCR_RESOURCE			
5	Capability Period	Winter 2010-2011			
6	Auction Month	Jan-11			
7	Start Date of Import	12/07/2010 12:44:11 EST			
8	End Date of Import	12/07/2010 12:44:25 EST			
9	Records in File	10			
10	Records Saved	6			
11	Records Pending Approval	1			
12	Records With Exceptions	3			
13	Records With Energy Payment	0			
14	Records With Potential InCity	1			
15	Records With No Change in DRIS	0			
16	-				
17	Reference #	Message Type	Field	Value Supplied	Message
18	Resource ID:200806	Alert	Resource ID	200806	ALERT: Resource 200806 is new to Zone J and may be subject to mitigation. Completed SCR paperwork must be sent to NYISO Market Monitoring.
19					
20					
21	Resource ID: 22998765	Exception	Generation Type ID	100	100 is not a valid Generation Type ID
22	Resource ID: 22998766	Exception	Subscribed Load	null	For Response Type C or B, Subscribed Load value must be greater than or equal to zero
23	Resource ID: 33498767	Exception	Declared Value	11400	The Declared Value 11400 cannot be greater than the calculated APMD 10480
24					
25					
26	Resource ID: 32099890	Pending	Street	300 Oak Ave	Detected Monitored Field Change: Old Value: 300 Film Street' New Value: 300 Oak Ave', Creating an Enrollment Request

Figure 82: Sample Results Report for a Resource Enrollment File Import with Alerts Highlighted

Pre-requisite

• The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To access the import report and manage related errors

Note: If the Summary dialog box displayed after import is open, the import report may instead be accessed by clicking the **Excel** button in the lower right corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 83).



Figure 83: Imports/Exports Page

	Y YORK EPENDENT TEM OPERAT	anorrowToday	Demand R	esponse ports	e Inform	ation	System										
Main - MP - Resource	• SCR •	Performance Fa	actors - DR Event -	Mitigation +	Tables - N	Iolification +	DSASP +	BTM +									
Capability Period: Summe	r 2014	✓ Displa	ay														
Imports SCR Resource Imports SCR Resource Imports Drawicional ACL Eliability																	
EDRP Resource Impor	t et																
E Resource Auction Sale	s																
SCR Resource Exports																	
EDRP Resource Expon	ity																
Energy Payments	nt ann Factors																
Aggregation UCAP Sur	nmary Export																
Import History																	
Import Type	MP		File Name	1	import Start Date	e Imp	port End Date	R	ec 0,	Recor Added	Recor Excep	Recor Pendi	Recor Energy Paym	Recor General Alerts	Recor Poten Mitig	Recor No C	
SCR_Resource_Enro	li Mari	ket Participant	SCR-Enrollment	04-25-2014 0	4/25/2014 14:	56:08 04/	/25/2014 14:56	5:08	2	2	(0 0	0			0
Page 1 of 1	· 1 2													Displaying	1 - 20 of 20	Export	Exception

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for the previously reported enrollment file that generated the exception(s).
- 3. Beside the Capability Period filter, click the **Display** button.

The Import History frame at the bottom of the page refreshes to display a grid listing each import event for the chosen Capability Period (see Figure 84).




Figure 84: Populated Import History Grid on Imports/Exports Page

- 4. In the **Import History** grid, select the entry for the import event that generated the exception(s).
- 5. In the lower-right corner of page, click the **Export Exceptions** button.

The system displays a dialog box via which the import report can be saved or opened.

6. Take the requisite steps to either save or open the import report.

The report is either saved to the designated location or displayed on screen.

 Review the report and report the necessary additional Offer Floor information to NYISO Market Mitigation and Analysis or handle the listed Exceptions and import again.

7.5.3. Monitoring Resource Enrollment Requests

Note: Resource enrollments receiving a *Pending* enrollment request designation, may be viewed on the import results report. This report is a result of the assignment of a *Pending* designation at the time of the enrollment import and is not subsequently updated when a *Pending* enrollment request is either *Approved*, *Denied*, or *Canceled* within the DRIS application.

Under certain circumstances, the data for one or more resources in an enrollment file may meet all requirements for import to DRIS but may not result in automatic enrollment of the resource(s) in question. This may happen for either or both of the following reasons:



 Duplicate Enrollment – The resource is already enrolled in either the same program or a mutually exclusive program in the same Capability Period with a different MP.

Note: When there is an attempt to enroll an SCR or EDRP resource that is already enrolled in the same Capability Period and month with another MP in either the same or a mutually exclusive program, DRIS assigns a *Pending* request status to both the incoming enrollment request and the existing enrollment of that resource. Duplicate enrollment conditions are addressed through a manual process that includes contact with both MPs affected by the duplicate enrollment. When this occurs, the resource cannot participate with any MP until the matter it is resolved. Accordingly, it is advisable for MPs to monitor pending requests on a regular basis subsequent to enrolling resources.

Note: A duplicate enrollment occurs for DSASP when an MP attempts to enroll a resource that is already enrolled in DSASP with another MP. DRIS will assign a *Pending* request status to both the incoming enrollment request and the existing enrollment of that resource Duplicate enrollment conditions are addressed through a manual process that includes contact with both MPs affected by the duplicate enrollment. When this occurs, the resource cannot participate with the MP causing the duplicate enrollment situation but may continue to participate with the original MP, until the matter is resolved. Accordingly, it is advisable for MPs to monitor pending requests on a regular basis subsequent to enrolling resources.

Monitored Fields –One or more data values that pre-exist for the resource in DRIS due to current or
previous enrollment and that DRIS monitors for change across enrollments has changed in the most
recently provided enrollment file. (Figure 85 and Figure 86 outlines the fields DRIS monitors for
changes and their applicability by DR program.)

Note: Even seemingly minor differences between pre-existing and newly provided data, such as extraneous spaces between words and inconsistent capitalization or punctuation, are considered changes to monitored field data.

If a change is made to a monitored field, the enrollment request status is *Pending* until the NYISO reviews the monitored field and approves or denies the enrollment request. The MP may also cancel a pending enrollment request for monitored fields, as outlined under Section 7.5.3.1.

Request for ACL Data – The RIP which is enrolling the resource has requested to use pre-existing metered load data contained in DRIS to calculate an ACL. The window for the MP to accept a request for ACL data falls after the close of enrollment and before the close of aggregation management task (refer to Section 2.1). Section 7.5.3.2 outlines the process for handling a resource enrollment request for ACL data.

Note: RIPs may only enroll a resource with a Request for ACL Data when there is sufficient preexisting metered load data to calculate an ACL for the Capability Period.

Prior to enrollment with a Request for ACL Data, the RIP will be afforded the opportunity to check the eligibility of a resource to enroll with either a Provisional ACL or a Request for ACL Data through the Provisional ACL Eligibility Import. The enrolling RIP may check on this eligibility at any time during the enrollment period for the Auction Month selected, section 7.1 outlines the process for importing the Provisional ACL Eligibility file.

Each occurrence, other than a Request for ACL Data, of the above scenarios results in a resource enrollment request earmarked for review by the NYISO. The affected SCR or EDRP resource(s) cannot

participate until action is taken on the enrollment request. The DSASP resource may continue participating until action is taken on the enrollment request. Once action is taken on the enrollment request, the DSASP resource may continue participating, may be separated from the MP portfolio, or may require additional action by the MP. The system tracks all enrollment requests for MP review and follow-up, as necessary.

Fields Monitored for both SCR and EDRP Enrollments
Zone
Transmission Owner
Transmission Owner Account Number
Resource Facility Street
Resource City
Resource Zip Code
Response Type*
Fields Monitored Only for SCR Enrollments
Generator Type ID
Generator Name Plate Rating
ACL kW for Peak Load Date Hour 1 * through ACL kW for Peak Load Date Hour 40 *
TO Service Voltage ID
Calculated ACL kW (ACL kW value calculated by DRIS from the Top 40 ACL kW Peak Load values imported on enrollment file)

Figure 85: Reliability Program Fields Monitored by DRIS for Changes

Figure 86: Economic Program Fields Monitored by DRIS for Changes

Fields Monitored for DSASP Enrollments
Resource Facility Street
Resource City
Resource Zip Code
Generator Type ID
Generator Name Plate Rating
Response Type
Direct Communication



Pre-requisites

- The MP previously imported to DRIS a resource enrollment file that resulted in one or more resource enrollment requests.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To monitor resource enrollment requests

1. From the **Main** menu, choose **Dashboard**.

The system opens the Dashboard page, where the uppermost, side-by-side panes display the number of enrollment requests by status category in the following order by request type, SCR EDRP, Documentation Vault, DSASP and total DSASP Qualified MWs. (see Figure 87).

Note: Status categories displayed for SCR, EDRP and DSASP Enrollment requests are:

OPending (for requests earmarked for and awaiting NYISO review),

OMP Action Required (for requests to use existing ACL data by the RIP, for the resource, where no other pending requests exist),

O*Approved* (for previously pending requests that have been reviewed and accepted by the NYISO),

ODenied (for previously pending requests that have been reviewed and rejected by the NYISO),

ODuplicate (the resource is already enrolled in either the same program or a mutually exclusive program in the same Capability Period with a different MP),

OCanceled (for previously pending requests that have been canceled by the MP that attempted the enrollment). The numbers displayed for each category are dynamic such that they change to reflect the outcome of request processing as the process unfolds. SCR and EDRP requests are displayed for the Current Capability Period and month while DSASP requests are displayed for a rolling 30-day period.



Main + MP +	Resource - SCR - Perfor	mance Factors · DR Event ·	Mitigation - Tables -	Notification	- DSASP - BT	- N		
SCR		EDRP			Documentation Va	ult	DSASP	
Capability Period:	Winter 2020-2021	Capability Period:	Winter 2020-2021		Enrollment		Enrollment Reque	sts
Auction Month:	March 2021	Month:	March 2021		NYISO Awaiting:	0	Pending:	0
Enrollment Reque	sts	- Enrollment Reques	ts		MP Awaiting:	0	Approved:	0
Pending:	0	Pending:	0		- Verification		Denied:	0
Approved:	0	Approved:	0		NYISO Awaiting:	0	Cancelled:	0
Denied:	0	Denled:	0		MP Awaiting:	0	View Requests	
Cancelled:	0	Cancelled:	0				Qualified MWs	
MP Action Req'd:	0	View Requests			View Documentation	Requests	Summer:	
View Requests		Enroliments					Winter:	
Enrollments		Under Review:	0					
Under Review:	1							
Aggregation Regu	ests							
Pending:	0							
Approved:	0							
Denied:	0							
Cancelled:	0							

Figure 87: Dashboard Illustrating Enrollment Requests by Category and DSASP Qualified MWs

Note: The Qualified MWs displayed represent the DSASP Provider's total seasonal MW participation of active DSASP Resource(s) in the Demand-Side Ancillary Services Market.

- 2. For Documentation Vault, click the **View Documentation Requests** button. The system displays the Documentation vault page (see figure 116).
- 3. For SCR, EDRP, and DSASP programs click the **View Requests** button in the upper most panes.

The system displays the Resource Enrollment Requests page.

Note: The Resource Enrollment Requests page also may be accessed via the **Resource** menu and **Enrollment Requests** option.

- For the SCR program and EDRP, from the corresponding filter near the top of the page Resource Enrollment Requests page, choose the Capability Period encompassing the enrollment requests to be viewed.
- 5. Optionally, further limit the scope of the resource enrollment requests to be viewed to a specific **Resource**, **Month**, **Program**, **Reason for Request** (All, Monitored Field, Duplicate Enrollment, Request for ACL Data) **Submittal From Date**, and/or **Status** (i.e., *Pending*) by choosing the applicable option(s) from the corresponding search filter(s) near the top of the page (see Figure 88).



6. Click the **Display** button.

The Enrollment Requests Summary frame refreshes to display a grid of resource enrollment requests meeting the criteria selected at steps 4 and 5, where whether the request resulted due to a **Duplicate Enrollment**, a change in **Monitored Fields and/or a Request to Use Existing ACL Data**, is indicated by a *checkbox* in the corresponding columns (see Figure 88).

Figure 88: Resource Enrollment Requests Page Showing One Request

MP Na	me:	MP 12	3 🗸	Resource	e ID:		Y Capabil	ity Period:	Winter 2021	-2022	Y Program:		v	Reason for Reque
Submitt	al From Da	te:	⊡ ×					Month:			Y Status:		~	Doc Vault Stat
Enrolime	nt Request	ts Summary												
MP	Resource ID	Resource Name	Begin Effective Date	Progr	Duplicate or Monitored Status	Use Existing ACL Data Status	Duplicate Enrollme	Monitored Fields	Use Existing ACL Data	Doc Vault Status	Comments	Submittal Date	Subn By	nitted
MP 123	207076	RID 1	03/01/2019	SCR	Pending			7						01/22/2022

Note: The column "Doc Vault Status" on the DRIS Resource Enrollment Request page also identifies documentation requests. This is where an MP can view whether a resource enrollment request requires any action from the MP or the NYISO.

7. Proceed as applicable based on the reason(s) for the displayed request(s).

If the request was created due to a change in monitored fields, click the row housing the request. The Resource Request Details frame in the lowermost area of the page refreshes to display a grid with two rows, where the upper row reflects complete data for the pre-existing resource enrollment (the status of which for SCR or EDRP is now set to *Under Review*), and the lower row from the Zone column over toward the right reflects only the monitored data that differs from the pre-existing data and, therefore, resulted in the request (see Figure 89). In addition, the **Reason for Pending Request** column details the fields monitored for change, displaying the current value and the value requested for change.



Note: The data displayed in the Resource Request Details frame can be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the Resource Enrollment Requests page, then via the displayed dialog box, take the requisite steps to either open or save the file.

OR

• If the request was created due to a duplicate enrollment condition, whether occurring alone or in combination with a change in monitored fields or request for ACL data, proceed directly to step 8.

OR

• If the request was created due to a request for ACL data enrollment condition, whether occurring alone or in combination with a change in monitored fields or duplicate enrollment, proceed directly to step 9.

MP	Resource ID	Resource Name	Begin Effective Date	Program	Duplicat Monitor Status	ed Use Existence Status	sting Dup a Enrol	licate M Iment	onitored Fields	Use Existing ACL Data	Doc Vault Status	Comments	Submittal Date
MP 123	207076	RID 1	03/01/2019	SCR	Pending)	[7				01/22/201
_													
Enrollme	ent Requests	Details											
Enrollm MP	ent Requests Resource II	Details O Resource N	lame Begir	Effective	Program	Duplicate or Monitored	Use Existing ACL Data	Duplicate Enrollme	Monitore Fields	ed Use Existin	Doc ng Vault	Rea: Pen	sons for ding Request
MP 123	Resource II	Details Resource N RID 1	lame Begin	Effective	Program	Duplicate or Monitored Status	Use Existing ACL Data Status	Duplicate Enrollme	Monitore Fields	ed Use Existin ACL Da	Doc Vault ata Statu	s Rea	sons for ding Request

Figure 89: Resource Enrollment Requests Page Showing Changes to Monitored Fields

- 8. Proceed as applicable, based on the reason(s) for the displayed request(s).
 - If the request was created due to a change in monitored fields, review the details of the request as displayed in the Resource Request Details frame and the Reason for Pending Request column to determine whether to cancel the request (see Section 7.5.3.1) or await action by the NYISO.

- If the request was created due to a duplicate enrollment condition, whether occurring alone or in combination with a change in monitored fields, await action by the NYISO.
- 9. Proceed as applicable, based on the reason(s) for the displayed request(s).
 - If the request was created due to a change in monitored fields, review the details of the request as displayed in the Resource Request Details frame and the Reason for Pending Request column to determine whether to cancel the request (see Section 7.5.3.1) or await action by the NYISO.

OR

- If the request was created due to a request for ACL data condition, whether occurring alone or in combination with a change in monitored fields or duplicate enrollment, await action by the NYISO and the close of the enrollment period. Section 7.5.3.2 details the steps for viewing, approving and declining a resource enrollment request for ACL data.
- 10. Optionally, download the Enrollment Request Summary data by clicking the drop-down arrow beside the **Display** button near the top of the Resource Enrollment Requests page and choosing **Download**, then via the displayed dialog box taking the requisite steps to either save or open the resulting file.

A file containing Enrollment Request Summary data for all enrollments matching the criteria specified at steps 4 and 5 is either saved to the designated location on the user's computer or displayed on screen.

Note: If the file is saved, it is named according to the convention Resource_Enrollment_ Request_[download date in mm-dd-yyyy format].csv, where bracketed content is replaced with actual values to result in a file name such as Resource_Enrollment_Request_05-19-2010.csv.

7.5.3.1. Canceling Pending Resource Enrollment Requests

The MP has the option of canceling an individual resource enrollment request designated as pending due to a change in monitored fields or a request for ACL data, as long as that same request was not also identified by DRIS as a duplicate enrollment attempt. The action of cancelling an enrollment request also cancels the associated open documentation requests.

The MP may also cancel "all" resource enrollment requests designated as pending due to a change in monitored fields or a request for ACL data, as long as those same requests were not also identified by DRIS as duplicate enrollment attempts. "All" resource enrollment requests are those resource enrollment requests appearing on the first page returned in the Enrollment Request Summary pane based on userdesignated search parameters. Any search parameters that return resource enrollment requests exceeding the space available in a single the grid will be broken across separate pages, as reflected in the bottom status bar. Under such circumstances, canceling all requests must be done on a per page basis.

When a pending request is canceled, the system retains all information related to the request for subsequent review but nullifies the enrollment attempt, thereby leaving active the most recently approved enrollment of the resource, provided the resource does have an approved enrollment. For SCR and EDRP, when an enrollment for the Capability Period does not exist, the resource remains un-enrolled for the Capability Period until the MP attempts another import for resource enrollment.

Note: Depending on the reason for cancelling a pending resource enrollment request, the MP may be required to take additional action. For example, if the MP unintentionally changed monitored data for a previously enrolled SCR or EDRP resource and needs to either change non-monitored data for the resource or re-enroll the resource for a new Capability Period, the MP *must correct the monitored data in the enrollment file and import the updated file to DRIS*. This file must contain data for the resource(s) that generated the resource enrollment request(s) due to monitored fields along with the required header data and for SCR and EDRP must be imported prior to the applicable enrollment deadline, as specified on the DRIS Event Calendar (refer to Section 2.1).

Pre-requisites

- The MP previously imported to DRIS a resource enrollment file that resulted in one or more pending resource enrollment requests.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To cancel an individual pending resource enrollment request

Note: If the Resource Enrollment Requests page has already been opened and the pending resource enrollment request to be canceled located, skip to step 6.

1. From the **Main** menu, choose **Dashboard**.

The system opens the Dashboard page, where the uppermost, side-by-side panes display the number enrollment requests by status category, with the left pane devoted to SCR requests, the middle pane devoted to EDRP requests and the right most pane devoted to DSASP requests and total DSASP Qualified MWs (refer to Figure 87).



Note: The status categories displayed for SCR, EDRP and DSASP requests are *Pending* (for requests earmarked for and awaiting NYISO review), *Approved* (for previously pending requests for monitored fields or duplicate enrollments that have been reviewed and accepted by the NYISO and requests for ACL data that have been accepted by the MP), *Denied* (for previously pending requests that have been reviewed and rejected by the NYISO), *Canceled* (for previously pending requests that have been canceled by the MP) or *Awaiting MP Action* (for requests earmarked for and awaiting MP review for ACL data requests). The numbers displayed for each category are dynamic such that they update to reflect the outcome of request processing as the process unfolds. SCR and EDRP requests are displayed for the Current Capability Period and month while DSASP requests are displayed for a rolling 30-day period.

 In the uppermost pane corresponding to the DR program in which the MP attempted the resource enrollment that generated the pending request(s) to be canceled, click the View Requests button.

The system displays the Resource Enrollment Requests page (refer to Figure 88).

Note: The Resource Enrollment Requests page also may be accessed via the **Resource** menu and **Enrollment Requests** option.

- 3. For the SCR program and EDRP, from the corresponding search filter near the top of the page, choose the **Capability Period** encompassing the pending resource enrollment request(s) to be canceled.
- 4. Optionally, further limit the scope of resource enrollment requests to view:
 - To view requests for only a specific Resource, Month, Submittal From Date, Program, Reason for Request (i.e., Monitored Fields) and/or Status (i.e., Pending), choose the applicable option(s) from the corresponding search filter(s) near the top of the page (refer to Figure 88), then proceed to step 5.

OR

- To view all requests for the chosen Capability Period, proceed directly to step 5.
- 5. Click the **Display** button.

The Enrollment Requests Summary frame refreshes to display a grid of resource enrollment requests meeting the criteria selected at steps 3 and 4, where whether the request resulted due to a **Duplicate Enrollment**, a change in **Monitored Fields** and/or a request to **Use Existing ACL Data** (**Request for ACL Data**) is indicated by a checkbox in the corresponding columns.

- 6. In the Enrollment Requests Summary frame, click the entry for the enrollment request that was designated as pending due to a change in a monitored field or a request for ACL data and is now to be canceled.
- 7. In the Enrollment Request Details frame, click the entry for the enrollment request that was designated as pending (as reflected in the *Status* column) due to a change in a monitored field or a request for ACL data and is now to be canceled.
- 8. In lower-right corner of the Resource Enrollment Requests page, click the Cancel button (see Figure 90).

The system changes the status of the request from *Pending* to *Canceled*, as reflected in the *Status* column in both the Enrollment Requests Summary frame and the Resource Request Details frame, while also increasing the number of *Canceled* enrollment requests and decreasing the number of *Pending* enrollment requests by 1 on Dashboard.

Figure 90: Resource Enrollment Request Page Highlighting Cancel Button

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Enrolment Requests	Summery	_				_	_		-						_	Devilad)
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To cancel all pending resource enrollment requests



Note: If the Resource Enrollment Requests page has already been opened and the pending resource enrollment requests to be canceled located, skip to step6.

1. From the **Main** menu, choose **Dashboard**.

The system opens the Dashboard page, where the uppermost, side-by-side panes display the number enrollment requests by status category, with the left pane devoted to SCR requests, the middle pane devoted to EDRP requests and the right most pane devoted to DSASP requests and total DSASP Qualified MWs.(refer to Figure 87).

Note: The status categories displayed for, SCR, EDRP and DSASP requests are *Pending* (for requests earmarked for and awaiting NYISO review), *Approved* (for previously pending requests for monitored fields or duplicate enrollments that have been reviewed and accepted by the NYISO and requests for ACL data that have been accepted by the MP), *Denied* (for previously pending requests that have been reviewed and rejected by the NYISO), *Canceled* (for previously pending requests that have been canceled by the MP) or Awaiting MP Action (for requests earmarked for and awaiting MP review for ACL data requests). The numbers displayed for each category are dynamic such that they update to reflect the outcome of request processing as the process unfolds. SCR and EDRP requests are displayed for the Current Capability Period and month while DSASP requests are displayed for a rolling 30-day period

In the uppermost pane corresponding to the DR program in which the MP attempted the resource enrollment that generated the pending request(s) to be canceled, click the View Requests button.

The system displays the Resource Enrollment Requests page (refer to Figure 88).

Note: The Resource Enrollment Requests page also may be accessed via the **Resource** menu and **Enrollment Requests** option.

- 3. When electing the option to Cancel All, choose the **Capability Period**, **Month**, **and Program**, from the search filter near the top of the page, encompassing the pending resource enrollment request(s) to be canceled.
- 4. Optionally, further limit the scope of resource enrollment requests:
 - To view requests for only a specific Resource, Reason for Request (i.e., Monitored Fields) and/or Status (i.e., Pending), choose the applicable option(s) from the corresponding search filter(s) near the top of the page (refer to Figure 88), then proceed to step 5.

OR

• To view all requests for the chosen Capability Period, proceed directly to step 5.



5. Click the **Display** button.

The Enrollment Requests Summary frame refreshes to display a grid of resource enrollment requests meeting the criteria selected at steps 3 and 4, where whether the request resulted due to a **Duplicate Enrollment** a change in **Monitored Fields and/or a request to Use Existing ACL Data (Request for ACL Data)** is indicated by a checkbox in the corresponding columns (see Figure 91).

6. In the lower-right of the status bar beneath the Enrollment Requests Summary frame, click the **Cancel All** button (see Figure 91).

The system displays a confirmation prompt via the user must indicate whether to complete the Cancel All action (see Figure 92).



Monthe May 2014 v Status Data Submittal From Date: K Main Resource Bito Resource Data Resource Effective Resource Effective <thresource< th=""> Resource Resource<th>MP Name: Marke</th><th>t Participa</th><th>int × Res</th><th>ource ID:</th><th></th><th>× 0</th><th>apability Period:</th><th>Summer 2</th><th>014</th><th>Y Program</th><th>SCR.</th><th>41</th><th>Reason for</th><th>Request:</th><th>Request for ACL D</th><th>a v</th><th></th><th></th></thresource<>	MP Name: Marke	t Participa	int × Res	ource ID:		× 0	apability Period:	Summer 2	014	Y Program	SCR.	41	Reason for	Request:	Request for ACL D	a v		
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Figure 91: Resource Enrollment Request Page Displaying the Option to Cancel All

Figure 92: Confirmation Prompt Displayed When Electing to Cancel All

ubmittal From Date:					100	capacianty Period:	Summer 2	014 4	Program:	SCR	· · · ·	Reason for Request: Request for ALL Da			
administer i Form ander		× 3.				Month:	May 2014	1	Status:		*		Deplay	•	
rollment Requests Su	ummary						-								
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7. Choose **Yes** in the confirmation prompt.

The system changes the status of the *Pending* requests from *Pending* to *Canceled*, as reflected in the *Status* column in the Enrollment Requests Summary frame, while also increasing the number of *Canceled* enrollment requests and decreasing the number of *Pending* enrollment requests by corresponding numbers on the Dashboard.

Note: When electing to Cancel All Enrollment requests the system will only cancel those enrollment requests with a Pending Request Status and those which are not also associated with a Duplicate Enrollment Condition



7.5.3.2. Processing ACL Data Requests

The MP has the option of approving or declining a request for ACL data enrollment request designated as pending during the period between the close of enrollment and the close of aggregation management, as long as that same request was not also identified by DRIS as a change to a monitored field or a duplicate enrollment attempt which has yet to be acted upon by the NYISO.

The MP request for ACL data will remain in a status of **Pending** until such time that all other enrollment requests have been satisfied, associated with the resource. When the ACL data request for the resource is the sole **Pending** request remaining for the resource and the enrollment period has closed but the aggregation management window has yet to close, the MP will have the ability to both view and take action on the enrollment request.

When a pending enrollment request to request ACL data becomes available to the MP to take action on, the status of **MP Action Required** will be assigned to the resource enrollment request. The resource request for ACL data enrollment request will remain in this status until the close of aggregation management, at which point it will be assigned a status of **Declined** or **Denied**, based upon the MP's ability to have viewed the resource information.

Note: When the period for the MP to take action on an enrollment request for ACL data has expired, DRIS will automatically assign a status of either **Declined** or **Denied** to the resource. The resource enrollment request will be assigned a status of **Declined** at this point, should the MP view and choose to take no action on the enrollment request for ACL data. The resource enrollment request will be assigned a status of **Denied** at this point, should the opportunity to view the resource enrollment request for ACL data.

Note: When there are outstanding combinations of a resource enrollment request for ACL data and Monitored Fields or Duplicate enrollment, the MP will not have the opportunity to view the request for ACL data until all other pending enrollment requests associated with that resource, have been approved.

Note: Depending on the outcome of the situation where the resource has a combination of both a resource enrollment request for a duplicate enrollment and a request for ACL data, the MP may not have the ability to approve or decline the resource enrollment request for ACL data.

Pre-requisites

• The MP previously imported to DRIS a resource enrollment file that resulted in one or more

pending resource enrollment requests for a request for ACL data.

- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
 - **To view a pending resource enrollment request for ACL data**



Note: If the Resource Enrollment Requests page has already been opened and the pending resource enrollment request for ACL data located, skip to step 6.

1. From the **Main** menu, choose **Dashboard**.

The system opens the Dashboard page, where the uppermost, side-by-side panes display the number enrollment requests by status category, with the left pane devoted to SCR requests, the middle pane devoted to EDRP requests and the right most pane devoted to DSASP requests and total DSASP Qualified MWs (refer to Figure 87).

Note: The status categories displayed for SCR, EDRP and DSASP requests are *Pending* (for requests earmarked for and awaiting NYISO review), *Approved* (for previously pending requests for monitored fields or duplicate enrollments that have been reviewed and accepted by the NYISO and requests for ACL data that have been accepted by the MP), *Denied* (for previously pending requests that have been reviewed and rejected by the NYISO), *Canceled* (for previously pending requests that have been canceled by the MP) or *Awaiting MP Action* (for requests earmarked for and awaiting MP review for ACL data requests). The numbers displayed for each category are dynamic such that they update to reflect the outcome of request processing as the process unfolds. SCR and EDRP requests are displayed for the Current Capability Period and month while DSASP requests are displayed for a rolling 30-day period.

2. In the uppermost pane corresponding to the SCR program, click the **View Requests** button.

The system displays the Resource Enrollment Requests page (refer to Figure 88).

Note: The Resource Enrollment Requests page also may be accessed via the **Resource** menu and **Enrollment Requests** option.

- For the SCR program, from the corresponding search filter near the top of the page, choose the Capability Period encompassing the pending resource enrollment request(s) to be viewed.
- 4. Further limit the scope of resource enrollment requests to view only requests for ACL data:
 - To view enrollment requests for only requests for ACL data, choose the search filter criteria specific to the enrollment type, Month; month of enrollment request, Program; SCR, Reason for Request; Request for ACL Data and Status; Pending from the corresponding search filter(s) near the top of the page (refer to Figure 93), then proceed to step 5.

OR

5. Click the **Display** button.

The Enrollment Requests Summary frame refreshes to display a grid of resource enrollment requests meeting the criteria selected at steps 3 and 4, where whether the request resulted due to a **Duplicate Enrollment or a** change in **Monitored Fields** and a request to **Use Existing ACL Data (Request for ACL Data)** is indicated by a checkbox in the corresponding columns.

MP Measure Market Participant M Resource ID: Capability Period: Submittal From Date: Resource for Request:	Main + MP + Reso	ource + SCI	R* Performan	ce Factors + DR	Event · Mit	ligation + T	ables + Notificat	ion + DSASI	P• 81M•	14.00.5							_
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Market Partopant 1/22456789 Resource 1 0501/2014 5CR Awaing W Acit III Partopant 1/22456789 Resource 2 0501/2014 5CR Pending III III III III III III IIII IIII II	(P	Resource ID	Resource Name	Begin Effective Date	Progr	Duplicate or Monitored Status	Use Existing ACL Data Status	Duplicate Enrollme	Monitored Fields	Use Existing C ACL Data	omments	Submittal Date	Submitte By	d Duplicat	te or Monitored Status	Use E Status	Status
Market Participant 101112131 Resource 2 00.01/2014 SCR Panding Participant 201192014 MP Use	larket Participant	123456789	Resource 1	05/01/2014	SCR		Awating MP Act	-	11	121		03/19/2014	MP U	in all the second secon	about of	03/19/2014	MP Use
Page 1 of 1 Page 1 of 1 Pa	larket Participant	101112131	Resource 2	05/01/2014	SCR		Pending	17	PT -	V		03/19/2014	MP U	147		03/19/2014	MP Use
AP Resource Name TO Account Num Begin Effective. Progr. Monitored Status AP Resource Name TO Account Num Begin Effective. Progr. Monitored Status Status Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Laws Status Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. Call Market Parologant 12340759 Resource 1 X12340759 05/01/2014 SCR Awating UP Act. I Park Awating UP Act. I P																	
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Market Parlogant 12345059 Resource 1 X12245059 0501/2014 SOR J CEC 1 Park Ave S New York Market Parlogant 12345059 Resource 1 X12345059 0501/2014 SOR Asalong MP Acto I Park Ave S New York	Page 1	of 1	1.2												Displa	rying 1 - 2 of 2	Cancel
Market Parlosanti 12345058 Resource 1 x123450589 0501/2014 SCR Awating MP Acts 🔲 🗹 Awating MP Action y CEC 1 Park Ave S New York	Page 1 inrollment Request	of 1 ts Details esour Ret	source Name	TO Account Num	Begin Effecti	ve_ Progr	Duplicate or Monitored Status	Use Existing ACL Data Status	m Duplicate Enrollme	Monitored Fields	Use Existing ACL Data	Reasons for Pending Request	Zone	Transmission Ow	Displa Facility Street	iving 1 - 2 of 2 Facility Ot	Gancel A
	Page 1 Inrollment Request ap R Market Participant 1	of 1 s Details esour Res 23456759	source Name Resource 1	TD Account Num X123490789	Begin Effects	ve_ Progr	Duplicate or Monitored Status	Use Existing ACL Data Status	m Duplicate Enrollme	Monitored Fields	Use Existing ACL Data	Rassons for Pending Request	Zone	Transmission Ow CEC	Displa Facility Street 1 Park Ave S	rying 1 - 2 of 2 Facility Ot New Yor	Canoel A

Figure 93: Searching for Resource Enrollment Requests for ACL Data

- In the Enrollment Requests Summary frame, click the entry for the enrollment request that was designated as pending due to a request for ACL data and now has a status of Awaiting MP Action, in the Use Existing ACL Data field column.
- 7. In the Enrollment Request Details frame, click the entry for the enrollment request that was designated as Awaiting MP Action (as reflected in the *Use Existing ACL Data Status* column) due to a request for ACL data and is now to be viewed.
- In the lower-right corner of the Resource Enrollment Requests page, click the View Resource ACL button (see Figure 94).
- 9. The system prompts the user with the View Resource ACL window (see Figure 95).



Figure 94: View Resource Enrollment Request for ACL Data Button

IS		AR NDENT OPERATOR National Difference T	Den Res	and I source E	Respon	Requests	nation	Syste	m							
Main • MP • Res	iource + SCR	R. Performance	Factors • DR I	Event+ N	itigation • T	ables • Notificat	ion · DSA	SP+ BTM-								
MP Name: Mark	et Participant	₩ Res	source ID:		~	Capability Period:	Summer 2	014	* Program	n: SCR	Y Reason fo	r Request: R	Request for ACL D	a 🛩		
Submittal From D	ate:	× 🕞				Month:	May 2014	1	- Statu	s: Pending	*			Display		
Enrollment Reques	ts Summary															
	Paraura	Resource	Begin		Duplicate or	Use Existing	Dustrate	Manhami	Use		Cubmittal	Eukonitted	Duplica	te or Monitored	Use F	ixisting ACL
MP	ID	Name	Effective Date	Progr	Monitored Status	ACL Data Status	Enrolime	Fields	Existing ACL Data	Commenta	Date	By	Status Update D	Status Update By	Status Update D	Status Update B
Market Participant	123456789	Resource 1	05/01/2014	SCR		Awating MP Act	n	E.	121		03/19/2014	MP User			03/19/2014	MP Use
Market Darkcinent	101112131	Resource 2	05/01/2014	SCR		Pending	11	171	191		03/19/2014	MP User			03/19/2014	MP Use

4	_						111								_	
II I Page 1	of 1	11 B												Display	ing 1 - 2 of 2 G	IA Isone
Enrollment Requ	uests Detail															
MP	Resour	Resource Name	TO Account Num	Begin Effective	Progr	Duplicate or Monitored Status	Use Existing ACL Data Status	Duplicate Enrolme	Monitored Fields	Use Existing ACL Data	Reasons for Pending Request	Zone	Transmission Ow	Facility Street	Facility City	Fac
Market Participant	123456789	Resource 1	X123450789	05/01/2014	SOR				10			3	CEC	1 Park Ave S	New York	
Market Participant	123455789	Resource 1	X123456769	05/01/2014	SCR		Awaiting MP Acti	n	ы	IJ	Availing MP Action	ιĝ.	CEC	1 Park Ave S	New York	
4	10		and a second sec													
-		_											Total cours	Z View Resource	AGL Same OF	6] Excel
@ 2009-2014 New Y	fork Independe	ent System Operator.	All rights reserved.											You are logg	ed in as	(Logout)



MP Name:	Market Participant		Zone:	J	
Resource ID:	6935473		Response Type:	C	
TO Account Number:	X8943418735		Gen Rating:		
Resource Name:	Name 1				
NYISO Calculated ACL:	4000		Net ACL:	4000	
Enrolled Values					
Subscribed Load kW	: Subscribed Gen kW:	Incremental kW	1: Shutdow	n kW:	
0		0	0		
		rove Declin	e Cancel		
	Appr	Den Den	concer		

Figure 95: Enrollment Request for ACL Data View Resource ACL Summary Window

To approve or decline pending resource enrollment requests for ACL data

1. From the **Resource Enrollment Requests** screen, select a resource enrollment request

pending for a request for ACL data with a status of Awaiting MP Action; choose View

Resource ACL in the **Enrollment Requests Details** section.

The system opens the View Resource ACL window, where the uppermost fields display the un-editable values for the resource, with the lowermost fields display the fields which the user may edit, labeled Enrolled Values, based on the response type of the resource.(refer to Figure 96).



	Market Participant		Zone:	3	
Resource ID:	6935473	Res	ponse Type:	C	
TO Account Number:	X8943418735		Gen Rating:		
Resource Name:	Name 1				
NYISO Calculated	4000		Net ACL:	4000	
Enrolled Values	 An exchange on the second s				
- B	SUDSCRIDED GEN KW:	Incremental kW:	Shutdow	n KW:	
ubscribed Load kW	and the second s				
ubscribed Load kW 0	_1	0	0		
ubscribed Load kW 0	Appro	0 ove Decline	0 Cancel		

Figure 96: Enrollment Request for ACL Data View Resource ACL Summary Window EditableFields

Note: The categories displayed for the resource selected to view for a request for ACL data will fall into one of three categories. The first of the categories of values which are displayed for the resource are provided for informational purposes and may not be edited, regardless of response type. The resource fields which fall into this category are the resource MP Name, Resource ID, TO Account Number, Resource Name, Calculated ACL, Zone, Response Type and Gen Rating. The second of the categories of values which are displayed for the resource are provided for the user to enter enrollment values before accepting or declining the resource. These fields are editable based on the Response Type of the resource and they may be one of the following; the resource Subscribed Load kW, Subscribed Gen kW, Incremental kW and the Shutdown kW. The final of the three categories is the Net ACL, which DRIS will update based on the Calculated ACL and the fields which the user has chosen to edit (see Figure 97).

2. In the lowermost section corresponding to the resource editable fields for which the MP may

update based on response type, click each editable field and update with values, as applicable.

The system updates the resource Net ACL (refer to Figure 97).

Note: The optionally editable fields on the View Resource ACL window will be editable based on resource Response Type. Fields which may not be edited based on resource Response Type will be locked and grayed out.



MP Name:	Market Participant		Zone:	3	
Resource ID:	6935473	Re	sponse Type:	c	
TO Account Number:	X8943418735		Gen Rating:		
Resource Name:	Name 1		-		
NYISO Calculated ACL:	4000	Net ACL: 55		5500	
Enrolled Values					
Subscribed Load kW	I: Subscribed Gen kW:	Incremental kW:	Shutdow	n kW:	
2000		1500	0		
	Appr	ove Decline	Cancel		

Figure 97: Enrollment Request for ACL Data View Resource ACL Summary Window Updated Net ACL

3. When electing the option to approve or decline the resource enrollment request for ACL data, the user will be prompted with a confirmation box, following the selection of one of these two options (see Figure 98).

When the user chooses to approve the resource, the system will validate and process the resource edited fields, based on response type (see Figure 99).

Note: The option of choosing to approve the resource enrollment request for ACL data and confirming the approval will enroll the resource, for the auction month which it was imported for.

Note: The option of choosing to decline the resource enrollment request for ACL data will remove the pending status **Awaiting MP Action** from the enrollment request. Once the user has confirmed this decision, the user will be prevented from taking any further action on the resource enrollment request for ACL data.

Note: The user will also be provided with the option to choose to cancel the option of either approving or declining the enrollment request for ACL data. When the user elects to choose cancel, the system will return to the Resource Requests Summary screen and make no updates.

4. When the user has confirmed the decision to approve or decline the resource enrollment request for ACL data, system will save the decision and update the resource enrollment and return the user to the Resource Requests Summary screen.



Figure 98: Enrollment Request for ACL Data View Resource ACL Summary Window Confirm Approve or Decline

MP Name:	Market Participant	Zone	a J			
Resource ID:	6935473	Response Type	: C	Please Confirm		2
TO Account Number:	X8943418735	Gen Rating	12	2) Co	nfirm declining enrollment of resource?	
Resource Name:	Name 1				Yes No	
NYISO Calculated ACL:	4000	Net ACL	: 5500	1		
Enrolled Values			/	Please Confirm		1
Subscribed Load kV	1: Subscribed Gen kW:	Incremental kW: Shukaov	wn kW:			
2000	1	1500 0		2 00	nfirm declining enrollment of resource?	
2000						
2000						
2000	Appr	ove Decline Canco	el		Yes No	
esources with a Reque	Appr st for ACL Data that were a nay have ACLs derived from	rove Decline Cance also enrolled in the Prior Equivalen m a subset of Prior Equivalent Capa	el t Capability Period ibility Period Peak Ho	purs.	Yes No	

Note: Once the MP has chosen to view the NYISO calculated ACL and/or has chosen to Decline the resource enrollment based on the NYISO calculated ACL, the MP will be prevented from re-enrolling the resource with metered load data for the remainder of the Capability Period. In this instance, should the MP take no action, DRIS will automatically *Decline* the resource enrollment request at the close of Aggregation Management.

Note: The MP may choose to not view the NYISO Calculated ACL for a resource enrollment request for ACL data. When the MP does not view the NYISO Calculated ACL, they may re-enroll the resource with metered load data in subsequent remaining months of the Capability Period. In this instance, should the MP take no action, DRIS will automatically *Deny* the resource enrollment request at the close of Aggregation

Figure 99: Rules Specific to Resource Enrollment Requests for ACL Data

View Resource Request for ACL Data Fields	Field Format	Description and Rule(s)	Modifiable?
Subscribed Load	Numeric	For resources with Response Type C or B, the	YES
	Up to 6 digits	greater than or equal to zero.	
	No decimals	For resources with Response Type G, field will be locked.	
		The Declared Value of the resource (the combination of Subscribed Load and Subscribed Generation) cannot be greater than the resource Net ACL.	



View Resource Request for ACL Data Fields	Field Format	Description and Rule(s)	Modifiable?				
Subscribed Gen	Numeric Up to 6 digits	For resources with Response Type G or B, the Generation Declared ICAP value in kW/h must be greater than or equal to zero.	YES				
	No decimals	For resources with Response Type G or B, the Generation Declared ICAP value in kW/h cannot be greater than the Generator Name Plate Rating.					
		For resources with Response Type C, the field will be locked.					
		The Declared Value of the resource (the combination of Subscribed Load and Subscribed Generation) cannot be greater than the resource Net ACL.					
Shutdown kW	Numeric Up to 7 digits	For SCR resources with a Change in Status, the Shutdown value in kilowatts must be greater than or equal to zero.	YES				
	No decimals	For the first month in which the Change in Status occurs, enter the kilowatt value of the Shutdown and then enter the kilowatt value for each subsequent month in which the Change in Status is in effect, on the import file.					
		For resources with a Calculated ACL value less than 500 kW, must be zero.					
		The kW value entered must be greater than or equal to 30% of the Calculated ACL, unless value supplied is greater than 5,000 kW in Zone J or 10,000 kW in Zones A-I and K.					
Incremental kW	Numeric	For resources with a Calculated ACL value less than 500 kW, must be zero.	YES				
	No decimals	The kW value entered must be greater than or equal to 20% of the Calculated ACL, unless value supplied is greater than 5,000 kW in Zone J or 10,000 kW in Zones A-I and K.					

Note: When enrolling a resource with an Incremental ACL, there are three thresholds which DRIS will validate against, for the value supplied in the resource Incremental kW field. The Incremental kW may be between 20% and 29.99% of the *NYISO Calculated ACL* if the sum of the Subscribed Load and Subscribed Gen (Declared Value) do not change over the prior equivalent Capability Period. When the Incremental kW value supplied for the resource is between 30% and 100% of the *NYISO Calculated ACL*, the sum of the values supplied for the Subscribed Load and Subscribed Gen (Declared Value) may change over the prior equivalent Capability Period. The value supplied in the resource Incremental kW field may not exceed 100% of the *NYISO Calculated ACL*.



8. Maintaining Resource Enrollments

Once the MP has successfully enrolled resources, the enrollments can be monitored by various parameters and at varying levels of detail. In addition, MPs can update their resource portfolios by adding new resources or changing data for existing resources or separating resources (subject to Event Calendar deadlines for the SCR program and the EDRP). Finally, DRIS provides for downloading resource enrollment data in the file format required for reporting to the system as a basis for creating updated enrollment files.

8.1. Viewing Resource Enrollments

Resource enrollments can be viewed at varying levels of detail in DRIS. In ascending order of detail, the MP can view resource enrollments by:

- Capability Period,
- month, or
- monthly details.

8.1.1. Viewing Resource Enrollments by Capability Period

Viewing resource enrollments by Capability Period provides the MP with a snapshot of resources enrolled in a specific Capability Period. This view also serves as the only system window into resource peak monthly demand (PMD) data or resource Average Coincident Load (ACL) data for enrolled SCR resources which are not enrolled with either a Provisional ACL or an Incremental ACL (although these data are included in resource enrollment files downloaded from the system, as outlined under Section 8.5). Additional enrollment period information for resources enrolled with a Provisional ACL or an Incremental ACL may be found in Section 10.6.5 for Provisional ACL enrollments and Section 10.7.5 for Incremental ACL enrollments.

When viewing resource enrollments by Capability Period, the MP must, at a minimum, specify a Capability Period or resource.

The MP can further narrow the data the system displays by either or both of the following additional parameters:

- Program
- Zone
- Second Test Required



Regardless of viewing scope, the system initially displays the following data for each resource enrollment:

- Resource ID
- Resource name
- Capability Period for the SCR program and EDRP
- Zone
- Sub-load pocket for the SCR program and EDRP
- Enrollment Approval dates by program type for the SCR program, EDRP and DSASP
- Enrollment dates by program type

Optionally, the MP can view additional data for currently displayed resource enrollments, by program type. Beginning with the Summer 2011 Capability Period, SCR program data will include Average Coincident Load (ACL) details for the resource as a result of the program change from the Average Peak Monthly Demand (APMD) baseline methodology to the ACL baseline methodology. For the Summer 2011 Capability Period, the PMD kW fields will display the ACL kW values and the calculated APMD field will display the resource ACL reported on the SCR resource enrollment file *. SCR resource APMD data will continue to be viewable to the MP for Capability Periods prior to Summer 2011.

Note: * The Average Coincident Load (ACL) is effective for the Summer 2011 Capability Period forward. ACL data reported for an SCR resource on the Summer 2011 Capability Period enrollment, is viewable in the PMD kW value fields and as the calculated APMD value.

	SCR		EDRP	DS
•	TO account number		TO account number	 TO ac
•	TO abbreviation		TO abbreviation	 TO ab
•	Small customer aggregation indicator	1	CBL method	
•	CBL (customer baseline load) method			
•	APMD (average peak monthly demand) value			
•	APMD provisional status			
•	Compliance status			
•	PMD (peak monthly demand) dates			
•	PMD hours			
•	PMD kW values			

DSASP

- TO account number
- TO abbreviation



- ACL (Average Coincident Load) value
- ACL Provisional status
- ACL Using Existing Data Status
- Date/Hour of the SCR Load Zone Peak Hour
- MP Reported ACL kW for the date/hour
- TO add-back kW for the date/hour (see Note: 1 as identified below)
- Reporting TO for the TO addback for the date/hour
- DADRP Add-back kW for the date/hour (see Note: 1 as identified below)
- DSASP Baseline kW for the date/hour (see Note: 1 as identified below)
- Total kW for the date/hour
- Used in ACL calculation status
- Calculation Base
- Calculated ACL kW

Note: **1** - Corrections may be made to the TO and DADRP add-back kW values or the DSASP Baseline kW value of a resource during the calendar event for enrolling resources or during the calendar event for reporting Provisional ACL verification data, respectively. The MP must contact the TO directly to have the TO make the necessary corrections to resource TO add-back kW values. Contact information for each TO reporting add-back kW values will be made available each Capability Period at <u>https://www.nyiso.com/installed-capacity-market</u> (in the **Forms** folder). The MP must contact the NYISO directly to have the NYISO make any necessary corrections to the resource DADRP addback kW values or the DSASP Baseline kW values. For corrections to DADRP or DSASP values, contact Stakeholder Services at 518-356-6060. For additional views of resource TO and DADRP addback kW values or the DSASP Baseline kW value of a resource, see Section 10.1.

Note: 2 - A resource which is enrolled using a Provisional ACL will not use any applicable TO addback, DADRP add-back or DSASP baseline kW values in the final determination of the resource ACL for the selected Capability Period. A resource which is enrolled with a Provisional ACL will use only the Provisional ACL kW value imported on the enrollment import file as the ACL of the resource for the selected Capability Period.

Pre-requisites

- The MP has previously enrolled resources.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".



To view resource enrollments by Capability Period

1. From the **Resource** menu, choose **Capability Period Enrollments**.

The system displays the Resource Capability Period Enrollments page.

 From the corresponding search filter(s) in the uppermost frame on the Resource Capability Period Enrollments page (see Figure 100), choose the Capability Period and/or the Resource ID for which the system should display enrollments.

Figure 100: Resource Capability Period Enrollments Page Search Filters

- 150	Provide States S	d Response Information Sys e Capability Period Enrollments	tem			
Main - MP - Resource - St	CR Performance Factors DR Event Mitig	ation - Tables - Notification - DSASP - BTM -				
MP Name:	* Resource ID:	Capability Period: Summer 2014	M Program:	Y		
			Zone:	*	Second Test Required:	🌱 Display 🔻

- Optionally, further limit the scope of resource enrollments to be displayed by the system to only a specific **Program, Zone and/or Second Test Required** by choosing the applicable option(s) from the corresponding search filter(s) near the top of the page.
- 4. Near the top of the Resource Capability Period Enrollments page, click the **Display** button. The system populates the Capability Period Enrollments grid below the search filters with an entry for each resource enrollment meeting the criteria chosen at steps 2 and 3 (see Figure 101).

Note: The value in the *SCR* or *EDRP Approval Date* column reflects the date that the corresponding resource initially enrolled, when no monitored field request existed from the enrollment import, or the date the resource *Pending* request was approved; for the specified Capability Period. The value in the *SCR* or *EDRP Enrollment Date* column reflects the date that the corresponding resource began enrollment for the specified Capability Period. The value in the DSASP Enrollment Date column reflects the date that the corresponding resource field request existed from the enrollment import, or the date the resource *Pending* request was approved.

Tip: If the number of enrollments exceeds the space available in the grid, the program breaks the data set across separate pages, as reflected on the left side of the status bar. To navigate among multiple pages of resource enrollments, click the applicable button to either move forward one page (), move back one page (), move to the beginning of the data set (), or move to the end of the data set ().

To refresh the Capability Period Enrollments grid so that it displays up-to-date information, click the e button in the grid status bar.



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I ISUIC TOT' VC3(rage robulated	i willi Pala

				icia - maryano	n · rables · r	lobfication* D	SASP . BTM.					
MP Name:	Market Participant	Resource ID:		1	Capability Par	iod: Summer 20	14 ~	Program:	×	and Test Required	M Paulas a	
pability Pe	riod Enrollmenta		_									
					5	iCR:		990	DSASP			
Convine T	Resource Name	Capability Period	Zone	Sub-load Poc.	Approval Date	Enrolment D.	Approval Date	Enrolment D.	Enrolment D.			
and a second												
23456789	Resource 1	Summer 2014	3		03/03/2014	05/01/2014						
23456789	Resource 1 Resource 2	Summer 2014 Summer 2014	1		03/03/2014 03/03/2014	05/01/2014		-		-		
123456789 101213141 516171819	Resource 1 Resource 2 Resource 3	Summer 2014 Summer 2014 Summer 2014	1 2 3		03/03/2014 03/03/2014 03/03/2014	05/01/2014 05/01/2014 05/01/2014		-10		-		

5. Optionally, view further details for a specific resource enrollment by clicking the corresponding row in the Capability Period Enrollments grid.

The system expands a two-pane frame at the bottom of the page, where additional enrollment data in the form of *Resource Details* are displayed on the left and *Program Capability Details* are displayed on the right (see

Figure 102).

Tip: To collapse the details frame, click the downward pointing arrow (\ge) in the middle of the top border.

Tip: The system page for viewing monthly enrollment data related to the resource currently selected in the Capability Period Enrollments grid can be accessed by clicking the **Monthly Enrollment** button in the status bar beneath the grid, then when the system opens the Resource Monthly Enrollments page, clicking the **Display** button near the top of the page.

6. Optionally, download the Capability Period data by clicking the drop-down arrow beside the Display button near the top of the Resource Capability Period Enrollments page and choosing Download, then via the displayed dialog box taking the requisite steps to either save or open the resulting file.

A file containing Capability Period data for all enrollments matching the criteria specified at steps 2 and 3 is either saved to the designated location on the user's computer or displayed on screen.

Note: If the file is saved, it is named according to the convention *Capability_Period_Enrollments_[download date in mm-dd-yyyy format].csv*, where bracketed content is replaced with actual values to result in a file name such as *Capability_Period_Enrollments_05-19-2010.csv*.



Figure 102: Resource Details Displayed from Capability Period Enrollments Grid on Resource Capability Period Enrollments Page

Resource Details		Program Capability Details			
Resource ID:	123456789	SCR EDRP ACL Details			
Resource Name:	Resource 1	Enrollment Date: 05/01/2014	0.1600	Compliance	ACL: 6100
TO Account Number:	X456789231			Question:	Provisional AEL Y
Transmission Owner:	CEC				DP VI
Zone:	1				Using Existing ACL
Sub-load Pocket:					
Small Customer Appreciation					

Figure 103: ACL Details Tab Illustrating ACL kW and TO Add-back kW

- 19	50	AND PENDER	n T Ta tum Tyl Tamana - Tada	Deman Resou	nd Respo rce Capabilit	onse Info ly Period Enr	oliments	System						
Main + MP +	Resource	ce+ SCR+	Performance Fac	tors + DR Even	t+ Mitigation+	Tables+ Notif	fication+ DSAS	P+ BTM+						
MP Name:			* Resou	rce ID:	ł	Capability Per	iod: Summer 20	14 💌	Program: Zone:	✓ Second Te	st Required:	1	Display +	
Capability Peri	od Enrol	limenta												
Resource I.	Resource	Name	Cepability Period	Zone	Sub-loed Poc	Approval Date	Enroliment D	E Approval Date	Enrolment D	DSASP Enrolment D				
123456789	Resource	be t	Summer 2014	1		03/03/2014	05/01/2014							
101213141	Resource	e 2	Summer 2014	t		03/03/2014	05/01/2014							
516171819	Resource	ce 3	Summer 2014	t		03/03/2014	-05/01/2014							
202122232	Resource	te 4	Summer 2014	t		03/03/2014	05/01/2014							
II - Page	1 0	ti i ni	3											Displaying 1 - 53 of 53 Monthly Enrollmen
Resource Deta	alla .			Program Capat	bility Details									
Resou	rce ID;	123456789		SCR EDRP	ACL Details									
Resource	Names	Resource 1		Peak Load Date and H8	MP Reported ACL KW	TO Reported Add-back kW	Reporting TO	DADRP Add-back kW	DSASP Baseline KW	Total Hourly kW =	Used in ACL Celculation	Calculation Basis	Calculated ACL KV	N
TO Account N	umbers	X456789231		05/01/2014	- Calculated AC	Li 6100								
Transmission	Owner:	CEC		07/10/2013 17	6100	10	CEC			6110	121	ACL - 10	6100	-
	Zones	3		07/10/2013 16	6100					6100	(2)	ACL	6100	
Sub-load	Packet			07/05/2013 14	6100	10	CEC			6110	(2)	ACL - TO	6100	
				05/24/2013 15	6100					5100	191	ACL	6100	
Acon				07/05/2013 16	6100					5100	191	ACL	6100	
				07/05/2013 15	6100					6100	(9)	ACL	6100	
				07/09/2013 17	6100					6100	521	ACL	6100	

Note: A resource which is enrolled using a Provisional ACL will not use any applicable TO add-back, DADRP add-back or DSASP baseline kW values in the final determination of the resource ACL for the selected Capability Period. A resource which is enrolled with a Provisional ACL will use only the Provisional ACL kW value imported on the enrollment import file as the ACL of the resource for the selected Capability Period.

8.1.2. Viewing SCR or EDRP Resource Enrollments by Month

In viewing SCR and EDRP resource enrollments by month, the MP can see the beginning and ending dates of enrollment, as well as enrollment status, zone, response type, subscribed load and generation, and, for SCR enrollments, aggregation assignment. This view also serves as the access point for initiating separation of a resource from the MP's portfolio (as outlined in Section 8.3).



When viewing resource enrollments by month, the MP must, at a minimum, specify a Capability Period or resource.

Note: Because a resource may have been enrolled in a previous Capability Period, and a resource enrollment may be updated after initial enrollment in a Capability Period, the system may display more than one entry per resource, depending on the search criteria defined by the MP.

The MP can further narrow the data the system displays by any or all of the following additional parameters:

- Month
- Program
- Zone
- Aggregation (for SCR enrollments only)
- Status

Regardless of viewing scope, the system displays the following data for each resource enrollment, by program type:

SCR

- Resource ID
- Resource name
- Enrollment status
- Zone
- Sub-load pocket
- Beginning and ending effective dates
- Program type
- Response type
- Subscribed load value
- Subscribed generation value
- Aggregation ID

Pre-requisites

- The MP has previously enrolled resources.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".
 - To view resource enrollment data by month
 - 1. From the **Resource** menu, choose **Monthly Enrollments**.

The system displays the Resource Monthly Enrollments page.

EDRP

- Resource ID
- Resource name
- Enrollment status
- Zone
- Sub-load pocket
- Beginning and ending effective dates
- Program type
- Response type
- Subscribed load value
- Subscribed generation value

 From the corresponding search filter(s) in the uppermost frame on the Resource Monthly Enrollments page (see Figure 104), choose the Capability Period and/or the Resource ID for which the system should display enrollments.

Figure 104: Resource Monthly Enrollments Page Search Filters

n • MP • Resource •	SCR - Performa	nce Factors - DR E	vent - Mit	igation - Tables - N	otification - D	SASP▼ BTM▼			
MP Name:	(*)	Resource ID:		Clasibility Period	141	Program	Apprepation:	~	
				Months	¥	Zones	Status:		-

- Optionally, further limit the scope of resource enrollments to be displayed by the system to only a specific Month, Program, Zone, Aggregation (for SCR only), and/or enrollment Status by choosing the applicable option(s) from the corresponding search filter(s) near the top of the page.
- 4. Near the top of the Resource Monthly Enrollments page, click the **Display** button.

The system populates the Monthly Enrollments grid below the search filters with those resource enrollments meeting the criteria chosen at steps 2 and 3 (see Figure 105).

Note: Because a resource may have been enrolled in a previous Capability Period, and a resource enrollment may be updated after initial enrollment in a Capability Period, the system may display more than one entry per resource, depending on the defined search criteria (see Figure 105). Entries in the Monthly Enrollments grid are displayed in descending order based on effective date, with the values in the *Begin Effective Date* and *End Effective Date* columns indicating the time span of each enrollment.

To refresh the Monthly Enrollments grid so that it displays the most up-to-date information, click the 😤 button in the grid status bar.

Tip: If the number of enrollments exceeds the space available in the grid, the program breaks the data set across separate pages, as reflected in the lower left of the status bar. To navigate among multiple pages of resource enrollments, click the applicable icons to either move forward one page (), move back one page (), move to the beginning of the data set (), or move to the end of the data set (). To see data displayed beyond the current viewing area, use the horizontal and/or vertical scroll bars.

Tip: Note that the system pages for viewing either Capability Period data or monthly details data for the resource currently selected in the Monthly Enrollments grid can be directly accessed from the grid by clicking either the **Capability Period Enrollment** button or the **Enrollment Details** button, respectively, in the status bar beneath the grid, then when the system opens the applicable page, clicking the **Display** button near the top of the page.

5. Optionally, download the monthly data by clicking the drop-down arrow beside the **Display** button near the top of the Resource Monthly Enrollments page and choosing **Download**, then via the displayed dialog box, taking the requisite steps to either save or open the resulting file.

A file containing monthly enrollment data for all enrollments matching the criteria specified at steps 2 and 3 is either saved to the designated location or displayed on screen.

Note: If the file is saved, it is named according to the convention *Monthly_Details_[download date in mm-dd-yyyy format].csv*, where bracketed content is replaced with actual values to result in a file name such as *Monthly_Details_05-19-2010.csv*.

Figure 105: Resource Monthly Enrollments Page Illustrating Multiple Entries for a Single Resource

Main - MP -	Resource - SCR	- Performance	e Factors	 DR Event 	- Mitig	jation - Ta	ibles - Not	ification - DSAS	SP• BTM•						
MP Name	MP One	*	Re	esource ID: 8	5949305	50 💌	Capability P	eriod:	¥	Program:	Y	Aggregation:		~	
							M	ionth:	×	Zone:	*	Status:		¥	Display -
onthly Enro	llments			-											
esource ID	Resource Name	Status	Zone	Sub-load Poo	ket Be	gin Effective [Date En	d Effective Date	Program	Response Type	Subscribed Load	Subscribed Gen	Aggregation	i	
493050	Resource Six	Enrolled	D		06/	01/2010	10	/31/2010	SCR	с	208451		9730		
493050	Resource Six	Enrolled	D		05/	01/2010	05	/31/2010	SCR	с	208451		9730		
493050	Resource Six	Enrolled	D		01/	01/2010	04	/30/2010	SCR	с	211400	0	9730		
0402050	Desource Six	Eprolled	D		111	01/2009	12	/31/2009	SCR	с	211400	6	9730		

8.1.3. Viewing SCR and EDRP Resource Enrollments by Monthly Details

In viewing SCR and EDRP resource enrollments by monthly details, the MP can see comprehensive resource data — including, for SCR enrollments, UCAP and ICAP values — across a Capability Period broken down by each month that the resource was enrolled within the Capability Period.

When viewing resource enrollments by monthly details, the MP must, at a minimum, specify a Capability Period or resource.

The MP can further narrow the data the system displays by any or all of the following additional parameters:

- TO
- Month
- Program
- Zone
- Aggregation ID (SCR enrollments only)
- Status



Meter Authority

Regardless of viewing scope, the system displays data for each resource enrollment, by program type. Beginning with the Summer 2011 Capability Period, SCR program data will include Average Coincident Load (ACL) details for the resource as a result of the program change from the Average Peak Monthly Demand (APMD) baseline methodology to the ACL baseline methodology. SCR resource APMD data will continue to be viewable to the MP for Capability Periods prior to Summer 2011.

SCR

- Resource ID
- Resource name
- TO account number
- Meter Authority
- Month
- Beginning and ending effective dates
- Enrollment status
- Program type
- Floor price
- Subscribed load value
- Subscribed generation value
- Raw Performance Factor
- Performance factor
- Aggregation ID
- ICAP value
- Adjusted ICAP value
- Transmission loss factor
- Declared value
- Provisional ACL status (Summer 2011 and greater)
- Use Existing ACL Data status (Summer 2014 and greater)
- APMD (prior to Summer 2011)
- ACL (Summer 2011 and greater)
- Shutdown kW
- Incremental kW
- Net APMD (prior to Summer 2011)
- Net ACL (Summer 2011 and greater)
- Contract Minimum Demand (CMD)

EDRP

- Resource ID
- Resource name
- TO account number
- Meter Authority*
- Month
- Beginning and ending effective dates
- Enrollment status
- Program type
- Subscribed load value
- Subscribed generation value
- Response type
- Generator type
- Generator rating
- TO
- Zone
- Sub-load pocket
- Facility address



SCR

EDRP

- Response type
- Generator type
- Generator rating
- TO
- TO and voltage level
- Strike price
- Zone
- Sub-load pocket
- Meter Installation Date
- Facility address

* For EDRP Resources, the Meter Authority column will appear blank as the Meter Authority field is not part of the EDRP Resource enrollment

Pre-requisites

- The MP has previously enrolled resources.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".
 - **To view resource enrollment data by monthly details**
 - 1. From the **Resource** menu, choose **Monthly Details**.

The system displays the Resource Monthly Details page.

 From the corresponding search filter(s) in the uppermost frame on the Resource Monthly Details page (see Figure 106), choose the **Capability Period** and/or the **Resource ID** for which the system should display enrollments.

Figure 106: Resource Monthly Details Page Search Filters

<u>-</u> []-	Demand Response Information System Resource Monthly Details											
Main 🔹 MP 🕶	Resource - SCR - Perfe	ormance Factors -	DR Event - Mitigation -	Tables - Noti	fication - DSASP -	втм -	6					
MP Name:		Resource ID:	~ c	apability Period:		~ 1	Program:	*	Aggregation:	*		
TO:	*			Auction Month:		*	Zone:	~	Status:	*	Display 🗧	
Meter Au	uthority:											
Houthly Detai	ils											
Resource	Resource Name TO A	ccount Number	Meter Authority Mont	th Begir	Effective Date End	Effectiv	e Date	Status	Progr. Floor Price in Effect	Subscribed Subscri	ibed Raw Performa	

3. Optionally, further limit the scope of resource enrollments to be displayed by the system to only a specific **Month**, **Program**, **Zone**, **Aggregation** (for SCR only), enrollment **Status**,



and/or **Meter Authority**, by choosing the applicable option(s) from the search filter(s) near the top of the page.

4. Near the top of the Resource Monthly Details page, click the **Display** button.

The system populates the Monthly Details grid below the search filters with data for those resource enrollments meeting the criteria chosen at steps 2 and 3 (see Figure 107).

Note: The system may display more than one entry per resource, depending on the defined criteria. Entries in the *Monthly Details* grid are displayed in descending order based on the months within the specified Capability Period, with the values in the *Begin Effective Date* and *End Effective Date* columns indicating the time span of each enrollment.

To refresh the Monthly Details grid so that it displays up-to-date information, click the <a>2 button in the grid status bar.

Tip: If the number of enrollments exceeds the space available in the grid, the program breaks the data set across separate pages, as reflected in the lower left of the status bar. To navigate among multiple pages of resource enrollments, click the applicable icons to either move forward one page(), move back one page (), move to the beginning of the data set (), or move to the end of the data set (). To see data displayed beyond the current viewing area, use the horizontal and/or vertical scroll bars.

Tip: Note that the system page for viewing Capability Period data related to the resource currently selected in the Monthly Details grid can be accessed by clicking the **Capability Period Enrollment** button in the status bar beneath the grid.

5. Optionally, download the monthly detail data by clicking the drop-down arrow beside the

Display button near the top of the Resource Monthly Details page and choosing **Download**, then via the displayed dialog box taking the requisite steps to either save or open the

resulting file.

A file containing monthly details data for all enrollments matching the criteria specified at

steps 2 and 3 is either saved to the designated location or displayed on screen.

Note: If the file is saved, it is named according to the convention Monthly_Enrollment_Details_[download date in mm-dd-yyyy format].csv, where bracketed content is replaced with actual values to result in a file name such as Monthly_Enrollment_Details_05-19-2010.csv.



MP Name TO Meter	Market Participant	Resource ID	202637	Capability Po Auction M	onth:	Program: Zone:	*	Aggr	egation: Status:		* Displ	ay
lonthly De	tails								Lanceson			
lesource	Resource Name	TO Account Number	Meter Authority	Month	Begin Effective Date	End Effective Date	Status	Progr	Floor Price in Effect	Subscribed	Subscribed	Raw Performance
202637 202637 202637 202637 202637 202637	Resource Six Resource Six Resource Six Resource Six Resource Six Resource Six	T122456789 T122456790 T122456791 T122456792 T122456793 T122456793 T122456793	280 680 680 680 680 680 680 680	May 2020 June 2020 July 2020 August 2020 September 2020 October 2020	05/01/2020 00:00 00 05/01/2020 00:00 00 05/01/2020 00:00 00 05/01/2020 00:00 00 05/01/2020 00:00 00 05/01/2020 00:00 00 05/01/2020 00:00 00	16/01/2020 00:00 000 16/01/2020 00:00 00 10/01/2020 00:00 00 10/01/2020 00:00 10/01/2020 00:00 10/01/2020 00:00 00 10/01/2020 00:00 00 10/01/2020 00:00 00	Enrolled Enrolled Enrolled Enrolled Enrolled	50R 50R 50R 50R 50R 50R		120 120 120 120 120		

Figure 107: Resource Enrollment Monthly Details Page Illustrating Multiple Entries for a Single Resource

8.1.4. Viewing DSASP Resource Enrollment Details

In viewing DSASP Demand-Side Resource enrollment details, the MP can see comprehensive resource data — including, all data associated with the DSASP Demand-Side Resource for each new record created for each enrollment status of the resource. The initial default view of the enrollment details page provides the option to view only the "Active Record" for each resource. The "Active Record" of a resource is the current enrollment record with no End Effective Date. Additionally, the MP User may view DSASP resource enrollments within a specified time-frame.

When viewing resource DSASP enrollment details the MP must, at a minimum, specify a status type or resource.

The MP can further narrow the data the system displays by any or all of the following additional parameters:

- Resource ID
- Resource Name
- TO Account Number
- Zone
- Aggregation
- Status
- Gen PTID
- From Date


To Date

Regardless of viewing scope, the system displays data for each resource enrollment, by Resource ID descending and then by Begin Effective Date, ascending.

DSASP

- Resource ID
- Resource name
- TO account number
- Status
- Begin Effective Date
- End Effective Date
- Aggregation ID
- Gen PTID
- Response Type
- Product Type
- Aggregation Type
- Summer Subscribed Load (kW)
- Summer Subscribed Gen (kW)
- Summer Rating (kW)
- Winter Subscribed Load (kW)
- Winter Rating (kW)
- Direct Communication
- DSASP Authorization
- Zone
- Sub-load Pocket
- Gen Type
- Gen Rating
- TO
- Facility Street 1
- Facility Street 2
- City
- State
- Zip

Pre-requisites

- The MP has previously enrolled resources.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".
 - **To view resource enrollment data for DSASP Enrollment details**



1. From the **DSASP** menu, choose **Enrollment Details**.

The system displays the DSASP Enrollment Details page.

 From the corresponding search filter(s) in the uppermost frame on the DSASP Enrollment Details page (see Figure 108) choose the Status and/or the Resource ID for which the system should display enrollments.

Figure 108: DSASP Enrollment Details Page Search Filters

	Demand F DSASP Enro	Response Informa	tion System			
Main ▼ MP ▼ Resource ▼ SCR ▼ F	Performance Factors DR Event №	/itigation ▼ Tables ▼ Notification	on▼ DSASP▼ BTM▼			
MP Name:	✓ Resource ID:	V Zone:	Aggregation:Status:	✓ Active Record ❤	From Date:	X 3 Display -

- Optionally, further limit the scope of resource enrollments to be displayed by the system to only a specific Resource Name, TO Account Number, Zone, Aggregation, Gen PTID and/or From/To Dates, by choosing the applicable option(s) from the search filter(s) near the top of the page.
- 2. Near the top of the DSASP Enrollment Details page, click the **Display** button.

The system populates the Enrollment Details grid below the search filters with data for those resource enrollments meeting the criteria chosen at steps 2 and 3 (see Figure 108).

Note: The system may display more than one entry per resource, depending on the defined criteria. Entries in the *DSASP Enrollment Details* grid are displayed in descending order based on the Resource ID and Status Type, with the values in the *Begin Effective Date* and *End Effective Date* columns indicating the time span of each enrollment.

To refresh the DSASP Enrollment Details grid so that it displays up-to-date information, click the ² button in the grid status bar.

Tip: If the number of enrollments exceeds the space available in the grid, the program breaks the data set across separate pages, as reflected in the lower left of the status bar. To navigate among multiple pages of resource enrollments, click the applicable icons to either move forward one page (\triangleright), move back one page (\triangleleft), move to the beginning of the data set (\triangleleft), or move to the end of the data set (\triangleleft). To see data displayed beyond the current viewing area, use the horizontal and/or vertical scroll bars.

 Optionally, download the resource detail data by clicking the drop-down arrow beside the Display button near the top of the DSASP Enrollment Details page and choosing Download,



then via the displayed dialog box taking the requisite steps to either save or open the resulting file.

A file containing enrollment details data for all enrollments matching the criteria specified at

steps 2 and 3 is either saved to the designated location or displayed on screen.

Note: If the file is saved, it is named according to the convention DSASP_Resource_Enrollments_[download date in mm-dd-yyyy format].csv, where bracketed content is replaced with actual values to result in a file name such as DSASP_Resource_Enrollments_04-01-2013.csv.

Figure 109: DSASP Resource Enrollment Details Page Illustrating Multiple Entries

MP Name	Market Pa	rticipant 🛩 Res	ource ID:	¥ 3	Zone:	 Aggregation: 	*	From Date:		×		
				Gen	PTID:	 Status: Active 	Record ~	To Date:		× TR Display	-	
SASP Enroll	ments				-			_		-		
Resource ID	Resource Name	TO Account Number	Status	Begin Effective Date	End Effective Date	Aggregation © Gen PTID	Response Type	Product Type	Aggregation Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	0 5
203619	Name 1	R\$64867431	Valdated	01/08/2013 15:44:49		1000	c	Spinning	2 - Group	500	0	8
203620	Name 2	T963498552	Validated	01/08/2013 15:44:49		1001	c	Spinning & R	2 - Group	008	0	8
203821	Name 4	R357814337	Valdated	01/08/2013 15:44:49		1000	c	Spinning	2 - Group	600	0	ē
203622	Name 5	7468473589	Validated	01/08/2013 15:44:49		1001	C	Spinning & R	2 - Group	700	0	7
203623	Name 3	7111963459	Validated	01/08/2013 15:47:09		1002	B	Non - Synchr.	3 - Group	500	500	1
203624	Name 6	7111934863	Valdated	01/06/2013 15:47:09		1002	8	Non - Synchr.	3 - Group	900	700	1

8.2. Updating Resource Enrollments during a Capability Period

In updating resource enrollments, MPs can add new resources or change data for existing resources. In relation to the SCR program, these tasks can be done only within the prescribed time frame. This limited period occurs during the month preceding the effective auction month as specified on the DRIS Event Calendar (refer to Section 2.1). For EDRP, these tasks are also limited to a prescribed time frame, which occurs during the month preceding the effective month as specified on the DRIS Event Calendar. For



DSASP, these tasks are not limited to a prescribed time-frame and may occur at any point during the calendar year.

To update resources, the MP must create a properly structured and formatted file containing all data for each resource being added or changed, as well as required header data.

Note: The MP may export a file populated with resource enrollment data as a model for creating an import file. For details, refer to Section 8.5.

After creating the file to update resource enrollments, the MP must follow the same processes for importing the file to DRIS and monitoring the results, as outlined under Section 7.4 and Section 7.5, respectively.

8.3. Separating a Resource from a Portfolio

When an MP no longer represents a resource, DRIS provides the means to programmatically remove the resource from the MP's portfolio of resources by separating the resource.

Pre-requisites

- The MP has previously enrolled the resource.
- For the SCR program and the EDRP, the DRIS Event Calendar indicates that the resource enrollment period is open (refer to Section 2.1).
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
 - **To separate an SCR or EDRP resource from a portfolio**
 - 1. From the **Resource** menu, choose **Monthly Enrollments**.

The system displays the Resource Monthly Enrollments page.

- 2. From the corresponding search filters in the uppermost frame on the Resource Monthly Enrollments page, choose the **Resource ID** for the resource to be separated from the MP's portfolio and the **Capability Period** and **Month** in which the MP wants the separation to begin (refer to Figure 104).
- 3. Near the top of the page, activate the **Display** button.



The system populates the Monthly Enrollments grid below the filters with the resource enrollments meeting the criteria chosen at step 2.

4. In the Monthly Enrollments grid, click the row housing the entry for the resource to be separated.

Note: The Begin Effective Date of the resource may be the first of the month for the current enrollment month or any past month within the Capability Period. When the Begin Effective Date is not equal to the enrollment month for which the separation will begin, a new record will be created for the resource with a Begin Effective Date for the first of the month when the separation will begin, and the resource status will be marked as Separated. When the Begin Effective Date is equal to the enrollment month for which the separation will begin, the Enrolled status of the resource will be changed to Separated on the existing record.

 On the right side of the status bar just beneath the Monthly Enrollments grid, click the Separate button (see Figure 110).

The Monthly Enrollments grid refreshes to reflect either a status change on the existing enrollment from *Enrolled* to *Separated* or a new entry for the resource with a status of *Separated*, and the resource is separated from the MP's portfolio.

Note: Once separated, a resource cannot participate unless and until it is re-enrolled via import file, as outlined under Section 8.4.



Demand Response Information System L- Indepen **Resource Monthly Enrollments** Resource + SCR + Performance Factors + DR Event + Mitigation + Tables + Notification + DSASP + BTM + MP Name: MP One Y Resource ID: 59493050 Y Capability Period: Winter 2009-2010 Y Program: Aggregation: × Month: March 2010 Zone: Status: ✓ Display ▼ Monthly Enrollments Resource ID Resource Name Status Zone Sub-load Pocket Begin Effective Date End Effective Date Program Response Type Subscribed Load Subscribed Gen Aggregation 59493050 Resource Six Enrolled J 11/01/2009 04/30/2010 EDRP C 110 II I Page 1 of t 👘 🖉 ng 1 - 1 of 1 Capability Period En

Figure 110: Resource Monthly Enrollment Page Highlight Separate Button

□ To separate a DSASP Demand-Side Resource from a portfolio

1. From the DSASP menu, choose Enrollment Details

The system displays the DSASP Enrollment Details page.

- From the corresponding search filters in the uppermost frame on the DSASP Enrollment Details page, choose the **Resource ID** for the resource to be separated from the MP's portfolio and the **Status** of **Active Record** for which the MP wants to separate (refer to Figure 110).
- Near the top of the page, activate the **Display** button.
 The system populates the Enrollment Details grid below the filters with the resource enrollments meeting the criteria chosen at step 2.
- 4. In the Enrollment Details grid, click the row housing the entry for the resource to be separated.



Note: Only records with a status of **Validated** and no *End Effective Date*, where no other **Status** types exist with no *End Effective Date* may be separated. Should a record exist for the Resource with a **Status** of **Submitted** or **Qualified** with no *End Effective Date*, those records must be end dated before the separation can occur. This would be by either **Canceling** the **Submittal** or **Removing** the Resource from the active aggregation, respectively. When a separation occurs, a new record will be created with a Separated status and no End Effective date and the Validated record for the resource will be End Dated.

5. On the right side of the status bar just beneath the Enrollment Details grid, click the **Separate** button (see Figure 111).

The Enrollment Details grid refreshes to reflect the new entry for the resource with a status

of Separated and no End Effective Date and the Validated record will be End Dated.

Note: Once separated, a resource cannot participate unless and until it is re-enrolled via import file, as outlined under Section 8.4.

Figure 111: DSASP Enrollment Details Page Highlight Separate

MP flame	e)	Res	ource ID:	الله الم	Zone: PTID:	 Appregation: Status: Activities 	¥ e Record∤¥	From Date:		× C3 × C3 Display					
ASP Enroli	ments														
source D	Resource Name	TO Account Number	Statua	Begin Effective Date	End Effective Date	Apprepation ID Own PTD	Response Type	Product Type	Apprepation Type	Summer Subscribed Load(kW)	Gen(WV)	Summer Rating(kW)	Writer Subscribed Load(WV)	Winter Subscribed Gen(kW)	(Vinter Rating(ki
													~		

8.4. Re-enrolling Resources

For the SCR and EDRP programs, any resources that an MP wants to use, even if enrolled in a prior Capability Period, must be re-enrolled for each Capability Period by the deadline specified on the DRIS Event Calendar (refer to Section 2.1). For the DSASP, resources will remain enrolled, regardless of timeframe, unless that resource is either separated from the MP portfolio by the MP or the NYISO. Re-



enrollment is accomplished via file import to DRIS of all data for all resources that the MP wants to enroll, according to the same process as outlined under Section 7.

Note: For re-enrollment, the MP may export a properly formatted and structured file populated with resource enrollment data currently in DRIS to create a new file containing updated resource data. For details, refer to Section 8.5.

8.5. Downloading a Resource Enrollment File

Pre-existing resource enrollment data in the file format required for reporting to the system can be downloaded from DRIS by the MP. The MP can then use this file to create a properly structured and formatted file containing updated resource data for subsequent reporting to DRIS, including to correct exceptions (i.e., data errors, changes, or omissions) found in previous enrollment files; update resource enrollments; or re-enroll resources.

Pre-requisites

- Data for the MP's resources already exist in the system.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
 - **To download a resource enrollment file**
 - 1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 112).



Figure 112: Import/Export Page as Initially Displayed

Main • MP • Resource • SCR • I	Performance Factors •	DR Event +	Mitigation +	Tables -	Notification -	DSASP -	BTM •		
Capability Period: Summer 2014	Y Display								
Imports									
SCR Resource Imports									
Provisional ACL Eligibility									
EDRP Resource Import									
E DSASP Resource Import									
Resource Auction Sales									
Event Response									
a 🗐 Exports									
SCR Resource Exports									
E Provisional ACL Eligibility									
EDRP Resource Export									
E DSASP Resource Export									
Energy Payments									
Aggregation Performance Factors									
Aggregation UCAP Summary Export									

- For the SCR and EDRP programs, from the corresponding search filter in the uppermost frame on the Imports/Exports page, choose the **Capability Period** encompassing the enrollment data to be downloaded. For the DSASP, choose the *current* **Capability Period**.
- 3. Beside the Capability Period filter, click the **Display** button.

The system makes available the middle frame.

Under the Exports heading in the left pane of the middle frame, choose SCR Resource
 Exports, EDRP Resource Exports, or DSASP Resource Exports as applicable.

The system refreshes the right pane of the middle frame to display additional filters along with a button to initiate download of the file (as illustrated in Figure 113).



Figure 113: Filters for Downloading an SCR Resource Enrollment File



- 5. When exporting SCR resources, choose **SCR Enrollments** from the **Export Type** drop-down filter on the right side of the middle frame.
- 6. When exporting either SCR resources or EDRP resources, choose the applicable **Month** from the corresponding drop-down filter on the right side of the middle frame.
- 7. Select the file format from the **Export** button, located in the lower-left corner of the right pane in the middle frame and click to export.

The system displays a dialog box via which the enrollment file can be either saved or opened.

8. Take the requisite steps to either save or open the enrollment file.

The enrollment file is either saved to the designated location or displayed on screen.

Note: If the enrollment file is saved, it is named according to the convention [program type]_enrollments_[download date in mm-dd-yyyy format].[format type], where bracketed content is replaced with actual values to result in a file name such as edrp_enrollments_05-19-2010.xls.



9. Documentation Vault Management

The NYISO may request supporting documentation to validate the enrollment of Resources. The enrolling MP will have the ability to view applicable documentation requests, submit the supporting documentation directly into DRIS, and monitor the documentation request status using the Documentation Vault Management page. Documentation requests may be created by the NYISO due to either pending enrollment requests as described below in Section 9.1 and/or due to resource verification as described in Section 9.2. The steps to view documentation requests, submit documentation, and viewing the status of the documentation requests is the same regardless of the reason for documentation request.

9.1. Enrollment Requested Related Documentation Requests

If an enrollment request is in a pending/under review status in DRIS due to a Monitored Field being triggered, the NYISO requires additional documentation from the MP to support the resource's enrollment information. Upon submitting the enrollment request, the system will automatically generate documentation requests based on the Monitored Fields. The Documentation Vault page will display the documentation requests that require MP action by the specified due date. If sufficient supporting documentation is not submitted by the MP by the specified due date, the Resource may be separated from the MP's portfolio.

9.2. Documentation Requests and Resource Verification

A documentation record may also be created by the NYISO for Resource verification purposes. The Source Type of the documentation request will show as Verification within the Documentation Vault. All Documentation Vault actions regarding a resource verification should be handled similarly to the Documentation Vault actions of a pending/under review enrollment request. When a resource verification is underway, the NYISO will notify the applicable MP by email with instructions. After receiving an email from the NYISO, the MP should submit the supporting documentation in Documentation Vault in accordance with the instructions provided by the NYISO. Viewing Documentation Requests.

9.3. Viewing Documentation Requests

Documentation requests can be viewed in DRIS using the Documentation Vault page. There are two ways in which a MP can access the Documentation Vault page.

1) From the menu bar, under Resource and then Documentation Vault.



2) The Dashboard also has a control labeled "View Documentation Requests," which brings the user to the Documentation Vault page.

Note: Another indication of documentation requests can be found in the column titled "Doc Vault Status" on the DRIS Resource Enrollment Request page. This is where an MP can view whether a resource enrollment request requires any action from the MP or the NYISO.

9.3.1. Viewing Search Criteria

After arriving at the Documentation Vault page, documentation requests can be filtered by multiple categories. The system automatically populates the Documentation Vault page by:

- Active
- Capability Period
- MP
- Month

Note: An Active documentation record is a request within the Documentation Vault page that has a status of MP Awaiting, NYISO Awaiting or Extension Request

The MP can further narrow the data the system displays by the following additional parameters in the Search Criteria:

- Resource ID
- Documentation Type
- Status
- Monitored Field
- Enrollment Request Status
- Program
- Enrollment Status
- Source Type

Note: Documentation Type is a required type of document, such as utility bill, that will be used by the NYISO to validate a resource's enrollment.

Note: Status indicates who is currently responsible to take action on a documentation request. MP Awaiting requires action from the MP, NYISO Awaiting or Extension Request requires action from the NYISO.

Note: Source Type is the category of request, either Resource enrollment request or NYISO enrollment verification.



System populates documentation request records on the basis of pending resource enrollments or verifications. A Resource is put in a status of pending/under review enrollment as a result of triggering Monitored Fields found in the enrollment import file. The list of monitored fields for the Reliability Programs is described in Figure 85.

Each Monitored Field will have a specific set of Documentation Types associated with it in order to support a resource's enrollment. The MP is required to reply to each documentation request by submitting comments and attachments.

Prerequisites

- The MP has previously enrolled resources.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".
 - To view documentation requests for resources
 - 1. From the **Resource** menu, choose **Documentation Vault**.

The system displays the Documentation Vault page.

From the corresponding search filter(s) in the uppermost frame on the Documentation Vault page (see Figure 100), choose the Capability Period, Active, Month and/or the Resource ID for which the system should display documentation requests.

Figure 114: Documentation Vault Page Search Filters

Demand Response Information System Documentation Vault									
Main - MP - Resource - SCR -	Performance Fa	ictors - DR Event - Mitigat	tion + Tables + Notification + DSA	ASP+ BTM+					
Resource ID:	* Active: Y	* Capability Period	Winter 2018-2019 * Doc Type:						
MP: MP 123	· Status:	Months	February 2019 Monitored Field:	2					
Envolment Request Status:	Y Program:	Y Enrollment Status	Source Type:	M Display +					
Documentation Vault									
Resource ID MP Month Source Type	Nonitored Fields	Status Follow Up Reput. C	Invested Date Due Date Envolment Status	Envidement Riemanst Status					

3. Optionally, further limit the scope of documentation requests to be displayed by the system to only a specific **Enrollment Request Status**, **Status**, **Program**, **Enrollment Status**,



Documentation Type, Monitored Field and/or Source Type by choosing the applicable option(s) from the corresponding search filter(s) near the top of the page.

4. Near the top of the Documentation Vault page, click the Display button.

The system populates the Documentation Vault grid below the search filters with an entry for each documentation request meeting the criteria chosen at steps 2 and 3 (see Figure 114).

 Optionally, download the Capability Period data by clicking the drop-down arrow beside the Display button near the top of the Documentation Vault page and choose Download, then via the displayed dialog box taking the requisite steps to either save or open the resulting file.

A file containing Capability Period data for all documentation requests matching the criteria specified in steps 2 and 3 is either saved to the designated location on the user's computer or displayed on screen.

-1		STSTEM OPERA	ner Territore	- Totay	Documen	tation V	ault		aon e					
Main + M	P+ Re	source - SC	R+	Performa	nce Factors -	DR Eve	nt• Mitig	pation +	Tables	• •	lotifica	ation . DSASP.	BTM-	
Resource II);		٠	Active:	Y	• Capa	ality Period:	Winter 20	18-2019	٧	C	Noc Type:	*	
MP: MP 12	3		¥	Status:		Y	Month:	February	2019	¥	Monito	red Field:	٣	
Envollment	Request	Status:	~	Program;		* Enroll	nent Status:	1		*	Sou	rce Type:	*	Display •
locumentati	ion Vault													
Resource ID	MP	Month	Sourc	e Type	Monitored Fields	Status	Follow L	Ip Required	Created D	Nate		Due Date	Enrollment Stats	s Enrollment Request Status
207061	MP 123	February 2019	Enrol	iment	Street	MP Assatin	9		01/17/20	19 15	:12:30	01/25/2019 17:00:00	Under Review	Pending
														Total count: 1 Reply

Figure 115: Documentation Vault Management Page Populated with Data

9.3.2. Viewing Documentation Vault Summary

Based on the chosen viewing scope, the system will display the data in the following columns in the Documentation Vault Summary grid:

- Resource ID
- MP
- Month
- Source Type



- Monitored Field
- Status
- Follow Up Required
- Created Date
- Due Date
- Enrollment Status
- Enrollment Request Status

Note: Follow Up Required is an indicator on a documentation request that informs the MP to take an action the following month in accordance with NYISO's instructions.

Note: Created Date is the date when a documentation request record was created within the Documentation Vault.

Note: Due Date is the date that the documentation request must be completed by the MP.

9.3.3. Viewing Documentation Details

In viewing the Documentation Details grid within the Documentation Vault page, the MP can see specific documentation request records that are required for each Resource. When a single Resource is selected in the summary section, the documentation request is populated below in the Documentation Details section, which provides information on each Resource including:

- Resource ID
- Documentation Type
- Monitored Fields
- Created Date
- Due Date
- Status
- Follow Up Required
- Submitted Date
- MP Comments
- NYISO Comments

Note: Comments can be used as a reply but also may include questions or additional information on the attached documentation entered by the MP and by the NYISO



Multiple documentation request records may appear for one Resource. When a single documentation request record is selected, the system provides a detailed description of the Documentation Type at the bottom of the page.

Figure 116: Documentation Description

Doc Type:	LRP	Description:
More Info:	LRP 1	The request for a Load Reduction Plan (LRP) is to ensure there is alignment between enrollment declared value (intends the resource to follow during an activation.
		Please provide a LRP that indicates the step by step process the resource intends to exercise during an activation expected to be achieved by each step, when called upon to reduce its Load being supplied from the NYS Transm NYISO initiated event or performance test.
		A resources declared value for the auction month for which the NYISO requested the documentation must not ex from each step of the RESOURCE's load reduction plan. A sample load reduction plan is available on the NYISO v

Note: A Documentation Type may have more information included as a hyperlink

Figure 117: Viewing Documentation Details within the Documentation Vault Page

Documentat	ion Vault															
Resource ID	мр	Month	Source T	ype Monitored Fields	Status F	Follow Up Required	Created Date	Due Dat	2	Enrollmen	t Status	Enrollmer	nt Request	Status		
207061	MP 123	February	2019 Enrollme	nt Street	MP Awaiting		01/17/2019 15:12:30	01/25/2)19 17:00:00	Under Re	view	Pending				
													Tota	l count: 1	Reply	a Excel
Documentat	ion Details										Attac	hments				
Resource ID	Documen	tation	Monitored Fields	Created Date	Due Date	Status	Follow	v Up Requ	Submitted I	Date	Filena	me	Downlo	Docume	entation	Last Upo
207061	Reason fo	or Cha	Street	01/17/2019 15:12:30	01/25/2019 17:	00:00 MP Await	ting									
207061	Utility Bill	l i	Street	01/17/2019 15:12:30	01/25/2019 17:	00:00 MP Await	ting									
1										÷						
								Total cour	t: 2 Reply	Excel						

9.3.4. Viewing Documentation Vault Management Attachments

In viewing the Documentation Vault Attachment grid within the Documentation Vault page, the MP can see any attachments associated with an individual documentation request record selected within Documentation Details grid. The Documentation Vault Attachment section provides several columns including the name of an attached file, the associated Documentation Type, who the file was last updated by and also when the file was updated last. Additionally, any file attached to a Documentation Vault record can be downloaded from this grid by selecting the icon in the Download column for any given file. It is possible that multiple files are attached to a single documentation request record.



Documentation I	Details				Attachments
Documentation	Monitored Fields	Created Date	Due Date	Status	Filename
Reason for Cha	Street	02/07/2019 11:09:16	02/14/2019 17:00:00	MP Awaiting	.Blank Doc-02
Utility Bill	Street	02/07/2019 11:09:16	02/14/2019 17:00:00	MP Awaiting	
-				•	
			Total count: 2	Reply Street	

Figure 118: Viewing Attachments within the Documentation Vault Page

9.4. Replying to a Documentation Vault Management Request

After filtering the Documentation Vault page as desired, the MP can see the documentation requests. The status of each resource will indicate who needs to take an action on a documentation request.

9.4.1. Documentation Vault Management Reply Window

The Documentation Vault Management Reply window is where the MP can reply to a documentation request. It is required that the MP respond to a documentation request using the Documentation Vault Reply window, which can be reached in two different ways.

The first option is within the summary portion of the Documentation Vault page, by selecting "Reply." Next to this button, there is a total count of records within the Documentation Vault indicating the number of active Resources with documentation requests, for the specified time period. Using the Summary Reply button allows the MP to reply to multiple resources at a time.

Figure 119: Accessing Reply Window from Summary Page



The second path to arrive at the Reply window is to select the "Reply" button within Documentation Details grid. This will also bring the MP to the Documentation Vault Reply window, however this window only displays the documentation request records associated with the single Resource that was selected within the Documentation Details grid.



Figure 120: Accessing Reply from Documentation Details

Resource ID	Documentation Type	Monitored Fields	Created Date	Due Date	Status	Follow Up Required	Submitte
Doc Type:	[]	Description:				MP Comm	ents:

9.4.2. Reply Window Features

When "Reply" is selected in the Summary grid, the Documentation Vault Reply window will appear with data for all Active resources with their corresponding documentation request records. This Reply window contains different attributes that allow the MP to reply to a documentation request including:

- Extension Request
- MP Comments
- Attachments
- Complete

Figure 121: Reply Window

Extension R Complete:	Request Date:		MP Comments:									
Documental	tion Details											
Resource ID	Documentation	Source Type	Status	Due Date	Extension Request	Extension Request Dat	e	MP Co	mments		Attach	Attachme
207252 207252	Reason for Cha Utility Bill	Enroliment Enroliment	MP Awaiting MP Awaiting	09/17/2019 17:00:00 09/17/2019 17:00:00							9 9	
Detail Desc	ription							-	in the second	- 200	Detter	-
Doc Type: More Info:		Descriptio	on:				Upload	progress:	-	-	-	
							Drag a upload	file from y	rour deskt	op on to	the drop :	zone above
										File:	elect a filè	B

Within the "Reply" window, there is a Documentation Details grid that displays all active documentation request records, which can be sorted by the column header as desired. This grid details each documentation record by Resource and includes the following columns: Resource ID, Documentation Type, Source Type, Status, Due Date, Extension Request, Extension Request Date, and MP Comments. To take an action on a single documentation request record within the Reply window, the MP shall select a record in the Documentation Details grid. Once a record is selected, the system allows the MP to Update or Cancel modifications to the selected record, including:

- Extension Request Indicator
- Extension Request Date
- Comment
- Attachment
- Complete

Note: Extension Request Date allows the MP to request NYISO more time to respond to a documentation request. Extension requests must be approved by the NYISO. The NYISO will review the request and may grant the extension date requested, specify an alternate due date, or reject MP request and keep the original due date.

Note: When an MP selects "Complete" and "Update" the record goes to NYISO Awaiting Status and is longer editable by the MP

Figure 122: Record Selected to be Individually Updated

Resource ID	Documentation	Source Type	Status	Due Date	Extension Request		ate +	MP Comments
207252	Reason for Cha	Enrollment	MP Awaiting	09/17/2019 17:00:00			3	1
207252	Utility Bill	Enrollment	MP Awaiting	09/17/2019 17:00:00	Updat	te Ca	incel	

In order for a documentation request record to be eligible for submission, the MP must enter a comment and/or attach a file. Within the Reply window, there is an option to reply to a single documentation request record or to multiple records at a time.



Figure 123: Two Records Selected to be Updated	
------------------------------------------------	--

Extension Re Complete:	equest Date: 01/2	9/2019	MP Comm Requesti Documen	rents: ng an Extension to 1/2 Itation Types = Reasor	9/2019 for for Chang	RID123 e, Utility Bill			Сору То Sel	ected Rows
Documentati	ion Details									
Resource ID	Documentation Type	Source Type	Status	Due Date	Extensi Request	Extension Request Date	MP Comments	Attach	Attachment	Complete
207061	Reason for Change	Enrollment	MP Awaiting	01/25/2019 17:00:00				9		
207061	Utility Bill	Enrollment	MP Awaiting	01/25/2019 17:00:00				0		

To Comment on a Documentation Request

To insert a comment for a single record,

- 1. Select the record in the Documentation Details grid
- 2. Type the desired comment in the MP Comments column
- 3. Select the Update button to Save actions

To enter the same comment for multiple records

- 1. Hold Ctrl+Shift to select multiple records in the Documentation Details grid
- 2. Type the desired message in the MP Comment section in the "Reply" window, in the upper right part of the screen.
- 3. To save the action of entering a comment, the user must select "Copy to Selected Rows"
- 4. This button will copy the comment and apply it to all selected records.

Note: The "Copy to Selected Rows" Pop Up window gives a numeric count indicating how many records are being saved

To Execute an Extension Request

To submit an Extension request for an individual record

1. Select the record in the Documentation Details grid



- 2. Selecting the calendar button next to Extension Request Date
- 3. Choose the new deadline date desired
- 4. The selected Date will appear in the Extension Request Date section
- 5. Click the Extension Request indicator
- 6. Select Update.

To submit an Extension request for multiple records

- 1. Select applicable records in the Documentation Details grid
- 2. Select the calendar next to Extension Request Date within the "Reply" window
- 3. Choose the new deadline date desired
- 4. Select the Copy to Selected Rows button
- 5. Select Yes or No on Pop Up window to Copy to Rows

When an Extension Request is submitted, the Status of the record(s) will change from MP Awaiting status to Extension Request Status. Extension Requests must be approved by the NYISO. The NYISO will review the request and may grant the extension date requested, specify an alternate due date, or reject MP request and keep the original due date.

Note: Extension Requests do not require the MP to click on Complete indicator

- a. If the NYISO approves the Extension Request or specifies an alternate due date, the due date will update for each record request to the NYISO approved date in DRIS, which will be viewable in Documentation Details grid
- b. If the NYISO rejects the Extension Request, the original due date associated with the record will remain

🗏 To Attach a File

Attaching a file will require an MP to prepare the appropriate documentation in advance. A file can be attached to one record at a time and the MP can either drag a file or select one utilizing the browse button.

To Drag a File

1. Select the record in the Documentation Details grid



- 2. Drag a file across the screen into the upload box in the Reply window
- 3. Verify the file is successfully attached in the Attachment grid

Documentati	on Details									
Resource ID	Documentation	Source Type	Status	Due Date	Extension Request	Extension Request Date	MP Comments	Attach	Attachment	Complete
207252	Reason for Cha	Enrollment	MP Awaiting	09/17/2019 17:00:00				Ø		
207252	Utility Bill	Enrollment	MP Awaiting	09/17/2019 17:00:00				I		
4										•
									Total count	: 4 🥩 🐴 Exc
Detail Descri	iption		Drop a	and Drag				Attachme	ents	
Doc Type:	Utility Bill	Descripti				v		Filename		Dow
More Info:		The req bill is fo attribute DRIS. The elec than tw month. submitte	uest for the mos r the NYISO to v es submitted by ctric utility bill sh o months from t Please ensure th ed validates the	t recent electric utility erify the resource your company into ould not be older he current calendar e documentation following:	Upload progr % Drag a file fr zone above t	ress: om your desktop to upload.	ove			

Figure 124: Attaching a File Utilizing Drag and Drop Feature

To Upload a File

- 1. Select the record in the Documentation Details grid
- 2. Select the icon under Attach or Download
- 3. Choose the applicable file
- 4. Verify the file is successfully attached in the Attachment grid

Figure 125: Attaching a File Utilizing Browse Feature

Documental	ion Details						_			
Resource ID	Documentation	Source Type	Status	Due Date	Extension Request	Extension Request Date	MP Comments	Attach	Attachment	Complete
207252	Reason for Cha	Enrollment	MP Awaiting	09/17/2019 1				9		
207252	Utility Bill	Enrollment	MP Awaiting	09/17/2019 1				9		
Detail Deco	rintion							0.11	Total o	count: 4 👶 🐴 Exc
Doc Type:	npoon	Descrip	ition:				-	File	ename	Dow
More Info:					1	-	-			



st	Extension Request Date	MP Comments	Attach	Attach	ment	Complete	
			9	7]		
			Ð.	V			
				-	Total cou	nt: 4 🛸 📲	Ex
			Att	achments	D.		
-			File	name	Downlo	Delete	
			Bla	nk Docu	9	0	
					×.	-	

Figure 126: Verify Documentation Attachment

To remove a file on a documentation record, select the red minus sign next to the attachment within the Reply window. The system will prompt to confirm this action, verifying that the attachment will be deleted from the record.

To Complete a Documentation Request

To complete a single documentation request for submission

- 1. Select the record in the Documentation Details grid
- 2. Check the Complete Box
- 3. Select Update.

To complete multiple documentation requests for submission

- 1. Hold Ctrl+Shift to select multiple records in the Documentation Details grid
- 2. Check the Complete box in the Reply window
- 3. To save the action, the MP must select "Copy to Selected Rows"
- 4. This button will apply it to all selected records

When a record is marked as complete, the status of the record will change to NYISO Awaiting and will no longer be editable by the MP.

Note: Do not select Complete for Extension Requests. Once Complete selection is saved, the record moves to NYISO Awaiting Status.



10. Performing SCR-Specific Tasks

For those MPs acting as RIPs in relation to the SCR program, DRIS provides the means to perform the following tasks in relation to resources for which the MP has authorization to act:

- Viewing Resource ACL Adjustment TO/DADRP add-back kW values and DSASP baseline kW values
- Managing aggregations and strike prices
- Viewing system-calculated aggregation performance factors and aggregation UCAP values
- Viewing the results of the automatic transfer of system-calculated UCAP values to ICAP AMS
- Downloading UCAP values
- Allocating resource auction sales when there is a partial sale of the aggregation
- Importing and viewing resource Provisional ACL verification data
- Viewing resource Provisional ACL shortfall
- Importing and viewing resource Incremental ACL verification data
- Viewing resource Incremental ACL shortfall
- Viewing resources subject to an offer floor

10.1. Viewing Resource ACL Adjustment TO/DADRP Add-back kW Values and DSASP Baseline kW Values for a Resource

Transmission Owner, DADRP add-back kW values and DSASP baseline kW values for a resource are made visible to the Market Participant for Enrolled resources. An MP may view TO/DADRP add-back kW values and DSASP baseline kW values for a resource as the add-back is applied to or the baseline replaces the resource enrollment (see Section 8.1.1) or as the add-back is applied to or the baseline replaces the reporting of the resource Provisional ACL or Incremental ACL verification data (see Section 10.6.5).

Corrections may be made to the add-back kW values or the baseline kW value of a resource during the calendar event for enrolling resources or during the calendar event for reporting Provisional ACL and Incremental ACL verification data, respectively. The MP must contact the TO directly for corrections to resource TO add-back kW values. The MP must contact the NYISO directly for corrections to resource DADRP add-back kW values or DSASP baseline kW values. Contact information for each TO reporting add-back kW values will be made available each Capability Period at https://www.nyiso.com/installed-capacity-market(in the **Forms** folder). To reach the NYISO, contact NYISO Stakeholder Services at 518-356-6060.

The MP can view add-back kW and baseline values in summary and detail.



Note: Optionally, view add-back kW and baseline kW values for a resource from the ACL Details tab on the Capability Period page (see Section 8.1.1).

10.1.1. Viewing Summary of TO/DADRP Add-back kW and DSASP Baseline kW Values

In viewing a summary of the TO/DADRP add-back kW and DSASP baseline values, the MP can see the resources enrolled for the selected Capability Period by Resource ID and TO account number, as well as an indicator specifying when a TO/DADRP add-back or DSASP baseline value exists for the resource.

When viewing values from this screen, the MP must, at a minimum, identify a Capability Period and Zone or resource.

The MP can further narrow the data the system displays by any of the following additional parameters:

- Transmission owner
- Submittal date from
- Submittal date to

Regardless of viewing scope, the system displays the following data for each enrolled resource;

- Resource ID
- TO account number
- Applicable Capability Period
- TO add-back indicator
- DSASP baseline indicator
- DADDRP add-back indicator

Pre-requisites

- The MP has previously enrolled resources.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To view summary of TO/DADRP add-back and DSASP baseline kW values

1. From the SCR menu, choose Resource ACL Adjustments.

The system displays the Resource ACL Adjustment page.

2. From the corresponding search filter(s) in the uppermost frame on the Resource ACL Adjustment page (see Figure 127), choose the **Capability Period**, **Zone** and/or the **Resource ID** for which the system should display add-back or baseline kW values.

Figure 127: Resource ACL Adjustment Page Search Filters

-1E	CONTRACTORIA INDEPENDENT SYSTEM OPER	Тотопи	D w.Today	emand Respo Resource ACL Adju	nse Info stment	ormation Sys	stem			
Main + MP + Re	source • SCR • Perform	nance Fa	Resource ID:	d∗ Mitigation+ Tables+ N	Capability Pe	riod: Summer 2014	~	Submittal Date - From:	× [3]	
Transmission	Owner:	*	Zone:	J ~				Submittal Date - TO:	× C	Display
Rissource Adjur	tment Summary									
Resource ID	TO Account Number	Applica	ble Capability Pe	riod TO Add-back	DSASP Baseline	DADRP Add-back				

- Optionally, further limit the scope of resource add-back or baseline values to be displayed by the system to only a specific Transmission Owner, Zone, Submittal Date-From, and/or Submittal Date-To by choosing the applicable option(s) form the corresponding search filter(s) near the top of the page.
- 4. Near the top of the Resource ACL Adjustment page, click the **Display** button.

The system populates the Resource Adjustment Summary grid below the search filters with those resources meeting the criteria chosen at step 2 and 3 (see Figure 128).

Figure 128: Resources with a TO Add-back Value, a DADRP Add-back Value and a DSASP Baseline Value for the Selected Capability Period

	Tables* Wollinearion* DSASP* DTM*				
* Resource ID:	Capability Period: Summer 2014	Y Submittal Date - From:	* 3		
Zone: 1		Submittal Date - TO:	x 🖪	Display	
Y					
umber Applicable Capability Period TO Add-back	DSASP Baselin. DADRP Add-back				
21 Summer 2014 [7]	IT IT				
50 Summer 2014	N 13				
	100 000				

5. Optionally, view details of the Adjustment kW values for a resource by clicking the corresponding row in the Resource TO Adjustment Summary grid.



The system expands a frame at the bottom of the page, where additional details for the adjustment values of the resource are displayed (see Figure 129).

Figure 129: Details of Resource Adjustment Values for Resource Highlighted in the Resource Adjustment Summary Grid

Contraction of the second		 Resource ID: 		 Capability Per 	iod: Summer 2014	~	Submittal Date - From:	× 🖪			
Transmission O	wner:	Y Zone: J		*			Submittal Date - TO:	× B	Display		
Resource Adjust	ment Summary										
Resource ID	TO Account Number	Applicable Capability Period	TO Add-back	DSASP Baselin.	DADRP Add-back						
123456789	X854987321	Summer 2014	1921	F	F1						
101112131	X987123456	Summer 2014	0	V							
415161718	X854321987	Summer 2013	12	6							
										Total count: 3	4.) E
Adjustment Deta	ills										
Peak Load Date an	d HB Reported Adjust	tment kW * Adjustment Source	r,								
Capability Peri	iod										
07/18/2013 19	100	Reporting TO Name	8								

10.1.2. Viewing Details of Resource Adjustment kW Values

In viewing details of the adjustment kW values (TO, DADRP or DSASP) of a resource, the MP can see adjustment values for specific SCR Load Zone Peak Hours and the source of the adjustment, which reported the add-back value.

This data is visible in a frame at the bottom of the Resource ACL Adjustment page (see Figure 129).

The system displays the following information for the selected resource and Capability Period:

- Peak Load Date and HB
- Reported Adjustment kW
- Adjustment Source

Note: When a resource reduces load in more than one TO load reduction program in the same SCR Peak Load Date and Hour, all add-back kW values imported by a TO are saved, however, the highest TO add-back kW value is made viewable on the screen and used in the resource ACL calculation.



Pre-requisites

- The MP has previously enrolled resources.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To view details of Resource Adjustment kW values

1. From the SCR menu, choose Resource ACL Adjustment.

The system displays the Resource ACL Adjustment page.

 From the corresponding search filter(s) in the uppermost frame on the Resource Adjustment page (see Figure 130), choose the Capability Period and Zone and/or the Resource ID for which the system should display Resource Adjustment kW values.

Figure 130: Resource ACL Adjustment Page Search Filters

=JP	NEW YORK	ATON Tomorro	De nToday	Demand Response Information System Resource ACL Adjustment									
Main • MP • Re	esource - SCR - Perform	nance Fa	ctors . DR Event	- Mitiga	ition - Tables -	N	otification . DSA	SP-	BTM+				
MP Name:		~	Resource ID:			*	Capability Peri	od:	Summer 2014	v.	Submittal Date - From:	N.[[3	
Transmission	Owner:	~	Zone:	Č.		~					Submittal Date - TO:	× []	Display
Resource Adjus	stment Summary												
Resource ID	TO Account Nomber	Applica	ble Capability Per	dd Ti	O Add-back +	1	SASP Easeline	DA	DRP Add-back				

- 3. Optionally, further limit the scope of resource adjustment values to be displayed by the system to only a specific **Transmission Owner**, **Zone**, **Submittal Date-From**, and/or **Submittal Date-To** by choosing the applicable option(s) form the corresponding search filter(s) near the top of the page.
- 4. Near the top of the Resource ACL Adjustment page, click the **Display** button.

The system populates the Resource Adjustment Summary grid below the search filters with those resources meeting the criteria chosen at step 2 and 3 (see Figure 131).



MP Name: Marker Pancipant V Resource ID: V Capability Period: Summer 2014 V Submittal Date - From: V Display Transmission Owner: V Zone: 3 V Submittal Date - TO: V Display Resource Adjustment Summary	Display	×	Submittal Date - From:	mer 2014 👻	enables ended.				
Transmission Owner: Y Zone: J Y Submittal Date - TO: X Display Resource Adjustment Summary	Display	14 1781			Capability Period:	*	Resource ID:	farket Participant	MP Name:
Resource Adjustment Summary		10	Submittal Date - TO:			v	Y Zone: J	Owner:	Transmission
a set and the set of t								tment Summary	Resource Adju
Resource ID TO Account Number Applicable Capability Penod TO Add-back DSASP Baselin. DADRP Add-back				Add-back	SASP Baselin. D	TO Add-back D	licable Capability Period	TO Account Number	Resource ID
12345038 X854897321 Summer 2014 😢 🦻					F		nmer 2014	X854987321	123455789
101112131 X867122456 Summer 2014					7	0	nmer 2014	X987123456	101112131
416161718 X864321987 Sutomer 2013				2	6	10	nmer 2013	X854321987	415161718

Figure 131: Resources with Adjustment Values for the Selected Capability Period

5. View details of the resource adjustment kW value for a resource by clicking the corresponding row in the Resource Adjustment Summary grid.

The system expands a frame at the bottom of the page, where additional details for the resource adjustment value of the resource are displayed (see Figure 132).

Figure 132: Details of Resource Adjustment Values for Resource Highlighted in the Resource Adjustment Summary Grid

MP Name:	Market Participant	Y Resource ID:		Y Capability Per	iod: Summer 2014	~	Submittal Date - From:	× 🖻			
Transmission	Owner:	Y Zone: J		~			Submittal Date - TO:	×	Display		
Resource Adju	stment Summary										
Resource ID	TO Account Number	Applicable Capability Period	TO Add-back	DSASP Baselin.	DADRP Add-back						
123456789	X854987321	Summer 2014		F	E						
101112131	X987123456	Summer 2014		J							
415161718	X854321987	Summer 2013									
										Total count: 3	
Adjustment De	tails										
Peak Load Date :	and HB Reported Adjus	tment kW * Adjustment Source	ie -								
Capability Person	eriod										
07/18/2013 19	100	Reporting TO Nan	ne.								
										-	



10.2. Managing Aggregations

Managing aggregations consists of performing some or all of the following tasks within DRIS:

- Requesting new aggregation IDs
- Managing aggregation strike prices
- Viewing aggregation data including performance factors and UCAP values
- Moving resources between aggregations
- Viewing aggregation UCAP values transferred to ICAP AMS
- Allocating auction sales for aggregations with partial auction sales

Note: In the SCR menu the selection of "Aggregation Assignment" will navigate the user to the Aggregation Management screen which provides Aggregation Performance Factors. This screen will be enabled with the start of the SCR Enrollment calendar event for the May 2012 auction month. The selection of "Aggregation Assignment Pre-Summer 2012" will navigate the user to the process for Aggregation Management prior to the Summer 2012 Capability Period.

The "Aggregation Assignment Pre-Summer 2012" selection will continue to provide historical views after the Winter 2011 - 2012 Capability Period but will no longer be available for the Aggregation Management process beginning with the Summer 2012 Capability Period. Beginning with the Summer 2012 Capability Period, users should perform the Aggregation Management process through the "Aggregation Assignment" SCR menu option.

10.2.1. Requesting New Aggregation IDs

To request a new aggregation ID, the MP must designate a unique name for the aggregation and specify the Zone and strike price.

When the MP requests a new aggregation ID, the system will process and save the request for review by

the NYISO, and, thereafter, the MP can monitor the status of the request as outlined under Section 10.2.1.1.

Note: New aggregation IDs may be requested only within the time frame specified on the DRIS Event Calendar (refer to section 2.1). Aggregation IDs are required in the import file for all new or existing resources (see section 7.3).

Upon approval by the NYISO of the aggregation ID request, the new aggregation ID will be created in both DRIS and the ICAP Automated Market System (AMS), and the MP may assign resources to the aggregation.

Note: The MP may cancel any aggregation ID request pending approval, as outlined under Section 10.2.1.2.

Pre-requisites

• The MP is registered in MIS to participate in the SCR program.

- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The DRIS Event Calendar indicates that the time frame for requesting new aggregation IDs is open (refer to Section 2.1).
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

□ To request a new aggregation ID

1. From the **SCR** menu, choose **Aggregation Reques**t.

The system displays the Aggregation Request page.

 In the corresponding fields of the lowermost frame, type a unique identifying Aggregation Name, choose the applicable Zone, and type the Strike Price for the aggregation (see Figure 133).

Note: The aggregation name is limited to 20 characters. Strike price must be any dollar value between \$0.00 and \$500.00.

Figure 133: Aggregation Request Page with Required Data Provided

	DINEW YORK	Der InnorrowToday Ag	mand Re	sponse Info Request	ormation Sy	stem					
Main • MP • F	Resource - SCR -	Performance Factors •	DR Event -	Mitigation - Tables -	Notification - DS	ASP - B	ITM +				
MP Name:	MP One	Zone: All	👻 Requ	ests From:	Requests	Till:	Status:	All 👻	Display		
Aggregation Reque	sts										
MP	Requested By	Request Date *	Aggregatio	Aggregation Name	Zone Strike Price	Status	Status Date	Last Update Date	Last Updated By	Comments	
Create Aggregation	Request									Total count: 2	Ba Excel
Aggregation Name:	AggWest										
Zone:	к	~									
Strike Price:	400										
Add											

3. In the lower-left corner of the Create Aggregation Request frame, click the **Add** button.



The status bar near the bottom of the page indicates that the request was saved, and the system adds a listing for the request to the Aggregation Requests grid in the middle frame (see Figure 134).

Figure 134: Aggregation Request Page Reflecting a Pending Request

Demand Response Information System Aggregation Request												
Main • MP •	Resource - SCR -	Performance Factors -	DR Event -	Mitigation - Tables -	Notif	ication - DS	SASP - B	3TM -				
MP Name:	MP One	Y Zone: All	Y Requ	ests From:	3	× Requests	Till:	🖸 🗶 Status	s: All	Display		
Aggregation Requ	iests											
MP	Requested By	Request Date *	Aggregatio	Aggregation Name	Zone	Strike Price	Status	Status Date	Last Update Date	Last Updated By	Comments	
MP One	RJones	08/201 09:20:26		AggWest	A	499	Pending	05/18/2010 09:20:24	6 05/18/2010 09:20:	26 RJones		
Create Aggregatio	on Request										Total count: 2	a) Excel
Aggregation Nam	e:											
Zon	e:	~										
Strike Pric	e:											
Add												

10.2.1.1. Monitoring the Status of Aggregation ID Requests

Any time after reporting requests for new aggregation IDs, the MP may review the status of all requests.

Note: The MP may cancel any aggregation ID request still pending approval, as outlined under Section 10.2.1.2.

Pre-requisites

- The MP has reported one or more requests for new aggregation IDs.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To monitor aggregation ID requests

1. From the **Main** menu, choose **Dashboard**.

The system opens the Dashboard page, where the uppermost left pane displays the number of aggregation requests by status category (see Figure 135).



Note: The status categories displayed for aggregation ID requests are *Pending* (for requests earmarked for and awaiting NYISO review), *Approved* (for previously pending requests that have been reviewed and accepted by the NYISO), *Denied* (for previously pending requests that have been reviewed and rejected by the NYISO), or *Canceled* (for previously pending requests that have been canceled by the MP). The numbers displayed for each category are dynamic such that they update to reflect changes in status as those changes unfold.

2. Under the Aggregation Requests heading in the left uppermost pane, click the View

Requests button.

Tip: If the button is not currently in view, use the vertical scroll bar to adjust the view in the left uppermost pane.

The system displays the Aggregation Request page.

Note: The Aggregation Request page may also be accessed via the **SCR** menu and **Aggregation Requests** option.

Figure 135: Aggregation Requests Area of Dashboard

SCR		EDRP	
apability Period:	Summer 2013	Capability Period:	Winter 2013-2014
uction Month:	June 2013	Month:	April 2014
Enrollment Reque	sts	Enrollment Request	ts
Pending:	0	Pending:	0
Approved:	0	Approved:	0
Denied:	0	Denied:	0
Cancelled:	0	Cancelled:	0
MP Action Reg'd:	0	View Requests	
View Requests		Enrollments	
Enrollments		Under Review:	0
Under Review:	0		
Aggregation Requ	ests		
Pending:	0		
Approved:	0		
Denied:	0		
Cancelled:	0		
View Requests			

3. Limit the scope of the aggregation ID requests to be viewed:

To view requests for only a specific **Zone**, range of reporting dates (**Requests From** and **Requests Till**), and/or **Status**, choose the applicable option(s) from the corresponding search filter(s) near the top of the page (see Figure 136), then proceed to step 4.

OR

To view all requests, proceed directly to step 4.

Figure 136: Aggregation Request Page Display Filters

Demand Response Information System Aggregation Request										
Main - MP -	Resource + SCR +	Performance Factors •	DR Event - Mitigation -	Tables - Notificat	ion - DSASP -	BTM +				
MP Name:	Market Participant	Y Zone: All	✓ Requests From:	a ×	Requests Till:	x (5)	Status: All	Display		
Aggregation Req	juests									
MP -	Requested By	Request Date	Aggregatio Aggregat	ion Name Zone	Strike Price Statu	s Status Date	Last Update Date	Last Updated By	Comments	

4. Near the top of the page, activate the **Display** button.

The system populates the Aggregation Requests grid below the filters with an entry for each aggregation ID request meeting the criteria chosen at step 3 (refer to Figure 134).

5. Review the displayed aggregation ID requests, particularly the *Status* of each request, as reflected in the corresponding column.

Note: The data displayed in the Aggregation Requests grid may be downloaded in Excel format. To do so, click the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, take the requisite steps to either open or save the file.

10.2.1.2. Canceling Aggregation ID Requests

The MP can cancel an aggregation ID request as long as the request is still pending approval.

Whenever an aggregation ID request is canceled, the system retains all information related to the request for subsequent review but does not create the aggregation ID.

Pre-requisites

- The MP previously requested one or more new aggregation IDs for a specific auction month, and that auction month is still designated as open for new aggregation IDs according to the DRIS Event Calendar (refer to Section 2.1).
- The MP aggregation ID requests to be canceled are still pending approval.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.



- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
 - **To cancel an aggregation ID request**

Note: If the Aggregation Request page has already been accessed and the desired request found, skip to step 4.

1. From the **SCR** menu, choose **Aggregation Reques**t.

The system displays the Aggregation Request page.

- 2. Optionally, limit the scope of the aggregation ID requests to be displayed for viewing to that encompassing the request to be canceled:
 - To view requests for only a specific Zone, range of reporting dates (Requests From and Requests To), and/or Status, choose the applicable option(s) from the corresponding search filter(s) near the top of the page (refer to Figure 136), then proceed to step 3.

OR

- To view all requests, proceed directly to step 3.
- 3. Near the top of the page, activate the **Display** button.

The system populates the Aggregation Requests grid in the middle frame with all aggregation ID requests meeting the criteria chosen at step 2.

- 4. In the Aggregation Requests grid, click the row housing the request to be canceled.
- On the right side of the status bar just below the Aggregation Requests grid, click the Cancel button (see Figure 137).

The system nullifies the aggregation ID request and changes the corresponding status from *Pending* to *Canceled* in the Aggregation Requests grid (see Figure 137), while also increasing the number of *Canceled* aggregation requests and decreasing the number of *Pending* aggregation requests by 1 on the DRIS Dashboard.



- 15		Dem norrowToday Age	and Re pregation	sponse Info Request	orma	tion Sy	rstem					
Main • MP •	Resource - SCR -	Performance Factors -	DR Event -	Mitigation - Tables -	Notif	ication - DS	SASP - B	TM +				
MP Name:	MP One	Y Zone: All	Y Requ	ests From:	3	× Requests	Till:	Status:	: All	Display		
Aggregation Requ	iests											
MP	Requested By	Request Date *	Aggregatio	Aggregation Name	Zone	Strike Price	Status	Status Date	Last Update Date	Last Updated By	Comments	
MP One	RJones	08/201 09:20:26		AggWest	A	499	Canceled	05/18/2010 09:20:26	8 06/18/2010 09:2C	26 RJones		
											Total count: 2	a Excel
Create Aggregation	on Request											
Aggregation Nam	e:											
Zon	e:	×										
Strike Pric	e:											

Figure 137: Aggregation Request Page Illustrating Canceled Request

10.2.2. Managing Strike Prices

The MP initially specifies aggregation strike price as part of requesting a new aggregation ID. Aggregation strike prices remain in effect until modified, which can be done only within the time frames for strike price management as specified on the DRIS Event Calendar (refer to Section 2.1), and take effect the first day of the month specified by the MP.

The MP can either nullify or update any changed strike price yet to take effect, assuming the applicable time frame for strike price management is still open according to the DRIS Event Calendar.

10.2.2.1. Changing a Strike Price across Auction Months

Aggregations strike prices can be modified within the time frame for strike price management as specified on the DRIS Event Calendar (refer to Section 2.1), with the changes taking effect the first day of the month specified by the MP.

Pre-requisites

- The MP has one or more aggregations.
- The time frame for strike price management is open as specified on the DRIS Event Calendar (refer to Section 2.1)
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.


• The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

— To change a strike price

1. From the **SCR** menu, choose **Strike Price Management**.

The system displays the Strike Price Management page, where the Strike Prices grid is populated with strike prices for all aggregations (see Figure 138).

Figure 138: Strike Price Management Page

-1	50	ORK INDENT I OPERATOR Miss of Landrow To	De	e mand Strike Prio	Respon ce Managem	se Info	ormation S	System	
Main • MP •	Resource + SCR	Performance Fact	tors . DR B	Event · Miti	gation + Tables	+ Notificat	on . DSASP . BI	TM •	
М	IP: MP One	*	Zone:	Al Y Ag	gregation: All	۷	Display		
Strike Prices									
MP		Aggregation	Zone	Strike Price	s Start Month	End Manth	Last Updated By:	Last Update Date	
MP One		8123	к	500	11/01/2009		J Smith	11/23/2009 09:19:45	
MP.One		8124	1	500	11/01/2009		J Smith	11/19/2009 17:28:58	
MP One		8125	F	500	11/01/2009		J Smith	11/23/2009 09:19:45	
MP One		B126	D	500	11/01/2009		J Smith	12/01/2009 15:41:53	
									Total count: 4 Add Delete (#a) Exc

- 2. Optionally, limit the scope of strike price data displayed by the system:
 - To have the system display strike price data for only those aggregations in a specific **Zone** and/or for a specific **Aggregation**, choose the applicable option(s) from the corresponding search filter(s) near the top of the page, then proceed to step 3.

OR

• To have the system continue to display strike price data for all aggregations, proceed to step 3.



3. Click the **Display** button.

The Strike Prices grid below the filters refreshes to display strike price data for each aggregation meeting the criteria chosen at step 2, including the strike price itself, the month the price took effect (i.e., the *Start Month*), and, if applicable, the last month it was in effect (i.e., the *End Month*).

In the lower-right corner of the Strike Price Management page, click the Add button.
 The system displays the Create dialog box.

Figure 139: Dialog Box for Creating a Strike Price

Create		×
Start Month:		
June 2010	*	
Aggregation:		
······································]	
Strike Price:		
	Create Caract	
	Create	

 In the Create dialog box (see Figure 139), choose the applicable Start Month and Aggregation from the corresponding drop-down filters, type the desired Strike Price in the corresponding field, then click Create button.

A meter indicating the progress of the creation process opens then closes, after which the system displays a message box confirming that the strike price was successfully added.

6. Click **OK** in the confirmation box.

The confirmation box closes, revealing that the system has taken the following actions (as illustrated in Figure 140):

• Added an entry to the Strike Prices grid for the new strike price and set that price to take effect the first day of the next month, as reflected in the *Start Month* column of the corresponding row (subject to conforming with the DRIS Event Calendar).



• Set the pre-existing strike price to expire on the last day of the current month, as

reflected in the End Month column of the corresponding row in the Strike Prices grid.

Note: The data displayed in the Strike Prices grid can be downloaded in Excel format by clicking the **Excel** button in the lower-right corner of the page then via the displayed dialog box, taking the requisite steps to either open or save the file.

Figure 140: Strike Price Management Page Illustrating Change of an Aggregation Strike Price

ike Prices							
P	Aggregation	Zone	Strike Price	Start Month	End Month	Last Updated By	Last Up date Date
One	8123	к	500	11/01/2009		J Smith	11/23/2009 10:17:45
One	8124	J	500	11/01/2009		J Smith	11/19/2009 17:28:56
One	8125	F	500	11/01/2009		J Smith	11/23/2009 09:19:24
One	8126	D	300	11/01/2009	12/31/2009	J Smith	12/01/2009 14:37:28
One	8126	D	500	01/01/2010		J Smith	12/01/2009 14:38:00
				Ú.			

10.2.2.2. Updating a Changed Strike Price

The MP can update a changed strike price that has not yet taken effect as long as the applicable time frame for strike price management is still designated as open according to the DRIS Event Calendar (refer to Section 2.1).

In processing such an update, DRIS modifies the previously changed strike price, leaving the start date as the first day of the upcoming auction month without establishing a separate record for the most recently designated strike price.

Pre-requisites

• The MP previously changed the strike price to take effect beginning with the next auction month, and the auction month in question is still designated as open for strike price management on the DRIS Event Calendar (refer to Section 2.1).



- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

— To update a strike price

1. From the SCR menu, choose Strike Price Management.

The system displays the Strike Price Management page, where the Strike Prices grid is populated with strike prices for all aggregations.

- 2. Optionally, limit the scope of strike price data displayed by the system:
 - To have the system display strike price data for only those aggregations within a specific Zone and/or for a specific Aggregation, choose the applicable option(s) from the corresponding search filter(s) near the top of the page, then proceed to step 3.

OR

- To have the system continue to display strike price data for all aggregations, proceed to step 3.
- 3. Click the **Display** button.

The Strike Prices grid below the filters refreshes to display strike price data for each aggregation meeting the criteria chosen at step 2, including the strike price itself, the month the price took effect (i.e., the *Start Month*), and, if applicable, the last month it was in effect (i.e., the *End Month*).

4. In the **Strike Prices** grid, double-click the row housing the previously changed strike price to be updated.

The system opens the row for purposes of editing the strike price (see Figure 141).



Figure 141: Input Area for Updating a Previously Changed Strike Price

	P YORK DEPENDENT TEM OPERATOR	De	emand Respons Strike Price Manageme	e Information S	System	
Main+ MP+ Resource+ 54	CR+ Performance Factor	· DR Eve	nt- Mitgakos- Tables- Holi	Austor - DSASP - BTM -		
HP: MP One	w.	Zone:	Aggregation: Al	· Declay		
Strike Prices						
Nb .	Aggregation	Zone	Strike Price Start Month Er	nd Month Last Updated By	Last Update Date	
M# One	294	4	121 06/01/2010 00:00 00:00	Robel Jones	05/10/2010 10:37:50	
		1 4	lotitite Central		05/10/2010 09:37.32	

- 5. In the **Strike Price** input field, type the desired strike price.
- 6. Beneath the Strike Price field, click the **Update** button.

A meter indicating the progress of the update process opens then closes, after which the updated strike price is displayed in the *Strike Price* column of the row for the aggregation.

10.2.2.3. Deleting a Changed Strike Price

The MP can delete a changed strike price that has not yet taken effect, as long as the applicable time frame for strike price management is still designated as open according to the DRIS Event Calendar (refer to Section 2.1).

In processing such a change, DRIS removes the most recently designated strike price for the aggregation in question and nullifies the end date of the strike price that was in effect for that aggregation immediately prior to the most recently implemented change.

Pre-requisites

- The MP previously changed the strike price to take effect beginning with the next auction month, and the auction month in question is still designated as open for strike price management on the DRIS Event Calendar (refer to Section 2.1).
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To delete a changed strike price

1. From the **SCR** menu, choose **Strike Price Management**.



The system displays the Strike Price Management page, where the Strike Prices grid is populated with strike prices for all aggregations.

- 2. Optionally, limit the scope of strike price data displayed by the system:
 - To have the system display strike price data for only those aggregations in a specific **Zone** and/or for a specific **Aggregation**, choose the applicable option(s) from the corresponding search filter(s) near the top of the page, then proceed to step 3.

OR

- To have the system continue to display strike price data for all aggregations, proceed to step 4.
- 3. Click the **Display** button.

The Strike Prices grid below the filters refreshes to display strike price data for each aggregation meeting the criteria chosen at step 2, including the strike price itself, the month the price took effect (i.e., the *Start Month*), and, if applicable, the last month it was in effect (i.e., the *End Month*).

- 4. In the **Strike Prices** grid, click the row housing the previously changed strike price to be deleted.
- 5. In the lower right corner of the Strike Price Management page, click the **Delete** button (refer to Figure 140).

A meter indicating the progress of the deletion process opens then closes, after which the previously changed strike price is removed from the Strike Prices grid, and the end date of the strike price that was in effect prior to the most recent change is nullified, as reflected in the *End Date* column of the row for the aggregation.

10.2.3. Viewing Aggregation Data

At any time, the MP may view aggregation data, ascertaining at a glance data for all *Enrolled* resources within the aggregation for the month.

Pre-requisites

• The MP has enrolled resources in the SCR program, as outlined under section 7.



• The MP representative performing the task is logged in to DRIS (see Section 1.3 "Accessing the System").

To view aggregation data

1. From the **SCR** menu, choose **Aggregation Assignment**.

The system displays the Aggregation Assignment page.

- 2. From the corresponding search filters near the top of the page, choose the **Capability Period** and **Auction Month** for which the system should display aggregation data.
- Optionally, further limit the scope of aggregation data to be displayed by the system to a specific **Zone** by choosing the applicable option from the corresponding search filter near the top of the page.
- 4. Near the top of the page, activate the **Display** button.
- 5. The Aggregations grid below the filters refreshes to display data for each aggregation meeting the criteria chosen at steps 2 and 3, including the aggregation ID, Zone, resource count, ICAP MW of resources using the aggregation performance factor in the aggregation UCAP calculation and the ICAP MW of resource using the MP performance factor in the aggregation UCAP calculation (see Figure 142).
- 6. The Aggregations grid also displays the DAF/CAF which represents the Duration Adjustment Factor (DAF) from Summer 2021 to Winter 2023-2024 and Capacity Accreditation Factor (CAF) and the applicable Capacity Accreditation Resource Class (CARC) beginning Summer 2024, which is utilized in the formulation of the Adjusted ICAP MW of Resources Using Aggregation performance factor. The DAF/CAF is also utilized to develop the Adjusted ICAP MW of Resources Using MP performance factor (see Figure 142).

Note: The data displayed in the Aggregations grid can be downloaded by clicking the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, taking the requisite steps to either open or save the file.



Figure 142: Aggregation Assignment Page

Auction Month: May 2			Marmor.		Anarenation: All		AP AMS Difference	*	Last Pohlisha	d From:	(RAI)				
	2021	•	Zone: Y		uggregeren. en	Units 10		. 1041	Last Publis	ihed To:	13×	Display			
Aggregations															
4P Appregation ID	Zone CARC	Resource Count	ICAP MW of Resources Using Aggregation PF	DAF/CAF	Adjusted ICAP MW of Resources Using Aggregation PF	Appregation PF	UCAP MW of Resources Using Aggregation PF	ICAP MW of Resources Using MP PF	DAF/CAF	Adjusted ICAP Resources Using MP PF	MP PF	UCAP MW of Resources Using MP PF	Aggregation UCAP MW in DRIS	UCAP MW from ICAP AMS	Last Published to ICAP AMS
														Tol	al count: 52 -
							Resou	Irces							
Resources															

To view data for resources assigned to the aggregation

- 1. From the **SCR** menu, choose **Aggregation Assignment**.
- 2. The system displays the Aggregation Assignment page.
- 3. From the corresponding search filters near the top of the page, choose the **Capability Period** and **Auction Month** for which the system should display aggregation data.
- Optionally, further limit the scope of aggregation data to be displayed by the system to a specific Zone by choosing the applicable option from the corresponding search filter near the top of the page.
- 5. Near the top of the page, activate the **Display** button.
- 6. The Aggregations grid below the filters refreshes to display data for each aggregation meeting the criteria chosen at steps 2 and 3, including the aggregation ID, Zone, resource count, ICAP MW of resources using the aggregation performance factor in the aggregation UCAP calculation, the ICAP MW of resource using the MP performance factor in the aggregation UCAP calculation, UCAP MW of resources using the aggregation performance factor and UCAP MW of resources using the Aggregation performance factor and UCAP MW of resources using the MP performance factor and UCAP MW of resources using the MP performance factor.
- 7. The Aggregations grid displays the DAF/CAF which represents the Duration Adjustment Factor (DAF) from Summer 2021 to Winter 2023-2024 and Capacity Accreditation Factor (CAF) and the

applicable Capacity Accreditation Resource Class (CARC) beginning Summer 2024,, which is utilized in the formulation of the Adjusted ICAP MW of Resources Using Aggregation performance factor. The DAF/CAF is utilized to develop the Adjusted ICAP MW of Resources Using MP performance factor (see Figure 142).

Note: DAF/CAF and Adjusted ICAP MW columns will be empty prior to the Summer 2021 Capability Period.

8. From the **Aggregations** grid, click and drag to one of the panes in the lowermost frame the aggregation for which details will be viewed.

The system displays in the target pane all resources currently assigned to the aggregation and highlights the row in the Aggregations grid the same color as the title bar in the destination pane (see Figure 143).

The data displayed for each resource currently assigned to the aggregation includes the resource ID, resource name, resource ICAP kW, resource Adjusted ICAP kW, and an indicator which when checked, indicates that the resource is using the MP performance factor in the final calculation of the aggregation UCAP value.

The summary data displayed for the aggregation includes the aggregation ID, resource count, and the total ICAP kW of the aggregation.



Capability Period:	Summer 2021	×	MP Name:		*	Aggregatio	n: All 💙 DRIS-I	CAP AMS Differen	ice: 💌 I	ast Published Fro	m:	×			
Auction Month:	May 2021	~	Zone:		*					Last Published	To:	×	Display		
Aggregations															
MP	Aggregation ID	Zon	e CARC	Resource Count	ICAP MW of Resources Using Aggregation PF	DAF/CAF	Adjusted ICAP MW of Resources Using Aggregation PF	Aggregation PF	UCAP MW of Resources Using Aggregation PF	ICAP MW of Resources Using MP PF	DAF/CAF	Adjusted ICAP Resources Using MP PF	MP PF	UCAP MW of Resources Using MP PF	Aggregatio UCAP MW DRIS
arket Participant	1234	D		3	0.205	0.9	0.184	1	0.184	0	0.9	0	1	0	0.1
\rightarrow			_										_	Total co	unt: 52 📲 a]
Resources for Agg	regation: 1234 Res	ource (Count: 3	ICAP: 205 kW				Resources						Total co	unt: 52 🔏 E
Resources for Agg Resource ID -	regation: 1234 Res lesource Name	ource (Count: 3	ICAP: 205 kW ICAP kW	Adjusted ICAP kW	Using MP	PF	Resources Resource ID +	Resource Name		IC	AP kW Adjus	ed ICAP kv	Total co / Using MP PF	unt: 52 🔩 E
Resources for Agg Resource ID I 1234567890 F	regation: 1234 Res lesource Name esource 1	ource (Count: 3	ICAP: 205 kW ICAP kW 81	Adjusted ICAP kW 72.9	Using MP	PF	Resources Resource ID ~	Resource Name		IC	AP KW Adjus	ed ICAP kv	Total co / Using MP PF	unt: 52 📲 E
Resources for Agg Resource ID - 1 1234567890 F 0987654321 F	regation: 1234 Res tesource Name esource 1 esource 2	ource (Count: 3	ICAP: 205 kW ICAP kW 81 70	Adjusted ICAP KW 72.9 63	Using MP	PF	Resources Resource ID ~	Resource Name		IC	AP kW Adjus	ed ICAP kv	Total co / Using MP PF	unt: 52 📓 E
Resources for Agg Resource ID - 1 1234567890 F 0987654321 F 5432102345 F	egation: 1234 Ress tesource Name esource 1 esource 2 esource 3	ource (Count: 3	ICAP: 205 kW ICAP kW 81 70 54	Adjusted ICAP KW 72.9 63 48.6	Using MP	PF	Resources Resource ID ~	Resource Name		IC	AP kW Adjus	ed ICAP kv	Total co / Using MP PF	unt: 52 🧠 🧃 E

Figure 143: Aggregation Assignment Page Showing Resources for an Aggregation

 Main
 MP
 Resource
 SCR
 Performance Factors
 DR Event
 Mitigation
 Tables
 Notification
 DSASP
 BTM

 10.2.3.1.
 VIEWING Aggregation Performance Factors and UCAP values
 VIEWING Aggregation
 <t

Aggregation performance factors and UCAP values are calculated and made viewable in DRIS on a monthly basis upon the close of the SCR Enrollment period, as specified on the DRIS Event Calendar (refer to Section 2.1).

After the initial calculation of aggregation performance factors and aggregation UCAP values, these values will be recalculated for the month when resources are moved between aggregations during the Aggregation Management period, as specified on the DRIS Event Calendar (refer to Section 2.1). They will also be recalculated for the month when there is a change in the enrollment status of a resource within the aggregation or when a *Pending* request has been approved for a resource within the aggregation.

Once aggregation performance factors and UCAP values are calculated, additional information is made viewable on the DRIS Aggregation Assignment page:

- *Aggregation Performance Factor*: performance factor of the aggregation for the Capability Period and auction month.
- Aggregation UCAP MW in DRIS: UCAP MW of the aggregation for the Capability period and auction month.

Pre-requisites

- The period for SCR enrollment in relation to the auction month for which the MP wants to view aggregation performance factors and UCAP values has ended according to the DRIS Event Calendar (refer to Section 2.1).
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

□ To view aggregation performance factor and UCAP data

- From the SCR menu, choose Aggregation Assignment.
 The system displays the Aggregation Assignment page.
- 2. From the corresponding search filters near the top of the page, choose the **Capability Period** and **Auction Month** for which the system should display aggregation data.
- Optionally, further limit the scope of aggregation data to be displayed by the system to a specific **Zone** by choosing the applicable option from the corresponding search filter near the top of the page.
- 4. Near the top of the page, activate the **Display** button.
- 5. The Aggregations grid below the filters refreshes to display data for each aggregation meeting the criteria chosen at steps 2 and 3, including the aggregation ID, Zone, resource count, and the ICAP and Adjusted ICAP MW of resources using the aggregation performance factor in the aggregation UCAP calculation and the MP performance factor in the aggregation UCAP calculation. (see Figure 144).
- 6. The Aggregations grid displays the DAF/CAF which represents the Duration Adjustment Factor (DAF) from Summer 2021 to Winter 2023-2024 and Capacity Accreditation Factor (CAF) and the applicable Capacity Accreditation Resource Class (CARC) beginning Summer 2024, which is utilized in the formulation of the Adjusted ICAP MW of Resources Using Aggregation performance factor. The DAF/CAF is utilized to develop the Adjusted ICAP MW of Resources Using MP performance factor (see Figure 144).
- 7. In addition, the following data is now made viewable: aggregation performance factor and aggregation UCAP MW in DRIS (see Figure 144).



Note: DAF/CAF and Adjusted ICAP MW columns will be empty prior to the Summer 2021 Capability Period.

Figure 144: Aggregation Assignment Screen Showing Aggregation Performance Factor and UCAP Value

nour mr - rus	source - SCR - Pe	rforma	ance Facto	rs · DR Eve	nt - Mitigation -	Tables - No	otification + DS	SASP + BTM +							
Capability Period:	Summer 2021	×	MP Name:		٣	Aggregatio	n: All 👻	DRIS-ICAP AMS Differe	nce: 👻	Last Published From:		×			
Auction Month:	May 2021	~	Zone:		*					Last Published To:		8 ×	Display		
Aggregations															
MP	Aggregation ID	Zor	e CARC	Resource Count	ICAP MW of Resources Using Aggregation PF	DAF/CAF	Adjusted ICAP I Resources Using Aggregat	MW of Aggregation PF	UCAP MW of Resources Using Aggregation PF	ICAP MW of Resources Using MP PF	DAF/CAF	Adjusted ICAP Resources Using MP PF	MP PF	UCAP MW of Resources Using MP PF	Aggregation UCAP MW I DRIS
Aarket Participant	1234	D		3	0.205	0.9	0.184	1	0.184	0	0.9	0	1	0	0.1
Resources								Resources						Total cou	unt: 52 🐴 Ex
Resource ID -	Resource Name			ICAP kW	Adjusted ICAP k	W Using MP	PF	Resource ID *	Resource Name		ICA	P kW Adjust	ed ICAP kW	Using MP PF	



DRIS makes aggregation performance factor details available for download, through the Aggregation Performance Factors Export, The Aggregation Performance Factors Export may be exported after the close of the SCR Enrollment period for the Capability Period and auction month, as specified on the DRIS Event Calendar (refer to Section 2.1).

Resource details viewable on the export include enrollment data and event or test response data used in the calculation of the aggregation performance factor for the Capability Period and auction month.

Resources that are *Pending* an enrollment request or have been placed *Under Review* by the NYISO, are not included in the calculation of the aggregation performance factor and will not appear on the Aggregation Performance Factors Export until the resource *Pending* or *Under Review* status is resolved.

Pre-requisites

- The SCR enrollment period for the auction month for which the MP wants to export aggregation performance factor details has closed, according to the DRIS Event Calendar (refer to Section 2.1).
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").



To export aggregation performance factor details

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 145).

Figure 145: Import/Export Page Showing Aggregation Performance Factors Export Option

Main + MP + F	Resource •	SCR -	Perfor	mance Factors •	DR Event •	Mitigation -	Tables •	Notification •	DSASP -	BTM
Capability Period:	Summer 2	015	~	Display						
🔄 Imports	_									
E SCR Resource	e Imports									
E Provisional A	CL Eligibility									
EDRP Resour	ce Import									
E DSASP Resou	arce Import									
E Resource Aud	tion Sales									
📰 Event Respor	nse									
Exports										
SCR Resource	e Exports									
E Provisional A	CL Eligibility									
EDRP Resour	ce Export									
📰 DSASP Resou	Irce Export									
📃 Energy Paym	ents									
Aggregation	Performance	Factors								

 From the corresponding filter in the uppermost frame on the Imports/Exports page, choose the Capability Period encompassing the auction month for which aggregation performance factor details are to be downloaded.

Note: The system has aggregation performance factor details beginning with the Summer 2012 Capability Period when this functionality was introduced for the SCR program.

10. Beside the Capability Period filter, click the **Display** button.

The system makes available the middle frame.

 Under the Exports heading in the left pane of the middle frame, choose Aggregation Performance Factors.

The system refreshes the right pane of the middle frame to display additional filters along with a button to initiate download of the file (see Figure 146).



Tain • MP • Resource • SCR • Perform	nance Factors • DR Event •	Mitigation • Tables •	Notification -	DSASP -	BTM
Capability Period: Summer 2015	Display				
🔁 Imports	Aggregation Performan	nce Factors			
SCR Resource Imports	Month:	May 2015	v		
	nonem	1001			
EDRP Resource Import	Aggregation:	1234	*		
DSASP Resource Import					
E Event Resnonse					
Exercite Response					
SCB Resource Exports					
Provisional ACL Fligibility					
EDRP Resource Export					
DSASP Resource Export					
Energy Payments					
Aggregation Performance Factors					
Aggregation UCAP Summary Export					

Figure 146: Filters for Exporting an Aggregation Performance Factors File

- From the corresponding filter in the right pane of the middle frame, choose the applicable
 Month and Aggregation.
- Click the Export button, located in the lower-left corner of the right pane in the middle frame.
 The system displays a dialog box via which the aggregation performance factors file can either be saved or opened.
- 12. Take the requisite steps to either save or open the aggregation performance factors file. The aggregation performance factors file for the chosen Capability Period, month, and aggregation is either saved to the designated location or displayed on screen.

View aggregation performance factors export

1. The Aggregation Performance Factors export displays export header information in the form of the Capability Period, auction month, and aggregation ID for which the file was exported, in the upper left corner of the export (see Figure 147).



 Displayed directly below the file header information is each Event and Event Date and Hour Beginning being evaluated for use in the aggregation performance factor calculation (see Figure 147).

	A	В	С	D	E	F	G	Н		J	K	L	М	Ν	0	Р	Q	R
1	Summer 2012																	
2	May																	
3	Aggregation 123	1																
4																		
	Event Tune	Event Date /UP	Resource	Agg DV	Agg Net	Agg AMD	Agg Capacity Reduction	Agg	Agg Adjusted	Haur	Agg DE							
	Event Type			2	3	4	0	0	- 1	0	IVIVV	ACL WW	INIVA	WIVV	Kaw PF	FF	nour	Agg PF
0	Test	Deserves ID		0000000	2222222				7777777	0000000	0.0	0.0	0	0	0	0		
-		Resource ID Resource DV	*	2222222	3333333	4444444	0000000	0000000	00	0000000	U.0	2.0	U	U	U	U		
0		Resource DV		204	113	23			00	40								
3	2	Resource Net AUL		290	220	119			930	324								
1	4	Resource AIVID		0	0	0			0	0								
1	1	Resource Capacity Reduction		U	U	U			U	U								
1	Z Toot	02/24/2044 44:00																
1		Deseures ID		000000	2222222	444444		0000000	7777777	0000000								
1	+	Resource ID Deseurce DV		LLLLLLL	3333333	4444444	\$	0000000		0000000								
1	0	Resource DV Deseurce Net ACI					*	100										
1	7	Resource Net AGE					*	100										
1	2	Resource AwD Desource Canacity Deduction					*	0										
1	9	Resource Gapacity Reduction						U										
2) Test	07/19/2011 16:00																
2	1	Resource ID	11111111	2222222	3333333	444444	5555555	66666666	7777777	8888888	21	3.6	4.5	0.9	0.4366	0.4366	1	
2	2	Resource DV	13	650	115	60	*	831	415	2	4.1	0.0	4.5	0.0	0.4000	0.4000		
2	3	Resource Net ACI	653	000	155	70	*	2206	0	451								
2	1	Resource AMD	664		100	64.4	*	1380	1776	577.4								
2	5	Resource Capacity Reduction	004	0	0	64.4	*	826	0	0								
2	6	recourse suparity reduction	, i		, v	94.4		020										

Figure 147: Aggregation Performance Factors Export File

- 3. For each resource within the aggregation, the following enrollment data and event or test hourly response data is displayed (see Figure 148):
 - Resource ID
 - Resource Declared Value:
 - Test Hour = the maximum DV of the resource in the Capability Period
 - Event Hour = the DV of the resource enrollment for the month in which the event occurred
 - *Resource Net ACL:* ACL for the Capability Period, including any Shutdown kW or Incremental kW values for the auction month
 - Resource AMD: metered kW of the resource for the event or test hour
 - Resource Capacity Reduction:
 - Resources enrolled with a Response Type equal to C or B = Net ACL AMD, when Capacity Reduction is negative set to zero



- Resources enrolled with Response Type equal to G = AMD
- Proxy Test Indicator:
 - A row will be displayed when the Event Type is the First Performance Test in a Capability Period
 - Displays a "Y" indicating that the test record contains Proxy Values for the First Performance Test
 - Displays a "N" indicating the values are from the actual First Performance Test in a Capability Period

Note: On the Aggregation Performance Factor Export, an asterisk is displayed in place of the resource enrollment and response values during periods when the resource was enrolled with another MP. The values masked by the asterisks are included in the Hourly Aggregation values and the final Aggregation Performance Factor calculation.



Figure 148: Aggregation Performance Factors Export File

4. For each event or test hour, the aggregation hourly event or test data is equal to the sum of the resource values for the individual event hour or the combined sum of the resource values for two test hours within the Capability Period (see Figure 149).



4	A	В	С	D	E	F	G	Н		J	K	L	Μ	N	
1	Summer 2015														
2	August 2015														
3	Aggregation 1234														
4															
5	Event Type	Event Date/HB													
									Agg						
							Agg Net	Agg	Capacity	Agg					
						Agg DV	ACL	AMD	Reduction	Raw	Agg Adj			Agg	
6	Test	02/14/2014 17:00	Resource 1	Resource 2	Resource 3	MW	MW	MW	MW	F	PF		Hour	PF	
7		Resource ID	100001	200002	300003	0.546	1.451	0.943	0.658	1.205	1		1		
8		Resource DV	75	*	285					· · · ·					
9		Resource Net ACL	387	*	600										
10		Resource AMD	343	*	300										
11		Resource Capacity Reduction	94	*	300										
12		Resource Proxy Test	N	*	N										
13															
14	NYISO Event	07/19/2014 13:00													
15		Resource ID	100001	200002	300003	0.826	1.176	1.004	0.712	0.862	0		1		
16		Resource DV	192	176	458										
17		Resource Net ACL	602	364	750										
18		Resource AMD	499	200	305										
19		Resource Capacity Reduction	103	164	445										
20															
21															
22	Test	08/08/2014 14:00													
23		Resource ID	100001	200002	300003	0.75	1.720	0.919	1.154	1.538	1		1		
24		Resource DV	187	176	387										
25		Resource Net ACL	556	364	800										
26		Resource AMD	327	200	392										
27		Resource Capacity Reduction	229	164	761										
28		Resource Proxy Test	N	Y	N										
29															
30														0.6666	
21															

Figure 149: Aggregation Performance Factors Export File

- 5. Additional hourly event or test values are displayed for the aggregation which include (see Figure 150):
 - *Aggregation Raw PF=* Capacity Reduction MW of the aggregation for the event or test hour / Declared Value MW of the aggregation for the event or test hour
 - Aggregation Adjusted PF =
 - Aggregation Raw PF for the Event/Test hour when the Aggregation Raw PF is less than or equal to 1
 - When the Aggregation Raw PF for the Event/Test hour is greater than 1, set to 1
 - *Hour* = 1 indicates that the Capacity Reduction for that event or test hour is being used in the aggregation performance factor calculation
 - Event Hour is used in the calculation when the Capacity Reduction MW of the event hour is part of the block of the highest four contiguous Capacity Reduction MWs for the event
 - Test Hour: the two Capability tests are treated as one hour



Figure 150: Aggregation Performance Factors Export File

	A	B	C	D	E	F	G	H	1	J	K	L	M	N
1	Summer 2015													
2	August 2015													
3	Aggregation 1234													
4														
5	Event Type	Event Date/HB												
6	Test	02/14/2014 17:00	Resource 1	Resource 2	Resource 3	Agg DV MW	Agg Net ACL MW	Agg AMD MW	Agg Capacity Reduction MW	Agg Raw PF	Agg Adj PF)	Hour	Agg PF
7		Resource ID	100001	200002	300003	0.546	1.451	0.943	0.668	1.205	1	11	1	
8		Resource DV	75	*	285					-		1		
9		Resource Net ACL	387	*	600									
10		Resource AMD	343	*	300									
11		Resource Capacity Reduction	94	*	300									
12		Resource Proxy Test	N	*	N									
13														
14	NYISO Event	07/19/2014 13:00												
15		Resource ID	100001	200002	300003	0.826	1.176	1.004	0.712	0.862	0		1	
16		Resource DV	192	176	458									
17		Resource Net ACL	602	364	750									
18		Resource AMD	499	200	305									
19		Resource Capacity Reduction	103	164	445									
20														
21														
-	- .	00/00/0044 44 00												
22	Test	08/08/2014 14:00	100001			0.75	4 700	0.040		4 500				
23		Resource ID	100001	200002	300003	0.75	1.720	0.919	1.154	1.538	1		1	
24		Resource DV	187	176	387									
25		Resource Net ACL	556	364	800									
26		Resource AMD	327	200	392									
27		Resource Capacity Reduction	229	164	761									
28		Resource Proxy Test	N	Y	N									
29														
30														0.6666

- 6. The calculated aggregation performance factor is located in the lower right corner of the export (see Figure 151).
 - Agg PF = Sum of the hourly Agg Adjusted PFs which are included (as indicated by a "1" in the Hour column) / Sum of Hours



	A	В	С	D	E	F	G	Н		J	K	L	M	N	
1	Summer 2015														
2	August 2015														
3	Aggregation 1234														
4															
5	Event Type	Event Date/HB													
6	Test	02/14/2014 17:00	Resource 1	Resource 2	Resource 3	Agg DV MW	Agg Net ACL MW	Agg AMD MW	Agg Capacity Reduction MW	Agg Raw PF	Agg Adj PF		Hour	Agg PF	
7		Resource ID	100001	200002	300003	0.546	1.451	0.943	0.658	1.205	1		1		
8		Resource DV	75	*	285										
9		Resource Net ACL	387	*	600										
10		Resource AMD	343	*	300										
11		Resource Capacity Reduction	94	*	300										
12		Resource Proxy Test	N	*	N										
13															
14	NYISO Event	07/19/2014 13:00													
15		Resource ID	100001	200002	300003	0.826	1.176	1.004	0.712	0.862	0		1		
16		Resource DV	192	176	458										
17		Resource Net ACL	602	364	750										
18		Resource AMD	499	200	305										
19		Resource Capacity Reduction	103	164	445										
20															
21															
22	Tost	08/08/2014 14-00													
23	1631	Resource ID	100001	200002	300003	0.75	1 720	0 919	1 154	1 538	1		1		
24		Resource DV	187	176	387	0.15	1.720	0.010	1.134	1.550					
25		Resource Net ACI	556	364	800										
26		Resource AMD	327	200	392										
27		Resource Capacity Reduction	229	164	761										
28		Resource Proxy Test	N	Y	N										
29		in the second second													
30														0 6666	D
24															1

Figure 151: Aggregation Performance Factors Export File

10.2.3.3. Exporting Capability Period Summary of Aggregation UCAP Values

DRIS also makes available for download, a Capability Period summary of aggregation UCAP values, through the Aggregation UCAP Summary Export. The Aggregation UCAP Summary Export may be exported after the close of the SCR Enrollment period for the Capability Period and auction month, as specified on the DRIS Event Calendar (refer to Section 2.1).

Resources that are *Pending* an enrollment request or have been placed *Under Review* by the NYISO, are not included in the aggregation UCAP calculation for the specified auction month until the resource *Pending* or *Under Review* status is resolved.

Pre-requisites

- The Aggregation Management period for the auction month for which the MP wants to export a summary of aggregation UCAP values has closed, according to the DRIS Event Calendar (refer to Section 2.1).
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To export summary of aggregation UCAP values

1. From the **Main** menu, choose **Imports/Exports**.



The system displays the Imports/Exports page (see Figure 152).

	Demand Response Information System
Main • MP • Resource • SCR • Perform	mance Factors • DR Event • Mitigation • Tables • Notification • DSASP • BTI
Capability Period: Summer 2015	Display
🖃 🗁 Imports	Aggregation UCAP Summary Export
SCR Resource Imports	
E Provisional ACL Eligibility	MP:
EDRP Resource Import	Month:
E DSASP Resource Import	
E Resource Auction Sales	
E Event Response	
🖃 🗁 Exports	
SCR Resource Exports	
E Provisional ACL Eligibility	
EDRP Resource Export	
DSASP Resource Export	
Energy Payments	
Aggregation Performance Factors	
Aggregation UCAP Summary Export	
	Event .

Figure 152: Import/Export Page Showing Aggregation UCAP Summary Export

- 2. From the corresponding filter in the uppermost frame on the Imports/Exports page, choose the **Capability Period** for which a summary of aggregation UCAP values are to be downloaded.
- 3. Beside the Capability Period filter, click the **Display** button.

The system makes available the middle frame.

 Under the Exports heading in the left pane of the middle frame, choose Aggregation UCAP Summary Export.

The system refreshes the right pane of the middle frame to display additional filters along with a button to initiate download of the file (see Figure 153).





Figure 153: Filters for Exporting an Aggregation UCAP Summary Export

- From the corresponding filter in the right pane of the middle frame, choose the applicable
 Month.
- Click the Export button, located in the lower-left corner of the right pane in the middle frame.
 The system displays a dialog box via which the aggregation performance factors file can either be saved or opened.
- 7. Take the requisite steps to either save or open the aggregation UCAP summary file.

The aggregation UCAP summary file for the chosen Capability Period and month is either saved to the designated location or displayed on screen.

□ View summary of aggregation UCAP values export

- 1. The Aggregation UCAP Summary export displays export header information in the form of the Capability Period and auction month for which the file was exported, in the upper left corner of the export (see Figure 154).
- 2. Displayed below the header information is a row for each aggregation in the MP portfolio having a calculated UCAP value.

For each aggregation, the UCAP value is displayed for each auction month in the Capability Period up to and including the auction month for which the file was exported.

- 3. Additional information pertaining to the specific aggregations is displayed as:
 - *UCAP MW Difference:* change in UCAP MW from the selected auction month and the auction month prior to the selected auction month
 - *Under Review or Pending Resources:* displayed as an "X" when the aggregation includes any resources with a status of *Under Review* or *Pending* for the auction month selected.

Figure 154: Aggregation UCAP Summary Export File

	A	В	С	D	E	F	G	Н		J
1	Capability Period=Summer 2012&									
2	Auction Month=July 2012&									
3										
									UCAP MW	Under Review or
4	MP Name	Aggregation ID	May	June	July	August	September	October	Difference	Pending Resources
5	Market Participant	1234	- 7	7	8				1	
6	Market Participant	5678	10	9	12				3	
7	Market Participant	1111	320	320	320				0	
8	Market Participant	2222	45	46	45				-1	
9										
10										
11										
12										
13										

10.2.4. Moving Resources between Aggregations

The MP can move a resource from one aggregation to another. This task can be done only within the time frame for aggregation management as specified on the DRIS Event Calendar (refer to Section 2.1).

In order for a resource to be moved between aggregations, the Zone in which the resource facility is

located must match the Zone of the target aggregation

Note: In the SCR menu the selection of "Aggregation Assignment" will navigate the user to the Aggregation Management screen which provides Aggregation Performance Factors. This screen will be enabled with the start of the SCR Enrollment calendar event for the May 2012 auction month. The selection of "Aggregation Assignment Pre-Summer 2012" will navigate the user to the process for Aggregation Management prior to the Summer 2012 Capability Period.

The "Aggregation Assignment Pre-Summer 2012" selection will continue to provide historical views after the Winter 2011 - 2012 Capability Period but will no longer be available for the Aggregation Management process beginning with the Summer 2012 Capability Period. Beginning with the Summer 2012 Capability Period, users should perform the Aggregation Management process through the "Aggregation Assignment" SCR menu option.



Pre-requisites

- The MP has enrolled resources in the SCR program, as outlined under section 7.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The auction month beginning with which the MP wants the updated aggregation assignments to take effect is open for aggregation management according to the DRIS Event Calendar (refer to Section 2.1).
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
 - **To move resources between aggregations**
 - 1. From the **SCR** menu, choose **Aggregation Assignment**.

The system displays the Aggregation Assignment page.

From the corresponding search filters near the top of the page, choose the applicable
 Capability Period and Auction Month (see Figure 155).

Note: Resources can be moved between aggregations only within the time frame established for aggregation management, as specified on the DRIS Event Calendar (refer to Section 2.1).

Optionally, further limit the scope of aggregations to be displayed by the system to only the Zone encompassing the aggregations to and from which resource(s) are to be moved, choosing the applicable option from the **Zone** search filter near the top of the page.

Note: In order for a resource to be moved between aggregations, the Zone in which the resource facility is located must match the Zone of the target aggregation.



		~	MP Name:	Market Par	ticipant	Aggregation	: All Y DRIS-I	CAP AMS Differer	nce:	Last Published From	:	lo ×			
Auction Month: May	y 2021	*	Zone:	D	*					Last Published To	:	@ ×	Display		
Aggregations															
MP	Aggregation ID	Zone	CARC	Resource Count	ICAP MW of Resources Using Aggregation PF	DAF/CAF	Adjusted ICAP MW of Resources Using Aggregation PF	Aggregation PF	UCAP MW of Resources Using Aggregation PF	ICAP MW of Resources Using MP PF	DAF/CAF	Adjusted ICAP Resources Using MP PF	MP PF	UCAP MW of Resources Using MP PF	Aggregation UCAP MW in DRIS
farket Participant	1234	D		3	0.205	0.9	0.184	1	0.184	0	0.9	0	1	0	0.1
(,
														Total cou	nt: 52 📲 🔤 Ex
Resources								Resources							
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Figure 155: Aggregation Assignment Page Displaying Zone Selection

4. Near the top of the page, activate the **Display** button.

The Aggregations grid below the filters refreshes to display data for each aggregation meeting the criteria chosen at step 3, including the aggregation ID, Zone, Capacity Accreditation Resource Class (CARC), resource count, and the ICAP, DAF/CAF and Adjusted ICAP MW of resources using the aggregation performance factor in the aggregation UCAP calculation and the MP performance factor in the aggregation UCAP calculation.

5. From the **Aggregations** grid, click and drag to one of the panes in the lowermost frame the *first* aggregation between which resources are to be moved.

The system displays in the target pane all resources currently assigned to the aggregation and highlights the row in the Aggregations grid the same color as the title bar in the destination pane (see Figure 156).

6. From the **Aggregations** grid, click and drag to the opposite pane in the lowermost frame the *second* aggregation between which resources are to be moved.

The system displays in the target pane all resources currently assigned to the aggregation and highlights the row in the Aggregations grid the same color as the title bar in the destination pane (see Figure 156).



Capability Period: Summer 2021 MP Name: Market Participant Aggregation: All DRIS-ICAP AMS Difference: Last Published From: Image: Case in the participant Case in the participant Aggregation: All DRIS-ICAP AMS Difference: Image: Case in the participant Case in the participant Aggregation: All MP Aggregation: All Market Participant Aggregation: All MP Aggregation: Aggregation: <th>Main + MP + Re</th> <th>source - SCR - Per</th> <th>forman</th> <th>ice Factor</th> <th>s - DR Ever</th> <th>t Mitigation T</th> <th>ables - No</th> <th>dification + DSASP+</th> <th>ВТМ≁</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>	Main + MP + Re	source - SCR - Per	forman	ice Factor	s - DR Ever	t Mitigation T	ables - No	dification + DSASP+	ВТМ≁							
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	5432102345								55555555 66666666	Resource E Resource F		70 18	63 4 165.6		V V	

Figure 156: Aggregation Assignment Page Post Preparation for Resource Reassignment

- 7. In either the right or left pane of the lowermost frame, select all resources to be moved to the other chosen aggregation:
 - To select a single resource, click the corresponding listing.
 - To select multiple contiguous resources, click the listing for the first desired resource, press and hold the **SHIFT** key, click the listing for the last desired resource, then release the **SHIFT** key.
 - To select multiple non-contiguous resources, click the listing for the first desired resource, press and hold the **CTRL** key, click the listing for each remaining desired resource, then release the **CTRL** key.

The system highlights the selected resource listing(s).

 Move the resource(s) selected at step 7 from the current aggregation to the target aggregation by clicking and holding anywhere within the highlighted resource listings, dragging the mouse pointer to the opposite pane in the lowermost frame, then releasing the mouse button.

The system displays listings for the resources at the top of the destination pane and automatically recalculates and displays at the top of both panes the number of resources and



total ICAP value for the aggregations between which the resources were moved (see Figure 157).

The system will also automatically recalculate and display the summary aggregation values in the **Aggregation** grid for the count of resources, ICAP MW of resources using the aggregation performance factor, aggregation performance factor, Adjusted ICAP MW of resources using aggregation performance factor, ICAP MW of resource using the MP performance factor, Adjusted ICAP of resources using MP performance factor and the aggregation UCAP MW.

- 9. Repeat steps 7 and 8, until all resources are moved as desired between the aggregations chosen at steps 5 and 6.
- To move resources between aggregations other than those previously selected, repeat steps 5 through 8.

Main + MP + Re	source - SCR - Pe	rforman	ce Factor	S* DR Eve	nt - Mitigation - Ta	ibles - Not	tification + DSASP+	BTM+								
Capability Period:	Summer 2021	* 1	MP Name:	Market Part	cipant 👻	Aggregation	: All Y DRIS-	CAP AMS Differen	ice:	Last Published From		l ×				
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Aggregations			_										_			
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Aarket Participant	1234	в		3	0.205	0.9	0.184	1	0.184	0	0.9	0		1	0	0.1
larket Participant	4321	В		10	1.027	0.0	0.024	0.5	0.462	0.278	0.0	0.25		1	0.25	0.7
				10	1.027	0.5	0.524	0.5	0.102	0.270	0.5	0.25		•		
4	~	-	_	10	1027	0.5	0.524	0.5	0.102	0.270	0.0	0.25				
4	~		-	10		0.3	0.524	0.5		0.270		0.25			Total cou	int: 52 📲 🛔 E
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Figure 157: Aggregation Assignment Post Resource Reassignment

10.2.5. Transfer of UCAP Values to ICAP AMS

Upon close of the aggregation management period for the upcoming auction month, as specified on the DRIS Event Calendar (refer to Section 2.1), DRIS automatically transfers the aggregation UCAP values for all Enrolled resources, to the ICAP AMS for use in the ICAP auction.

After the initial transfer of the aggregation UCAP values, Aggregation UCAP values that have changed (i.e., due to a change in the enrollment status of a resource or when a resource *Pending* request has been approved) are automatically transferred to the ICAP AMS.

Once Aggregation UCAP values are transferred to the ICAP AMS, additional information is made viewable on the DRIS Aggregation Assignment page:

- *Last Published to ICAP AMS*: Date and time UCAP value was saved in ICAP AMS.
- *UCAP MW from ICAP AMS*: Aggregation UCAP MW value as it appears in the ICAP AMS. This provides verification of the DRIS UCAP MW value that was saved in the ICAP AMS.

Pre-requisites

- The period for aggregation management in relation to the auction month for which the MP wants to view UCAP values transferred to ICAP AMS has ended according to the DRIS Event Calendar (refer to Section 2.1).
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

□ To view UCAP values transferred to ICAP AMS

1. From the SCR menu, choose Aggregation Assignment.

The system displays the Aggregation Assignment page.

- 2. From the search filters near the top of the page, choose the **Capability Period** and **Auction Month**.
- 3. Optionally, further limit the scope of aggregation data to be displayed to a specific **Zone** by choosing the applicable option from the corresponding search filter near the top of the page.
- 4. Near the top of the page, activate the **Display** button.

The Aggregations grid below the filters refreshes to display data for each aggregation meeting the criteria chosen at steps 2 and 3, including the aggregation ID, Zone, resource count, and UCAP megawatts (see Figure 158).

5. The *UCAP MW Values from ICAP AMS* and the *Last Published to ICAP AMS* dates are viewable on the aggregation grid page (see Figure 158).



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Capability Period: Auction Month:	Summer 2021 May 2021	~ M	Zone: Market F	articipant i~	Aggregation	CRIS-1	CAP AMS Differen	(e:) *)	ast Published Fro Last Published	m: To:	3× 10	Display				
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P	Aggregation ID	Zone CA	C Count	Resources Using Aggregation PF	DAF/CAF	Using Aggregation PF		Using Aggregation PF	Using MP PF		Using MP PF		Using MP PF	DRIS	ICAP AMS	to ICAP AMS

Figure 158: Aggregation Assignment Page Illustrating UCAP Values Transferred to ICAP AMS

Note: Beginning with the February 2012 ICAP auction month, the ICAP AMS Maintain DMNC page will no longer allow for manual entry of SCR PTID DMNC values. The MW value will be transferred from DRIS and displayed in ICAP AMS with the following data:

Effective Date: Effective auction month from DRIS.

Expiration Date: Last day of the Capability Period.

Date of Test: Date on which aggregation management closed in DRIS for the specified Effective Date.

Status: Will be set to Approved.

Last Updated By: Will be set to NYISO.

10.2.6. Viewing Aggregation Data Prior to Summer 2012

Aggregation UCAP values calculated prior to the Summer 2012 Capability Period are viewable in DRIS through the Aggregation Assignment – Pre Summer 2012 page. The UCAP Worksheet also remains available for the exporting of historical aggregation UCAP values calculated prior to the Summer 2012 Capability Period.

Pre-requisites

- The MP has enrolled resources in the SCR program, as outlined under Section 7.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
 - **To view aggregation data prior to Summer 2012**
 - 1. From the SCR menu, choose Aggregation Assignment Pre-Summer 2012.

The system displays the Aggregation Assignment Pre-Summer 2012 page.

- 2. From the corresponding search filters near the top of the page, choose the **Capability Period** and **Auction Month** for which the system should display aggregation data.
- 3. Optionally, further limit the scope of aggregation data to be displayed by the system to a specific **Zone** by choosing the applicable option from the corresponding search filter near the top of the page.
- 4. Near the top of the page, activate the **Display** button.

The Aggregations grid below the filters refreshes to display data for each aggregation meeting the criteria chosen at steps 2 and 3, including the aggregation ID, Zone, resource count and UCAP MW (see Figure 159)

Note: The data displayed in the Aggregations grid can be downloaded by clicking the **Excel** button in the lower-right corner of the page, then via the displayed dialog box, taking the requisite steps to either open or save the file.

Figure 159: Aggregation Assignment Pre-Summer 2012 Page

Demand Responses	nse Information System ment Pre-Summer 2012	m		
Main - MP - Resource - SCR - Performance Factors - DR Event - M	litigation - Tables - Notification -	DSASP - BTM -		
Capability Period: Winter 2011-2012 V HP Hame: Market Participant Auction Month: February 2012 V Zone: Al V	Aggrégationt Al CRIS-IC	AP ANS Difference:	Last Published From: Last Published To:	A CB Daples
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				Total count: 15, Raj Excel
Resources	Resources			
Resource D = Resource Name UCAP W/	Resource D -	Resource Name	UCAB MV	
Drag and Drop an Aggregation row from top grid.	£	Drag and D	rop an Aggregation row from top (grid.
	Total count: 0 #A Exzel			Total count: 0, % Excel

To view data for resources assigned to the aggregation prior to Summer 2012

1. From the **SCR** menu, choose **Aggregation Assignment Pre-Summer 2012**.

The system displays the Aggregation Assignment Pre-Summer 2012 page.

- 2. From the corresponding search filters near the top of the page, choose the **Capability Period** and **Auction Month** for which the system should display aggregation data.
- 3. Optionally, further limit the scope of aggregation data to be displayed by the system to a specific **Zone** by choosing the applicable option from the corresponding search filter near the top of the page.
- 4. Near the top of the page, activate the **Display** button.

The Aggregations grid below the filters refreshes to display data for each aggregation meeting the criteria chosen at steps 2 and 3, including the aggregation ID, Zone, resource count and UCAP MW (see Figure 160).

- 5. From the **Aggregations** grid, click and drag to one of the panes in the lowermost frame the aggregation for which details will be viewed.
- The system displays in the target pane all resources assigned to the aggregation and highlights the row in the Aggregations grid the same color as the title bar in the destination pane (see Figure 160).

The data displayed for each resource currently assigned to the aggregation includes the resource ID, resource name, and UCAP kW.

The summary data displayed for the aggregation includes the aggregation ID, resource count, and the total UCAP kW of the aggregation.

Figure 160: Aggregation Assignment Pre-Summer 2012 Page Showing Resources for an Aggregation



- 15	Content York	Demand R Aggregation	esponse Informat Assignment Pre-Summer	tion System			
Main + MP+	Resource+ SCR+ Perf	ormance Factors + DR Eve	nt - Mitigation - Tables - I	Notification • DSAS	P+ 8TM+		
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Auction Mont	h: February 2012	Zone: Al 😁				Last Published To:	X E Deplay
Aggregations							
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							Total count: 1 #a] Excel.
Resources for A	Aggregation: 1234 Resource	Count: 7 UCAP: 14547 kW		Resources			
Resource 10 -	Resource Name	UCAP KW		Resource D -	Resource Name	UCAP KW	
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2222222	Resource Two	240					
3333333	Resource Three	7655					
4444444	Resource Four	1667					
5555555	Resource Five	2876			in and Disc in Association	an inclusion for an inclusion in the	
6666666	Resource Six	1543		br	ag and Drop an Aggregatic en Drag and Drop Resource	es between this grid and Reso	urce and on left.
mm	Resource Seven	200					
			Total count 7 4 E	kcel			Total count 0 4 Excel

10.3. Viewing Aggregation and Resource Auction Sales

After the Spot Market Auction in the NYISO ICAP Market System has closed, Aggregation Auction Sales will be posted in DRIS. The aggregation sales can be viewed by various parameters and at varying levels of detail. In addition, the MPs have the opportunity to allocate partial sales to resources belonging to aggregations that sold a portion of their UCAP value in the Spot Market Auction.

10.3.1. Viewing Aggregation and Resource Auction Sales

Aggregation auction sales can be viewed by varying parameters in DRIS. When viewing aggregation auction sales, the MP must, at a minimum, specify a Capability Period and Month. The MP can further narrow the data the system displays by selecting the following additional parameter:

Aggregation ID

Regardless of viewing scope, the system initially displays the following data for each Aggregation:

- Aggregation ID
- Zone
- Auction Sale MW (megawatt value of UCAP sold for the Aggregation, Capability Period, and Month, posted in DRIS from the ICAP Market System)



- Calculated MW (megawatt value of UCAP calculated by DRIS for the Aggregation, Capability Period, and Month, as reflected in the UCAP report exportable from DRIS)
- Assigned MW (megawatt value of the sum of kilowatt values allocated to resources within the Aggregation when the Aggregation has partial sales or no sales for the Capability Period and Month)

Pre-requisites

- The Spot Market Auction has closed and Auction Sales of zero or greater megawatts have been posted in DRIS for the Capability Period and auction month selected.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To view aggregation auction sales

1. From the SCR menu, choose Auction Sale Summary.

The system displays the Summary of Aggregation Auction Sales page (see Figure 161).

 From the corresponding search filter(s) in the uppermost frame on the Summary of Aggregation Auction Sales page (see Figure 161), choose the **Capability Period** and the **Month** for which the system should display aggregation auction sales.

Figure 161: Summary of Aggregation Auction Sales Page Search Filters

		arrow_Today	Demand Summary	Response In of Aggregation Au	nformatio	n System					
Mare Mrs. Ben	unte+ SDR+ PerlamanceFactors+ i	an a second second	paking Taking Indian	aar Dizilir Billir							
MP:	NVISO Market Participant	*	Capability Period:	Summer 2010	Y Month	August 2010	*	Aggregation ID:	Al	*	Display
Aggregation /	Auction Sales										
MP		Aggregat	ion ID Zoni	e Auction Sale MAV	Calculated M/V	Assigned M/V					

- 3. Optionally, further limit the scope of aggregation auction sales to be displayed by the system to only a specific **Aggregation ID** by choosing the applicable option from the corresponding search filter near the top of the page.
- 4. Near the top of the Summary of Aggregation Auction Sales page, click the **Display** button. The system populates the Aggregation Auction Sales grid below the search filters with an entry for each aggregation meeting the criteria chosen at steps 2 and 3 (see Figure 162).



	The second is a second	- Summer 2	NO NO NO	A00131 2010	· Aggregeostatic in	Depen
tion Auction Sales					A	
	Aggregation ID	Zone	Auction Sale NW	Calculated MW	Assigned MW	
Market Participant	\$222	1	145.100	145.108	A CONTRACTOR OF THE	
Market Participant	\$233	A	0.000	114.600		
Market Participant	\$234	F	34.109	34.101		
Market Participant	9255	B	9.800	9.899		
Market Participant	9277	¢	44,900	60.970	1	

Figure 162: Summary of Aggregation Auction Sales Page Populated with Data

5. Optionally, view further details for a specific aggregation by clicking the corresponding row

in the Aggregation Auction Sales grid.

Note: The data displayed in the Aggregation Auction Sales grid may be downloaded in Excel format. To do so, click the Excel button in the lower-right corner of the frame, then via the displayed dialog box, take the requisite steps to either open or save the file.

Note: Aggregations that are fully sold, and therefore do not require partial sales reporting, will display a UCAP value in the Auction Sale MW column that matches the corresponding value in the Calculated UCAP MW column to the nearest tenth of a decimal.

Aggregations that are unsold, and therefore do not require partial sales reporting, will display a zero UCAP value in the Auction Sale MW column.

Aggregations that are partially sold, and therefore may have partial sales allocated, will display a UCAP value in the Auction Sale MW column less than the corresponding value in the Calculated UCAP MW column (see Figure 162).

To view resource auction sales

From the SCR menu, choose Auction Sale Summary. 1.

The system displays the Summary of Aggregation Auction Sales page (see Figure 161).

2. From the corresponding search filter(s) in the uppermost frame on the Summary of Aggregation Auction Sales page (see Figure 161), choose the **Capability Period** and the **Month** for which the system should display aggregation auction sales.

- 3. Optionally, further limit the scope of aggregation auction sales to be displayed by the system to only a specific **Aggregation ID** by choosing the applicable option from the corresponding search filter near the top of the page.
- 4. Near the top of the Summary of Aggregation Auction Sales page, click the **Display** button. The system populates the Aggregation Auction Sales grid below the search filters with an entry for each aggregation meeting the criteria chosen at steps 2 and 3 (see Figure 162).
- 5. In the Aggregation Auction Sales grid, click the row corresponding to the aggregation for which the system should display resource auction sales.

The Resource Auction Sales frame in the lowermost area of the page refreshes to display the specific resources within the chosen aggregation along with the resource *Calculated kW* and *Assigned kW*, as applicable (see Figure 163).

Figure 163: Resource Auction Sales Frame Populated with Aggregation Resources from the Aggregation Auction Sales Pane

MP: Market Pr Iggregation Auction Sal IP ≁ Market Participant	es Aggregation ID 9234	Capability Period Zone Au F	d: Summer 202 uction Sale MW 34.100	Calculated MW 34.100	Month:	June 2021	Aggregation	ID: All	✓ Display	
Aggregation Auction Sal 19 ≏ Market Participant	Aggregation ID 9234	Zone Au F	uction Sale MW 34.100	Calculated MW 34.100	Assig	ined MW				
np – Market Participant	Aggregation ID 9234	Zone Au F	uction Sale MW 34:100	Calculated MW 34.100	Assig	ned MW				
Market Participant	9234	F	34.100	34.100						
Resource Auctic Sales	Resource Name	Res to /	source Contributio Agg UCAP kW	on Assigned	d kW					
999889	Resource One		15023							
999890	Resource Two		19077							
	Total		34100							

10.4. Allocating Resource Partial Auction Sales

Upon close of the Spot Market Auction in the NYISO ICAP Market System, MPs have the opportunity to allocate resource sales to any Aggregations that had sales greater than zero but less than the full UCAP amount. This may be performed through the Resource Auction Sales import file or directly through the Summary of Aggregation Auction Sales page in DRIS.

Resource auction sales can be reported only within a pre-established time frame as provided in the DRIS Event Calendar. This limited Partial Sales Reporting period occurs in DRIS after the close of the Spot Market in the ICAP Market System and after Auction Sales have been posted in DRIS. During this time frame, partial sales may be initially allocated and then subsequently updated as often as necessary, provided that the calendar event for reporting has not closed.

Only aggregations having sales greater than zero but less than the full UCAP value can be reported. All resources within the partially sold aggregation must be reported even if one or more of the resources within the aggregation are assigned a zero as the amount sold.

Aggregations having zero sales cannot report partial sales. Resources within an aggregation with zero sales will automatically be assigned zero as the UCAP amount sold.

After the close of the DRIS Partial Sales Reporting Calendar Event, any unreported partially sold Aggregation will use the full DRIS Calculated UCAP amount of each resource in the Aggregation for performance factor calculations.

Note: Aggregations that are fully sold, and therefore do not require partial sales reporting, will display a UCAP value in the *Auction Sale MW* column that matches the corresponding value in the *Calculated UCAP MW* column to the nearest tenth of a decimal.

Aggregations that are unsold, and therefore do not require partial sales reporting, will display a zero UCAP value in the *Auction Sale MW* column.

Aggregations that are partially sold, and therefore may have partial sales allocated, will display a UCAP value in the *Auction Sale MW* column less than the corresponding value in the *Calculated UCAP MW* column (see Figure 162).

Pre-requisites

- Auction sales in relation to the auction month for which the MP wants to report partial sales have been posted in DRIS.
- The period for Partial Sales Reporting in relation to the auction month for which the MP wants to report partial sales is open on the DRIS Event Calendar (refer to Section 2.1).
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

10.4.1. Creating a Resource Auction Sales File

When electing to allocate partial auction sales through an import file, it is the responsibility of the MP to perform the following:

1. Create a correctly structured, formatted, *a*nd populated resource auction sales file



- 2. Import the resource auction sales file to DRIS
- 3. Review and manage as necessary the results of the import process

Task 3 immediately preceding may require the MP take an additional action in the form of, for example, correcting exceptions (i.e., data errors, changes, or omissions) that prevent import of some or all data reported in error.

As illustrated by the sample resource auction sales files in Figure 164 and Figure 165, the file must contain header data in the form of the auction month for which the resource partial sales are being reported. The file must also contain a set of data for each resource being reported for the auction month, and may contain column headings.

Figure 164: Sample Resource Auction Sales File in .XLS or .XLSX Format

Ŀ	•	5						F	Resource Auction Sales		
F	ile	Home	Insert	Page Layo	out For	mulas	Data	Review	Viev	v /	ACROB
-0	X	Arial		- 10)	ĂĂ	-		87-	ę	Gener	al
Pas	të 💉	В	IL	<u>8</u> - 🗉 - <u>8</u>	- <u>A</u> -			e ə	•	\$ -	%
Cl	pboard	R .		Fant	Ť.		Alignm	ient			N
113		2.1		√ fx							
		A			в		С		D		E
1	Auction	n Month =	07/01/2	010&							
2	Resour	rce ID		Reso	urce Name	e Aggre	gation II	Resour	ce kW S	ales	
3			9	999995 Reso	ource One		927	7		500	
4			1	999996 Reso	ource Two		927	7		1000	
5			1	999997 Reso	ource Three	9	927	7		543	
6			1	999998 Reso	urce Four		927	7		987	
7			9	999999 Reso	ource Five		927	7		450	
8											
9											


Figure 165: Sample	Resource	Auction Sales	File in	.CSV Format
--------------------	----------	----------------------	---------	-------------

```
Resource Auction Sales - Notepad

File Edit Format View Help

Auction Month = 07/01/2010&,,,

Resource ID,Resource Name,Aggregation ID,Resource kW Sales

999995,Resource One,9277,500

999996,Resource Two,9277,1000

999997,Resource Three,9277,543

999998,Resource Four,9277,987

999999,Resource Five,9277,450
```

In order for a resource auction sales file to be successfully processed by DRIS, the header data must be properly completed for the auction month in question. Likewise, in order for resource auction data to be imported to the system, the data must meet predefined rules related to formatting and value Figure 166 and Figure 167 details the rules specific to the resource auction sales file header and resource data.

After creating a properly structured, formatted, and populated resource auction sales file, the MP must import the file to DRIS as the next step to reporting partial auction sales.

Note: The resource auction sales file must be imported into DRIS as .csv format. Blank .csv or Excel "template" files for importing resource auction sales can be obtained from the NYISO Web site at <u>https://www.nyiso.com/demand-response</u> (in the **Special Case Resource ICAP Program** folder). Note that if a blank .csv "template" file is opened in Excel or OpenOffice; it must be saved to .csv format before being imported to the system.

Resource Auction Sales Header Field Name	Rule	Error Message
Auction Month	The date in the header must be the first day of the auction month selected.	Selected <auction month=""> does not equal the Auction Month in Import File header.</auction>

Figure 166: Rules for Successful Processing of Resource Auction Sales Import File



Figure 167: Rules Specific te	Successful Processing of	FResource Auction Sales Files
-------------------------------	--------------------------	-------------------------------

Resource Auction Sales Field Name	Column in Import File	Field Format	Description and Rule(s)	Error Message
Resource ID	A	Numeric	The Resource ID assigned by the NYISO.	
			Resource must have been Enrolled for the auction month for which the partial sales are being reported.	Resource <resource id=""> in the file was not Enrolled for the auction month being reported.</resource>
Resource Name	В	Text	The name of the SCR resource.	N/A
		Up to 100 characters		
Aggregation ID	С	Numeric	The aggregation ID to which the resource was assigned for the auction month for which partial sales are being reported.	Resource <resource id=""> in the file was associated with the Aggregation ID <aggregation id=""> for the auction month being reported.</aggregation></resource>
Resource kW Sales	D	Numeric	Must be >=0	Resource <resource id=""> sales must be greater than</resource>
		Up to 7 digits		
		No decimals	Must be less than or equal to the Calculated UCAP kW value of the resource when the auction sales were imported from AMS.	Resource <resource id=""> sales must be less than or equal to the Calculated UCAP value <ucap value=""> for the auction month.</ucap></resource>

10.4.2. Importing the Resource Auction Sales File

To import a resource auction sales file

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 168).



Figure 168: Import/Export Page as Initially Displayed

	Der morrowToday Im	Demand Response Information System Imports/Exports						
Main • MP • Resource • SCR •	Performance Factors +	DR Event +	Mitigation -	Tables •	Notification -	DSASP -	BTM -	
Capability Period: Summer 2015	✓ Display							
🖃 🔂 Imports								
SCR Resource Imports								
🖃 📰 Provisional ACL Eligibility								
EDRP Resource Import								
E DSASP Resource Import								
E Resource Auction Sales								
Event Response								
🖃 🔂 Exports								
SCR Resource Exports								
\Xi Provisional ACL Eligibility								
EDRP Resource Export								
DSASP Resource Export								
Energy Payments								
E Aggregation Performance Factors								
E Aggregation UCAP Summary Export								

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for which resource partial auction sales are being allocated.
- 3. Beside the Capability Period filter, click the **Display** button.

The system activates the middle frame and populates the lowermost frame with a list of import events for the Capability Period chosen at step 2.

4. On the left side of the middle frame and under the **Imports** heading, click **Resource Auction Sales**.

The area to the right refreshes to display input components specific to the month for which the import is being performed (see Figure 169).



Figure 169: Import/Export Page Displaying Input Components Specific to Resource Auction Sales



- 5. Select the applicable **Month** from the corresponding drop-down filter on the right side of the middle frame.
- 6. On the right side of the middle frame, click the **Browse** button.

The system displays a File Upload dialog box.

- 7. Via the File Upload dialog box, navigate to and choose the file containing the data for the resources reporting partial auction sales, then click the **Open** button.
 The File Upload dialog box closes, and the system populates the Auction Sales File field in the right pane of the middle frame with the name of the chosen file.
- Click the Import button, located in the lower-left corner of the right side of the middle frame.
 The system displays a dialog box summarizing the results of the import process (see Figure 170).
- 9. Review the import results and proceed accordingly, as outlined in Section 10.4.3.



Import Type:	ICAP_RESOURCE_AU	CTION SALES	Record Count:	2		
File Name:	MP_One_PartialSales_	Dec2010.csv	Records Added:	2		
Start Time:	12/07/2014 14:06:	:08	Records Exception:	0		
and Time:	12/07/2014 14:06:	:08	Records General Alerts:	0		
			Records Pending:	0		
			Records Potential Mitigation:	0		
			Records Payment:	0		
			Records No Change:	0		
lessage						
lessage						
Message Exceptions Field Name	Field Value	Exception Code			Unique Id	
lessage xceptions ield Name	Field Value	Exception Code			Unique Id	

Figure 170: Sample Import Summary Dialog Box for Auction Sales

10.4.3. Managing Resource Auction Sales Import Results

Whenever an MP attempts to import a resource auction sales import file to DRIS, the system generates a report outlining the results of the process. If the data for one or more resources in the auction sales file contain exceptions, the report details each exception on a separate line and identifies the resource ID of the record containing the exception, the specific field containing the exception, the value supplied in the field containing the exception, and a message specifying the nature of the exception (see Figure 171).

Note: When exceptions are found for one or more resources in the resource auction sales import file, the entire import process fails, and no resources are updated with their reported partial sales. Any and all exceptions must be corrected and the resource auction sales import file re-imported successfully to allocate partial sales.

The MP must review the report for purposes of creating and reporting a file containing correct data for the resources in question, along with correct header data, prior to the deadline for reporting resource partial auction sales as specified on the DRIS Event Calendar (refer to Section 2.1).

Note: The MP may access the import report directly from the Summary dialog box displayed immediately following import by clicking the **Excel** button in the lower right-hand corner of the dialog box then taking the requisite steps to either open or save the corresponding file.



		-		-	-
	A	В	C	D	E
1	File NameMP_One_PartialSales_Dec.	2010.csv			
2	MP NameMP_One				
3	User				
4	Upload Type	ICAP_RESOURCE_AUCTI	ION_SALES		
5	Capability Period	Winter 2010-2011			
6	Auction Month	Dec-10			
7	Start Date of Import	12/07/2010 12:44:11 EST			
8	End Date of Import	12/07/2010 12:44:25 EST			
9	Records in File	1			
10	Records Saved	0			
11	Records Pending Approval	0			
12	Records With Exceptions	1			
13	Records With Energy Payment	0			
14	Records With Potential InCity	0			
15	Records With No Change in DRIS	0			
16					
17	Reference #	Message Type	Field	Value Supplied	Message
18	Resource kW Sales	Exception	Resource kW Sales	3200	Resource sales of 3.200 must be equal to or greater than the AGG 9537 sales of 3.500
19	Aggregation ID	Exception	Aggregation ID	9537	Cannot allocate auction sales to aggregation 9537 because the aggregation was not a partial sale
20					

Figure 171: Sample Exceptions Report for Resource Auction Sales File Import

Pre-requisite

• The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the

System").

To access the import activity report and manage related errors

Note: If the Summary dialog box displayed after import is open, the import report may instead be accessed by clicking the **Excel** button in the lower right corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 172).



Figure 172: Import/Exports Page

Main • MP • Resource • SCR •	Performance Factors +	DR Event -	Mitigation -	Tables •	Notification -	DSASP -	BTM •
Capability Period: Summer 2015	✓ Display						
🖃 🔄 Imports							
SCR Resource Imports							
🔄 Provisional ACL Eligibility							
EDRP Resource Import							
E DSASP Resource Import							
E Resource Auction Sales							
Event Response							
🖃 🔂 Exports							
SCR Resource Exports							
Provisional ACL Eligibility							
EDRP Resource Export							
E DSASP Resource Export							
Energy Payments							
Aggregation Performance Factors							
Summary Export							

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for the previously reported resource auction sales file that generated the exception(s).
- 3. Beside the Capability Period filter, click the **Display** button.

The Import History frame at the bottom of the page refreshes to display a grid listing each import event for the chosen Capability Period (see Figure 173).



	AK NDENT OPERATON AND OF LODOTOW Tod	Demand R Imports/Ex	esponse Info ports	rmation	System									
Main + MP + Resource +	SCR · Performance	e Factors + DR Event +	Mitigation - Tables -	Notification +	DSASP + E	BTM +								
Capability Period: Summer 201	14 ¥ D	Nsplay												
🖃 🔁 Imports														
SCR Resource Imports														
E Provisional ACL Eligibility														
EDRP Resource Import														
E DSASP Resource Import														
Resource Auction Sales														
Event Response														
🖃 🚞 Exports														
SCR Resource Exports														
Provisional ACL Eligibility														
EDRP Resource Export														
DSASP Resource Export														
Energy Payments														
Aggregation Performance F	actors													
Aggregation UCAP Summar	y Export													
Import History														
Import Type	мр	File Name	Import Star	t Date Im	nport End Date	Rec Co	Recor Added	Recor Excep	Recor Pendi	Recor Energy Paym	Recor General Alerts	Recor Poten Mitig	Recor No C	
SCR_Resource_Enroll	Market Participa	nt SCR-Enrollment_	04-25-2014 04/25/201	4 14:56:08 04	4/25/2014 14:56	:08 2		2 (0 C	0 0) 0) (0 0	
Page 1 of 1	niæ										Displaying	1 - 20 of 20	Export Ex	ceptions

Figure 173: Populated Import History Grid on Imports/Exports Page

- 4. In the **Import History** grid, select the entry for the import event that generated the exception(s).
- 5. In the lower-right corner of page, click the **Export Exceptions** button.

The system displays a dialog box via which the import report can be saved or opened.

6. Take the requisite steps to either save or open the import report.

The report is either saved to the designated location or displayed on screen.

7. Review the report, correct all exceptions in the resource auction sales file, then import the updated file to DRIS.

10.4.4. Allocating Resource Auction Sales via the Corresponding System Page

- **To allocate partial sales via the corresponding system page**
- 1. From the **SCR** menu, choose **Auction Sale Summary**.

The system displays the Summary of Aggregation Auction Sales page (see Figure 174).



	Denia	and Response Informatio	on System		
MP - De	source - SCR - Performance	Factors - DR Event - Millionhon	- Tables - Notification - DSASP -	STM-	
	Aucho Sanmary Aggregation Ansigument Aggregation Ansigument Aggregation Resound Sellia Price Management Resource ACL Adjustment	mer 2013 (* Month: 197 198 198 198 198 198 198 198 198 198 198	Augment Postmanner Discore	·	
and Arrival Lakes					
mkD+ II	Insertions Table	minut tur			

Figure 174: Navigating to the Summary of Aggregation Auction Sales page

- From the corresponding filter in the uppermost frame in the Summary of Aggregation Auction Sales page, choose the Capability Period and Month encompassing the auction month for which the partial sales are to be reported.
- 3. Optionally, choose a specific **Aggregation ID** from the corresponding filter in the uppermost frame on the Summary of Aggregation Auction Sales page to further narrow the aggregation auction sales data to be displayed.
- 4. Click the **Display** button.

The Aggregation Auction Sales frame refreshes to display a grid of aggregations meeting the criteria selected at step 2.

- Click the row housing the aggregation for which the partial sales are to be allocated.
 The Resource Auction Sales frame in the lowermost area of the page refreshes to display a grid of all enrolled resources within the selected aggregation at the time of the auction.
- 6. On the Resource Auction Sales frame, click the **Assign** button, in the lower right corner, to initially allocate each resource an Assigned kW.

- 7. Prior to modifying the Assigned kW of an individual resource, click the Save button in the lower right corner of the Resource Auction Sales frame. This will save the full auction sale kW value for each resource, which can then be modified in Step 8 below.
- 8. To change the Assigned kW value of a resource, in the Resource Auction Sales frame, click the row housing the resource for which the Assigned kW value should be a partial amount for the actual Calculated kW value of the aggregation (see Figure 175).
- 9. Type directly in the **Assigned kW** field to update the partial value sold for the resource.

Figure 175: Highlighted Row Housing the Resource for which Partial Sales will be Assigned

- 19		tran TomorrowTaday	Demand Re Summary of	sponse l Aggregatio	nformati n Auction	on Syste _{Sales}	m				
Main • MP •	Resource - SCR -	Performance Fact	tors • DR Event •	Mitigation - Ta	bles • Notifical	ion • DSASP •	BTM •				
MP:	Market Participant	Capability I	Period: Summer 2021	• M	onth: June 2021		~	Aggregation ID: All	✓ Display		
Aggregation A	uction Sales										
MP 🛎	Aggregation	ID Zone	Auction Sale MW	Calculated MW	Assigned MW						
Market Part	ticipant 92	34 F	34.100	34.100							
Resource Aucti Resource ID -	ich Sales Resource Name		Resource Contribution	n Assigned KV	v					Total count: 2 🔩	Excel
999889	Resource	e One	15023	320	0						
999890	Resource	e Two	19077	100	0						
	Tota	al	34100	420	0						
									Total count: 0	a a	Excel

- 10. Repeat steps 8 and 9 until the partial sales allocation for all resources within the selected aggregation have been assigned.
- 11. When all resources within the aggregation have been assigned a partial sold value, click the **Save** button.

DRIS updates the sum of the partial sales allocations from the Assigned kW column in the Resource Auction Sales frame to the corresponding aggregation's Assigned MW value in the Aggregation Auction Sales frame (see Figure 176).

12. To allocate partial sales for aggregations other than the one previously chosen, repeat steps 5 through 11.



Main • MP • Res	Strang Markets Of TomorrowTo source • SCR • Performa	nce Factors • DR Event •	Aggregation Auction S	ales	
MP: Market	Participant 🔗 Cap	pability Period: Summer 202	1 Month: June 2021	Aggregation ID: All	♥ Display
Aggregation Auction (Sales				
MP *	Aggregation ID	Zone Auction Sale MW	Calculated MW Assigned MW	1	
Market Participant	9234	F 34.100	34.100 34.100		
					Total count: 2 🔩 Exce
Resource Auctic + Sale Resource ID =	es Resource Name	Resource Contributio to Agg UCAP kW	n Assigned kW		Total count: 2 🐴 Exce
Resource Auctic Sale Resource ID - 999889	es Resource Name Resource One	Resource Contributio to Agg UCAP kW 15023	n Assigned KW 14283		Total count: 2 🐴 Exce
Resource Auction Sale Resource ID - 999889 999890	Resource Name Resource One Resource Two	Resource Contributio to Agg UCAP KW 15023 19077	n Assigned kW 14283 19817		Total count: 2 🦓 Exce
Resource Auction Sale Resource ID - 999889 999890	es Resource Name Resource One Resource Two Total	Resource Contribution to Agg UCAP KW 15023 19077 34100	n Assigned kW 14283 19817 34100		Total count; 2 👫 Exo

Figure 176: Partial Resource Sales Summed at the Aggregation

10.5. Viewing Resources Subject to an Offer Floor

Viewing resources that are determined to require an Offer Floor for use in the ICAP Auction provides the MP with a snapshot of the resource Offer Floor prices and a running count of the number of months in which the resource has cleared the offer price in an auction. Resource Offer Floor data displayed includes the following:

- Resource ID
- Resource name
- Month
- Aggregation ID
- Sale kW
- Summer floor price
- Winter floor price
- Passed validation indicator
- Current number of months cleared

The *Current Number of Months Cleared* value increments each time the resource is determined to have cleared its Offer Floor price in the ICAP auction. This value is displayed to the MP as the total number of months cleared, including months the resource may have been enrolled with another MP. This value will

continue to increment until it reaches 12 months cleared, at which time the resource will no longer appear on the UCAP Export as having an Offer Floor assigned and will no longer be subject to an Offer Floor in the ICAP auction.

To view resource Offer Floor details

1. From the **Mitigation** menu, choose **Validate Auction Sales**.

The system displays the Validate Mitigated Auction Sales page.

2. From the corresponding search filters in the uppermost frame on the Validate Mitigated Auction Sales page (see Figure 177), choose the **Resource ID** and/or **Month** for which the system should display resource validated auction sales details.

Figure 177: Validate Mitigated Auction Sales Page Search Filters

-1	50	NEW YO	NDENT OPERATO	, Iommw, , Todaj	Demand Response Information System Validate Mitigated Auction Sales								
Main • MP •	Resource -	SCR-	Performa	nce Factors +	DR Event+	Mitigation -	Tables	Notification -	DSASP+ BTM+				
Resource ID:	200805	*		Month	:	×		Display					
Mitigated Au	ction Sales	-											
Resource D	Resource N	ame	Month	MP Name Mi	D Aggreg	pation ID	Sale KW	Summer Floor F	Price Winter Floor P	rice Passed Validation	Current Number of Months Cleared	Last Updated By	Last Update Date

3. Near the top of the Validate Mitigated Auction Sales page, click the **Display** button.

The system populates the Mitigated Auction Sales page below the search filters with data for the resources meeting the criteria chosen at step 2 (see Figure 178).



Figure 178: Validate	Mitigated Auction	n Sales Page Populate	d with Data
----------------------	-------------------	-----------------------	-------------

-TE		ent Enderon I Of ThinsmonToda	Dema Valida	nd Resp te Mitigated	ONSE Information Sales	ation S	ystem						
Main + MP+	Resource + SCR	Performance	Factors + DR	Event • Midig	ation + Tables + Noti	ification + 1	DSASP+ H	вти•					
tesource ID: 1	234567 👻	Month	¢)	٧	Display								
Mitigated Auct	ion Sales												
Resource 10	Resource Name	Month	M ^p Name	MP ID	Aggregation ID	Sale KW	Summer Fic	Winter Floc	Passed Validation	Comments	Current Number of I	Last Updated By	Last Update Date
1234567	Bakery	December 2010	MP One	1234	4444	218	1,64	0.89	Y		3	Joshua Boles	12/08/2010 13:14:17
1234567	Bakery	November 2010	MP One	1234	4444	218	1.64	0.89	N		3	Joshua Boles	12/08/2010 13:14:12
1234567	Bakery	October 2010	MP One	1234	4444	243	1,64	0.89	Y		3	Joshua Bales	12/08/2010 13:14:06
1234567	Bakery	September 2010	MP One	1234	4444	243	1.64	0.89	¥		3	Joshua Boles	12/08/2010 13:13:59

Note: The determination of a resource passing validation occurs for each auction month in which the resource has sales. Validation occurs for a specific auction month after the close of the Partial Sales Reporting period for that auction month, as specified on the DRIS Event Calendar (refer to section 2.1).

To view resources with an Offer Floor

1. From the **Resource** menu, choose **Monthly Details**.

The system displays the Resource Monthly Details page.

 From the corresponding search filters in the uppermost frame on the Resource Monthly Details page (see Figure 179), choose the **Capability Period** and/or the **Resource ID** for which the system should display resources with an Offer Floor.

Note: Section 8.1.3 further details the search functionality on the Monthly Details page.

Figure 179: Resource Monthly Details Page Search Filters

n · MP · Resource · S	CR - Performance F	actors + DR Event + 1	Mitigation + Tables + Notification + D	SASP+ BTM+				
MP Name:	*	Resource ID:	✓ Capability Period:	- Program:	*	Aggregation:	*	
TO:	~		Month:	v Zone:	*	Status:	*	Commen . +

3. Near the top of the Resource Monthly Details page, click the **Display** button.

The system populates the Monthly Details grid below the search filters with data for those resource enrollments meeting the criteria chosen at step 2 (see Figure 180).



Note: The system may display more than one entry per resource, depending on the defined criteria. Entries in the *Monthly Details* grid are displayed in descending order based on the months within the specified Capability Period, with the values in the *Begin Effective Date* and *End Effective Date* columns indicating the time span of each enrollment.

Resources having an Offer Floor will be identified with a checkmark in the Floor Price In Effect field in the Monthly Details grid. The field will be checked only for those months in which the resource was subject to an Offer Floor.

Figure 180: Resource Monthly Details Page Displaying Floor Price in Effect Field

	50 MEW YORA	Tomorrow_Today	Deman Resource	d Resp :e Monthl	ONSE y Detail	Informa ^s	tion S	Systen	1								
Main +	MP - Resource	e* SCR*	Perform	ance Fa	ictors -	DREV	ent e f	Vitigatio	m +	Tables	• Notifica	tion - DS	ASP - E	STM-			
MP Name:	NVISO Market Participant	~	Resource ID:	1234567	*	Capability Period	t: Winter	2010-2011	~	Program:	v	Aggregation:		*			
TO:		~				Month	n: January	2011	v	Zone:	v	Status:		*	Display -		
Monthly Deta	ils																
Resource ID	Resource Name	TO Account Number	Month	Begin	Effective	Date End Ef	fective Date	Stat	15	Program	Floor Price in Effect	Subscribed Load	Subscribed G	en P	erformance Factor	UCAP	Aggregat
1234567	Bakery	T1234456	578 January 2	011 01.01	/2011 00:0	0.00 04,00/	2011 23:59	59 Enro	lied	SCR	2	145			0.989	7 15	0 4

10.6. Managing Provisional ACL Resource Enrollments & Verification Data

For those MPs with resources enrolled with a Provisional ACL in the selected Capability Period, DRIS provides the means to perform the following:

- Download a properly formatted file to use as a template for reporting resource Provisional ACL verification data
- Import resource Provisional ACL verification data
- View imported resource Provisional ACL verification data, including applicable Transmission Owner add-back kW values, DADRP add-back kW values and DSASP baseline kW values for the resource
- View resources which have a Provisional ACL shortfall

Resource Provisional ACL verification data must be imported into DRIS within a pre-established time frame as specified on the DRIS Event Calendar (refer to Section 2.1).

It is the responsibility of the MP to perform the following:

1. Download and create a correctly structured, formatted, and populated resource Provisional ACL verification file



- 2. Import the resource Provisional ACL verification file to DRIS
- 3. Review and manage as necessary the results of the import process

Task 3 immediately preceding may require the MP take an additional action in the form of, for example, correcting exceptions (i.e., data errors, changes, or omissions) that prevent import of some or all data.

Note: Reporting resource Provisional ACL verification data through the Provisional ACL Verification import file in DRIS is the **only** means by which resource Provisional ACL verification data will be accepted by the NYISO. The imported data will be used for both the calculation of the resource ACL and the determination of a shortfall based on the resource Verified ACL and the resource Provisional ACL previously imported on the resource enrollment during the selected Capability Period.

10.6.1. Downloading the Provisional ACL Verification File

Reporting of resource Provisional ACL verification data is initiated via MP import to DRIS of the NYISO provided Excel (.xlsx or .xlsx) file. Importing of Provisional ACL verification data for multiple resources is initiated at one time.

Pre-existing resource data in the file format required for reporting to the system can be downloaded from DRIS by the MP. The MP can then use the file to create a properly structured and formatted file containing resource Provisional ACL data for subsequent reporting to DRIS.

Pre-requisites

- Data for the MP's resources already exist in the system.
- The MP representative performing the task is logged in to DRIS (see section 1.3, "Accessing the System").

To download the Provisional ACL verification file

1. From the Main menu, choose Imports/Exports.

The system displays the Imports/Exports page (see Figure 181).



Figure 181: Import/Export Page as Initially Displayed

Main • MP • Resource • SCR •	Performance Factors +	DR Event •	Mitigation •	Tables •	Notification -	DSASP -	BTM •
Capability Period: Summer 2015	▼ Display						
🛛 🔄 Imports							
SCR Resource Imports							
🖃 Provisional ACL Eligibility							
EDRP Resource Import							
E DSASP Resource Import							
E Resource Auction Sales							
Event Response							
🗉 🔄 Exports							
SCR Resource Exports							
🖃 Provisional ACL Eligibility							
EDRP Resource Export							
E DSASP Resource Export							
Energy Payments							
Aggregation Performance Factors							

- 2. From the corresponding search filter in the uppermost frame on the Imports/Exports page, choose the Capability Period.
- 3. Beside the Capability Period filter, click the **Display** button.

The system makes available the middle frame.

4. Under the Exports heading in the left pane of the middle frame, choose **SCR Resource Exports**.

The system refreshes the right pane of the middle frame to display additional filters along with a button to initiate download of the file (as illustrated in Figure 182).



Figure 182: Import/Export Page Displaying Input Components Specific to Provisional ACL Verification Export

ISO NEW YORK INDEPENDENT SYSTEM OPERATOR SUBJECT OF STORY MARCINE DE TOMOTOW.	Demand I Today Imports/E	Respons _{xports}	e Infoi	rmation	Syster	n				
Main • MP • Resource • SCR • Perform	ance Factors • DR Event	Mitigation	Tables •	Notification -	DSASP -	BTM •				
Capability Period: Summer 2015	Display									
Imports SCR Resource Imports	SCR Resource Exports									
Provisional ACL Eligibility	Export Typ	e: Provisional A	CL Verificatio	on 💌						
EDRP Resource Import	Mont			×						
E DSASP Resource Import	Hom									
- 🔁 Resource Auction Sales										
Event Response										
🖃 🔂 Exports										
SCR Resource Exports										
- 🔄 Provisional ACL Eligibility										
EDRP Resource Export										
E DSASP Resource Export										
Energy Payments										
Aggregation Performance Factors										
aggregation UCAP Summary Export										
	Export -									

- 5. Select **Provisional ACL Verification** from the **Export Type** drop-down filter on the right side of the middle frame.
- 6. When selecting **Provisional ACL Verification**, the **Month** drop-down filter should remain unpopulated.
- 7. Select the file format from the **Export** button, located in the lower-left corner of the right pane in the middle frame, and click to export.

The system displays a dialog box via which the Provisional ACL verification file can either be saved or opened.

8. Take the requisite steps to either save or open the Provisional ACL verification file.

The Provisional ACL verification file is either saved to the designated location or displayed on screen.



10.6.2. Creating the Provisional ACL Verification File

The downloaded Provisional ACL verification file provides the MP with the file format required for reporting to the system. The file format includes the following resource data pre-populated for resources which were enrolled with a Provisional ACL for the selected Capability Period:

- Resource ID
- Resource name
- TO account number
- Meter Authority
- Meter installation date
- Zone

In addition, the file contains the following column headings pre-populated with the SCR Load Zone Peak Hours for the Capability Period selected.

- ACL kW for Peak Load Date Hour 1 mm/dd/yyyy hh, through
- ACL kW for Peak Load Date Hour 40 mm/dd/yyyy hh

Note: The Provisional ACL Verification File contains column headings for the SCR Load Zone Peak Hour fields. Each ACL kW column heading begins with the column label and is then followed by the specific Date of the Peak Hour followed by the specific Hour Beginning of the Peak Hour. In the example: **ACL kW for Peak Load Hour 1 12/14/2010 17**, the field label is "**ACL kW for Peak Load Hour 1**", the specific Date of the Peak Hour is "**12/14/2010**", and the specific Hour Beginning of the Peak Hour Seginning of the Peak Hour is "**11**".

The MP is required to provide the kW value for each resource in the file for all of the specified SCR Load Zone Peak Hours which occur from the individual resource meter installation date through the end of the Capability Period.

Note: Resources for which no Capability Period SCR Load Zone Peak Hours occur from the resource meter installation date through the end of the Capability Period should remain listed on the Provisional ACL verification file as required for reporting of the resource Provisional ACL verification. ACL kW cells for which no ACL kW data is available based on the resource meter installation date should remain blank on the import file.

As illustrated by the sample Provisional ACL verification file in Figure 183, the file must contain header

data in the form of Capability Period and DR program. The file must also contain a separate tab for each

zone. Each zonal tab must contain the header data.

Note: Excel (.xlsx or .xlsx) is the only file format accepted into DRIS for the import of the resource Provisional ACL Verification file.



Figure 183: Sample Provisional ACL Verification File in Excel

1	A	В	C	D	E	F	G	H	
1	Capability Per	iod=Summer 2020&							
2	Program=SCF	₹&							
							ACL kW for Peak Load Date Hour 1	ACL kW for Peak Load Date Hour 2	ACL kW for Peak Load Date Hour 3
3	Resource ID	Resource Name	TO Account Num	Meter Authority	Meter Installation Date	Zone	12/18/2019 17	12/18/2019 18	01/14/2020 17
4	202627	Resource Four	T456784321095	CEC	4/21/2011	J	>		
5									

- Provisional ACL Verification Export File will list all resources enrolled with a Provisional ACL in the Capability Period selected
- Provisional ACL verification file formatted with a separate tab for each zone

20		-					
22							
23		-					
0.0	Zone A Zone B	Zone C Zone	D Zone E Zone	F Zone G Zor	ie H Zone I	Zone J Zone K	

Figure 184: Detail of ACL kW Column Label



In order for a Provisional ACL verification file to be successfully processed by DRIS, the header data must meet pre-defined rules. Figure 185 details the rules along with the error messages the system will generate should the file violate any of the rules. Likewise, in order for the resource data to be imported to



the system, the data must meet pre-defined rules relating to formatting and value. Figure 186 details the specific rules.

After creating a properly structured, formatted, and populated Provisional ACL verification file, the MP must import the file to DRIS as the next step in reporting resource Provisional ACL verification data.

Figure 185: Rules for Successful Processing of Provisional ACL Verification Import File

Attribute	Rule	Error Message
Capability Period	The Capability Period in the header must match that chosen via the system interface.	Capability Period < Capability Period > in the Import File header does not match the Capability Period selected.
Program	The program in the header must be equal to SCR .	Program <program> in the Import File header must be SCR.</program>
Multiple Tabs	The Provisional ACL Verification file must have a tab designated for each zone within the Excel file. The two header rows, Capability Period and Program, must appear on each zonal tab within the Excel file.	

Figure 186: Rules Specific to Resource Data in Provisional ACL Verification File

Note: The Provisional ACL Verification File contains column headings for the SCR Load Zone Peak Hour fields. Each ACL kW column heading begins with the column label and is then followed by the specific Date of the Peak Hour followed by the specific Hour Beginning of the Peak Hour. In the example: **ACL kW for Peak Load Hour 1 12/14/2010 17**, the field label is "**ACL kW for Peak Load Hour 1**", the specific Date of the Peak Hour is "**12/14/2010**", and the specific Hour Beginning of the Peak Hour is "**17**".

Provisional ACL Verification Field Name	Column in Import File	Field Format	Description and Rule(s)
Resource ID	A	Numeric	The Resource ID assigned by the NYISO.
Resource Name	В	Text Up to 100 characters	The name of the SCR resource.
TO Account Num	С	Text	The account number assigned by the Transmission Owner.
		Up to 30 characters	The account number assigned by the Transmission Owner must be reported into DRIS with the letter T preceding the account number. For example, if the account number is 5436789, it must be reported on the import file to DRIS as T5436789.
			For resources assigned Rochester Gas & Electric or NYS Electric & Gas do not use the TO Account Number. Instead, use the Point of Distribution ID (POD ID).
			When the POD ID assigned by the Transmission Owner is preceded by an R or an N , do not precede the POD ID with the letter T For example, if the POD ID is $R5436789$ it must be reported on the import file to DRIS as $R5436789$.
			Can only be numbers, letters, or a combination of the two; dashes, spaces between numbers/letters, or any odd characters are not allowable.

Provisional ACL Verification Field Name	Column in Import File	Field Format	Description and Rule(s)
Meter Authority	D	Text Up to 3 characters	The Transmission Owner or Meter Services Entity that is providing the meter data used for the Top 40 ACL values for the resource being enrolled. The Meter Authority will be the 3 character abbreviation for the Meter Authority being used for the resource. The list of abbreviated Meter Authority names can be found on the NYISO website: <u>Approved Meter Services Entities</u>
Meter Installation Date	E	Date MM/DD/YYYY	Date on which the resource meter was installed.
Zone	F	Text 1 character	The letter of the LBMP Zone where the resource facility is located.
ACL kW for Peak Load Date Hour 1 MM/DD/YYYY HH	G	Numeric Up to 7 digits before decimal and 1 digit after decimal	The kW meter value for the resource for the specified Capability Period SCR Load Zone Peak Hour. Enter an ACL kW value for each Capability Period SCR Load Zone Peak Hour occurring from the resource meter installation date through the end of the Capability Period. The ACL kW cell should remain blank for each Capability Period SCR Load Zone Peak Hour occurring prior to the resource meter installation date.
Note: Continue with a separ The ACL kW column labels	ate column for each begin with "G" and e	of the Top 40 Load nd with "AT".	Zone Peak Hours.
ACL kW for Peak Load Date Hour 40 MM/DD/YYYY HH	AT	Numeric Up to 7 digits before decimal and 1 digit after decimal	The kW meter value for the resource for the specified Capability Period SCR Load Zone Peak Hour. Enter an ACL kW value for each Capability Period SCR Load Zone Peak Hour occurring from the resource meter installation date through the end of the Capability Period. The ACL kW cell should remain blank for each Capability Period SCR Load Zone Peak Hour occurring prior to the resource meter installation date,

10.6.3. Importing the Provisional ACL Verification File

After creating a properly structured, formatted, and populated Provisional ACL verification file, the MP must import the file to DRIS as the next step in reporting resource Provisional ACL verification data

Note: Upon initial import of the resource Provisional ACL verification file, DRIS will calculate the resource ACL and any associated Provisional ACL shortfalls for resources in the file which pass all validations. The individual resource ACL details, Verified ACL, and shortfall will be saved in the system with an *Approved* status.

Pre-requisites

- The DRIS Event Calendar indicates that the time period for importing Provisional ACL verification data is open for performing this task (refer to Section 2.1).
- The MP has created a properly structured, formatted, and populated .xlsx or .xlsx file for upload of resource Provisional ACL verification data to the system, as outlined under Section 10.6.1.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To import the Provisional ACL Verification File

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 187).

Figure 187: Import/Export Page as Initially Displayed

Demand Response Information System Imports/Exports												
Main • MP • Resource • SCR •	Performance Factors +	DR Event •	Mitigation -	Tables •	Notification -	DSASP -	BTM -					
Capability Period: Summer 2015	✓ Display											
🖃 🔂 Imports												
SCR Resource Imports												
📄 📰 Provisional ACL Eligibility												
EDRP Resource Import												
E DSASP Resource Import												
E Resource Auction Sales												
Event Response												
🖃 🔂 Exports												
SCR Resource Exports												
🔄 Provisional ACL Eligibility												
EDRP Resource Export												
E DSASP Resource Export												
Energy Payments												
Aggregation Performance Factors												
Aggregation UCAP Summary Export												

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for which Provisional ACL verification data is being imported.
- 3. Beside the Capability Period filter, click the **Display** button.

The system activates the middle frame and populates the lowermost frame with a list of import events for the Capability Period chosen at step 2.

4. On the left side of the middle frame and under the **Imports** heading, click **SCR Resource Imports**.

The area to the right refreshes to display input components specific to the type of SCR resource import being performed (see Figure 188).

Figure 188: Import/Export Page Displaying Input Components Specific to Provisional ACL Verification Import

	Demand Imports/	Demand Response Information System Imports/Exports								
Main • MP • Resource • SCR • Perform	mance Factors - DR Ever	nt • Mitigation •	Tables • N	lotification -	DSASP +	BTM -				
Capability Period: Summer 2015	Display									
🗃 🚍 Imports	SCR Resource Imp	orts								
SCR Resource Imports										
Provisional ACL Eligibility	Import Ty	pe: Provisional A	CL Verification	*						
EDRP Resource Import	Mo	nth:		v						
E DSASP Resource Import		The locate of the	line contract	-						
E Resource Auction Sales	SCR Resource	File: Select a file	Browse	•						
Event Response										
🖃 🔄 Exports										
SCR Resource Exports										
Provisional ACL Eligibility										
EDRP Resource Export										
DSASP Resource Export										
Energy Payments										
Aggregation Performance Factors										
Aggregation UCAP Summary Export										
	Import									
	mapore									

- 5. Select **Provisional ACL Verification** from the **Import Type** drop-down filter on the right side of the middle frame.
- 6. When selecting **Provisional ACL Verification**, the **Month** drop-down filter should remain unpopulated.
- 7. On the right side of the middle frame, click the **Browse** button.

The system displays a File Upload dialog box.

8. Via the File Upload dialog box, navigate to and choose the file containing the data for the resources with a Provisional ACL being reported, then click the **Open** button.

The File Upload dialog box closes, and the system populates the SCR Resource File field in the right pane of the middle frame with the name of the chosen file.

9. Click the **Import** button, located in the lower-left corner of the right side of the middle frame.

The system displays a dialog box summarizing the results of the import process (see Figure 189).

10. Review the import results and proceed accordingly, as outlined under Section 10.6.4.

Import Type:	SCR ACL Provisional	Record Count:	39		
File Name:	Summer 2014 Prov Resources.xla	Records Added:	39		
Start Time:	02/15/2015 14:06:08	Records Exception:	0		
End Time:	02/15/2015 14:06:08	Records General Alerts:	0		
		Records Pending:	0		
		Records Potential Mitigation:	0		
		Records Payment:	0		
		Records No Change:	0		
Exceptions					
Exceptions Field Name	Field Value Exception Code			Unque Id	
Exceptions Field Nane	Field Value Exception Code			Unique Id	

Figure 189: Sample Import Summary Dialog Box for Provisional ACL Verification Import

10.6.4. Managing Provisional ACL Verification File Import Results

Whenever an MP attempts to import a resource Provisional ACL verification import file to DRIS, the system generates a report outlining the results of the process. If the data for one or more resources in the Provisional ACL verification file contain exceptions, the report details each exception on a separate line and identifies the resource ID of the record containing the exception, the specific field containing the exception, the value supplied in the field containing the exception, and a message specifying the nature of the exception (see Figure 190).

Note: If instead of similar information to that illustrated in Figure 189 the *Message* pane in the Summary dialog box displayed by the system indicates that the import failed, no resource data will be imported. In order to proceed with the import process in this case, the MP must rectify the errors in the file header, as outlined in the *Exceptions* pane of the dialog box, and report the revised file containing data for all resources. Section 10.6.2, provides guidance on creating a properly structured and formatted Provisional ACL verification file.

The MP must review the report for purposes of creating and reporting a file containing correct data for the resources in question, along with correct header data, prior to the deadline for

reporting resource Provisional ACL verification data as specified on the DRIS Event Calendar (refer to Section 2.1).

Note: The MP may access the import report directly from the Summary dialog box displayed immediately following import by clicking the **Excel** button in the lower right-hand corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

Figure 190: Sample Results Report for Provisional ACL Verification File Import

	Å	8	c	0	E
1	File Name	Summer 2011 Prov Resource	es.xls		
2	MP Name	MP_One			
3	User				
4	Upload Type	SCR ACL Provisional			
5	Capability Period	Summer 2014			
6	Auction Month				
7	Start Date of Import	02/15/2014 14:06:08 EDT			
8	End Date of Import	02/15/2014 14:06:08 EDT			
9	Records Saved		14		
10	Records Pending Approval		0		
11	Records With Exceptions		1		
12	Records With General Alerts		0		
13	Records With Energy Paymer		0		
14	Records With Potential Mitiga		0		
15	Records No Change in DRIS		0		
16	4				
17	Reference #	Message Type	Field	Value Supplied	Message
18	Resource ID: 90001234	Exception	ACL kW for Peak Load Date Hour	2	Peak Load Date Hour 2 is after the resource Meter Installation Date. ACL KW value for Peak Load Date Hour 2 must be greater than or equal to zero.

Pre-requisite

 The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To access the import activity report and manage related errors

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 191).

Figure 191: Import/Export Page as Initially Displayed

Demand Response Information System												
Main • MP • Resource • SCR •	Performance Factors •	DR Event •	Mitigation -	Tables •	Notification -	DSASP -	BTM -					
Capability Period: Summer 2015	✓ Display											
🖃 🔂 Imports												
SCR Resource Imports												
🔄 Provisional ACL Eligibility												
EDRP Resource Import												
E DSASP Resource Import												
E Resource Auction Sales												
Event Response												
🖃 🔄 Exports												
SCR Resource Exports												
🔄 Provisional ACL Eligibility												
EDRP Resource Export												
E DSASP Resource Export												
📰 Energy Payments												
Aggregation Performance Factors												
Summary Export												

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for the previously reported resource Provisional ACL verification file that generated the exception(s).
- 3. Beside the Capability Period filter, click the **Display** button.

The Import History frame at the bottom of the page refreshes to display a grid listing each import event for the chosen Capability Period (see Figure 192).

Figure 192: Populated Import History Grid on Import/Export Page



- 4. In the **Import History** grid, select the entry for the import event that generated the exception(s).
- 5. In the lower right corner of page, click the **Export Exceptions** button.

The system displays a dialog box via which the import report can be saves or opened.

6. Take the requisite steps to either save or open the import report.

The report is either saved to the designated location or displayed on screen,

7. Review the report, correct all exceptions in the resource Provisional ACL verification file, then import the updated file to DRIS.

10.6.5. Viewing Resource Provisional ACL Verification Data

Viewing resource Provisional ACL verification data provides the MP with a snapshot of the resource Verified ACL based on imported data. DRIS also identifies when a Provisional ACL shortfall exists for the resource for the selected Capability Period and month.

Resource Provisional ACL verification data is displayed by Capability Period and month and includes the following:

- Provisional ACL kW: The Provisional ACL kW value of the resource reported with the resource enrollment data for the selected Capability Period
- *Shutdown kW*: The resource Shutdown kW for the Capability Period and month selected

- Net ACL kW: The resource Provisional ACL kW value reported with the resource enrollment data for the Capability Period selected, less the Shutdown kW for the Capability Period and month selected
- Verified ACL kW: The DRIS calculated ACL kW for the resource from the import of the
 resource Provisional ACL verification file includes the TO/DADRP add-back kW and DSASP
 baseline kW values. (TO/DADRP add-back kW and DSASP baseline kW values do not apply
 to the calculation of the resource Verified ACL for the Summer 2011 ACL verification data,
 DADRP add-back kW and DSASP baseline kW values do not apply to the calculation of the
 resource Verified ACL prior to Summer 2014 ACL verification data.)
- *Raw Shortfall kW:* The difference between the Net ACL kW and the Verified ACL kW fields of the resource for the Capability Period and month selected
- UCAP Equivalent of Shortfall kW: The UCAP equivalent of the Raw Shortfall kW
- *Auction Sales kW*: The resource auction sales for the Capability Period and month selected
- *AMD*: The lowest Actual Metered Demand of the resource from all event and test hours in which the resource performed in the Capability Period selected (Displays for resources enrolled with a Provisional ACL prior to Summer 2014)
- Applicable Shortfall kW: The kW value of the shortfall based on the Provisional ACL Shortfall Calculation, for the Capability Period and month selected

To view resource Provisional ACL verification data

1. From the **Performance Factors** menu, choose **Provisional ACL**.

The system displays the Provisional ACL page.

2. From the corresponding search filters in the uppermost frame on the Provisional ACL page (see Figure 193), choose the **Capability Period** and **Month** for which the system should display resources with Provisional ACL verification details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

Figure 193: Provisional Summary Page Search Filters

	m loday	Isional Summary							
CR - Perform	nance Factors - DR	Event - Mitigation - Tables -	Notification - DSASP	BTM -					
~	Resource ID:	Y Capability P	eriod: Summer 2020	▼ Z	one:	*	Shortfall:	*	
~		Auction M	onth:	✓ St	atus:	~	Reporting:	▼ D	isplay 👻
	CR • Perform	CR • Performance Factors • DR	CR + Performance Factors + DR Event + Mitigation + Tables + Resource ID: Capability Pe Auction M	CR + Performance Factors + DR Event + Mitigation + Tables + Notification + DSASP + Resource ID: Resource ID: Auction Month:	CR • Performance Factors • DR Event • Mitigation • Tables • Notification • DSASP • BTM • • Resource ID: • Capability Period: Summer 2020 • Auction Month: • State	CR • Performance Factors • DR Event • Mitigation • Tables • Notification • DSASP • BTM • • Resource ID: • Capability Period: Summer 2020 • Zone: • Auction Month: • Status:	CR + Performance Factors + DR Event + Mitigation + Tables + Notification + DSASP + BTM + Y Resource ID: Y Capability Period: Summer 2020 Y Zone: Y Y Auction Month: Y Status: Y	CR • Performance Factors • DR Event • Mitigation • Tables • Notification • DSASP • BTM • • Resource ID: • Capability Period: Summer 2020 • Zone: • Shortfall: • Auction Month: • Status: • Reporting:	CR + Performance Factors + DR Event + Mitigation + Tables + Notification + DSASP + BTM + Y Resource ID: Y Capability Period: Summer 2020 Y Zone: Y Shortfall: Y Y Auction Month: Y Status: Y Reporting: Y D

3. Near the top of the Provisional ACL page, click the **Display** button.

The system populates the Provisional ACL page below the search filters with the data for the resources meeting the criteria chosen at step 2 (see Figure 194).

Figure 194: Provisional Summary Page Illustrating Resource Information for Provisional ACL Verification Data

	Resource + SCI	R - Performance P	actors - DR E	rent - Mitigation	 Tables - Not 	ification - DSASP	- BTI	- N			_				
MP Name: Mar	ket Participant	Y Resour	ce ID: 1234567	39	Capability Period:	Summer 2020	*	Zone:	*	Shortfall:	*				
Transmission O	wner:	*			Auction Month:		*	Status:	*	Reporting:	~	Display	l+l		
Resource Provisi	onal ACL Compar	ison to Verification	Data												
Resource ID	Resource Name	Account Number	Meter Authority	Auction Month	Capability Period	MP Name	Zone		Provisional ACL kW	Shutdown kW	Net F	Provisional ACL kW	Verified ACL kW	Raw Shortfall kW	U
123456789	Resource 1	X321654897	ŒC	September 2020	Summer 2020	Market Particpart		3	1986	600	0	1386	1206	180	
123456789	Resource 1	X321654897	ŒC	August 2020	Summer 2020	Market Particpant		1	1986	600	6 C	1386	1206	180	
123456789	Resource 1	X321654897	CEC	July 2020	Summer 2020	Market Particpant		3	1986	600	e.	1386	1206	180	
123456789	Resource 1	X321654897	CEC	June 2020	Summer 2020	Market Particpant		3	1986	600	61	1386	1206	180	
123456789	Resource 1	X321654897	CEC	May 2020	Summer 2020	Market Particpant		3	1986	600	6	1386	1206	180	
123456789	Resource 1	X321654897	CEC	October 2020	Summer 2020	Market Particpant		3	88762		61 I.	88762			
1					20011101 2020	Planks Farospers	_	-	00702			00/02			

- 4. Optionally, view details of the resource Provisional ACL verification data by clicking the corresponding row in the Provisional Summary grid.
- 5. The system expands a frame at the bottom of the page, where additional resource ACL details are displayed (see Figure 195).

Figure 195: Provisional Summary Page Illustrating Additional ACL Details

Transmission Owner N Auction Month: N Status: N Reporting: N Desplay Resource Providentiation of the status of the st						STICK CLOBER	× 5	Zone:	*	Summer 2014	Capability Period: 5	* 98	arce ID: 1234567		Aarket Participant	MP Name: N
Resource Provisional ACL Comparison to Verification Data Resource Novies Account Number Audon Month Capability Period MP Name Zane Provisional ACL NV Studion NV Ref Provisional ACL NV Verified ACL NV Rem Shortfal NV Copability Control NV 123456789 Resource 1 X321654887 September 2014 Summer 2014 Market Particip 1 1996 600 1386 1206 180 177 123456789 Resource 1 X321654887 Summer 2014 Market Particip 1 1996 600 1386 1206 180 177 123456789 Resource 1 X321654887 Summer 2014 Market Particip 1 1996 600 1386 1206 180 177 123456789 Resource 1 X321654887 Summer 2014 Market Particip 1 1996 600 1386 1206 180 177				Display •	~	sporting:	× Re	Status:			Auction Month:			*	Owner:	Transmission
Resource Name Account Number Action Month Capability Period MP Name Zone Provisional ACL kW Shuddown kW Ref Provisional ACL kW Verfied ACL kW Res Shortfall kW CCAP Explained of Shuddown kW 123456789 Resource 1 321654897 September 2014 Summer 2014 Market Particip J 660 1086 1206 180 177 123456789 Resource 1 3221654897 August 2014 Summer 2014 Market Particip J 1996 660 1386 1206 180 177 123456789 Resource 1 3221654897 August 2014 Summer 2014 Market Particip J 1996 660 1386 1206 180 177 123456789 Resource 1 3221654897 August 2014 Summer 2014 Market Particip J 1996 660 1386 1206 180 177 123456789 Resource 1 3221654897 August 2014 Summer 2014 Market Particip J 1996 660 1386 1206													Oata	ison to Venification	stonal ACL Compar	Resource Provi
123456789 Resource 1 X321654897 September 2014 Market Particip J 1996 600 1386 1206 180 177 123456789 Resource 1 X321654897 August 2014 Summer 2014 Market Particip J 1996 600 1386 1206 180 177 123456789 Resource 1 X321654897 August 2014 Summer 2014 Market Particip J 1996 600 1386 1206 180 177 123456789 Resource 1 X321654897 July 2014 Summer 2014 Market Particip J 1996 600 1386 1206 180 177 123456789 Resource 1 X321654897 July 2014 Summer 2014 Market Particip J 1996 600 1386 1206 180 177 123456789 Resource 1 X321654897 July 2014 Summer 2014 Market Particip J 1996 600 1386 1206 180 177	uction Sales kV	UCAP Equivalent of Shortfall kW	Raw Shortfall kW	ACL W	W Verifie	Net Provisional ACL kW	Shutdown kW	Provisional ACL kW		Zone	MP Name	Capability Period	Auction Month	Account Number	Resource Name	Resource ID
123456789 Resource 1 X321654897 August 2014 Summer 2014 Market Particip 1986 600 1386 1206 180 177 123456789 Resource 1 X321654887 Xulv 2014 Summer 2014 Market Particip 1986 600 1385 1206 180 177 123456789 Resource 1 X321654887 Xulv 2014 Summer 2014 Market Particip 1986 600 1385 1206 180 177		177	180	1206	386	1386	600	1986		j	Market Particip	Summer 2014	September 2014	X321654897	Resource 1	123456789
123456769 Resource 1 X321654887 3ub/ 2014 Summer 2014 Market Particip J 1986 660 1386 1206 180 177		177	180	1206	386	1386	600	1986		t	Market Particip	Summer 2014	August 2014	X321654897	Resource 1	123456789
the state of the s		177	180	1206	386	1386	600	1986		1	Market Particip	Summer 2014	July 2014	X321654897	Resource 1	123456789
123456789 Resource 1 X321654897 June 2014 Summer 2014 Market Particip J 1986 600 1386 1206 180 177		177	180	1206	396	1386	600	1986		1	Market Particip	Summer 2014	June 2014	X321654897	Resource 1	123456789
123456789 Resource 1 X321654897 May 2014 Summer 2014 Market Particip J 1986 600 1386 1296 180 177		177	190	1206	386	1386	600	1986		1	Market Particip	Summer 2014	May 2014	X321654897	Resource 1	123456789
123456789 Resource 1 X321654897 October 2014 Summer 2014 Market Particip J 88762 0 88762					762	88762	0	88762		1	Market Particip	Summer 2014	October 2014	X321654897	Resource 1	123456789
v										111						

10.6.6. Viewing Resource Provisional ACL Verification Details

The Provisional ACL Verification Details displays the ACL details of the resource imported by the Market Participant and the TO add-back kW, DADRP add-back kW and DSASP baseline kW values imported by the Transmission Owner for the Capability Period SCR Load Zone Peak Hour. The system displays the following information for the selected resource ACL data:

- Status
- Submittal date
- Verified ACL
- Capability Period SCR Load Zone Peak Hour date and hour beginning
- ACL kW
- TO add-back kW
- TO add-back Reporting TO
- DADRP add-back kW
- DSASP baseline kW
- Total kW
- Used in ACL calculation indicator
- Calculation Basis
- Verified ACL kW

Note: Upon initial import of the resource Provisional ACL verification file, DRIS will calculate the resource ACL for resources in the file which pass all validations. The resource ACL is calculated using the ACL kW value imported by the MP and any TO add-back, DADRP add-back or DSASP baseline kW values imported by the TO or the NYISO. The individual resource ACL details and Verified ACL will be saved in the system with an *Approved* status. Any resource which receives a Provisional ACL shortfall kW based on the Provisional ACL Shortfall Calculation performed in DRIS will be identified as having a shortfall.

To view Provisional ACL verification details

1. From the Performance Factors menu, choose Provisional ACL.

The system displays the Provisional ACL page.

 From the corresponding search filters in the uppermost frame on the Provisional ACL page (see Figure 196), choose the **Capability Period** and **Month** for which the system should display resources with Provisional ACL verification details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

Figure 196: Provisional Summary Page Search Filters

	Deman ERATON S Of TomorrowToday Provisi	d Response Information Sj onal Summary	/stem				
lain • MP • Resource • SCF	Performance Factors DR Eve	nt - Mitigation - Tables - Notification - DS	ASP ▼ BTM ▼				
MP Name:	* Resource ID:	Capability Period: Summer 2020	✓ Zone:	*	Shortfall:	*	
Transmission Owner:	~	Auction Month:	Y Status:	¥ R	Reporting:	Y Display -	
Resource Provisional ACL Compari	son to Verification Data					and a subst	
esource ID Resource Name	Account Number Meter Authority	Auction Month Capability Period MP Name	Zone	Provisional	Summer 2011 Enrolled ACI	Shutdown kW N	et Provisio

3. Near the top of the Provisional ACL page, click the **Display** button.

The system populates the Provisional ACL page below the search filters with the data

for the resources meeting the criteria chosen at step 2 (see Figure 197).

Note: Upon initial import of the resource Provisional ACL verification file, DRIS will calculate the resource ACL and determine if a Provisional ACL Shortfall exists for resources in the file which pass all validations. Any resource which receives a Provisional ACL shortfall kW based on the Provisional ACL Shortfall Calculation performed in DRIS will be identified as having a shortfall. Once shortfalls have been determined by the NYISO, the user will have the option of using the "Reporting" filter option "*No Verified Data*", which will return resources enrolled with a Provisional ACL in the Capability Period selected that did not have verification data imported during the reporting period.

Figure 197: Provisional Summary Page Illustrating Resource Information for Provisional ACL Verification Data

MP Name:	Market Participant	Y Resou	Irce ID: 12345678	39 👻 (Capability Period:	Summer 2020	~	Zone:	~	Shortfall:	~			
Transmission	Owner:	¥			Auction Month:		~	Status:	¥ F	Reporting:	*	Display	I.	
Resource Prov	visional ACL Compa	rison to Verification	n Data											
Resource ID	Resource Name	Account Number	Meter Authority	Auction Month	Capability Period	MP Name	Zone		Provisional ACL kW	Shutdown kW	Net Prov	visional ACL kW	Verified ACL kW	Raw Shortfall k
123456789	Resource 1	X321654897	CEC	September 2020	Summer 2020	Market Particpant	J		1986	600	1386		1206	180
123456789	Resource 1	X321654897	CEC	August 2020	Summer 2020	Market Particpant	J		1986	600	1386		1206	180
123456789	Resource 1	X321654897	CEC	July 2020	Summer 2020	Market Particpant	3		1986	600	1386		1206	180
123456789	Resource 1	X321654897	CEC	June 2020	Summer 2020	Market Particpant	1		1986	600	1386		1206	180
123456789	Resource 1	X321654897	CEC	May 2020	Summer 2020	Market Particpant	1		1986	600	1386		1206	180
122456790	Recourse 1	¥221654907	CEC	October 2020	Cummer 2020	Market Dartiseant	-		00760	0	00767		1200	100

4. View details of the resource Provisional ACL verification data by clicking the corresponding row in the Provisional Summary grid.

The system expands a frame at the bottom of the page, where additional resource ACL details are displayed (see Figure 198).

Figure 198: Provisional Summary Page Illustrating Additional ACL Details

MP Name: Ma Transmission O tesource Provisi esource ID	arket Participant Dwner:	✓ Resource	urce ID: 1234567	39	Capability Period:									
Transmission C tesource Provisi esource ID	Owner:	¥				Summer 2020	*	Zone:	~	Shortfall:	*			
Resource Provisi esource ID	ional ACL Compar				Auction Month:		* :	Status:	~	Reporting:	Y Display	.		
esource ID		ison to Verificatio	n Data											
	Resource Name	Account Number	Meter Authority	Auction Month	Capability Period	MP Name	Zone		Provisional ACL kW	Shutdown kW	Net Provisional ACL kW	Verified ACL kW	Raw Shortfall kW	ι
13456789	Resource 1	X321654897	CEC	September 2020	Summer 2020	Market Particpant	J		1986	600	1386	1206	180	
23456789	Resource 1	X321654897	CEC	August 2020	Summer 2020	Market Particpant	J		1986	600	1386	1206	180	
3456789	Resource 1	X321654897	CEC	July 2020	Summer 2020	Market Particpant	1		1986	600	1386	1206	180	
3456789	Resource 1	X321654897	CEC	June 2020	Summer 2020	Market Particpant	3		1986	600	1386	1206	180	
3456789	Resource 1	X321654897	CEC	May 2020	Summer 2020	Market Particpant	J		1986	600	1386	1206	180	
:3456789	Resource 1	X321654897	ŒC	October 2020	Summer 2020	Market Particpant	J		88762	0	88762			
	_			_	_		_		_		-			1
Page 1	of1 / /	2											Displaying 1 - 6 o	6
CL Details														
esource ID	Peak Load Date ar	nd HB ACL kW	TO Add-b	ack kW Reporting	TO DADRP Add-back	kW Baseline kW	Total	i kw 🔹	Used in AC Calculatio	CL Calculation n Basis	Verified ACL kW			
APPROVED - R	Resource ID:	- Submittal Da	te: 12/19/2019 0	9:47:53 - Verified A	ICL:									-
23456789	06/24/2014 12:0	0:00 1200	78	CEC	60	1270	1278	8	2	ACL + TO	1206			
23456789	06/24/2014 14:0	0:00 1200			60		1260	0	I	ACL + DADRP	1206			ę
23456789	07/08/2014 16:0	0:00 1200					1200	0	17	ACL	1206			
23456789	07/08/2014 15:0	0:00 1200					1200	0	Ø	ACL	1206			
23456789	07/08/2014 17:0	00:00 1200					1200	0		ACL	1206			
23456789	07/08/2014 14:0	00:00 1200					1200	0	2	ACL	1206			
23456789	07/18/2014 19:0	0:00 1200					1200	0	12	ACL	1206			
23456789	07/08/2014 13:0	0:00 1200					1200	0	2	ACL	1206			
23456789	08/11/2014 17:0	0:00 1200					1200	0	13	ACL	1206			-

10.7. Managing Incremental ACL Resource Enrollments & Verification Data

For those MPs with resources enrolled with an Incremental ACL in the selected Capability Period and month, DRIS provides the means to perform the following:

- Download a properly formatted file to use as a template for reporting resource Incremental ACL verification data
- Import resource Incremental ACL verification data
- View imported resource Incremental ACL verification data, including applicable Transmission Owner add-back kW values, DADRP add-back kW values and DSASP baseline kW values for the resource
- View resources which have an Incremental ACL shortfall

Resource Incremental ACL verification data must be imported into DRIS within a preestablished time frame as specified on the DRIS Event Calendar (refer to Section 2.1).

It is the responsibility of the MP to perform the following:

1. Download and create a correctly structured, formatted, and populated resource Incremental ACL verification file
- 2. Import the resource Incremental ACL verification file to DRIS
- 3. Review and manage as necessary the results of the import process

Task 3 immediately preceding may require the MP take an additional action in the form of, for example, correcting exceptions (i.e., data errors, changes, or omissions) that prevent import of some or all data.

Note: Reporting resource Incremental ACL verification data through the Incremental ACL Verification import file in DRIS is the **only** means by which resource Incremental ACL verification data will be accepted by the NYISO. The imported data will be used for both the calculation of the resource ACL and the determination of a shortfall based on the resource Verified ACL and the resource Incremental ACL previously imported on the resource enrollment during the selected Capability Period and month.

10.7.1. Downloading the Incremental ACL Verification File

Reporting of resource Incremental ACL verification data is initiated via MP import to DRIS of the NYISO provided Excel (.xlsx or .xlsx) file. Importing of Incremental ACL verification data for multiple resources is initiated at one time.

Pre-existing resource data in the file format required for reporting to the system can be downloaded from DRIS by the MP. The MP can then use the file to create a properly structured and formatted file containing resource Incremental ACL data for subsequent reporting to DRIS.

Pre-requisites

- Data for the MP's resources already exist in the system.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To download the Incremental ACL verification file

5. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 181).

Figure 199: Import/Export Page as Initially Displayed

	Den marrowToday Im	nand Re ports/Exp	espons orts	e Infor	mation	Systen	n
Main • MP • Resource • SCR •	Performance Factors +	DR Event -	Mitigation -	Tables •	Notification -	DSASP -	BTM -
Capability Period: Summer 2015	▼ Display						
🖃 🔂 Imports							
SCR Resource Imports							
🔄 Provisional ACL Eligibility							
EDRP Resource Import							
E DSASP Resource Import							
E Resource Auction Sales							
Event Response							
🖃 🔂 Exports							
SCR Resource Exports							
📰 Provisional ACL Eligibility							
EDRP Resource Export							
E DSASP Resource Export							
Energy Payments							
Aggregation Performance Factors							
Aggregation UCAP Summary Export							

- 6. From the corresponding search filter in the uppermost frame on the Imports/Exports page, choose the Capability Period.
- 7. Beside the Capability Period filter, click the **Display** button.

The system makes available the middle frame.

8. Under the Exports heading in the left pane of the middle frame, choose **SCR Resource**

Exports.

The system refreshes the right pane of the middle frame to display additional filters along with a button to initiate download of the file (as illustrated in

Figure 200).

Figure 200: Import/Export Page Displaying Input Components Specific to Incremental ACL Verification Export



- 9. Select **SCR Incremental ACL Verification** from the **Export Type** drop-down filter on the right side of the middle frame.
- 10. When selecting **SCR Incremental ACL Verification**, the **Month** drop-down filter should remain unpopulated.
- 11. Select the file format from the **Export** button, located in the lower-left corner of the right pane in the middle frame, and click to export.

The system displays a dialog box via which the Incremental ACL verification file can either be saved or opened.

12. Take the requisite steps to either save or open the Incremental ACL verification file.

The Incremental ACL verification file is either saved to the designated location or displayed on screen.

10.7.2. Creating the Incremental ACL Verification File

The downloaded Incremental ACL verification file provides the MP with the file format required for reporting to the system. The file format includes the following resource data pre-populated for resources which were enrolled with an Incremental ACL for the selected Capability Period:

- Monthly SCR Load Zone Peak Hours for all months and zones with a corresponding resource Incremental ACL enrollment
- Resource ID
- Resource name
- TO account number
- Meter Authority
- Zone
- Resource month of enrollment with an Incremental ACL

In addition, the file contains the following column headings pre-populated with the SCR Load Zone Peak Hours for the Capability Period selected.

- Hour 1, through
- Hour 40

Note: The Incremental ACL Verification File contains column headings for the top 40 Monthly SCR Load Zone Peak Hours in which the resource was enrolled with an Incremental ACL. Each ACL kW column heading label for an individual resource should be associated by the user with the similarly labeled column header associated with the Monthly SCR Load Zone Peak Hour for the month and zone in which the resource was enrolled with an Incremental ACL, found on the first tab in the export file, labeled *Info – Monthly Hours*. These tabs are illustrated in Figure 202.

The MP is required to provide the 40 kW values for each resource in the file for all of the specified Monthly SCR Load Zone Peak Hours which occur in the month which the individual resource was enrolled with an Incremental ACL.

As illustrated by the sample Incremental ACL verification file in Figure 201, the file must contain header data in the form of Capability Period and DR program.

1	A	В	C	D	E	F	G	Н	1	J	K	L	
1	Capability Period=Summer 2020&												
2	Program=SCR&												
3	Resource ID	Resource Name	TO Account Num	Meter Authority	Zone	Month	Hour 1	Hour 2	Hour 3	Hour 4	Hour 5	Hour 6	
4	123456789	Resource 1	X456789123	CEC	J	October 2020							
5	123456789	Resource 1	X456789123	CEC	J	September 2020							
6	123456789	Resource 1	X456789123	CEC	J	August 2020							
7	123456789	Resource 1	X456789123	CEC	J	July 2020							
8	123456789	Resource 1	X456789123	CEC	J	June 2020							
9	123456789	Resource 1	X456789123	CEC	J	May 2020							
10	65498732	Resource 2	P456987321	CEC	J	October 2020							
11	65498732	Resource 2	P456987321	CEC	J	September 2020							
12	65498732	Resource 2	P456987321	CEC	J	August 2020							
13	65498732	Resource 2	P456987321	CEC	J	July 2020							
14	65498732	Resource 2	P456987321	CEC	J	June 2020							
15	65498732	Resource 2	P456987321	CEC	J	May 2020							
16	1977 C C C C C C C C C C C C C C C C C C												

Figure 201: Sample Incremental ACL Verification File in Excel

• Incremental ACL Verification Export File will list all resources enrolled with an Incremental ACL in the Capability Period selected

• Incremental ACL Verification File formatted for all resources to be listed on one tab Figure 202: Location Detail of ACL kW Column Label Dates and Times

Capability Dec	A	В	C	D	E	F	G	Н	1		
Capability Fer	od=Summer 2020&										
Program=SCF	&					A second se	-				
Resource ID		Resource Name	TO Account Num	Meter Authority	Zone	Month (Hour 1	Hour 2	Hour 3		
	123456789	Resource 1	X456789123	CEC	J	October 2020	-				
	123456789	Resource 1	X456789123	CEC	J	September 2020					
	123456789	Resource 1	X456789123	CEC	J	August 2020					
	123456789	Resource 1	X456789123	CEC	J	July 2020					
	123456789	Resource 1	X456789123	CEC	J	June 2020					
	123456789	Resource 1	X456789123	CEC	J	(May 2020)					
	654987321	Resource 2	P456987321	CEC	J	October 2020					
	654987321	Resource 2	P456987321	CEC	J	September 2020					
	654987321	Resource 2	P456987321	CEC	J	August 2020					
	654987321	Resource 2	P456987321	CEC	J	July 2 20					
	654987321	Resource 2	P456987321	CEC	J	June 2020					
	004001021										
	654987321	Resource 2	P456987321	CEC	J	May 2020					
	654987321	Resource 2	P456987321	CEC	J	May 2020					
INF	654987321 0 - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J	May 2020					
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J	May 2020 A B		С	D	E	F
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J 1 Ca	May 2020 A B pability Period=Sumr	mer 2020&	с	D	E	F
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J 1 Ca 2 Pro	May 2020 A B pability Period=Sumr ogram=SCF&	mer 2020&	с	D	E	F
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J 1 Car 2 Pro 3	May 2020 A B pability Period=Sumr gram=SCF&	mer 2020&	C te/Time	D	E	F
INF	0 - Monthly Hours	Resource 2 ource Verificatio	P456987321	CEC	J 1 Ca 2 Pro 3 4 Zor	May 2020 A B pability Period=Sumr ingram=SCF&	mer 2020&	C te/Time ur 1	D Hour 2	E Hour 3	F Hour 4
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J 1 Caj 2 Pro 3 4 Zor 5 J	May 2020 A B pability Period=Sumr gram=SCF& ne Month May 2020	ner 2020& Dat Hou 05/	C te/Time ur 1 01/2020 11	D Hour 2 05/01/2020 12	E Hour 3 05/01/2020 13	F Hour 4 05/02/2020 12
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J 1 Caj 2 Pro 3 4 Zor 5 J 6 J	May 2020 A B pability Penod=Sumr ogram=SCF& May 2020 June 2020	mer 2020&	C te/Time ur 1 01/2020 11 01/2020 11	D Hour 2 05/01/2020 12 06/01/2020 12	E Hour 3 05/01/2020 13 06/01/2020 13	F Hour 4 05/02/2020 12 06/02/2020 12
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J 1 Caj 2 Pro 3 4 Zor 5 J 6 J 7 J	May 2020 A B pability Period=Sumr ogram=SCR& May 2020 June 2020 July 2020	mer 2020& Dat Hou 05// 06//	C te/Time ar 1 01/2020 11 01/2020 11 01/2020 11	D Hour 2 05/01/2020 12 06/01/2020 12 07/01/2020 12	E Hour 3 05/01/2020 13 06/01/2020 13 07/01/2020 13	F Hour 4 05/02/2020 12 06/02/2020 12 07/02/2020 12
INF	654987321 D - Monthly Hours	Resource 2 ource Verificatio	P456987321 n Hours ⊕	CEC	J 1 Caj 2 Pro 3 4 Zon 5 J 6 J 7 J 8 J	May 2020 A 4 pobility Period=Sum gram=SCR& May 2020 June 2020 July 2020 August 2020	mer 2020& Dat Hou 05// 06// 08//	C te/Time ur 1 01/2020 11 01/2020 11 01/2020 11 01/2020 11	D Hour 2 05/01/2020 12 07/01/2020 12 07/01/2020 12	E Hour 3 05/01/2020 13 06/01/2020 13 07/01/2020 13 08/01/2020 13	F Hour 4 05/02/2020 12 06/02/2020 12 07/02/2020 12
INF	654987321 D - Monthly Hours Res	Resource 2 ource Verificatio	P456987321	CEC	J 1 Caj 2 Pro 3 4 Zor 5 J 6 J 7 J 8 J 9 J	May 2020 A B pability Period=Sumr ggram=SCR8 North May 2020 July 2020 August 2020 September 2	ner 2020& Dat Hou 05// 06/ 07// 08/ 2020 09/	C te/Time ar 1 01/2020 11 01/2020 11 01/2020 11 01/2020 11 01/2020 11	D Hour 2 05/01/2020 12 06/01/2020 12 07/01/2020 12 08/01/2020 12 09/01/2020 12	E Hour 3 05/01/2020 13 06/01/2020 13 07/01/2020 13 08/01/2020 13 09/01/2020 13	F Hour 4 05/02/2020 12 07/02/2020 12 08/02/2020 12 09/02/2020 12

In order for an Incremental ACL verification file to be successfully processed by DRIS, the header data must meet pre-defined rules. Figure 203 details the rules along with the error messages the system will generate should the file violate any of the rules. Likewise, in order for the resource data to be imported to the system, the data must meet pre-defined rules relating to formatting and value. Figure 204 details the specific rules.

After creating a properly structured, formatted, and populated Incremental ACL verification file, the MP must import the file to DRIS as the next step in reporting resource Incremental ACL verification data.



Figure 203: Rules for Successful Processing of Incremental ACL Verification Import File

Attribute	Rule	Error Message
Capability Period	The Capability Period in the header must match that chosen via the system interface.	Capability Period < Capability Period > in the Import File header does not match the Capability Period selected.
Program	The program in the header must be equal to SCR .	Program <program> in the Import File header must be SCR.</program>
Multiple Tabs	The Incremental ACL Verification file must have the first tab designated for the monthly hours and the second tab designated for the resources within the Excel file.	
	The two header rows, Capability Period and Program, must appear on each zonal tab within the Excel file.	

Figure 204: Rules Specific to Resource Data in Incremental ACL Verification File

Note: The Incremental ACL Verification File contains column headings for the Monthly SCR Load Zone Peak Hour fields. Each ACL kW column heading begins with the column label and is then followed by the specific sequence of the Peak Hours for the month(s) which the resource was enrolled with an Incremental ACL. Each "*Hour 1*" through "*Hour 40*" on the resource tab is associated with the same "*Hour 1*" through "*Hour 40*" on the monthly hours tab, for the zone and month the resource was enrolled with an Incremental ACL.



Incremental ACL Verification Field Name	Column in Import File	Field Format	Description and Rule(s)
Resource ID	A	Numeric	The Resource ID assigned by the NYISO.
Resource Name	В	Text Up to 100 characters	The name of the SCR resource.
TO Account Num	C	Text Up to 30 characters	The account number assigned by the Transmission Owner. The account number assigned by the Transmission Owner must be reported into DRIS with the letter <i>T</i> preceding the account number. For example, if the account number is <i>5436789</i> , it must be reported on the import file to DRIS as <i>T5436789</i> . For resources assigned Rochester Gas & Electric or NYS Electric & Gas do not use the TO Account Number. Instead, use the Point of Distribution ID (POD ID). When the POD ID assigned by the Transmission Owner is preceded by an <i>R</i> or an <i>N</i> , do not precede the POD ID with the letter <i>T</i> For example, if the POD ID is <i>R5436789</i> it must be reported on the import file to DRIS as <i>R5436789</i> . Can only be numbers, letters, or a combination of the two; dashes, spaces between numbers/letters, or any odd characters are not allowable.



Incremental ACL Verification Field Name	Column in Import File	Field Format	Description and Rule(s)
Meter Authority	D	Text Up to 3 characters	The Transmission Owner or Meter Services Entity that is providing the meter data used for the Top 40 ACL values for the resource being enrolled. The Meter Authority will be the 3 character abbreviation for the Meter Authority being used for the resource. The list of abbreviated Meter Authority names can be found on the NYISO website: <u>Approved Meter Services Entities</u>
Zone	E	Text 1 character	The letter of the LBMP Zone where the resource facility is located.
Month and Year	F	Alpha – Numeric MMMM/YYYY	The month and year of enrollment for the resource with an Incremental ACL.
Hour 1 MM/DD/YYYY HH	G	Numeric Up to 7 digits before decimal and 1 digit after decimal	The kW meter value for the resource for the specified Monthly SCR Load Zone Peak Hour. Enter an ACL kW value for each Monthly SCR Load Zone Peak Hour associated with the month in which the resource was enrolled with an Incremental ACL.
Note: Continue with a separ The ACL kW column labels	rate column for each begin with "G" and e	of the Top 40 Load nd with "AT".	Zone Peak Hours.
Hour 40 MM/DD/YYYY HH	AT	Numeric Up to 7 digits before decimal and 1 digit after decimal	The kW meter value for the resource for the specified Monthly SCR Load Zone Peak Hour. Enter an ACL kW value for each Monthly SCR Load Zone Peak Hour associated with the month in which the resource was enrolled with an Incremental ACL.

10.7.3. Importing the Incremental ACL Verification File

After creating a properly structured, formatted, and populated Incremental ACL verification file, the MP must import the file to DRIS as the next step in reporting resource Incremental ACL verification data.

Note: Upon initial import of the resource Incremental ACL verification file, DRIS will calculate the resource ACL and any associated Incremental ACL shortfalls for resources in the file which pass all validations. The individual resource ACL details, Verified ACL, and shortfall will be saved in the system with an *Approved* status.

Pre-requisites

- The DRIS Event Calendar indicates that the time period for importing Incremental ACL verification data is open for performing this task (refer to section 2.1).
- The MP has created a properly structured, formatted, and populated .xlsx or .xlsx file for upload of resource Incremental ACL verification data to the system, as outlined under Section 10.7.2.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To import the Incremental ACL Verification File

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 205).

Figure 205: Import/Export Page as Initially Displayed

	Der morrowToday In	nand Re ports/Exp	esponse oorts	e Infor	mation	Systen	n
Main • MP • Resource • SCR •	Performance Factors +	DR Event •	Mitigation -	Tables •	Notification -	DSASP -	BTM -
Capability Period: Summer 2015	✓ Display						
🖃 🔂 Imports							
SCR Resource Imports							
📰 Provisional ACL Eligibility							
EDRP Resource Import							
E DSASP Resource Import							
E Resource Auction Sales							
Event Response							
🖃 🔄 Exports							
SCR Resource Exports							
\Xi Provisional ACL Eligibility							
EDRP Resource Export							
E DSASP Resource Export							
Energy Payments							
E Aggregation Performance Factors							
E Aggregation UCAP Summary Export							

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for which Incremental ACL verification data is being imported.
- 3. Beside the Capability Period filter, click the **Display** button.

The system activates the middle frame and populates the lowermost frame with a list of import events for the Capability Period chosen at step 2.

4. On the left side of the middle frame and under the **Imports** heading, click **SCR Resource Imports**.

The area to the right refreshes to display input components specific to the type of SCR resource import being performed (see Figure 188).

Figure 206: Import/Export Page Displaying Input Components Specific to Incremental ACL Verification Import

	er e	14000	2.9,67 9111
Capability Period: Summer 2015	Display		
🔁 Imports	SCR Resource Imports		
E SCR Resource Imports			
Provisional ACL Eligibility	Import Type:	SCR Incremental ACL Verific	i 🕶
EDRP Resource Import	Month:		*
E DSASP Resource Import			
E Resource Auction Sales	SCR Resource File:	Select a file Browse	U
Event Response			
Exports			
E SCR Resource Exports			
- 🔄 Provisional ACL Eligibility			
EDRP Resource Export			
E DSASP Resource Export			
Energy Payments			
E Aggregation Performance Factors			
E Aggregation UCAP Summary Export			

- 5. Select **SCR Incremental ACL Verification** from the **Import Type** drop-down filter on the right side of the middle frame.
- 6. When selecting **SCR Incremental ACL Verification**, the **Month** drop-down filter should remain unpopulated.
- 7. On the right side of the middle frame, click the **Browse** button.

The system displays a File Upload dialog box.

8. Via the File Upload dialog box, navigate to and choose the file containing the data for the resources with an Incremental ACL being reported, then click the **Open** button.

The File Upload dialog box closes, and the system populates the SCR Resource File field in the right pane of the middle frame with the name of the chosen file.

 Click the Import button, located in the lower-left corner of the right side of the middle frame. The system displays a dialog box summarizing the results of the import process (see Figure 207). Review the import results and proceed accordingly, as outlined under Section 10.7.4.
 Figure 207: Sample Import Summary Dialog Box for Incremental ACL Verification Import

					12
Import Type:	SCR ACL Incremental	Record Count:	39		
File Name:	Summer 2014/NC Resources xis	Records Added:	39		
Start Time:	02/15/2015 14:06:08	Records Exception:	0		
End Time:	02/15/2015 14:06:08	Records General Alerts:	0		
		Records Pending:	0		
		Records Potential Mitigation:	0		
		Records Payment:	0		
		Records No Change:	0		
Exceptions Field Name	Field Value Exception Code			Unique Id	
Exceptions Field Name	Field Value Exception Code			Unique id	
Exceptions Field Name	Field Value Exception Code			Unque Id	
Exceptions Field Name	Field Value Exception Code			Unque Id	
Reptions	Field Value Exception Code			Unique Id	

10.7.4. Managing Incremental ACL Verification File Import Results

Whenever an MP attempts to import a resource Incremental ACL verification import file to DRIS, the system generates a report outlining the results of the process. If the data for one or more resources in the Incremental ACL verification file contain exceptions, the report details each exception on a separate line and identifies the resource ID of the record containing the exception, the specific field containing the exception, the value supplied in the field containing the exception, and a message specifying the nature of the exception (see Figure 208).

Note: Figure 207 the *Message* pane in the Summary dialog box displayed by the system indicates that the import failed, no resource data will be imported. In order to proceed with the import process in this case, the MP must rectify the errors in the file header, as outlined in the *Exceptions* pane of the dialog box, and report the revised file containing data for all resources. Section 10.7.2, provides guidance on creating a properly structured and formatted Incremental ACL verification file.

The MP must review the report for purposes of creating and reporting a file containing correct data for the resources in question, along with correct header data, prior to the deadline for reporting resource Incremental ACL verification data as specified on the DRIS Event Calendar (refer to Section 2.1). *Note:* The MP may access the import report directly from the Summary dialog box displayed immediately following import by clicking the **Excel** button in the lower right-hand corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

	A	8	C	D	É
1	File Name	Summer 2014 Incremental	Resources xis		
2	MP Name	MP_One			
3	User				
4	Upload Type	SCR ACL Incremental			
5	Capability Period	Summer 2014			
6	Auction Month				
7	Start Date of Import	02/15/2014 14:05:08 EDT			
8	End Date of Import	02/15/2014 14:06:08 EDT			
9	Records Saved		34		
10	Records Pending Approval		0		
11	Records With Exceptions		1		
12	Records With General Alerts		0		
13	Records With Energy Paymer		0		
14	Records With Potential Mitiga		0		
15	Records No Change in DRIS		0		
16					
17	Reference #	Message Type	Field	Value Supplied	Message
18	Resource ID: 90001234	Exception	ACL kW for Peak Load Date Hour :	1	ACL kW value for Peak Load Date Hour 2 must be greater than or equal to zero.
19					

Figure 208: Sample Results Report for Incremental ACL Verification File Import

Pre-requisite

• The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To access the import activity report and manage related errors

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 209).

Figure 209: Import/Export Page as Initially Displayed

Allining The Energy Markets VIT	omorrowToday	ports/Exp	ports	- mior	mation	bysten	
Main • MP • Resource • SCR •	Performance Factors +	DR Event +	Mitigation -	Tables +	Notification -	DSASP -	BTM
Capability Period: Summer 2015	✓ Display						
Imports							
E SCR Resource Imports							
\Xi Provisional ACL Eligibility							
EDRP Resource Import							
E DSASP Resource Import							
E Resource Auction Sales							
Event Response							
Exports							
SCR Resource Exports							
🖃 Provisional ACL Eligibility							
EDRP Resource Export							
E DSASP Resource Export							
Energy Payments							
Aggregation Performance Factors							
Addrogation LICAD Summany Export							

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for the previously reported resource Incremental ACL verification file that generated the exception(s).
- 3. Beside the Capability Period filter, click the **Display** button.

The Import History frame at the bottom of the page refreshes to display a grid listing each import event for the chosen Capability Period (see Figure 210).

Figure 210: Populated Import History Grid on Import/Export Page

Main • MP • Resource • SCR • Capability Peniod: Summer 2014	Performance Factors	 DR Event - Mitigation - 	 Tables - Notificatie 	on + DSASP + BT	M -							
Capability Period: Summer 2014	Y Display											
Imports SCR Resource Imports SCR Resource Import DSASP Resource Import Resource Auditor Sales Event Response Denoise SCR Resource Exports SCR Resource Exports												
SCR Resource Imports Provisional ACL Eligibility FORP Resource Import Resource Auction Sales Formation Resource Auction Sales Resource Auction Sales Scr. Resource Deports Resource Deports Resource Deports Resource Auctional ACL Eligibility												
Provisional ACL Eligibility EDRP Resource Import EDRP Resource Import Resource Auction Sales Exports Exports ScR Resource Exports ScR Resource Exports Export												
EDRP Resource Import CASP Resource Import CASP Resource Auction Sales Event Response Exports CAR Resource CAR Resource CAR Resource CAR Resource CAR Resour												
DSASP Resource Import Resource Auction Sales Event Response Evports SCR Resource Exports Scrovelenced ACI Elicibility												
Resource Auction Sales Event Response Scr. Resource Exports Scr. Resource Exports Provisional ACL Elimitative												
Event Response Exports SCR Resource Exports Dravisional ACL Eligibility												
CR Resource Exports SCR Resource Exports Dravisional A/L Elinibility												
SCR Resource Exports												
Dravisional ACI Eligibility												
Englowing with the could be a set of the set												
EDRP Resource Export												
DSASP Resource Export												
Energy Payments												
Aggregation Performance Factors												
Sagregation UCAP Summary Export												
Import History												
Import Type MP		File Name	Import Start Date	Import End Date	Rec Co	Recor Added	Recor Excep	Recor Pendi	Recor Energy Paym	Recor General Alerts	Recor Poten Mitig	Recor No C
SCR Resource Enroll Mar	ket Participant SC	CR-Enrollment_04-25-2014	04/25/2014 14:56:08	04/25/2014 14:56:0	8 2	2	0		0	0 0	0	0

- 4. In the **Import History** grid, select the entry for the import event that generated the exception(s).
- 5. In the lower right corner of page, click the **Export Exceptions** button.

The system displays a dialog box via which the import report can be saves or opened.

6. Take the requisite steps to either save or open the import report.

The report is either saved to the designated location or displayed on screen,

7. Review the report, correct all exceptions in the resource Incremental ACL verification file, then import the updated file to DRIS.

10.7.5. Viewing Resource Incremental ACL Verification Data

Viewing resource Incremental ACL verification data provides the MP with a snapshot of the resource Verified ACL based on imported data. DRIS also identifies when an Incremental ACL shortfall exists for the resource for the selected Capability Period and month.

Resource Incremental ACL verification data is displayed by Capability Period and month and includes the following:

- ACL kW: The ACL kW value of the resource, calculated based on the top 20 out of 40 Capability Period SCR Load Zone Peak Hours from the prior equivalent Capability Period
- *Shutdown kW*: The resource Shutdown kW for the Capability Period and month selected

- Incremental kW: The resource Incremental kW for the Capability Period and month selected, as reported with the resource enrollment data
- Net ACL kW: The resource ACL kW value reported with the resource enrollment data for the Capability Period selected, including the Incremental kW for the Capability Period and month selected
- *Monthly ACL kW:* The Monthly ACL kW value calculated based on the metered load data supplied by the MP for the month of the record selected
- *Verified ACL Basis:* The indicator associated with the specific Monthly ACL kW values used in the calculation for the Verified ACL kW of the resource
- *Verified ACL kW*: The DRIS calculated ACL kW for the resource from the import of the resource Incremental ACL verification file includes the TO/DADRP add-back kW and DSASP baseline kW values.
- *Raw Shortfall kW:* The difference between the Net ACL kW and the Verified ACL kW fields of the resource for the Capability Period and month selected
- UCAP Equivalent of Shortfall kW: The UCAP equivalent of the Raw Shortfall kW
- *Auction Sales kW*: The resource auction sales for the Capability Period and month selected
- Applicable Shortfall kW: The kW value of the shortfall based on the Incremental ACL Shortfall Calculation, for the Capability Period and month selected.

To view resource Incremental ACL verification data

1. From the **Performance Factors** menu, choose **Incremental ACL**.

The system displays the Incremental ACL page.

 From the corresponding search filters in the uppermost frame on the Incremental ACL page (see Figure 211), choose the Capability Period and Month for which the system should display resources with Provisional ACL verification details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

Figure 211: Incremental Summary Page Search Filters

- I E		K DENT PERATOR Li Of TomorrowTo	Deman oday Increm	d Respoi iental Sumr	nse Inform ^{nary}	ation Sys	stem							
Admin • MP •	Resource - S	CR - Performa	nce Factors • DR E	vent - Mitigation	n • Tables • No	otification - DSA	SP - BT	M *						
MP Name:	Market Participant	Y Res	source ID: 123456	789 👻	Capability Period:	Summer 2020	*	Zone:	Y Shortfall:	*				
Transmission	Owner:	×			Auction Month:		*	Status:	Y Reporting:	*	Display 🔹			
Resource Incre	emental ACL Compa	arison to Verifica	ition Data											
Resource ID	Resource Name	Account Numbe	er Meter Authority	Auction Month	Capability Period	MP Name	Zone	ACL kW	Shutdown kW	Incremental kW	Net ACL kW	Monthly ACL	Verified ACL Basis	v

3. Near the top of the Incremental ACL page, click the **Display** button.

The system populates the Incremental ACL page below the search filters with the data for the resources meeting the criteria chosen at step 2 (see Figure 212).

Figure 212: Incremental Summary Page Illustrating Resource Information for Incremental ACL Verification Data

dmin • MP • Re	esource • Si	CR • Performance	e Factors • DR E	Event - Mitigation	 Tables • Not 	tification - DSASP	• B1	пм •							
MP Name: Market	Participant	Y Resou	urce ID: 1234567	89 🕶 (Capability Period:	Summer 2020	~	Zone:	~	Shortfall:	*				
Transmission Owne	er:	~			Auction Month:		~	Status:	×	Reporting:	~	Display -			
Resource Increment	al ACL Compa	rison to Verificatio	on Data												
lesource ID Res	source Name	Account Number	Meter Authority	Auction Month	Capability Period	MP Name	Zone	AC	L kW Sh	utdown kW	Incremental kW	Net ACL kW	Monthly ACL	Verified ACL Basis	Verified ACL K
23456789 Re: 23456789 Re: 23456789 Re: 23456789 Re: 23456789 Re: 23456789 Re: 23456789 Re: 23456789 Re:	source 1 source 1 source 1 source 1 source 1 source 1	X456789123 X456789123 X456789123 X456789123 X456789123 X456789123 X456789123	CEC CEC CEC CEC CEC CEC CEC	October 2020 September 2020 August 2020 July 2020 June 2020 May 2020	Summer 2020 Summer 2020 Summer 2020 Summer 2020 Summer 2020 Summer 2020	Market Participant Market Participant Market Participant Market Participant Market Participant Market Participant	1 1 1	50008 50008 50008 50008 50008 50008 50008	0 0 0 0 0		10000 10000 10000 10000 10000 10000	60008 60008 60008 60008 60008 60008 60008	0 709 600 5000 1200 1200		3150 3150 3150 3150 3150 3150 3150

- 4. Optionally, view details of the resource Incremental ACL verification data by clicking the corresponding row in the Incremental Summary grid.
- 5. The system expands a frame at the bottom of the page, where additional resource ACL details are displayed (see Figure 213).

Figure 213: Incremental Summary Page Illustrating Additional ACL Details

MP Name: Mar	rket Participant	Y Resou	urce ID: 1234567	89 👻	Capability Period:	Summer 2020	*	Zone:		Y Shortfall	~				
Transmission Ow	vner:	*			Auction Month:		× :	Status:		Y Reporting	v	Display -			
esource Increme	ental ACL Compa	arison to Verificatio	on Data												
esource ID	Resource Name	Account Number	Meter Authority	Auction Month	Capability Period	MP Name	Zone	A	CL kW	Shutdown kW	Incremental kW	Net ACL KW	Monthly ACL	Verified ACL Basis	Verified ACL kv
23456789	Resource 1	X456789123	CEC	October 2020	Summer 2020	Market Participant	1	50008	_	0	10000	60008	0	2	3150
23456789	Resource 1	X456789123	CEC	September 2020	Summer 2020	Market Participant	1	50008		0	10000	60008	709		3150
23456789	Resource 1	X456789123	CEC	August 2020	Summer 2020	Market Participant	1	50008		0	10000	60008	600		3150
23456789	Resource 1	X456789123	CEC	July 2020	Summer 2020	Market Participant	J.	50008		0	10000	60008	5000		3150
23456789	Resource 1	X456789123	CEC	June 2020	Summer 2020	Market Participant	J	50008		0	10000	60008	1200		3150
23456789	Resource 1	X456789123	CEC	May 2020	Summer 2020	Market Participant.	1	50008		0	10000	60008	1200	12	3150
		_									_				

10.7.6. Viewing Resource Incremental ACL Verification Details

The Incremental ACL Verification Details displays the ACL details of the resource imported by the Market Participant and the TO add-back, DADRP add-back and DSASP baseline kW values imported by the Transmission Owner for the Monthly SCR Load Zone Peak Hour. The system displays the following information for the selected resource ACL data:

- Status
- Submittal date
- Verified ACL
- Monthly Period SCR Load Zone Peak Hour date and hour beginning
- ACL kW
- TO add-back kW
- TO add-back Reporting TO
- DADRP add-back kW
- DSASP baseline kW
- Total kW
- Used in ACL calculation indicator
- Calculation Basis
- Monthly ACL kW

Note: Upon initial import of the resource Incremental ACL verification file, DRIS will calculate the resource ACL for resources in the file which pass all validations. The resource ACL is calculated using the ACL kW value imported by the MP and any TO add-back, DADRP add-back or DSASP baseline kW values imported by the TO or the NYISO. The individual resource ACL details and Monthly ACL will be saved in the system with an *Approved* status. Any resource which receives an Incremental ACL shortfall kW based on the Incremental ACL Shortfall Calculation performed in DRIS will be identified as having a shortfall.

- **To view Incremental ACL verification details**
- 1. From the **Performance Factors** menu, choose **Incremental ACL**.

The system displays the Incremental ACL page.

 From the corresponding search filters in the uppermost frame on the Provisional ACL page (see Figure 214), choose the Capability Period and Month for which the system should display resources with Provisional ACL verification details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

Figure 214: Incremental Summary Page Search Filters

	Deman TonorrowToday Increm	d Response Information Sys ental Summary	tem			
Admin + MP + Resource + SCR +	Performance Factors - DR E	rent - Mitigation - Tables - Notification - DSAS	SP ▼ BTM ▼			
MP Name:	Resource ID:	Capability Period: Summer 2020	Y Zone:	Shortfall:	×	
Transmission Owner:	Y	Auction Month:	Y Status:	Y Reporting:	👻 Display 🔹	

3. Near the top of the Incremental ACL page, click the **Display** button.

The system populates the Incremental ACL page below the search filters with the data for the resources meeting the criteria chosen at step 2 (see

Figure 215).

Note: Upon initial import of the resource Incremental ACL verification file, DRIS will calculate the resource ACL and determine if an Incremental ACL Shortfall exists for resources in the file which pass all validations. Any resource which receives an Incremental ACL shortfall kW based on the Incremental ACL Shortfall Calculation performed in DRIS will be identified as having a shortfall. Once shortfalls have been determined by the NYISO, the user will have the option of using the "Reporting" filter option *"No Verified Data"*, which will return resources enrolled with an Incremental ACL in the Capability Period selected that did not have verification data imported during the reporting period.

Figure 215: Incremental Summary Page Illustrating Resource Information for Incremental ACL Verification Data

MP Name:	Market Participant	Y Resou	urce ID: 1234567	89 👻	Capability Period:	Summer 2020	~	Zone:	✓ Shortfa	l: 🔪				
Transmission	Owner:	*			Auction Month:		*	Status:	Y Reportin	r: 💌	Display 🔻			
Resource Incre	emental ACL Compa	arison to Verificatio	on Data											
lesource ID	Resource Name	Account Number	Meter Authority	Auction Month	Capability Period	MP Name	Zone	ACL	kW Shutdown kW	Incremental kW	Net ACL kW	Monthly ACL	Verified ACL Basis	Verified ACL kV
23456789	Resource 1	X456789123	CEC	October 2020	Summer 2020	Market Participant	1	50008	0	10000	60008	0	12	3150
23456789	Resource 1	X456789123	CEC	September 2020	Summer 2020	Market Participant	1	50008	0	10000	60008	709		3150
23456789	Resource 1	X456789123	CEC	August 2020	Summer 2020	Market Participant	1	50008	0	10000	60008	600		3150
23456789	Resource 1	X456789123	CEC	July 2020	Summer 2020	Market Participant	J.	50008	0	10000	60008	5000	2	3150
23456789	Resource 1	X456789123	CEC	June 2020	Summer 2020	Market Participant	J.	50008	0	10000	60008	1200		3150
23456789	Resource 1	X456789123	CEC	May 2020	Summer 2020	Market Participant.	J	50008	0	10000	60008	1200	12	3150
										_				

4. View details of the resource Incremental ACL verification data by clicking the corresponding row in the Incremental Summary grid.

The system expands a frame at the bottom of the page, where additional resource ACL details are displayed (see Figure 216).

Figure 216: Incremental ACL Summary Page Illustrating Additional ACL Details

MP Name:	Market Participant	Y Res	ource ID: 12345	789 💌	Capability Period:	Summer 2020	×	Zone:		v	Shortfall:		~				
Transmission	Owner:	~			Auction Month:		~	Status:		*	Reporting:		• Display	÷			
Resource Inc	remental ACL Compar	ison to Verificat	ion Data														
tesource ID	Resource Name	Account Number	Meter Authority	Auction Month	Capability Period	MP Name	Zone		ACL KW	Shut	down kW	Incremental I	kW Net A	ACL KW	Monthly ACL	Verified ACL Basis	Verified ACL
23456789 23456789 23456789 23456789 23456789 23456789 23456789	Resource 1 Resource 1 Resource 1 Resource 1 Resource 1 Resource 1	X456789123 X456789123 X456789123 X456789123 X456789123 X456789123 X456789123	CEC CEC CEC CEC CEC CEC	October 2020 September 2020 August 2020 July 2020 June 2020 May 2020	Summer 2020 Summer 2020 Summer 2020 Summer 2020 Summer 2020 Summer 2020	Market Participant Market Participant Market Participant Market Participant Market Participant Market Participant	1 1 1 1 1	50008 50008 50008 50008 50008 50008		0 0 0 0 0		10000 10000 10000 10000 10000 10000	60008 60008 60008 60008 60008 60008		0 709 600 5000 1200 1200		3150 3150 3150 3150 3150 3150 3150
CL Details	1 of 1 👳 2	8										_			Di	splaying I - 6 of 6 Ca	liculate Shortfái
esource ID	Peak Load Date an	d HB ACL kW	TO Add	-back kW Reportin	g TO DADRP Add-back	DSASP kW Baseline kW	Tot	al kw 🕆		Used in Al Calculatio	CL Calc n Basi	ulation N	Ionthly ACL kW	Comn	nents		
23456789 23456789 23456789 23456789 23456789 23456789 23456789 23456789 23456789 23456789	07/06/2020 15:00: 07/07/2020 15:00: 07/07/2020 15:00: 07/04/2020 13:00: 07/04/2020 13:00: 07/06/2020 13:00: 07/06/2020 12:00: 07/06/2020 11:00: 07/03/2020 17:00:	00 1000 00 1000 00 1000 00 1000 00 1000 00 1000 00 1000 00 1000 00 1000 00 1000 00 1000 00 1000			79999.9		809 100 100 100 100 100 100 100	99.9 0 0 0 0 0 0 0 0 0			ACL ACL ACL ACL ACL ACL ACL ACL ACL ACL	+ DADRP 11 11 12 12 12 12 12 12 12 12 12 12 12 1	000 000 000 000 000 000 000 000 000				

10.8. Managing SCR Change of Status Shutdown kW Resource Data & Reporting

For those MPs with resources enrolled with or reporting a Change of Status in the selected Capability Period and month, DRIS provides the means to perform the following:

- View resource Change of Status Shutdown kW data imported with the SCR resource enrollment form
- View resource Change of Status Shutdown kW data reported after the close of enrollment for a specified Auction Month in DRIS
- View resources which have a Change of Status shortfall
- When reporting a Change of Status condition for months in the same Capability Period, report a Change of Status Shutdown kW after the close of partial sales for a specified Auction Month and prior to the close of the Capability Period
- When reporting a Change of Status condition that is in effect for the current Capability Period and that extends into the next Capability Period, report a Change of Status Shutdown kW for all applicable months of the current Capability Period after the close of enrollment for the first auction month of the next Capability Period and prior to the close of the current Capability Period.
- Resource Reported Change of Status data must be entered into DRIS within a pre-established time frame as listed above and as specified on the DRIS Event Calendar (refer to Section 2.1).

It is the responsibility of the MP to perform the following:

- 1. Report the resource Change of Status data into DRIS
- 2. Review and manage as necessary the results of the reporting process

Note: Reporting resource Change of Status data through the Change of Status screen in DRIS is the **only** means by which resource Change of Status data will be accepted by the NYISO after the close of partial sales of an Auction Month. The reported data will be used for the calculation of the resource ACL, the determination of a shortfall based on the resource Change of Status enrollment information previously imported on the resource enrollment during the selected Capability Period and month and in the determination of a resource requirement to demonstrate capability in the second test in the Capability Period.

When a Change of Status condition is known before the close of enrollment for the applicable month, the Change of Status Shutdown kW value should be reported on the SCR Enrollment Import File (See Section 7, Enrolling Resources).

10.8.1. Viewing Resource Change of Status Shutdown kW Data

Viewing resource Change of Status Shutdown kW data provides the MP with a snapshot of the resource effective Change of Status Shutdown kW based on the data available in DRIS. DRIS also identifies when a Change of Status shortfall exists for the resource, for the selected Capability Period and month.

Resource Change of Status data is displayed by Capability Period and month and includes the following:

- ACL kW: The ACL kW value of the resource, calculated based on the top 20 out of 40 Capability
 Period SCR Load Zone Peak Hours from the Prior Equivalent Capability Period plus the Incremental
 kW value from enrollment. Once the verification period of resource metered load data for
 resources enrolled with a Provisional ACL or Incremental ACL in the selected Capability Period, has
 closed, this value will be updated with the Verified ACL kW.
- Enrolled Shutdown kW: The resource Shutdown kW for the Capability Period and month selected as
 provided on the SCR enrollment import
- Post Enrollment Shutdown kW: The resource Shutdown kW for the Capability Period and month selected as provided through the Resource Change of Status screen in DRIS, after the close of enrollment for the associated month.
- Change of Status Type: The reporting type of the resource Shutdown kW for the Capability Period and month selected as selected at the time of reporting. The three status types which may be displayed are "Enrolled," "Reported" and "Unreported"

- *Peak Monthly Demand:* the maximum one hour metered load for the month, provided by the MP as requested by and entered into DRIS by the NYISO
- *Raw Shortfall kW:* The initial Shortfall kW value calculated for the resource with a "Reported" or "Unreported" Change of Status
- UCAP Equivalent of Shortfall kW: The UCAP equivalent of the Raw Shortfall kW
- *Auction Sales kW*: The resource auction sales for the Capability Period and month selected
- Applicable Shortfall kW: The kW value at which the resource has incurred a shortfall based on either the "Reported" or "Unreported" Change of Status Shortfall Calculation for the Capability Period and month selected.

To view resource Change of Status data

1. From the **Performance Factors** menu, choose **Change of Status**.

The system displays the Change of Status page.

 From the corresponding search filters in the uppermost frame on the Change of Status page (see Figure 231), choose the **Capability Period** for which the system should display resources with Change of Status details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

	AR Demand	Response Inform	nation Sys	tem						
Main * MP * Resource * SCR *	Performance Factors + DR Event + Milliga	ation + Tables + Notification + DSA	SP+ BTM+			_			_	
MP Name:	Resource ID:	Capability Period:	Summer 2014	v	Zone:	۷	Shortfall: 👻	Reporting:	۷	
Transmission Owner:	*	Auction Month:	August 2014	Y	COS Types:	۷	Shutdown Only: 🗹			Display

3. Near the top of the Change of Status page, click the **Display** button.

The system populates the Change of Status page below the search filters with the data for the resources meeting the criteria chosen at step 2 (see Figure 232).

Figure 218: Change of Status Details Page Illustrating Resource Information for Change of Status Data

MP Name:		4	Resource ID:	1	Capabilit	y Period:	Summer 2014	۲	Zone:	۷	Shortfall:	* F	Reporting:				
Transmissio	on Owner:	۲			Auction	n Month:	August 2014	٧	COS Types:	٠	Shutdown Only:	1		Display			
Resource Chu	ange of States																
Resource ID	Resource Name	Account Number	Auction Horth	Capability Period	Zine	ACL W	Enrolment Shutdown WV	Post E Shutde	nrollment win KW	Change of Status Type	Peak Monthly Demand kW	Raw Shortfall ki	W UCAP Equivalent of Shortfall KW	Auction Sales kW	Applicable Shortfall KVI	Reporting Date	Reported B
2345670976	Condominium 3	X123789456	August 2014	Summer 2014	J	500	ù	-	150	Reported						09/75/14	MPUser

- 4. Optionally, view details of the resource Change of Status detailed reporting data by clicking the corresponding row in the grid to view the detailed record.
- The system populates additional resource data in the lower frame, where additional resource reported Change of Status Shutdown kW details are displayed (see Figure 233).
 Figure 219: Change of Status Details Page Illustrating Expanded Reported Details

. × R	esource ID:		Capab	ity Period:	Summer 2014	*	Zone:		Shortfall:	M Re	porting:				
			Auc	bon Month:	August 2014	٣	COS Types:		Shutdown Only	19		Display			
Account Number	Auction Month	Capability Period	Zone	ACL. KW	Envolment Shutdown KW	Post En Shubdov	rolment in XX	Change of Status Type	Peak Honthly Demand kW	Raw Shortfall ksV	UCAP Equivalent of Shortfall kW	Auction Sales KW	Applicable Shortfall kW	Reporting Date	Reported 8
1 x123789458	August 2014	Summer 2014		500	0		150	Reported						09/25/14	MP User
	Account Number	Account Number Auction Month 3 X123789459 August 2014	Account Number: Auction Hoeth Copublicly Period 3 X123789456 August 2014 Summer 2014	Kessorce ED: (* Capability / Capability / Capability / Capability / August / Capability / Pened / Zone / Capability / Pened / Capability / Capability / Pened / Capability / Capab	Kessure ID: Capability Period: Account Number: Audion Month: Account Number: Audion Month: Account Number: Audion Month Capability Period Zone: ACL.KW X123709455 August 2014 Summer 2014 3 560	Kepource ID: Capability Period: Summer 2014 Account Number: Audion Meetle: August 2014 Account Number: Audion Meetle: Capability Period: Zone: ACL KW Stocharden KW Sociation Meetle: Summer 2014 J 500 0	Kessource BD: K Capability Period: Summer 2014 Auction Month: August 2014 Auction Month: August 2014 August 2014 August 2014 August 2014 Summer 2014 3 Solo G	Kessource ED: K Capability Period: Summer 2014 K Capability Period: Summer 2014 K Cook Streamer 2014 K Capability Period Zone ACL KW Streamer Streamer	Kesseurce BD: K Capability Penod: Summer 2014 CDS Types: Auction Month: August 2014 CDS Types: CDS Type: CDS Typ	Kessurce ED: Y Capability Period: Summer 2014 Source 2014 Cos Triges: Shortfoll: Auctor Menth: August 2014 COS Triges: Shortfoll: Account Number Auctors Month: Capability Period Zone ACL.KW Stochast Shortfoll: Stochast August 2014 Summer 2014 Source 2014	Kepsource ED: Capability Period: Summer 2014 vor Zone: vor Shortfall vor Re Auctorn Nords: August 2014 vor COS Types: vor Shortfall vor Auctorn Nords: August 2014 vor COS Types: vor Shortfall vor Account Number: Auctorn Nords: Capability Period: Zone: ACL RV Stockform Kins Stockform Stockform Kins Stockform Kins Stockform Stockform	Kesseurce ED: K Capability Period: Summer 2014 v Zone: v Shortfall: M Reporting: v Augton Month: August 2014 v COS Types: v Shortfall: M Reporting: v August humber Auction Month: Capability Period Zone ACL IoV Escolement Standburn Kis Standburn	Image: Construction Notified Structure 2014 Image: Constructure 2014 I	Resource ID: Classifiery Versiol: Standard: Responding: Auction Month Classifiery Versiol: COS Types: Shuddown Only:: Dealery Auction Month Classifiery Version Zone Auction Month Classifiery Version The Shuddown Only:: Dealery Account Number Auction Month Classifiery Persion Zone ALL KW Environment Soudown Your Soudown Your Resource Number Auction Month Auction Seen Korth Auction Seen Kor	Image: Construction Bit (Construction Bit (Constrution Bit (Construction Bit (Construction Bit (Construction Bit (C

10.8.2. Viewing Resource Change of Status Shutdown kW Details

The Change of Status Shutdown kW Details displays the Change of Status reporting details of the resource, both imported by the Market Participant at the time of enrollment and also as reported after the close of enrollment for the associated month, by either the Market Participant or the NYISO. The system displays the following information for the selected resource Change of Status Shutdown kW data for each month in the Capability Period:

- *Reporting Date:* The date on which the Change of Status was reported
- *Reporting Type:* The type of Change of Status which was reported (Enrolled, Reported, Unreported)

- *Reporting By:* The entity which reported the Change of Status (MP or NYISO)
- Begin Effective Date: The begin effective date of the Change of Status
- *End Effective Date:* The end effective date of the Change of Status
- *Change of Status Shutdown kW, by month:* The kW value of the applicable decrease in capacity, to be reduced from the resource ACL in the month and Capability Period being reported
- *Peak Monthly Demand, by month:* The kW value supplied by the MP for the resource and upon request from the NYISO and entered into the DRIS by the NYISO.

Note: Upon initial import of the resource SCR enrollment data, when the Shutdown kW field value is greater than zero, a Change of Status record with a reporting type of "Enrolled" will populate as the first record in the Resource Change of Status Shutdown kW details section. All subsequent, post-enrollment reported Change of Status Shutdown kW values will then appear in descending order, with the most recent post-enrollment reported record adjacent to the values supplied on the SCR enrollment import.

To view Resource Change of Status Shutdown kW details

1. From the **Performance Factors** menu, choose **Change of Status**.

The system displays the Change of Status page.

 From the corresponding search filters in the uppermost frame on the Change of Status page (see Figure 220), choose the **Capability Period** for which the system should display resources with Change of Status details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

Optionally, de-select the **Shutdown Only checkbox** and select a specific Resource to view the monthly enrollment records for the resource, within the Capability Period selected for which no Change of Status Shutdown kW data has yet been reported.

Figure 220: Change of Status Summary Page Search Filters



3. Near the top of the Change of Status page, click the **Display** button.

The system populates the Change of Status page below the search filters with the data for the resources meeting the criteria chosen at step 2 (see Figure 221).

Figure 221: Change of Status Summary Page Illustrating Resource Information for Change of Status Shutdown kW Data

MP Name:		M 1	tesource ID:	1	Capable	Period:	Summer 2014	1	Zone:		Shortlak	*	Reporting:					
Transmissio	on Owner:	14			Auction	Month;	August 2014		COS Types	*	Shutdown Only:	8			Dieplay			
Resource Chi	ange of Status																	
Resource ID	Resource Name	Account Number	Auction North	Capability Period	Zone 1	NOL NUE	Enrolment Shutdown kW	Post Ex Shutdo	proliment pwin ktW	Change of Status Type	Peak Monthly Demand MV	Raw Shortfal	UCAP Equ	avalent fall kW	Auction Sales kW	Applicable Shortfall KW	Reporting Date	Reported B
2346678975	Condonninum 3	X123703466	August 2014	Summer 2014	1	500	0		150	Reported							09/25/94	MPlaser

4. View details of the resource Change of Status data by clicking the corresponding row in the Change of Status Summary grid.

The system displays a pane at the bottom of the page, where additional resource details are displayed (see Figure 222).

 The resource existing Change of Status Shutdown kW details will display for the Capability Period and Month selected.

	we we we we	ICE* SCR*	Performan	ce Factors	DRE	Event • M	iligation -	Tables - 1	Notification	· DSAS	P+ BTA	14.					_
MP Name:			ource ID:		• Capal	bility Period: S	ummer 2014	*	Zone:	*	Shortfall:	* Rep	orting:				
Transmissio	in Owner:	×			Aut	ction Month:	August 2014	* COS	5 Types:	* Shut	down Only:	7		Display			
Resource Cha	inge of Status																
Resource 1D	Resource Name	Account Number	Auction Month	Capability Period	Zone	ACL NV	Enrollment Shutdown kill	Post Enrollme Shutdown KW	nt Char Status T	ge of Pe ype Der	ik Monthly rand kiW	Raw Shortfall KW	UCAP Equivalent of Shortfall kW	Auction Sales kW	Applicable Shortfall kW	Reporting Date	Reported By
2345678875	Condominum 3	X123789455	August 2014	Summer 2014	J	500	0	1	50 Rep	orted						092514	MP User
2345678975	Condominum 3	X123789455	August 2014	Summer 2014	1	500	0	1	50 Reg	orted						092514 3 otal s	MP User
2345678975 Enrollment ar	Condominum 3 ad Reported Chan	x123788456 ge of Status Details	August 2014	Summer 2014	1	500	0 6 box 2014	1	50 Rep	Contercher	Other 20	14				09/25/14 3 otal 1	MP User
2346678975 Enrollment at Resource ID	Condominum 3 ad Reported Chara Reporting Date	x123788456 ge of Status Details Reporting Type	August 2014 : Reported By	Summer 2014 Start Date	J End Date	500 May 2014 Shutdown N	Q A June 2014 k. Shutdown k	1 Joly 2014 Shubdown k	50 Rep August 2014 Shubdown K	orted September Shutdown k	October 20 Shutdown 1	14				092514 Total 1	MP User
2345678975 Enrollment at Resource ID 12345678975	Condominum 3 ad Reported Chara Reporting Date 07/09/14 14:00:00	x123788456 ge of Status Details Reporting Type Reported	August 2019 Reported By MP User	Summer 2014 Start Date	J End Date 10/05/14	500 May 2014 Shuddown N	Qʻ 6 June 2014 k Shutdown k 0	1 July 2014 Shutdown k O	50 Rep August 2014 Shubbown k 150	orted September: Shutdown k 0	October 20 Shutdown 1 0	14				09/2514 Total t	MP User
2345678975 Enrollment at Resource ID 12345678975 12345678975	Condominum 3 ad Reported Chan Reporting Date 07/09/14 14:00:00	x123788456 ge of Status Details Reporting Type Reported Enrolled	August 2014 Reported By MP User	Semmer 2014 Start Date 030114	J End Date 10/05/14	500 May 2014 Shutdown N 0 0	0 i June 2014 k. Shutdoon k. 0 0	1 Jaly 2014 Shubdown k. 0 0	50 Rep August 2014 Shubdown K. 150 0	September Shutdown k 0 150	October 20 Shutdown 1 0 150	14				092514 Total t	MP User

Figure 222: Change of Status Summary Page Illustrating Additional Details

10.8.3. Reporting the Change of Status Data after the Close of Enrollment

Reporting of resource Change of Status Shutdown kW data for an auction month(s) for which partial sales has closed is initiated via manual entry into DRIS through the resource Change of Status screen. Reporting of Change of Status data is required to be initiated one resource at a time.

Resource enrollment data as imported by the MP for the resource for the Capability Period and month(s) selected will be displayed alongside the Change of Status Shutdown kW information as reported after the close of partial sales. The MP may update the resource Change of Status Shutdown kW data at any time into DRIS after the close of partial sales, up until the deadline specified in the DRIS Event Calendar. If the MP is reporting a Change of Status condition that is in effect for the current Capability Period and that extends into the next Capability Period, DRIS will allow the user to report a Change of Status Shutdown kW for all applicable months of the current Capability Period after the close of enrollment for the first auction month of the next Capability Period and prior to the close of the current Capability Period.

At the time of reporting a Change of Status Shutdown kW for month(s) in the selected Capability Period, the MP user will be required to enter the following:

- Reporting Type: The type of Change of Status which was reported (Enrolled or Reported)
- Begin Effective Date: The begin effective date of the Change of Status
- End Effective Date: The end effective date of the Change of Status

 Change of Status Shutdown kW, by month: The kW value of the applicable decrease in capacity to be reduced from the resource ACL in the month and Capability Period being reported

Pre-requisites

- Resource should have been enrolled with the MP for at least one month in the Capability Period selected.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To report the Change of Status Shutdown kW data

1. From the **Performance Factors** menu, choose **Change of Status**.

The system displays the Change of Status page.

 From the corresponding search filters in the uppermost frame on the Change of Status page (see Figure 223), choose the **Capability Period** for which the system should display resources with Change of Status details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

Optionally, de-select the **Shutdown Only checkbox** to display all resources within the Capability Period selected for which no Change of Status Shutdown kW data has yet been reported.

Figure 223: Change of Status Details Page Search Filters

	ORK DENT FOPENATON Resource Today	d Response Inform e Change of Status	ation Sys	tem						
Main + MP + Resource + SCR+	Performance Factors + DR Event + Mitiga	ation + Tables + Notification + DSA	SP+ BTM+							
MP Name:	Resource ID:	Capability Period:	Summer 2014	~	Zone:	*	Shortfall:	Reporting:	~	
Transmission Owner:	v	Auction Month:	August 2014	۷	COS Types:	۷	Shutdown Only:		Displ	ilay

3. Near the top of the Change of Status page, click the **Display** button.

The system populates the Change of Status page below the search filters with the data for the resources meeting the criteria chosen at step 2 (see Figure 224).

Figure 224: Change of Status Details Page Illustrating Resource Information for Change of Status Data

MP Name:		*	Resource ID:	2	Capability Perio	dt Summer 2014	* Z	ione:	Shortfall:	~ R	eporting:	-			
Transmissio	n Owner?	۲			Auction Mont	h: August 2014	Y COS Ty	pes: Y	Shutdown Only: (2.		Desplay			
lesource Cha	oge of Status														
Resource ID	Resource Name	Account Rumbe	er Auction Honth	Capability Period	Zone AOL ku	Enrollment Shutdown kW	Post Enrollment Shutdown kW	Change of Status Type	Peak Monthly Demand KW	Raw Shortfall kV	IICAP Equivalent of Shortfall kW	Auction Sales kW	Applicable Shortfall kW	Reporting Date	Reported By
2346678975	Condominium 3	x123789456	August 2014	Summer 2014	1 5	56. D	150	Reported						09/25/14	MP Dair

4. View details of the resource Change of Status data by clicking the corresponding row in the Change of Status Summary grid.

The system displays a pane at the bottom of the page, where additional resource details are displayed.

5. The resource's existing Change of Status Shutdown kW details, if any, will display for the **Capability Period** and **Month** selected. (See Figure 225).

Figure 225: Change of Status Details Page Illustrating Expanded Reported Details

					- Miligat	ion . Tables	· Notific	ation*	DSASP	BTM.						
	¥ R	esource ID:		- Capab	dity Period: 5	Summer 2014		Zone:	v	Shorth	e Y R	eporting:				
HC .	~			Auc	tion Month:	August 2014	× cc	OS Types:	*	Shutdown 0	nly: 🛛		Display			
Status																
rce Name	Account Number	Auction Month	Capability Period	Zone	ACL MW	Enrolment Shutdown ksV	Post Enroller Shutdown k	nent av St	Change of tatus Type	Peak Mont Demand KV	ly Raw Shortfall ky	UCAP Equivalent of Shortfall kW	Auction Sales kW	Applicable Shortfall kW	Reporting Date	Reported By
c minima	x123789456	August 2014	Summer 2014	3	500	0		150	Reported						09/25/14	MP User
and theme	of Ontro Data														Taka	i count: 1 👘 Ex
micu change	e or solues becan				May 201	4 hune 2014	July 2014	Aurort	2014 Set	tember Octo	ur 2014					
ing Date	Reporting Ty	pe Reported By	Start Date 1	End Date	Shutdown	k_ Shutdown k_	Shutdown k	Shutdow	wn k. Shu	down k. Shuti	lown k					
14 14:00:00	Reported	MPOser	05/01/14 1	0.05/14	۵	0	0	150	0	0						
	Enrolled				0	0	0	0	150	150						
					0	0	0	150	150	150						
	ti Ratus re Name ministan 3 rted Chang ng Date 4 14:00:00	tatus a hana Accent Number minimum 3 X12278446 tro Change of Status Detail ng Dite Reporting Ty A 140000 Reporting	Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Rates Ra	Teleformer of Satiss Default Teleformer Type Teleformer States Default Teleformer States Defaul	te Name Account Namber Audion Manthi Capability Pariod Zone minum 3 X123789466 August 2014 Summer 2014 3 Ted Change of Status Defaults Ted Change of Status Defaults Ted Change of Status Defaults Ted Change of Status Default Ted Change of Sta	Autors Montes Autors Montes Autors Montes Autors Montes Autors Montes Autors Montes Autors Autors	Compared to a construction of the constru	Compared North C	Important Australia Section 14000 Section 2214 V COS Types: Rates Australia V Cost Stypes: Cost Stypes: Cost Stypes: Rates Australia Section 2410 V Cost Stypes: Cost Stypes: Rates Australia Section 2410 V Cost Stypes: Cost Stypes: Rates Australia Section 2410 Section 24100 <	Compared States Compared by Compared Compared by Compared Compared	Compared States Default August 2014 Summer 2014 States Default August 2014 States Default Augu	Image: State State State State State State State State State State State State Initial State August 2014 V COS Types: V State State Initial State August 2014 V COS Types: V State State Initial State August 2014 Zine August 2014 V CoS Types: V State State Initian 3 August 2014 Summer 2014 3 500 0 150 Resurted Initian 3 August 2014 Summer 2014 3 500 0 150 Resurted Initian 3 August 2014 Summer 2014 3 500 0 150 Resurted	Notices Capacity Partice Capacity Partice <t< td=""><td>Image: Section Decision Audited Nation 2014 Section Provide Section 2014 COS Types: Statement Section Decision Decision at Name Audited Number Audion Munth Capability Period Zale ALL MA COS Types: V Skatdown Dely: Update Decision at Name Audited Number Audion Munth Capability Period Zale ALL MA Environment Statute Type Paral Northly Res Statute Mink COS Types: V Statute Type Paral Northly Res Statute Mink COS Types: V Statute Type Decision <td< td=""><td>Image: Non-State Compared Point Dealer Dealer Dealer Dealer Dealer Dealer Dealer Dealer Image: Im</td><td>Image: Second Bar August 2014 Second Page 2014 Second Page 2014 Deside Deside at the second Page 2014 Second Page 2014 Second Page 2014 Second Page 2014 Deside Deside Deside at the second Page 2014 Deside Deside Deside at the second Page 2014 Second Page 2014 Second Page 2014 Second Page 2014 Page 2014 Page 2014 Second Page 2014 Sec</td></td<></td></t<>	Image: Section Decision Audited Nation 2014 Section Provide Section 2014 COS Types: Statement Section Decision Decision at Name Audited Number Audion Munth Capability Period Zale ALL MA COS Types: V Skatdown Dely: Update Decision at Name Audited Number Audion Munth Capability Period Zale ALL MA Environment Statute Type Paral Northly Res Statute Mink COS Types: V Statute Type Paral Northly Res Statute Mink COS Types: V Statute Type Decision Decision <td< td=""><td>Image: Non-State Compared Point Dealer Dealer Dealer Dealer Dealer Dealer Dealer Dealer Image: Im</td><td>Image: Second Bar August 2014 Second Page 2014 Second Page 2014 Deside Deside at the second Page 2014 Second Page 2014 Second Page 2014 Second Page 2014 Deside Deside Deside at the second Page 2014 Deside Deside Deside at the second Page 2014 Second Page 2014 Second Page 2014 Second Page 2014 Page 2014 Page 2014 Second Page 2014 Sec</td></td<>	Image: Non-State Compared Point Dealer Dealer Dealer Dealer Dealer Dealer Dealer Dealer Image: Im	Image: Second Bar August 2014 Second Page 2014 Second Page 2014 Deside Deside at the second Page 2014 Second Page 2014 Second Page 2014 Second Page 2014 Deside Deside Deside at the second Page 2014 Deside Deside Deside at the second Page 2014 Second Page 2014 Second Page 2014 Second Page 2014 Page 2014 Page 2014 Second Page 2014 Sec

6. At the bottom of the lower pane on the Change of Status page, click the **Add** button.

7. The system populates the Change of Status details reporting functionality as the last row in the details section, with editable cells (see Figure 226).

Figure 226: Change of Status Reporting Fields

					-												
MP Name:		₩ R	esource ID:		* Ca	ability Period:	Summer 2014	~	Zone:	*	Shortfall:	* R	eporting:	Y			
Transmission	n Owner:					action Month:	August 2014	× 0	S Types:	✓ Sh	utdown Only:	Z.		Display			
Resource Cha	age of Status																
Resource ID	Resource Name	Account Number	Auction Month	Capability Peris	od Zene	ACL MV	Erroliment Shutdown kW	Post Enrolin Shutdown is	with the contract of the contr	ange of F	Peak Honthly Iemand kill/	Raw Shortfall ky	UCAP Equivalent of Shortfall kW	Auction Sales kW	Applicable Shortfall kW	Reporting Date	Reported I
2345670975	Condominium 3	X123789456	August 2014	Summer 2014	1	500	0		150 F	eported						09/25/14	MPUser
														A	bb		
														A	bb	Total	count: 1 🖏 E
Enrollment ar	d Reported Chan	pe of Status Detai	6i					14.000				211		A	bt	Total	count: 1 📲 a) E
Enrollment ar Resource ID	d Reported Chan Reporting Date	pe of Status Detai Reporting Ty	ds pe Reported By	Start Date	End Dab	May 2 Shutdov	014 June 2014 m k. Shutdown k	July 2014 Shutdown k	August 20 Shutdown	4 September Shutdown I	Cotober 2	014 1k		A	bt	Total	count: 1 d _a j E
Enrollment at Resource ID 12345678975	d Reported Chan Reporting Date	ge of Status Detai Reporting Ty Enrolled	ds pe Reported By	Start Date	End Date	May 2 Shutdov 0	014 June 2014 m k. Shutdown k. 0	July 2014 Shutdown k 150	August 20 Shutdown 150	4 September Shutdown i 150	Coctober 2 k. Shutdown 150	014 .k.		A	bt	Tasal	tournt: 1 🖏 Er
Enrollment ar Resource ID 12345678975 12345678975	d Reported Churry Reporting Date 08/08/2014 13:07	pe of Status Detai Reporting Ty Enrolled 129 Reported	ds pe Reported By ×	Start Date	End Dab	May 2 Shutdov 0	014 June 2014 m k. Shusdown k. 0	July 2014 Shutdown k 150	August 20 Shutdown 150	4 September Shutdown I 150	October 2 Shutdown 150	014 k_		A	bt	Total	count: 1 ಕ್ಕೈ E

Note: The Change of Status Shutdown kW reporting functionality allows the MP User to change existing or to add new Shutdown kW values after the close of partial sales, for specific month(s) in the Capability Period. Depending on the objective, the MP User has the option of selecting the Change of Status Type of either *Enrolled* or *Reported*. The user should select the Change of Status Type of *Enrolled* when adding the specific *Begin* and *End Effective Dates* for an existing Change of Status condition which was reported on the SCR Enrollment Import. The MP user should select the Change of Status Type of Status Type of *Reported* when electing to report a new Change of Status, to edit an existing Change of Status Shutdown kW value in DRIS or to report a return from a Change of Status.

The Change of Status reporting functionality as accessed from the **Resource Change of Status Screen** in DRIS should be used when reporting a Change of Status after the close of partial sales for the applicable month. Prior to the close of enrollment for the applicable month, reporting of a new Change of Status, edits to the Shutdown kW value of an existing Change of Status, or a return from a Change of Status should be performed with the SCR Enrollment Import File (See Section 7, Enrolling Resources.

The MP is required to provide the Reporting Type, the Begin Effective Date, the End Effective Date and the Change of Status Shutdown kW value for all Change of Status reporting functionality.

As illustrated by the sample Change of Status reporting in Figure 227, the reporting functionality must contain all editable fields which fall within the date range.

Figure 227: Change of Status Reporting Fields

Enrollment an	d Reported Change of	Status Details									
Resource ID	Reporting Date	Reporting Type	Reported By	Start Date	End Date	May 2014	June 2014	July 2014	August 2014	September	October 2014
12345678975		Enrolled				0	0	150	150	150	150
12345678975	08/08/2014 13:07:29	Reported V	•		•						
Summary						0	0	1 Save	Cancel	0	150

Note: All months open for reporting which fall within the Begin Effective Date and the End Effective Date must contain a Change of Status Shutdown kW greater than zero. (see Figure 228.

Figure 228: Change of Status Shutdown kW Reported as Zero, Outside of Date Range

1 M M M M M M M M M M M M M M M M M M M															
														Total count	t: 13 🙈 Excel
Enrollment a	nd Reported Change of	f Status Detail	ls												
Resource ID	Reporting Date	Reporting Typ	pe	Reported By	Start Date	End Date	May 2014	June 2014	July 2014	August 20	14 Septembe	er October 2	014		
							Shutdown k	Shutdown k	. Shutdown	k Shutdown	k Shutdown	k Shutdown	k		
123456		Enrolled					0	0	0	240	240	240			
123456	09/08/2014 10:32:02	Reported	~		09/01/2014 💽	11/13/2014 💽 (0 0		0	0	300	300			
Summary							0	0	0	Save	Cancel	240			
															10
														Total count: 2	Add 🔚 Excel
© 2009-2014 Ne	w York Independent System	n Operator. All rig	hts res	served.									You a	re logged in asMP	User(Logout)

In order for the Change of Status reporting functionality to be successfully processed by DRIS, the data must meet pre-defined rules. Figure 230 details the rules along with the error messages the system will generate should the file violate any of the rules.

After creating a properly structured, formatted, and populated Change of Status entry, the MP must select **Save** as the next step in reporting resource Change of Status data.

Figure 229: Change of Status Shutdown kW Reported and Saved

												Tota	il count: 13	a Excel
Enrollment a	nd Reported Change of	f Status Details												
Resource ID	Reporting Date	Reporting Type	Reported By	Start Date	End Date	May 2014	June 2014	July 2014	August 2014	September	October 2014			
						Shutdown k	Shutdown k	Shutdown k	Shutdown k	Shutdown k	Shutdown k			
123456		Enrolled				0	0	0	240	240	240			
123456	09/08/2014 10:32:02	Reported	MP User	09/01/2014	11/13/2014	0	0	0	0	300	300			
Summary						0	0	0	0	300	300			
												Total cour	t: 2 Add	Taj Excel
© 2009-2014 Ne	w York Independent System	Operator. All rights re	served.									You are logged in	asMP Us	ser <u>(Logout)</u>

- 8. Take the requisite steps to correct any error messages generated from selecting **Save**.
- 9. **Save** the Change of Status reporting entry once values have been modified.

Figure 230: Rules Specific to Resource Data in Change of Status Verification File.

Change of Status Reporting Field Name	Column in Entry Row	Field Format	Editable (Y/N)	Description and Rule(s)
Resource ID	А	Numeric	Ν	The Resource ID assigned by the NYISO.
Reporting Date	В	Date and Time	N	The date and time auto-assigned by DRIS when a Change of Status Shutdown kW reporting entry is saved.
Reporting	С	Text	Y	The reporting type as selected by the user.
Туре		Drop-down		User may select from either Enrolled or Reported.
				For resources removing an existing Change of Status Shutdown kW value, select <i>Enrolled</i> and enter a value of zero.
				For resources adding or editing an existing Change of Status Shutdown kW value, select <i>Reported</i> and enter a value greater zero.
				Must be provided.
Begin	D	Alpha –	Y	The day on which the Change of Status began.
Effective Date		Numeric MM/DD/YYYY		Must be earlier in the calendar year than the End Effective Date.
				Must be provided.
End Effective	E	Alpha –	Y	The day on which the Change of Status ends.
Date		Numeric MM/DD/YYYY		Must be provided.
Shutdown KW	F	Numeric Up to 7 digits	Y	The kW meter value for the resource for the specified month of enrollment with the MP user to be applied as a reduction from the enrolled ACL and representative of the SCR Change of Status Shutdown kW. For SCR resources with a Change in Status, the Shutdown value in kilowatts must be greater than or equal
				to zero when the month being supplied falls within the date range between the Begin Effective Date and the End Effective Date.
				For resources with a Calculated ACL value less than 500 kW, must be zero.
				The kW value entered must be greater than or equal to 30% of the calculated ACL, unless value supplied is greater than 5,000 kW in Zone J or 10,000 kW in Zones A-I and K. Must be provided.

Change of Status Reporting Field Name	Column in Entry Row	Field Format	Editable (Y/N)	Description and Rule(s)
Peak Monthly Demand KW	F	Numeric Up to 7 digits	N	The kW meter value for the resource for the specified Monthly Load Zone Peak, as supplied by the enrolling MP upon request by the NYISO for the associated month and entered into DRIS by the NYISO.

Note: The following example is a common scenario which may occur, for reporting a resource Change of Status in DRIS.

Common Reporting Scer	nario for a resource Change of Status
A resource has experienced a Change of Status for the months of September and October. Partial sales for both September and October have closed. The following steps should be followed for reporting the Change of Status kW value in DRIS.	 User navigates to the Change of Status screen in DRIS. User selects the resource for which a Change of Status kW value is to be reported and de-selects the "Shutdown Only" box. User selects "Add" in the lower right hand corner of the display. User must populate the following reporting parameters; Reporting type of "Reported". Start Date of the Change of Status. End date of the Change of Status. The Change of Status Shutdown kW value for the months of September and October for which the partial sales window has passed. User saves entries. User manages any error messages which may have occurred.

10.9. Viewing Resource Shortfall kW Summary Data

For those MPs with resources enrolled with either a Change of Status, Incremental ACL and/or a Provisional ACL in a selected Capability Period and month, DRIS provides the means to perform the following:

- View concurrent resource Shortfall kW data for the Capability Period and month selected
 - View resource Change of Status Shortfall kW data
 - View resource Incremental ACL Shortfall kW data
 - View resource Provisional ACL Shortfall kW data
- View Shortfall kW data denoted as attributable to the *Greatest Deficiency*
It is the responsibility of the MP to perform the following:

- 1. Report any applicable resource Change of Status data into DRIS
- 2. Report any applicable resource Verification data attributable to an enrollment with either an Incremental ACL or Provisional ACL

Note: Reporting resource Change of Status data through the Change of Status screen in DRIS is the *only* means by which resource Change of Status data will be accepted by the NYISO after the close of enrollment of an Auction Month and up to the deadline specified in the DRIS Event Calendar. The verification data for resources enrolled with either an Incremental ACL or Provisional ACL in the same Capability Period occurs during the reporting window for verified metered load data as specified on the DRIS Event Calendar.

10.9.1. Viewing Shortfall kW Data

Viewing resource Shortfall kW data provides the MP with a snapshot of the resource calculated shortfalls for each enrollment type of Change of Status, Incremental ACL and/or Provisional ACL, across a Capability Period. Only one type of Shortfall kW will be denoted as the *"Greatest Deficiency"* on this screen.

Resource Shortfall kW data is displayed by Capability Period and month and includes the following:

- Shortfall Type: The Shortfall Type is the resource enrollment type associated with the Capability
 Period and month selected for which a shortfall was determined. The Shortfall Types include
 Change of Status, Incremental ACL and Provisional ACL
- *Used In Greatest Deficiency*: This indicator will be marked as checked for the monthly records associated with the Shortfall Type determined to have the Greatest Deficiency in the Capability Period.
- Applicable Shortfall kW: The kW value at which the resource has incurred a shortfall based on the "Reported" or "Unreported" Change of Status Shortfall, the Incremental ACL Shortfall or the Provisional ACL shortfall, for the Capability Period and month selected.

To view resource Shortfall kW data

1. From the **Performance Factors** menu, choose **Resource Shortfall Summary**.

The system displays the Resource Shortfall Summary page.

2. From the corresponding search filters in the uppermost frame on the Resource Shortfall Summary page (see Figure 231), choose the **Capability Period** for which the system should display resources with Shortfall details.

Optionally, choose the **Capability Period** and **Resource ID** to display all months within the Capability Period for the selected resource.

Figure 231: Resource Shortfall Summary Page Search Filters

SOUTH STATES	Demand	Response Information S	ystem				
Main + MP + Resource + SCR + Perform	nance Factors + DR Event + Mitigat	on• Tables• Notification• DSASP• BTM•					
MP Name: Market Participant	Y Resource ID:	Capability Period: Summer 2014	*	Zóne:	×		
Transmission Owner:	×			Shortfall Type:	٣	Display	

3. Near the top of the Shortfall Summary page, click the **Display** button.

The system populates the Shortfall Summary page below the search filters with the data for the resources meeting the criteria chosen at step 2 (see Figure 232).

Figure 232: Resource Shortfall Summary page Illustrating Resource Information for Capability Period Shortfall kW Data

Auin - MP + Resource - SCR - Pe	erformance Fac	tors • DR Even	n • Mitigation • Tab	ies - Notification -	DSASP+ BTM+		
MP Name: Market Participant	Resource ID:		 Capability Period: Sun 	smer 2014 💌	Zone:		
Transmission Ovener:					Shortfall Type:	2	Display
hortfall Summary							
ecource. Resource Name Account Number	Auction Month	Capability Period	Zone Shortfall Type	Used in Greatest Deficiency "	Applicable Shortfall kW		
Resource ID: 173455 - MP: Market Partici	pant						
							Tital more 6 Charge of Status Incrementar ACL Provisional ACL 4, 7
2009-2014 New York Independent System Operator. All	rights reserved						You are logged in as MP User

- 4. Optionally, view details of the resource Shortfall Summary detailed reporting data by clicking the corresponding row in the grid to expand the record.
- 5. The system expands the record within the frame, where additional resource Shortfall kW summary information are displayed (see Figure 233).

Figure 233: Resource Shortfall Summary Page Illustrating Expanded Reported Details

MP Nam	e: Market Part	cipant 🗠 🖌	Resource ID:		· Capa	bility Penod: Summe	r 2014 🔍	Zone:	¥	
Transrok	sion Owner:	*						Shortfall Type:	1	Display
ihortfall 5	omnary	_								
Resource	Resource Name	Account Number	Auction Month	Capability Period	Zone	Shortfall Type	Used in Greatest Deficiency **	Applicable Shortfall kW		
Resource	ID: 123456 - MP	Market Partici	plant							
123456	Warehouse 1	R9876513218	September 2014	Summer 2014	J	Provisional	19	100		
123456	Warehouse 1	R9876513218	August 2014	Summer 2014	J	Provisional	20	100		
23456	Warehouse 1	R9876513218	July 2014	Summer 2014	J	Provisional	12	100		
23456	Warehouse 1	R9876513218	June 2014	Summer 2014	J	Provisional	12	100		
123456	Warehouse 1	R9876513218	May 2014	Summer 2014	J	Provisional	E.	100		
23456	Warehouse 1	R9876513218	October 2014	Summer 2014	J	Provisional	13	Ċ.		

Note: The user may navigate to the individual shortfall details pages for resources enrolled with a Change of Status, Incremental ACL or Provisional ACL by selecting the resource and selecting the applicable button in the lower right hand corner of the screen (See Figure 234).

Figure 234: Resource Shortfall Summary Page, Other Page Navigation

				Car and south							
MP Nam	e: Market Parti	cipant 👻	Resource ID:		✓ Capa	bility Period: Summe	r 2014 🔍	Zoner	Y		
Transmis	sion Owner:	4						Shortfall Type:	M Display		
ortfall S	ummary										
MOUTOR -	Resource Name	Account Number	Auction Month	Capability Period	Zone	Shortfall Type	Used in Greatest Deficiency T	Applicable Shortfall kW			
Resource	Dr 123456 - MP	Market Partici	pant								
23456	Warehouse 1	R9876513218	September 2014	Summer 2014	L	Provisional	1	100	Contraction of the	A PROPERTY AND	COLUMN AND A
23456	Warehouse 1	R9876513218	August 2014	Summer 2014	J	Provisional	2	100	Change of Sta	atus Incremental	ACL Provisional ACL
3456	Warehouse 1	R9876513218	July 2014	Summer 2014	J	Provisional	¥	100	-		
3456	Warehouse 1	R9876513218	June 2014	Summer 2014	J	Provisional	1	100			
23456	Warehouse 1	R9876513218	May 2014	Summer 2014	J	Provisional	2	100			
23456	Warehouse 1	R9876513218	October 2014	Summer 2014	J	Provisional	10	0			
										*	

11. Performing DSASP-Specific Tasks

For those MPs acting as DSASP Providers in relation to the DSASP program, DRIS provides the means to perform the following tasks in relation to resources for which the MP has authorization to act:

- Viewing DSASP Aggregations
- Managing DSASP Aggregations
- Submitting DSASP Aggregations for Market Participation
- Viewing current and historical DSASP Submittals

11.1. Viewing DSASP Aggregations

Viewing DSASP Resources that are aggregations of an individual DSR or a grouping of DSRs involves both summary and detailed views of the DSASP Resource and the Demand-Side Resources which comprise the aggregation (see Figure 235). Some or all of the following details can be viewed from the DSASP Aggregation Management screen within DRIS:

- DSASP Aggregation summary details
- Demand-Side Resource details
- Demand-Side Resource Statuses

Figure 235: DSASP Aggregation Management Screen



When viewing DSASP Aggregations and Demand-Side Resources within a DSASP Aggregation, the MP is not required to initially select search criteria, after navigating to the page.

11.1.1. Viewing DSASP Aggregation Management Summary Details

Viewing DSASP Resources that are aggregations of an individual DSR or a grouping of DSRs is the first step in viewing DSASP Demand-Side Resource details and Managing DSASP Aggregations. Performing search functionality from the DSASP Aggregation Management screen allows the MP User to select and view DSASP Aggregations in greater detail.

The MP can narrow the data the system displays by any or all of the following additional parameters:

- Aggregation
- Zone

Regardless of viewing scope, the system displays data for each DSASP Resource, by Aggregation ID ascending.

DSASP Aggregation

- MP Name
- Aggregation ID
- Zone
- Aggregation Type
- Resource Count
- Gen PTID
- Product Type
- Summer Subscribed Load (kW)
- Summer Subscribed Gen (kW)
- Summer Rating (MW)
- Winter Subscribed Load (kW)
- Winter Subscribed Gen (kW)
- Winter Rating (MW)
- Direct Communication

Note: In the DSASP menu the selection of "Aggregation Management" will navigate the user to the DSASP Aggregation Management screen which provides the user the ability to view and manage DSASP Aggregations. This screen will be viewable upon MP registration as a DSASP Provider and will begin to populate once the first successful DSASP Import has occurred.

Note: Upon the successful import of the first Demand-Side Resource of a DSASP Resource, the DSASP Aggregation ID will be automatically generated by DRIS.

Note: When the first import of DSASP Demand-side Resources occurs for a DSASP Aggregation containing an individual or group of DSRs, the summary level details displayed will be limited to *MP Name, Aggregation ID, Zone* and *Aggregation Type* (see Figure 236). Once the Demand-Side Resources within the aggregation have been Qualified for Market Participation, the remaining summary field values will populate.

Figure 236: DSASP Aggregation Management Summary

SASP Aggregation M	anagement												
P	Aggregat	n 10 Zone	Apprepation Type	Resource Count	Gen PTD	Product Type	Summer Subscribed Load(MVV)	Summer Subscribed Gen(I/W)	Summer Rating(MW)	Winter Subscribed Load(MW)	Winter Subscribed Gen(MW)	Winter Rating(MW)	Direct Communication
Market Partic	pant 1000	C	2 - Group										
Market Partici	pant 1001	F	2 - Group										
Market Partic	pant 1002	1	3 - Group										
Market Partic	pant 1003	E	I - Individual										

Pre-requisites

- The MP is registered in MIS to participate in the DSASP program, as a DSASP Provider.
- The DSASP Provider representative performing the task has been assigned the DRIS Web UI MP Read-Only or the DRIS Web UI MP User privilege.
- The DSASP Provider representative performing the task is logged in to DRIS (see section 1.3, "Accessing the System").

To view summary data for DSASP Aggregations

1. From the **DSASP** menu, choose **Aggregation Management**.

The system displays the DSASP Aggregation Management page.

 From the corresponding search filter(s) in the uppermost frame on the DSASP Aggregation Management page (see Figure 237), choose the search filters for which the system should display DSASP Resources.

Figure 237: DSASP Aggregation Management Search Filters

	SOURCE NEW YORK	Demand DSASP A	Response In	nformation System	
Main+ MP+ F	lesource - SCR - Performan	ice Factors + DR Event + Mitigatio	n - Tables - Notification	▼ DSASP- BTM-	
MP Name:	Market Participant	Aggregation:	✓ Zone:	✓ Display	

3. Near the top of the DSASP Aggregation Management page, click the **Display** button.

The system populates the DSASP Aggregation summary grid below the search filters with data for those aggregations, containing either an individual or a group of DSRs, meeting the criteria chosen in step 2.

Note: The system will display one entry per DSASP Aggregation, depending on the defined criteria. Entries in the *DSASP Aggregation Management summary* grid are displayed in ascending order based on the Resource ID.

To refresh the DSASP Aggregations grid so that it displays up-to-date information, click the stution in the grid status bar.

Optionally, download the DSASP Aggregation Management summary data by clicking the Excel button in the lower right-hand corner of the screen, just below the summary grid.
 A file containing enrollment details for all enrollments matching the criteria specified in step

2 is saved to the designated location.

11.1.2. Viewing Demand-Side Resources within a DSASP Aggregation

Viewing Demand-Side Resources within a DSASP Aggregation containing either an individual or group of DSRs is the second step in viewing DSASP Demand-Side Resource details and managing DSASP Aggregations. Performing search functionality from the DSASP Aggregation Management screen allows the MP User to view and select DSASP Aggregations in greater detail. Once a DSASP Aggregation has been selected, the Aggregation details grid on the lower half of the DSASP Aggregation Management screen will populate with two tabs displaying the details of Validated and Qualified Demand-Side Resources and/or Submitted Demand-Side Resources within the selected aggregation. (see Figure 238). Additionally, there is a DSASP Enrollment Status of Separated which can only be viewed from the DSASP Enrollment Details screen (see Viewing DSASP Resource Enrollment Details Section 8.1.4).

Figure 238: DSASP Resource Enrollment Statuses

Status	Begin Effective Date	End Effective Date
Validated	A new Validated record is created when: • The resource is initially imported successfully into DRIS • Each time an update to the resource is imported successfully into DRIS	 The Validated record remains active until: An update is imported into DRIS which creates a new Validated record The resource is Separated from the Market Participant portfolio
Submitted	 A new Submitted record is created when: The resource is included in the submission of the Aggregation to either become or remain part of the Qualified Aggregation The resource is currently part of the Qualified Aggregation and is being submitted for removal from the Qualified Aggregation (this <u>does not</u> remove the resource from a Validated Status in the aggregation) 	 The Submitted record remains active until: The Submitted Aggregation becomes Qualified The submission of the aggregation is Canceled
Qualified	A new Qualified record is created when: • The Submitted Aggregation becomes Qualified <u>and</u> the resource was submitted to either become or remain part of the Qualified Aggregation	 The Qualified record remains active until: The resource was submitted on a subsequent Submission of the Aggregation to remain part of the Qualified Aggregation and a new Qualified record has been created for the resource based on the new Submission of the Aggregation becoming Qualified The resource was submitted on a subsequent Submission of the Aggregation to be removed from the aggregation and the new Submission of the Aggregation of the Aggregation of the Aggregation to be removed from the aggregation and the new Submission of the Aggregation becoming Qualified The resource is Separated from the MP portfolio
Separated	A new Separated record is created when: • The resource is Separated from the DSASP Provider Portfolio	The Separated record remains active until: • The resource is re-enrolled with the DSASP Provider in DSASP

Dependent on viewing scope, the system displays data for each Demand-Side Resource within a DSASP Aggregation, by Resource ID ascending.

	DSASP Demand-Side Resource	Validated	Submitted	Qualified
•	Resource ID	Y	Y	Y
•	Resource Name	Y	Y	Y
•	Zone	Y	Y	Y
•	Response Type	Y	Y	Y
•	Summer Subscribed Load(kW)	Y	Y	Y
•	Summer Subscribed Gen(kW)	Y	Y	Y
•	Summer Rating (kW)	Y	Y	Y
•	Winter Subscribed Load(kW)	Y	Y	Y
•	Winter Subscribed Gen(kW)	Y	Y	Y
•	Winter Rating(kW)	Y	Y	Y
•	Reliability Enrollment Indicator	Y	Ν	Ν

Displayed for Enrollment Status Type

Note: In the DSASP Aggregation details grid in the lower half of the screen, there will be a separate pane for each of the three DSASP Enrollment Statuses, *Validated, Qualified* and *Separated*. The *Validated* and *Qualified* tab will appear immediately below the summary grid on the top half of the screen, while the *Submitted* tab must be selected (see Figure 239).

Note: The Reliability Enrollment Indicator, on the *Validated* tab, will appear checked when the Demand-Side Resource is enrolled in either the SCR or EDRP program, with any MP.

Note: The *Validated* record for a Demand-Side Resource may appear checked or highlighted, based on changes in status and/or enrollment values (see Figure 240).

Figure 239: DSASP Aggregation Demand-Side Resource Details

- 15		ENT ENT EXATOR E OF Former	tiday	Deman DSASP	d Res	ation Ma	e Inform	ation	n Syst	em						
Main • Main	n≖ MP≖ Re	source -	SCR-	Performa	nce Fact	tors - D	R Event -	Mitigati	ion - Ta	bles - Notific	ation - D	SASP- BTM	-			
MP Name: Ma	arket Partici	pant	✓ Aggreg	ation:		✓ Zone:		•	Disclay							
DSASP Aggregatio	on Hanagement															
MP		Aggregat	tion D Zone	Aggregation Type	Resource Count	Gen PTD	Product Type	Summer Load(M	r Subscribed	Summer Subscrib Gen(MW)	d Summer Rating(MV)	Winter Subscribe Load(MW)	d Winter Subscriber Gen(MW)	a Winter Rating(MW)	Direct Communication	
Market Pa	articipant	1000	C	2 - Group												
Market Pa	articipant	1001	F	2 - Group												
Market Pa	articipant	1002	1	3 - Group												
Market Pa	articipant	1003	E	1 - Individual												
															Total count: 4) Exc
Validated & Qua	alified Resources	Submitte	ed Resources												Total count: 4) Exce
Validated & Qua Validated Resou	alified Resources	Submits	ed Resources						Qualified	Resources					Total count: 4) Exce
Validated & Qua Validated Resou	alified Resources urces Resource Name	Submitt	ed Resources	Summer Su Load(KW)	cecribed S	ummer Subs	scribed Summer Rating(ki	Wi W) Lo	Qualified	Resources C Resource fia	me Zone I	Response Type Li	mmer Subscribed Si ad(XV) Gr	ummer Subscrit	Total count: 4 K) Exce Winter Load(
Validated & Qua Validated Resou Resource D 203819	alified Resources Resource Name Name 1	Submito	ed Resources lesponse Type	Summer Su Load(XVV) 800	Decribed S G 0	ummer Subs	scribed Summer Rating(X 800	W) Lo 80	Qualified Resource	Resources D Resource Na	me Zone	Response Type Si	mmer Subscribed ad(XIV) Gr	ummer Subscrib	Total count: 4 K) Exce Winter Load()
Validated & Qua Validated Resou Resource D V 203619 V 203621	alified Resources arces Resource Name Name 1 Name 4	Zone R C C C C	ed Resources leaponse Type	Summer Su Load(XV) 800 800	Decribed S G 0 0	ummer Subs	scribed Summer Ratingiki 800 800	W) U0 80 80	Qualified Resource	Resources D Resource Na	me Zone I	Response Type Si Lc	immer Subscribed Si ad(XV) Gr	ummer Subscritt	Total count: 4 K ed Summer Rating(IVV)) Excr
Validated & Qua Validated Resou Resource D 203621 203621	alified Resources arces Resource Name Name 1 Name 4	Zone R C C C	ed Resources Lesponse Type :	Summer Su Load(XV) 800 800	cecribed S 0 0	ummer Subs en(KV)	scribed Summer Bangki 800 800 SOU	w) Lo 60 ITC	Qualified Resource	Resource to Resource to Det	ne zone ails	Response Type Si	mmer Subscribed Story) Gr	ummer Subacht	Total count 4 4) Exce

Figure 240: Validated Demand-Side Resources (Checked and Highlighted)

7	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(KW)	Winter Subscribed Load(kW)	V G
V	203619	Name 1	0	C	800	0	800	800	0
0	203622	Name 4	C	C	600	0	600	600	0

Pre-requisites

- The MP is registered in MIS to participate in the DSASP program, as a DSASP Provider.
- The DSASP Provider representative performing the task has been assigned the DRIS Web UI MP Read-Only or the DRIS Web UI MP User privilege.
- The DSASP Provider representative performing the task has selected an aggregation from the DSASP Aggregation Management screen.
- The DSASP Provider representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To view DSASP Aggregation Demand-Side Resource Details

- From the DSASP Aggregation Management summary grid, choose a DSASP Aggregation.
 The system displays the Demand-Side Resource details in the corresponding *Validated and Qualified Resources and/or Submitted Resources* tabs.
- Near the bottom of the DSASP Aggregation Management page, the MP User clicks the desired DSASP Enrollment Status Tab for viewing.
- 3. The system will display one entry per Demand-Side Resource, in the Validated, Qualified, and Submitted panes of the screen based on the enrollment status(es) of the resources as defined by the criteria in Figure 238.
- 4. Optionally, download the appropriate enrollment status detail data by clicking the Excel button in the lower right-hand corner of the corresponding pane, just below the grid.
 A file containing enrollment details for all enrollments matching the criteria specified in the search criteria for the DSASP Aggregation selected is saved to the designated location.

11.2. Managing DSASP Aggregations

The DSASP Provider has the ability to move a DSASP Demand-Side Resource from one enrollment status to another, with the goal of either adding the resource to a Qualified DSASP Aggregation or removing the resource from a Qualified DSASP Aggregation, whether an aggregation of an individual DSR or group of DSRs. The DSASP Provider can submit a *Validated* Demand-Side Resource to become part of the Qualified aggregation which will create a *Submitted* record for the Demand-Side Resource viewable on the Submitted Resources tab. The DSASP Provider has the option to also select resources which are *Qualified* to be removed from the aggregation. These tasks can be done only when there is no active enrollment status of *Submitted* for the aggregation.

Managing DSASP Aggregations containing either an individual DSR or a group of DSRs involves selecting and de-selecting the *Validated* resource enrollment records from the Validated Resources pane of the screen for the purpose of moving the Demand-Side Resource either in or out of the selected aggregation.

Managing a DSASP Aggregation successfully will result in the DSASP Provider choosing to Submit the selected resources which generates a DSASP Resource Report (see Section 11.2.3).

Managing a DSASP Aggregation involves:

- Selecting a *Validated* resource record for updates or addition to a *Qualified* DSASP Aggregation.
- De-Selecting a *Validated* resource record for removal from a *Qualified* DSASP Aggregation.
- Submitting *Validated* resources for addition, updates or removal in a DSASP Aggregation.
- Generating a DSASP Resource Report

Note: In the lower left pane of the DSASP Aggregation Management screen, Validated resources may appear checked or un-checked, as well as highlighted, based on the resource enrollment status and the Validated resource record values as compared to the Qualified resource record values (see Figure 240).

Note: Completely removing a DSASP Demand-Side Resource from a DSASP Provider Portfolio requires the action of first removing the *Qualified* resource from the aggregation and then separating the resource from the DSASP Provider Portfolio on the DSASP Enrollment Details screen (see Figure 111).

11.2.1. Adding or Updating a Validated Demand-Side Resource for the Qualified DSASP Aggregation

The DSASP Provider has the ability to select a *Validated* resource in the Validated Resources pane of the DSASP Aggregation Management screen for the purpose of either adding the Demand-Side Resource to the *Qualified* DSASP Aggregation or updating the Demand-Side resource within the Qualified aggregation, containing either an individual or a group of DSRs, when changes to the Demand-Side Resource have been imported into DRIS.

Pre-requisites

- The MP has enrolled Demand-Side Resource(s) in the DSASP program, as a DSASP Provider, as outlined under Section 7.
- The DSASP Provider representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The DSASP Provider representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
- *To select* Validated Demand-Side Resources for adding or updating
- 1. From the **DSASP** menu, choose **Aggregation Management**.

The system displays the DSASP Aggregation Management page.

2. From the corresponding search filters near the top of the page, the DSASP Provider has the option to choose either the applicable **Aggregation ID** or **Zone** (see Figure 237).

Figure 241: DSASP Aggregation Management Page as Initially Displayed with only *Validated* Demand-Side Resources

Contraction of the local distance of the loc	The longy links	NATO NO DES	errow-Today	DSASP	Aggreg	pation Manag	gement									
dain - MP-	Resource -	SCR	· Perform	nance Fact	ors + E	OR Event+	Milligation	• Tal	bles - N	otification + C	SASP+	BTM+				
MP Name:	Market Partici	pant	Y Aggr	gation: 100	1	✓ Zone:	~		splay - I							
SASP Aggregat	ion Hanagement		_	_	_			-		_				_		_
(P		Aggre	gation D Zone	Aggregation Type	Resource	e Gen PTID P	roduct Type	Summer Load(MW	Subscribed S	Summer Subscribed Sen(MV)	Summer Rating(MW)	Winter Subscriber	Winter Subscribe Gen(MW)	a Winter Rating(MW)	Direct Communicatio	
Marke	et Participant	1000	c	3 - Group	4	24188 N	ion - Synchr	1.2		1	12	1.1	0	1.1	8	
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Validated & Qu. Validated Reso 207360 207361 207362 207363 207362 207365 207365 207365 207365	Alified Resources Resource Name Resource 1 Resource 2 Resource 3 Resource 4 Resource 5 Resource 6 Resource 7	Subn Zone C C C C C C C C C C C	Response Typ C C C C C C C C C C C C C C C	 Summer Sx Load(kW) 301 100 400 815 100 400 400 	Ascribed	Summer Subscrib Gen(NV) 0 0 0 0 0 0 0 0 0 0 0 0 0	ed Summer Rating(NV) 301 100 400 815 100 400 400	Viii Lo 30 10 40 61 80 32 32	Qualified R Resource D	esources: 01/30/ Resource Name	/2013 09:12 e Zone R	sponse Type Loi	nmer Subscribed Si di(kV) G	7 umter Subscribe	otal count: 1	Winte Loady
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3. Near the top of the page, activate the **Display** button.

The DSASP Aggregation Management grid below the filters refreshes to display data for each DSASP Aggregation meeting the criteria chosen at step 2, including the DSASP Aggregation field values found in Section 11.1.1.

4. From the **DSASP Aggregation Management** grid, select the DSASP Aggregation which the MP will manage.

The system displays a record for each Demand-Side Resource for the selected DSASP Aggregation within the appropriate enrollment status panes (see Figure 238 and Section 11.1.2).

5. From the **Validated Resources** pane, select the *Validated* resources which will be added or updated for the *Qualified* DSASP Aggregation.

The DSASP Provider has the option to select or select all from the upper most check box in the lower left pane.

Note: The system will display *Qualified* Demand-Side Resources only if a *Qualified* DSASP Aggregation currently exists. If no *Qualified* DSASP Aggregation exists for the Aggregation ID, the right most *Qualified* Resource pane will be empty (see Figure 242).

If a Qualified DSASP Aggregation exists, the associated Demand-Side Resources will populate in the Qualified Resources pane (See Figure 243)

Figure 242: DSASP Demand-Side Resources with a Validated Record and no Qualified Record

Va	lidated & Qua	lified Resources	Subr	nitted Resources				•	-							
Va	lidated Resou	rces	u						Qualified Res	ources: 01/30/2	013 09	:12:10				
	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(kW)	Wi Lo	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(KW)	Winter Load(k
V	207360	Resource 1	С	С	301	0	301	30								
	207361	Resource 2	С	С	100	0	100	10								
V	207362	Resource 3	С	С	400	0	400	40								
	207363	Resource 4	С	С	615	0	615	61								
V	207364	Resource 5	С	С	100	0	100	80								
	207365	Resource 6	С	С	400	0	400	32								
V	207366	Resource 7	С	С	400	0	400	32								
<								>	<							>
					Total co	unt: 7 Submit Leg	end 🕶 📲 Exc	cel						To	tal count: 4 🖷a	a Excel
© 20	09- 2013 New Yo	rk Independent Syst	em Ope	ator. All rights reser	ved.									You are logger	d in as	(Logout)

Figure 243: DSASP Demand-Side Resources with a Validated Record and a Qualified Record

_																
Va	lidated & Qua	lified Resources	Subr	nitted Resources					_							
Va	lidated Resou	rces							Qualified Res	ources: 01/30/2	013 09	:12:10				
	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(kW)	Wi Lo	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(kW)	Winter Load(k
1	207360	Resource 1	С	С	301	0	301	30	207360	Resource 1	С	С	301	0	301	300
	207361	Resource 2	С	с	100	0	100	10	207362	Resource 3	С	с	400	0	400	400
V	207362	Resource 3	С	С	400	0	400	40	207364	Resource 5	С	с	100	0	100	80
	207363	Resource 4	С	с	615	0	615	61	207366	Resource 7	С	с	400	0	400	320
	207364	Resource 5	С	С	100	0	100	80								
	207365	Resource 6	С	С	400	0	400	32								
	207366	Resource 7	С	С	400	0	400	32								
<								>	<							>
					Total co	unt: 7 Submit Leg	end 🕶 📲 a Exc	cel						To	tal count: 4 🖷	Excel
© 200	9- 2013 New Yo	rk Independent Syst	em Ope	rator. All rights reser	ved.									You are logge	d in as	(Logout)

6. Repeat step 5, until all Demand-Side Resources are selected as desired for addition or update in the *Qualified* DSASP Aggregation.

Note: Resources with no updates, which the DSASP Provider chooses to keep as part of the *Qualified* aggregation, must remain checked to be included in the submission of the aggregation.

Note: The individual Demand-Side Resources which comprise the DSASP Aggregation do not require seasonal reduction capability for both Capability Periods but the aggregate of the Demand-Side Resources which form the DSASP Aggregation must provide greater than 1MW of capacity in **BOTH** the Winter and Summer Capability Periods.

 To finalize the choice of selected *Validated* Demand-Side Resources for the DSASP Aggregation, the DSASP Provider must click Submit in the lower right corner of the *Validated Resources* pane.

DRIS will calculate and validate the Demand-Side Resources at the aggregate level for consideration in the *Qualified* DSASP Aggregation

When all validations at the aggregate level are successful, DRIS will create a *Submitted* record for each Demand-Side Resource included in the aggregation and create an export of the DSASP Resource Report to be included with the DSASP Resource Registration Packet (see Section 11.2.3).

Note: If a DSASP Aggregation Submittal is generated in error, it must be canceled by calling the NYISO Stakeholder Services at 518-356-6060. When calling, have the Aggregation ID and Submittal ID associated with the Submission.

Note: Demand-Side Resources can be added or removed (checked or unchecked) as part of the same submittal for the DSASP Aggregation.

11.2.2. Removing a Validated Demand-Side Resource from the Qualified DSASP Aggregation

The DSASP Provider has the ability to select a *Validated* Demand-Side Resource in the Validated Resources pane of the DSASP Aggregation Management screen for the purpose of removing the Demand-Side Resource from the *Qualified* DSASP Aggregation.

Pre-requisites

- The MP has enrolled resources in the DSASP program, as a DSASP Provider, as outlined under section 7.
- The DSASP Provider representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The DSASP Provider organization has a *Qualified* DSASP Aggregation in DRIS.
- The DSASP Provider representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

- **To select Validated Demand-Side Resources for removal**
- 1. From the **DSASP** menu, choose **Aggregation Management**.

The system displays the DSASP Aggregation Management page.

2. From the corresponding search filters near the top of the page, the DSASP Provider has the option to choose either the applicable **Aggregation ID** or **Zone** (see Figure 237).

Figure 244: DSASP Aggregation Management Page as Initially Displayed with both *Validated* and *Qualified* Demand-Side Resources

tain + MP+	Resource -	SCR	+ Perform	nance Fact	lors - E	R Event+	Mitigation	▼ Ta	ibles - N	otification+ D	SASP.	BTM-				
MP Name:	Market Partici	pant	~ Appre	egation: 100	1	✓ Zone:	*		lisplay							
SASP Aggregati	ion Management		_	_	_				_							
p.		Aggre	pation ID Zone	Aggregation Type	Resource	Gen PTIC Pr	roduct Type	Summer Load(M	Subscribed	Summer Subscribed Gen(I/IW)	Summer Ratiog(I/V	Winter Subsc () Load(MW)	ibed Winter Subscril Gen(MW)	Rating(MW)	Direct Communication	
Marke	et Participant	1000	c	3 - Group	4	24188 No	on - Synchr	1.2		¢	1.2	1.1	0	1.1	81	
Validated & Qua	alified Resources	Subri	itted Resources											τι	otal count: 1	™a) Ex
Validated & Qua	alified Resources	Subr	Atted Resources						Qualified	Resources: 01/30/	2013 09:1	2:10		Ti	olai count. 1	*a) Ex
Validated & Qua Validated Resou	alified Resources unces Resource Name	Subr	itted Resources	e Summer Su	20scribed	Summer Subscrib Gen(KW)	eo Summer Rating(kil/)	W	Qualified I	Resources: 01/30/ D Resource Name	2013 09:1	2:10 Response Type	Summer Subscribed Load(XVV)	Ti Summer Subscribe Gen(VIV)	otai count: 1 d. Summer Rating(kW)	*a) Ex Win Loa
Validated & Qua Validated Resou Resource O Z 207380	alified Resources ress Resource Name Resource 1	Subm Zone C	itted Resources Response Typ C	e Summer Su Load(xW) 301	soscribed	Summer Subscrib GeniktV) 0	eo Summer Rating(kW) 301	W Lo 30	Qualified I Resource I 207360	Resources: 01/30/ Resource Name Resource 1	2013 09:1 Zone C	2:10 Response Type C	Summer Subscribed Load(NV) 301	Ti Summer Subsorice Gen(KW) 0	otal count: 1 d Summer Rating(KW) 301	*a) Ex Vin Loa 300
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Validated & Qua Validated Resort 207380 207381 207382 207382 207382 207383 207383	Alfried Resources Resource Name Resource 1 Resource 2 Resource 3 Resource 4 Resource 5	Zone C C C C C	thed Resources Reaponse Typ C C C C C C	e Summer Sk Load(KV) 301 100 400 615 100	Secribed	Summer Subscrib Gen(kW) 0 0 0	ed Summer Rating(KW) 100 400 615 100	Wi Lo 30 10 40 61 80	Qualified I Resource I 207360 207362 207364 207366	Resources: 01/30/ Resource 1 Resource 3 Resource 5 Resource 5	2013 09:3 Zone C S C S C C	2:10 Response Type C C C C C	Summer Subscribed Load(xVy) 301 400 400	Summer Subscribe Gen(WV) 0 0 0 0 0	d Summer Rating(WV) 201 400 100 400	*a) Ex Viint 200 400 320
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Validated & Qua Validated Resou 2010 2010 2010 2010 2010 2010 2010 201	Alfied Resources Resource Name Resource 1 Resource 2 Resource 3 Resource 5 Resource 6 Resource 7	Zone C C C C C C C C C C C C C C C C C C C	Resources C C C C C C C C C C C C C C	e Summer Ss Load(XVV) 301 100 400 615 100 400 400	20scribed	Summer Subscrib Gen(tW) 0 0 0 0 0 0 0 0	eo Summer Rating(kW) 301 100 400 615 100 400 400	Wi Lo 30 10 40 61 80 32 32	Qualified 1 Resource 1 207360 207362 207366	Resources: 01/30/ Resource lans Resource 1 Resource 5 Resource 5	2013 09:1 Zone C S C S C C	2:10 Response Type C C C C	Summer Subscribed Load(XV) 400 100 400	Summer Subscribe Gen(W/) 0 0 0 0	5 Summer Rating(WV) 201 400 400	*a) Ex Viin Loa 900 400 80 320

3. Near the top of the page, activate the **Display** button.

The DSASP Aggregation Management grid below the filters refreshes to display data for each DSASP Aggregation meeting the criteria chosen at step 2, including the DSASP Aggregation field values found in Section 11.1.1.

4. From the **DSASP Aggregation Management** grid, select the DSASP Aggregation which the DSASP Provider will manage.

The system displays a record for each Demand-Side Resource for the selected DSASP Aggregation within the appropriate enrollment status panes (see Figure 238 and Section

11.1.2).

Note: In the lower left pane of the DSASP Aggregation Management screen, Validated Demand-Side Resources may appear checked or un-checked, as well as highlighted, based on the resource enrollment status and the Validated resource record values as compared to the Qualified resource record values (see Figure 240).

Note: Completely removing a DSASP Demand-Side Resource from a DSASP Provider Portfolio requires the action of first removing the Qualified resource from the aggregation and then separating the resource from the DSASP Provider portfolio on the DSASP Enrollment Details screen (see Figure 111).

5. From the Validated Resources pane, de-select the Validated Demand-Side Resources which will be removed from the *Qualified* DSASP Aggregation.

The DSASP Provider has the option to select or select all from the upper most check box in the

lower left pane.

Note: The system will display Qualified Demand-Side Resources only if a Qualified DSASP Aggregation currently exists. If no Qualified DSASP Aggregation exists for the Aggregation ID, the right most Qualified Resource pane will be empty. In this instance, there will be no Demand-Side Resources to remove from the DSASP Aggregation (see Figure 245).

Note: If a Qualified DSASP Aggregation exists, the associated Demand-Side Resources will populate in the Qualified Resources pane. Only the Validated resource records which also have a Qualified resource record can be de-selected for removal from the DSASP Aggregation (see Figure 246).

val	dated & Qua	lified Resources	Subr	nitted Resources												
Vali	dated Resou	irces							Qualified Res	ources: 01/30/2	013 09	:12:10				
	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(kW)	Wi Lo	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(kW)	Win
1	207360	Resource 1	С	С	301	0	301	30								
	207361	Resource 2	С	с	100	0	100	10								
/	207362	Resource 3	С	С	400	0	400	40								
	207363	Resource 4	С	С	615	0	615	61								
	207364	Resource 5	С	С	100	0	100	80								
1	207365	Resource 6	С	С	400	0	400	32								
7	207366	Resource 7	С	C	400	0	400	32								
					Total co	unt: 7 Submit Leo	end 💌 🗐 Ex	cel	<					То	tal count: 4 🖷	a) Exc

Figure 245: DSASP Demand-Side Resources with a Validated Record and no Qualified Record

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Vd	liuateu & Qua	inted Resources	Subr	nitted Resources												
Va	lidated Resou	irces							Qualified Res	sources: 01/30/2	013 09	:12:10				
[]	Resource ID	Resource Name	Zone	Response Type	Summer Subscribed Load(KW)	Summer Subscribed Gen(KW)	Summer Rating(kW)	Wi Lo	Resource D	Resource Name	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(kW)	Winter Load(F
1	207360	Resource 1	С	С	301	0	301	30	207360	Resource 1	С	С	301	0	301	300
	207361	Resource 2	С	С	100	0	100	10	207362	Resource 3	С	С	400	0	400	400
7	207362	Resource 3	С	С	400	0	400	40	207364	Resource 5	С	С	100	0	100	80
	207363	Resource 4	С	С	615	0	615	61	207366	Resource 7	С	С	400	0	400	320
7	207364	Resource 5	С	С	100	0	100	80								
	207365	Resource 6	С	C	400	0	400	32								
7	207366	Resource 7	С	С	400	0	400	32								
<								>	<							>
					Total co	unt: 7 Submit Leg	end 🔻 🗐a Exe	cel						To	al count: 4 🖷	a Excel

Figure 246: DSASP Demand-Side Resources with a Validated Record and a Qualified Record

6. Repeat step 5, until all Demand-Side Resources are de-selected as desired for removal from the *Qualified* DSASP Aggregation.

Note: The individual Demand-Side Resources which comprise the DSASP Aggregation do not require seasonal reduction capability for both Capability Periods but the aggregate of the Demand-Side Resources which form the DSASP Aggregation must provide greater than 1MW of capacity in **BOTH** the Winter and Summer Capability Periods.

Note: When removing Demand-Side Resources from the DSASP Aggregation, the aggregation must maintain 1MW or greater of reduction capability for **BOTH** the Winter and Summer Capability Periods. If the DSASP Aggregation is no longer able to maintain these limits, all Demand-Side Resources are required to be de-selected for removal from the aggregation.

7. To finalize the choice of de-selected *Validated* Demand-Side Resources for removal from the DSASP Aggregation, the DSASP Provider must click Submit in the lower right corner of the *Validated Resources* pane.

DRIS will calculate and validate the individual Demand-Side Resources at the aggregate level for consideration in the *Qualified* DSASP Aggregation.

When all validations at the aggregate level are successful, DRIS will create a *Submitted* record for each Demand-Side Resource to be removed from the aggregation and create an export of the DSASP Resource Report to be included with the DSASP Resource Registration Packet (see Section 11.2.3).

Note: If a DSASP Aggregation Submittal is generated in error, it must be canceled by calling the NYISO Stakeholder Services at 518-356-6060. When calling, have the Aggregation ID and Submittal ID associated with the Submission.

Note: Demand-Side Resources can be added or removed (checked or unchecked) as part of the same submittal for the DSASP Aggregation.

11.2.3. DSASP Resource Report

DSASP Providers will be given the option to open or save the DSASP Resource Report after successfully submitting Demand-Side Resources for the DSASP Aggregation. Attaching Sections 1 and 2 of the DRIS generated DSASP Resource Report to the DSASP Resource Registration Packet is required when it is the first submission and *Qualification* of the DSASP Resource. The DRIS DSASP Resource Report and the DSASP Resource Registration Packet must be sent to Registration in the NYISO Member Relations Department as part of the DSASP Resource registration process.

After the first successful submission and *Qualification* of the DSASP Resource, subsequent DRIS DSASP Resource Report Sections 1 and 2, generated based on the addition of a new Demand-Side Resources, updates to existing Demand-Side Resources, or removal of Demand-Side Resources, are required to be sent to Registration in the NYISO Member Relations Department. The DSASP Resource Registration Packet is not required to be sent with each subsequent DRIS DSASP Resource Report.

The subsequent DRIS DSASP Resource Report serves as a DSASP Resource update form for the following changes to the initial DSASP Aggregation; changes to the count of Demand-Side Resource, changes to capacity of the DSASP Aggregation and changes to market segment information.

The DRIS DSASP Resource Report Section 3 is to be retained by the DSASP Provider.

Note: The DSASP Resource Report is initially generated and viewable from the DSASP Aggregation Management screen when the DSASP Provider elects to submit a DSASP Aggregation for Qualification (see Managing DSASP Aggregations Section 11.2). Historical DSASP Resource Reports can be accessed from the DSASP Submittals screen (see Viewing DSASP Submittals Section 11.3).

11.2.3.1. DSASP Resource Report Section 1

The DSASP Resource Report Section 1 is populated with all *Validated* Demand-Side Resources which are either being added to or updated for the DSASP Resource. This section will populate when the DSASP Resource Report is generated and is required to be sent with Section 2 of the DSASP Resource Report to Registration in the NYISO Member Relations Department.

Figure 247: DSASP Resource Report Section 1 Fields

Responsible Entity for Populating Information

I	OSASP Resource Report Section 1	DRIS	MP
•	Submittal ID	Y	Ν
•	DSASP Aggregation ID	Y	Ν
•	Generator PTID	Y	Ν
•	DRIS Resource ID	Y	Ν
•	Resource Name	Y	Ν
•	Zip Code	Y	Ν
•	TO Account #	Y	Ν
•	Seasonal Total MWs	Y	Ν
•	Change From Inception	Y	Ν
•	Change From Last	Y	Ν
•	Provider Name	Ν	Y
•	Provider Signature	Ν	Y
•	Provider Company Name	Ν	Y
•	Date Signed	Ν	Y

Figure 248: DSASP Resource Report Section 1 Example

		DRIS = Value v All others to b	vill be populated by DRIS e filled out by DSASP Provi	der	
	DSASP Ag	gregation	Submittal Report		Date: 09/27/2013
	Part 2: DSASP De	emand Side Res	ource(s) Reporting: DRIS Form		Page 1 of 2
				Submittal ID:	DRIS
				DSASP Agg ID:	DRIS
				Generator PTID:	DRIS
DRIS Resource ID	Resource Name	Zip Code	TO Account #	Summer kW	Winter kW
DRIS	DRIS	DRIS	DRIS	DRIS	DRIS
			i i		
			t		
				· · · · · · · · · · · · · · · · · · ·	
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		<i>w</i>			
			5414/ T-1-18	Summer	Winter
			Change From Incontion*	DRIS	DRIS
			Change From Last*	DRIS	DRIS
			*Totals will be on final page	Ditto	Ditto
			of DSASP Aggregation		
			Submittal Report		
Authorized DSASD					
Demand Reduction	To be complet	ted by	Date Signed	To be co	mpleted by
Provider Signature	DSASP Provi	der	Date Signed	DSASP	Provider
Authorized DSASP					
Demand Reduction	To be complet	ed by	DSASP Demand Reduction	To be co	mpleted by
Provider Printed Name	DOA OF PTOVI	uei	Provider Company Name	DSASP	Provider
Return Completed and S	igned form to:Mem be	r Relations, I	YISO, 10 Krey boulevard, Rens	selaer, NY 12144	

Note: Section 1 of the DSASP Resource Report is required to be sent to Registration in the NYISO Member Relations Department when the DSASP Provider submits a DSASP Aggregation.

Note: If a DSASP Aggregation Submittal is generated in error, it must be canceled by calling the NYISO Stakeholder Services at 518-356-6060. When calling, have the Aggregation ID and Submittal ID associated with the Submission.

11.2.3.2. DSASP Resource Report Section 2

The DSASP Resource Report Section 2 is populated with the DSASP Resource Type, Bid Privileges and Unit Commitment Parameters. This section will populate when the DSASP Resource Report is generated and is required to be sent with Section 1 of the DSASP Resource Report to Registration in the NYISO Member Relations Department.

Figure 249: DSASP Resource Report Section 2 Fields

Responsible Entity for Populating Information

Ľ	SASP Resource Report Section 2	DRIS	МР
•	Submittal ID	Y	Ν
•	DSASP Aggregation ID	Y	Ν
•	Generator PTID	Y	Ν
•	DSASP Aggregation Type	Y	Ν
•	DSASP Product Type	Y	Ν
•	Seasonal MW Ratings	Y	Ν
•	Physical Min Gen	Ν	Y
•	Response Rates	Ν	Y
•	Appropriate DAM Flags	Ν	Y
•	Appropriate RTM Flags	Ν	Y
•	Provider Name	Ν	Y
•	Provider Signature	Ν	Y
•	Provider Company Name	Ν	Y
•	Date Signed	Ν	Y

Figure 250: DSASP Resource Report Section 2 Example

	ORIS = Value will be po All others to be filled o	pulated by DRIS ut by DSASP Provide r		
DSASP	Resource Sub	omittal		
Resource Type, Bid P	rivelages and Unit Con	nmitment Parameters		Date: 09/27/2013 Page 2 of 2
	Ŭ		Submittal ID:	DRIS
Part 2: DSASP Dema	nd Side Resource(s) Re	eporting: DRIS Form	DSASP Agg ID:	DRIS
			Generator PTID:	DRIS
DSASP Aggregation Type:	DRIS	DS of Project Type:	DRIS	
Generator Limits	4	Biu 🐂 J	DAM	RTM
Summer MW Rating	DRIS	Dispatch Energy	*	*
Winter MW Rating	DRIS	10 min Spin	*	*
Physical min Gen (MWs)		30 min Spin	*	*
Emergency Response Rate (MWs/Min)		10 min Non-Sync	*	*
Max Regulation Response Rate (MWs/Min		30 min Non-Sync	*	*
Normal Response Rate (MWs/Min)		Regulation Control	*	*
		*Subject to NYISO Gen	Bid Rules	
Authorized DSASP Demand Redn Provider Signature	To be completed b	y DSASP Provider	Date Signed	To be completed by DSASP Provider
Authorized DSASP Demand Reduction Provider Printed Name	To be completed b	y DSASP Provider	DSASP Demand Reduction Provider Company Name	To be completed by DSASP Provider
Return Completed and Signed form to: Men	ber Relations NYIS), 10 Krey boulevard, Rer	sselaer, NY 12144	

* To be completed by DSASP Provider If not applicable, enter "N/A"

Note: Section 2 of the DSASP Resource Report is required to be sent to Registration in the NYISO Member Relations Department when the DSASP Provider submits a DSASP Aggregation.

Note: If a DSASP Aggregation Submittal is generated in error, it must be canceled by calling the NYISO Stakeholder Services at 518-356-6060. When calling, have the Aggregation ID and Submittal ID associated with the Submission.

11.2.3.3. DSASP Resource Report Section 3

The DSASP Resource Report Section 3 is populated with all *Qualified* Demand-Side Resources which are being removed from the DSASP Aggregation. This section will populate when the DSASP Resource Report is generated and one or more Demand-Side Resources are being removed from the *Qualified* aggregation. The DSASP Resource Report Section 3 is *NOT* required and should not be sent with Sections 1 & 2 of the DSASP Resource Report. The DSASP Provider is required to maintain the DSASP Resource Report Section 3 for the records of the organization.

Figure 251: DSASP Resource Report Section 3 Fields

	Respons	ible Entity for
	Populati	ng Information
DSASP Resource Report Section 1	L DRIS	MP
 Submittal ID 	Y	Ν
 DSASP Aggregation ID 	Y	Ν
 Generator PTID 	Y	Ν
 DRIS Resource ID 	Y	Ν
 Resource Name 	Y	Ν
 Zip Code 	Y	Ν
 TO Account # 	Y	Ν
 Resource Summer kW 	Y	Ν
 Resource Winter kW 	Y	Ν
 Seasonal Aggregation MW 	Y	Ν

Figure 252: DSASP Resource Report Section 3 Example

De	emand-Sid	e Resource Re	moval Summ	ary	Date: 09/27/2013
				Submittal ID:	DRIS
				DSASP Agg ID:	DRIS
*These Resources wil	l be removed	from this Aggrega	tion	Generator PTID:	DRIS
DRIS Resource ID	Resource Name	Zip Code	TO Account #	Summer kW	Winter kW
DRIS	DRIS	DRIS	DRIS	DRIS	DRIS
			_		
			/_		
	-				
		f			
		7			
	·		Summer	Winter	
	Total MW	/ Being Removed:	DRIS	DRIS	

DRIS = Value will be populated by DRIS

*Do not include this form with the DSASP Resource Report submitted to the NYISO Please keep this document for your own records.

Note: Section 3 of the DSASP Resource Report is not required, and should not be sent to Registration in the NYISO Member Relations Department when the DSASP Provider submits a DSASP Resource Aggregation. The DSASP Provider must retain the DSASP Resource Report Section 3 for the records of the organization.

Note: If a DSASP Aggregation Submittal is generated in error, it must be canceled by calling the NYISO Stakeholder Services at 518-356-6060. When calling, have the Aggregation ID and Submittal ID associated with the Submission.

11.3. Viewing DSASP Submittals

Viewing DSASP Submittals involves both summary and detailed views of the DSASP Aggregation, as it existed at the time of submission. DSASP Providers can view the DSASP Submittals summary grid which displays data at the aggregation level or Submitted Resources grid which displays details of the Demand-Side Resources which were included as part of the Qualified aggregation or which were included to be removed from the Qualified aggregation at the time of the submission. (see Figure 253). Some or all of the following details and functions can be accessed from the DSASP Submittals screen within DRIS:

- Aggregation Submittal Summary
- Demand-Side Resource Submittal Details
- Demand-Side Resource Submittal Action
- Download Historical DSASP Resource Reports

Figure 253: DSASP Submittals Screen

lain - MP -	Resource -	SCR -	Performance	Eactors - D	R Event -	Mitigation - Tables - Notific	ation - DSASP	BTM		
								S	earch Crite	eria
MP Name:		×	Aggregation:	*	Zone:	Submittal From Date:	×			
			Submittal ID:	*		Submittal To Date:	× 🔤 Disp	ay 🗧		-
ASP Submittals										
	Subr	nittal D	Submital Date	Submittal End Date	Submitted By	Aggregation ID Gen PTID Zone Resource	Aggregation Product Ty	pe Summer Sub	bscribed Summer Subscrit Gen/I/W	bed Summe Rating/
			-	1						
			S	Jmm	arv	Grid Deta	ils			
		_	212.21		J	0110 2000				
							Tot	al count: 0 Do	whiload Registration Detail	s (43)E
bmitted Resourc	es						Toti	il count: 0 Do	wnload Registration Detail	s (4) E
bmitted Resource	tes turce Name Aggregatio	on ID Su	omittal Action Zone	Response Type Su	mmer Subscribed	Summer Subscribed Summer Winter Subscribed Summer	ribed Winter Subscribed	Winter Ration(MV)	whiload Registration Detail	s ((*a) 5
bmitted Resourd	ces surce Name Aggregatio	on ID Su	omittal Action Zone	Response Type Su	mmer Subscribed ad(KW)	Summer Subscribed Summer Winter Subsc Gen(kW) Rating(kW) Load(kW)	ribed Winter Subscribed Gen(KW)	Winter Rating(KW)	wnload Registration Detail	s (4 <u>3</u>):
bmitted Resource	tes surce filame Aggrégatio	on D Su	omttal Action Zone	Response Type Su	mmer Subscribed ad(KW)	Summer Subscribed Summer Winter Subscribed Gen(WV) Rating(KW) Load(WV)	ribed Winter Subscribed Gen(KW)	Winter Rating(kW)	wnload Registration Details	8 (19)
bmitted Resource	urce Name Aggregatio	on ID Su	omital Action Zone	Response Type Lou	mmer Subscribed ad(KW)	Summer Subscribed Summer Winter Subsc Gen(WV) Rating(KV) Loao(KV)	ribed Winter Subscribed Gen(KW)	Winter Rating(kW)	wnload Registration Detaib	8 (1 <u>1</u>) E
abmitted Resource	ces nurce liame Aggregatio	on ID Su	omital Action Zone	Response Type Su Let	mmer Subscribed ad(KV)	Sumer Subschled Sumer Winter Subs Gen(W) Rating(W) Lead(W):	Tots	Winter Rating(KW)	wonload Registration Detail	s (4 <u>3</u>)E
bmitted Resource	ces nurce liame Aggregate	on D Su	omittel Action Zone	Response Type Lev	mmer Subscribed ad(KW)	Sumer Suscrites Sumer Benow Rategiow Leadow	Tota Vinter Subscribed Gen(NV)	Winter Rating(KW)	wonload Registration Detail	s (* <u>a</u>)E
isource D Resc	ses surce Name Aggregate	on ID Su	omital Action Zone	Response Type Lot	mmer Subscribed ad(KW)	Grid Deta	Total Vinter Subscribed Gen(NV)	Winter Rating(kW)	wonload Registration Details	3 <u>1</u> 6
source D Resc	ses surce /iame Aggrégato	on D Su	onital Action Zone	Response Type Su Lec	nmer Subscribed ad(KV)	Summer Subscribes Summer Gen(XV) Rang(XVI) Lead(XV)	rited Winter Subscrited Gen(XV)	Winter Rating(KW)	wonload Registration Details	s <u>n</u> gj 5
source D Resc	uurce Name Aggregatz	on D Su	onital Action Zone	Response Type Lee	nmer Subscribed ad(KV)	Summer Subscribed Summer Gen(UV) Rang(UV) Lead(UV)	nteed Winter Subscribed Gen(WY)	Winter Rating(KW)	wwnload Registration Detail	s 4 <u>3</u> ,5
abmitted Resource esource D Reso	uurce Name Aggregatio	on ID Su	ental Action Zene	Response Type	nmer Subscribed ad(KV)	Summer Subacrided Summer Gentivity Raingstviti Lead(sv)	Tele Verse Winter Subscribed Gen(XV)	Winter Rating(KW)	wwnload Registration Details	3,69

When viewing DSASP Submittals and Demand-Side Resources within a DSASP Submittal, the DSASP Provider is not required to initially select search criteria, after navigating to the page.

11.3.1. Viewing DSASP Aggregation Submittal Summary

Viewing DSASP Submittals at the aggregation level, containing either an individual DSR or a group of DSRs, is the first step in viewing summary data of the aggregation at the time it was submitted for Qualification and downloading previously generated DSASP Resource Reports. Performing search functionality from the DSASP Submittals screen allows the DSASP Provider to view and select DSASP Aggregations to view additional details of the Demand-Side Resources within the aggregation.

The DSASP Provider can narrow the data the system displays by any or all of the following additional parameters:

- Aggregation
- Zone

- Submittal ID
- Submittal From Date
- Submittal To Date

Regardless of viewing scope, the system displays data for each DSASP Submittal, by Submittal ID descending.

DSASP Submittals

- MP Name
- Submittal ID
- Submittal Date
- Submittal End Date
- Submitted By
- Aggregation ID
- Gen PTID
- Zone
- Resource Count
- Aggregation Type
- Product Type
- Summer Subscribed Load(kW)
- Summer Subscribed Gen(kW)
- Summer Rating (MW)
- Winter Subscribed Load(kW)
- Winter Subscribed Load(kW)
- Winter Rating (MW)
- Direct Communication
- Canceled Flag
- Last Update Time
- Last Updated By

Note: In the DSASP menu the selection of "Submittals" will navigate the user to the DSASP Submittals screen which provides the ability to view DSASP Aggregations at a snapshot in time, as they existed for a submission. This screen will be viewable upon MP registration as a DSASP Provider and will begin to populate once the first DSASP Submittal has occurred.

Note: In the Submittal End Date field column, a populated value signifies that the Submittal has been closed out, either due to becoming *Qualified* and participating in the market or by being *Canceled* at the request of the DSASP Provider or by the NYISO (see Figure 254).

Note: When the first Submittal occurs for a DSASP Aggregation, the summary level details displayed will not include the *Submittal End Date, Gen PTID* and *Canceled* fields. These field values will populate once the DSASP Aggregation has become *Qualified* or the submission has been *Canceled*, (see Figure 254).

Figure 254: DSASP Submittals

-	SOMEW YORK	ENT ERATOR 10/ Tomorrow.	Deman DSASP	d Response Submittals	Informa	tion Sy	stem							
Main + M	P ▼ Resource ▼	SCR - Pe	erformance Factors	→ DR Event → N	Aitigation - T	ables - No	otification	• DS	ASP - I	втм⊸				
MP Name	Market Particip	pant	✓ Aggregation:	~	Zone:	× :	Submittal Fr	rom Dat	e:	× (a			
			Submittal ID:	*			Submittal	To Dat	e:	×	3 Display			
DSASP Subm	ittals													_
MP		Submittal ID	Submittal Date	Submittal End Date	Submitted By	Aggregation I	Gen PTID	Zone	Resource Count	Aggregation Type	Product Type	Summer Subscribed Load(MW)	Summer Subscribed Gen(MW)	Summer Rating(MW
M	arket Participant	104	01/14/2013 13:47:19		MP User	1010		F	2	2 - Group	Spinning & R	1.5	0	1.5
М	arket Participant	103	01/14/2013 13:04:04	01/14/2013 13:46:50	MP User	1009		С	2	2 - Group	Spinning	1.4	0	1.4
¢														
											Total co	unt: 2 Download	Registration Details	a) Exce

Pre-requisites

- The MP is registered in MIS to participate in the DSASP program, as a DSASP Provider.
- The DSASP Provider representative performing the task has been assigned the DRIS Web UI MP Read-Only or the DRIS Web UI MP User privilege.
- The MP DRIS Web UI MP User has selected and submitted a DSASP Aggregation
- The DSASP Provider representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To view DSASP Submittals summary data

1. From the **DSASP** menu, choose **Submittals**.

The system displays the DSASP Submittals page.

2. From the corresponding search filter(s) in the uppermost frame on the DSASP Submittals page (see Figure 255), choose the **search filters** for which the system should display DSASP Aggregations.

Figure 255: DSASP Submittals Search Filters

Demand Response Information System									
Main + MP + Resource + SCR + Perfo	mance Factors - DR Event -	Mitigation - Tables - Notifi	cation + DSASP + BTM +						
MP Name: Market Participant	Aggregation:	Y Zone:	Submittal From Date:	×					
	Submittal ID:	*	Submittal To Date:	X B Daplay					

3. Near the top of the DSASP Submittals page, click the **Display** button.

The system populates the DSASP Submittals summary grid below the search filters with data for those DSASP Aggregations meeting the criteria chosen in Step 2.

Note: The system will display one entry per DSASP Submittal, depending on the defined criteria. Entries in the DSASP Submittals summary grid are displayed in ascending order based on the Submittal ID.

To refresh the DSASP Enrollment Details grid so that it displays up-to-date information, click the 👻 button in the grid status bar.

4. Optionally, download the DSASP Submittals summary data by clicking the Excel button in the lower right-hand corner of the screen, just below the summary grid.

A file containing enrollment details data for all enrollments matching the criteria specified in step 2 is saved to the designated location.

5. Additionally, optionally, the DSASP Provider has the ability to download the DSASP Resource Report represented by the selected DSASP Submittal data by highlighting the desired DSASP Aggregation and Submittal ID and then clicking the DSASP Resource Report button in the lower right-hand corner of the screen, just below the summary grid (see Figure 256).

A file containing the DSASP Resource Report for the selected record, as it existed at the time of submission, is saved to the designated location.

Figure 256: Download DSASP Resource Report



11.3.2. Viewing Demand-Side Resources within a DSASP Submittal

Viewing Demand-Side Resources within a DSASP Submittal allows the DSASP Provider to view the Demand-Side Resource details as they existed at the time of submittal. Performing search functionality from the DSASP Submittals screen allows the DSASP Provider to view and select DSASP Aggregations in greater detail. Once a DSASP Aggregation has been selected, the Submitted Resources grid on the lower half of the DSASP Submittals screen will populate with the aggregation details.

In addition to the field values for the Demand-Side Resources, the DSASP Provider can also view the specific action that was taken on each Demand-Side Resource for the selected Submittal (see Figure 257).

Submittal Action	Definition
Add	When a <i>Qualified</i> DSASP Enrollment record does not exist for the resource.
<u>Update</u>	When a <i>Qualified</i> DSASP Enrollment record exists for the resource and a field value has been modified.
<u>No Change</u>	When a <i>Qualified</i> DSASP Enrollment record exists for the resource and there are no field value modifications.
<u>Remove</u>	When a <i>Qualified</i> DSASP Enrollment record exists for the resource and it has been selected

Figure 257: DSASP Submittal Action

	for removal from the DSASP Aggregation.
<u>Separated</u>	When the resource has been separated from the DSASP Provider Portfolio.

The system displays data for each Demand-Side Resource within the aggregation, by Resource ID ascending.

Submitted Demand-Side Resource

- Resource ID
- Resource Name
- Aggregation ID
- Submittal Action
- Zone
- Response Type
- Summer Subscribed Load(kW)
- Summer Subscribed Gen(kW)
- Summer Rating (kW)
- Winter Subscribed Load(kW)
- Winter Subscribed Gen(kW)
- Winter Rating(kW)

Figure 258: DSASP Submitted Resources Details

Submitted R	esources													
Resource D	Resource Name	Aggregation D	Submittal Action	Zone	Response Type	Summer Subscribed Load(kW)	Summer Subscribed Gen(kW)	Summer Rating(KW)	Winter Subscribed Load(kW)	Winter Subscribed Gen(KW)	Winter Rating(kW)			
203625	Name 1	1009	Add	C	c	800	0	800	800	0	800			
203628	Name 4	1009	Ads	c	c	600	0	600	600	0	600			
													Total count: 2	4a) Excel
2009-2013 New York Independent System Operator: All rights reserved You are logged in								d in as	Legout					

Pre-requisites

• The MP is registered in MIS to participate in the DSASP program, as a DSASP Provider.

- The DSASP Provider representative performing the task has been assigned the DRIS Web UI MP Read-Only or the DRIS Web UI MP User privilege.
- The DSASP Provider representative performing the task has selected a DSASP Submittal from the DSASP Submittals screen.
- The DSASP Provider representative performing the task is logged in to DRIS (see section 1.3, "Accessing the System").

To view DSASP Submittal details for an aggregation

- From the DSASP Submittals summary grid, choose a DSASP Aggregation Submittal. The system displays the Submitted Resources details in the corresponding *Submitted Resources* grid.
- 2. Optionally, download the Submitted Resources details data by clicking the Excel button in the lower right-hand corner of the corresponding tab, just below the grid.

A file containing Submitted enrollment details data for all enrollments matching the criteria specified in the search criteria for the DSASP Aggregation selected is saved to the designated location.

12. Performing Reliability Program Demand Response Event-Specific or Test-Specific Tasks

For NYISO's Reliability Programs, Demand Response Events and Tests consist of receiving Event Notifications, reporting expected curtailment values by zone or subload pocket and reporting resource load reduction data following the event or test.

DRIS Event Notification provides the means to perform the following:

- Receive Event Notifications for events and performance tests
- View Event Notifications requiring an expected curtailment value
- Report expected curtailment values by zone or subload pocket
- View summary data of a specific event or test notification
- Receive and acknowledge Event Notifications for Communication Tests

For those MPs with resources that curtailed load in response to a Demand Response Event or Test, DRIS provides the means to perform the following:

- View details of a specific event or test
- Report and view resource responses to an event or test
- View resource payment details for an event or test
- Export payment details for an event or test

12.1. Receiving Event Notifications

Market Participants will be notified of Demand Response events, SCR performance tests, and communication tests through an email message and an automated phone message.

Before an MP organization can receive Event Notifications, the following criteria must be met:

The MP organization must have set up and assigned one or more Event-Responder Contacts in DRIS for the specific DR program (see Section 6 "

Managing Market Participant Organization Contacts").

- The MP must have enrolled resources for the Capability Period and auction month in one or more zones or subload pockets indicated on the Event Notification.
- An MP organization participating in the SCR program must establish an Event-Responder Contact for both the SCR and EDRP programs to ensure receipt of Event Notifications in auction months when an SCR resource has zero auction sales and is converted to an EDRP resource.

Note: Only contacts within DRIS with a contact type association of Event-Responder will receive Event Notifications of Demand Response events, SCR performance tests, and communication tests called by the NYISO.

As illustrated in Figure 259 and Figure 260, both email and automated phone Event Notifications contain the following parameters:

- Notification Type: NYISO Event, Targeted Demand Response Program Event, Performance Test 1, or Performance Test 2
- *Program:* SCR or EDRP
- Message Type: Day-Ahead Advisory, In-Day Advisory, 2-Hour Activation, Immediate Activation, Extension, Early Termination, or Communication Test
- Zone(s) or Subload Pocket(s)
- Start Time of Event
- End Time of Event
- *Date of Performance Tests:* for Performance Test Event Notifications only

Additionally, the email Event Notification will indicate the "From" email address as edrp-

<u>scr@nyiso.com</u> and display a "Subject" line stating the Message Type, Program, Zone(s) or Subload Pocket(s), Date of Event, Start Time of Event and End Time of Event (see Figure 259).

The automated phone Event Notification will display the caller ID as the NYISO Customer Relations phone number, "518-356-6060" and begin with "This is a message from the New York ISO" (see Figure 260).

Note: After the initial automated phone statement, "This is a message from the New York ISO", the automated phone system will prompt the listener to "Press 1" to play the Event Notification message. Once the message has completed, the system will prompt the listener to "Press 1" to confirm receipt of the message or "Press 2" to replay the message.

Figure 259: Example Email Message for SCR 2-Hour Activation

- Email from: <u>edrp-scr@nyiso.com</u>
- Email Subject Line:
 - Activation(2 Hour Notice) Notification for SCR Resources in Zones G,
 I, J, K starting on 05/24/2012 at 14:00 and ending at 18:00

Email Content:

Special Case Resources are needed today starting at 14:00 and ending at 18:00 Special Case Resources located in zones G, I, J, K are subject to this notice. Each Responsible Interface Party (RIP) must respond within one hour by logging into the NYISO Demand Response Information System (DRIS) and entering the load reduction levels (KWs) expected to be achieved on the system in each zone. This data is used for reliability purposes.

Participation in this event is mandatory for each Special Case Resource sold during 05/2012 and load reduction achieved by each Special Case Resource during this event is expected to be at the value of the KWs sold. Special Case Resources that fail to participate in this event at the value of the KWs sold during 05/2012 will be subject to future de-rating, as described in the applicable ISO Procedures and the corresponding RIPs may be subject to deficiency penalties pursuant to ISO Procedures.

This constitutes an official Special Case Resource Event Activation Two Hour notice. If any questions arise concerning this Special Case Resource Activation Two Hour notice please contact NYISO Customer Relations at 518-356-6060.

Figure 260: Example Phone Message for SCR 2-Hour Activation

- Phone Caller ID: 518-356-6060
- Phone Introduction:
 - "This is a message from the New York ISO"
- Phone Message Content:

Special Case Resources are needed today starting at **14:00** and ending at **18:00**. Special Case Resources located in zones **G**, **I**, **J**, **K** are subject to this notice. Each Responsible Interface Party must respond within one hour in accordance with separate email instructions. Participation in this event is mandatory for each Special Case Resource sold during 05/2012 and load reduction achieved by each Special Case Resource during this event is expected to be at the value of the KWs sold. This constitutes an official Special Case Resource <u>Event Activation 2 Hour notice</u>. If any questions arise concerning this Special Case Resource Event 2 Hour notice please contact NYISO Customer Relations at 518-356-6060.
12.2. Viewing Event Notifications Requiring an Expected Curtailment Response

After MPs have received an Event Notification, which requires a response in the form of an expected curtailment value, the Event Notification is viewable in DRIS.

Event Notifications which require an expected curtailment value include:

- All NYISO and TDRP Events with the Message Type of:
 - Day-Ahead Advisory
 - In-Day Advisory
 - 2-Hour Activation
 - Immediate Activation
- SCR Performance Test 1 and SCR Performance Test 2 with the Message Type of:
 - Day-Ahead Advisory
 - 2-Hour Activation

Viewing Event Notifications requiring an expected curtailment value provides the MP with a snapshot of notifications that may be narrowed by the following parameters:

- Capability Period
- Events from date
- Notification type
- Message type
- Program
- Zone or Subload Pocket
- Include Expired

The system initially displays all notifications for the current Capability Period. The following data is displayed for each notification:

- Capability Period
- Notification type
- Notice sent date/time
- Message type
- Program
- Event start date/time

- Event end date/time
- Zone(s) or Subload pocket(s)
- Response expiration date/time
- Notification created by

Pre-requisites

- The MP representative performing the task is logged in to DRIS (see section 1.3, "Accessing the System").
- An Event Notification requiring an expected curtailment value exists in DRIS.

To view an Event Notification requiring an excepted curtailment value

1. From the Notification menu, choose Response Summary.

The system displays the Notification Responses page with search criteria defaulted to initially display all Event Notifications for the current Capability Period. To further narrow or expand the display of Event Notifications, from the corresponding search filters in the uppermost frame on the Notification Responses page (see Figure 261), choose from any combination of **Capability Period, Events from Date, Notification Type, Program, Message Type, Zone,** and/or **Exclude Expired** for which the system should display Event Notifications.

Figure 261: Notification Responses Page Search Filters

- 150	NEW YORK	10morpor	Demand Re Notification Re	sponse l esponses	nformation Syste	em			
lain + MP + Resou	Irce - SCR-	Perform	nance Factors - DR Event -	Mitigation • 1	Tables	SP• BTM•	-		
Capability Period:		*	Notification Type:	Y	Message Type:	×	Include Expired: 11	~	
Events From Date:	05/24/2012	XT	Program:	*	Zone:	~			- Control

2. Near the top of the Notification Responses page, click the **Display** button.

The system populates the Notification Responses page below the search filters with data for the events or tests meeting the criteria chosen in at step 2 (see Figure 262).

Figure 262: Notification Responses Page Populated with Data

1/2012 × 3	Disame.						
	Programs	*	Zone:	۷		Display	
onse Summary							
aton Notice Date Tr	ne Wessage Type	Program	Event Start Date/Time	Event End Date/Time	Zones	Response Expiration Date Time	Notification Created By
Event 05/24/2012 05	500 Day-Ahead Advisory	SCR	05/25/2012 14:00	05/25/2012 18:00	G, L J, K	05/24/2012 10:00	NYISO
Event 05/24/2012 08	201 Day-Ahead Advisory	EDRP	05/25/2012 14:00	05/25/2012 18:00	G.I.J.K	15/24/2012 10:01	10/80
	nse Summary ton Notce DateT Event 05:24/2012 00 Event 05:24/2012 00	Inse Summary 101 Notice Date/Time Hessage Type Event 05/24/2012/08/00 Day-Ahead Advisory Event 05/24/2012/08/01 Day-Ahead Advisory	Inse Summary Ion Nonce Date-Time Wessage Type Program Event 05/24/2012 08:00 Day-Ahead Advisory SCR Event 05/24/2012 08:01 Day-Ahead Advisory SCR	Inse Summary Program Event Start Ion Nonce Date Time Message Type Program Event Start Event 05/24/2012 08:00 Day-Ahead Advisory SCR 05/25/2012 14:00 Event 05/24/2012 08:01 Day-Ahead Advisory EDRP 05/25/2012 14:00	Inse Summary Event Start Event Start Event End Ion Micce DataTime Wessage Type Program Event Start Event End Event 05/24/2012 08:00 Data-Time Data-Time Data-Time Event 05/24/2012 08:00 Data-Advisory SCR 05/25/2012 18:00 05/25/2012 18:00 Event 05/24/2012 08:01 Day-Anead Advisory ECRP 05/25/2012 14:00 05/25/2012 18:00	Inse Summary Event Start Event End Zones Ion Microe Date/Time Message Type Program Event Start Event End Zones Event 05/24/2012 08:00 Date/-Aneed Advisory SCR 05/25/2012 18:00 G, U, K Event 05/24/2012 08:00 Date/-Aneed Advisory SCR 05/25/2012 18:00 G, U, K Event 05/24/2012 08:01 Dave/Aneed Advisory FTOP 05/25/2012 18:00 G, U, K	Ase Summary ton Noice Date/Time Vessage Type Program Event Start Date/Time Date/Time Zones Response Event 05/24/2012/05/00 Date/Time Date/Time Concernance Response Event 05/24/2012/05/00 Date/Time Date/Time Concernance Response Event 05/24/2012/05/00 Date/Time Concernance Response Event 05/24/2012/05/2012/05/00 Date/Time Concernance Response Event 05/24/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2012/05/2000/05/2000/05/2000/05/20

Note: The Notification Responses page defaults to display all Event Notifications requiring an expected curtailment value that have a Response Expiration Date/Time which has not yet expired.

3. In the Notification Requiring a Response Summary grid below the search filters, click the row corresponding to the Event Notification for which expected curtailment values have been previously entered.

The Notification Responses frame in the lowermost area of the page refreshes to display the expected curtailment values for the specific zones or subload pockets on the Event Notification (see Figure 263).

Figure 263: Notification Responses	Frame Populated with	Expected Curtailment Values
------------------------------------	----------------------	-----------------------------

Capability Peri	od: Summer 2012	· Notfication Tyr	NC .	· Mess	ape Type:		* Inclus	e Expired: N	×			
Events From Da	ce: 05/24/2012	X C Props	en:	~	Zone:		~			Capity		
dification Require	ng è Response Sun	mary										
spability Period	Notification Type	Notice Date/Time	Wessage Type	Program	Event Start Date/Time	Ev	vers End ala/Time	Zones		Response Expiration Date/Time	Notification Created By	
Summer 2012	NYISO Event	05/24/2012 08:00	Day-Ahead Advaory	SCR	05/25/2012 14	00 05	5/25/2012 10:00	OLLK		05/24/2012 10:00	NVISO	
Summer 2012	NVISO Event	05/24/2012 08:01	Day-Aneas Advisory	60.99	05/25/2012 14	100 05	5/25/2012 18:00	0.11.1		05/24/2012 10:01	10/100	
Ufication Respon	nes									Te	te court 2 Respond	1420
offication Respon		Terar ny Avalabe	Expected xil/ Con	inthere N	ict Participating	Response U	ser Response	Date-Time		Te	tal court 2 Respond	1920
Uffication Respon Ne UP fiame Market Pa Market Pa	nicipant rtopant	Tear to Avalable 25.000 1.900	Expected xill Con 20,000 0	inthert N	Int Participating	Response U User Nam User Nam	er Response 6 05/24/2012 19 05/24/2012	Date/Time 06.12.22 08.12.22		Tu	la court 2 Annoord	(*2)

12.3. Responding to Event Notifications Requiring an Expected Curtailment Value

Market Participants should respond through DRIS with the expected curtailment values for each zone or subload pocket indicated in the Event Notification for which resources are enrolled.

The Response Expiration Date/Time of the Event Notification specifies at what time expected curtailment values will no longer be accepted into DRIS. The Response Expiration Date/Time is determined by the Notice Sent Date/Time (see Figure 262). Market Participants will have one hour to respond with expected curtailment values for all event and performance test notifications which require a response.

Market Participants may update expected curtailment values to an Event Notification multiple times prior to the Response Expiration Date/Time. The zonal or subload pocket expected curtailment value with the most recent submittal date will be saved in DRIS.

Once the Response Expiration Date/Time has passed, MPs will not be able to enter an expected curtailment value for the Event Notification.

Note: Market Participants and their resources are expected to reduce load during the hours of the Event even if the MP has not entered the expected curtailment values before the Response Expiration Date/Time. Reporting of the expected curtailment values in response to an Event Notification does not modify the actual load reduction that is expected of the resource during the actual hours of the event.

Note: MPs will receive a separate Event Notification for Events that are either extended or terminated early from the original event end time for one or more of the zones or subload pockets on the original Event Notification. MPs will not respond with expected kW curtailment values to Events that are extended or terminated early. The MP expected kW curtailment value from the original Event Notification will be used as the MP expected kW curtailment value for Events which are extended.

Pre-requisites

- The MP organization is registered in MIS on the date that the event or test occurs.
- The MP organization is enrolled in DRIS in the DR program and Capability Period for which the event or test occurs.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
- The MP organization must have enrolled resources for the Capability Period and auction month in one or more zones or subload pockets indicated on the Event Notification.
- The Event Notification to which the MP is responding has not yet expired.

To respond to an Event Notification

1. From the **Notification** menu, choose **Response Summary**.

The system displays the Notification Responses page with search criteria defaulted to initially display all Event Notifications for the Capability Period.

 To further narrow or expand the display of Event Notifications, from the corresponding search filters in the uppermost frame on the Notification Responses page (see Figure 264), choose from any combination of Capability Period, Events from Date, Notification Type, Program, Message Type, Zone, and/or Exclude Expired for which the system should display Event Notifications.

Figure 264: Notification Responses Page Search Filters

-150	NEW YORK INDEPENDENT YSTEM OPERATOR	Demand Re Notification R	esponse In Responses	formation Sys	tem			
Main • MP • Resour	ce · SCR · Perform	nance Factors + DR Event +	Mitigation - Tab	eles - Notification - DS	ASP ▼ BTM ▼			
Capability Period:	v	Notification Type:	× 1	lessage Type:	✓ Ind	ude Expred: N	×	
Events From Date:	05/24/2012 × 🖪	Program:	~	Zone:	*			Display

3. Near the top of the Notification Responses page, click the **Display** button.

The system populates the Notification Responses page below the search filters with data for the events or tests meeting the criteria chosen in at step 2 (see Figure 265).

Figure 265 : Notification Responses Page with Notification Selected for Responding with Expected Curtailment Values

on By

4. Select the row containing the Event Notification for which expected curtailment values are to be entered and click the **Respond** button in the lower-right corner of the frame to initiate the Notification Response window (see Figure 265).

5. The Notification Response window provides the text of the email from the Event Notification message, the zone(s) or subload pocket(s) from the Event Notification which are specific to the MP organization, and the Total kW Available curtailment by zone or subload pocket (see Figure 266).

Notificati	on Response						
Email	Message Sent:	A NYISO Day-Ahead program is being call and ending at hour zones (G, I, J, K).	Advisory for the SCR ed starting at hour 14:00 18:00 on 05/25/2012 for				
Notifica	tion Decoonces						
Zone	MP Name		Total kW Available	Expected kW Commitment	Not Participating	Response User	Response Date/Time
J	Market P	articinant	25 000				
к	Market P	articipant	1,900				
Save	Cancel						

Figure 266: Notification Response Window

6. To enter the expected curtailment value for each zone or subload pocket click the Expected kW Commitment field to make it editable (see Figure 267) and type in the numeric value of expected curtailment in kW increments (see Figure 268).

Note: The Expected kW Commitment may be greater than the Total kW Available. DRIS will provide a Warning message when the Expected kW Commitment exceeds 110% of the Total kW Available for a specific zone or subload pocket. The user should accept the Warning message and the value will be saved in DRIS. The value may then be changed by clicking on the Expected kW Curtailment field and entering and saving a new Expected kW Commitment.

7. Alternately, to indicate no expected curtailment value for a specific zone or subload pocket, check the Not Participating box for the row of the zone or subload pocket.

DRIS will automatically assign an Expected kW Commitment value of zero (see Figure 268).

Figure 267: Notification Response Window with Expected kW Commitment field made Editable

otificati	on Response							
Email	Message Sent:	A NYISO Day-Aheac program is being cal and ending at hour zones (G, I, J, K).	l Advisory for the SCR led starting at hour 14:00 18:00 on 05/25/2012 for	×				
otifica	tion Responses							
Zone	MP Name		Total kW Available	Expected kW Commitment	Not Participating	Response User	Response Date/Time	
	Market Particip	pant	25,000					
(Market Particip	ant	1,900					
ave	Cancel							

Figure 268: Notification Response Window with Expected kW Commitment Values

Email Message	Sent: A NYISO Day program is by and ending a zones (G, I, J	/-Ahead Advisory for the SCR eing called starting at hour 14: at hour 18:00 on 05/25/2012 J, K).	:00 for			
otification Resp	onses					
Zone MP Name		Total kW Available	Expected kW Commitment	Not Participating	Response User	Response Date/Time
Market	Participant	25,000	20,000			
(Market I	Participant	1,900	0	V		

- 8. When all zones or subload pockets have had Expected kW Commitment values assigned or been indicated as Not Participating, click the **Save** button in the lower-left corner of the frame to save the response to the Event Notification (see Figure 268).
- 9. View the saved responses to the Event Notification from the lowermost area of the Notification Responses page (see Figure 269).

Figure 269: Notification Responses Frame Populated with Expected Curtailment Values

E.	150	A STATE OF STATE	Gron .	Den No	nand Re	sponses	e Info	ormation	Syst	em							
Main	MP+ R	esource +	SCR-	Performance	e Factors -	DR Even	t+ M	itigation -	Tables +	Notificat	tion +	DSASP-	BTM-	_			
0	publicy Period:	Summer 201	2 ~	Notification Typ	e:		- Mei	aage Type:			Includ	e Expred: II		~			_
Eve	nts From Date:	05/24/2012	10	Program	mi;		÷	Zone:						-	Descing		
Notifical	tion Requiring	a Response Su	in														
Capablit	Period	hosticator Type	histor	Date/Time	Measage Type		Pogran	Event Start Date/Time		Event End Date/Time		Zores		Res Exc	Internation Date/Time	Nonfication Created By	
Summ	er 2012	NVISO Event	05/24	2012 08:00	Day-Areas As	weery-	SCR	05/05/0012	14:00	05/25/2012 1	00:00	0.12.8		050	24/2012 10:00	NVI60	
Summ	r 2012	NVISO Event	05/24	2012 08 01	Cay-Aheat Ad	vietry:	1099	05/05/0012	14 00	05/25/2012 1	18.00	GULK		550	24/2012 10:01	NVIB0	
etika	tion Response	Þ													Tel	el courit 2. Respons	- Matrix
Zone	UP Name		7	Walkers WAIste	Expec	sed IVV Commit	rent	Not Partopeting	Respon	Ne Caler Re	expense (Date/Time					
1	Market Partic	iparit	-	25,000	20.000	2		0	Userh	iame 05	1/24/2012	06 12 22					
	Mane Parto	φur.		1,000				8)	Citri I	2014 OJ	02*2012	09 16.22					
																Tetal court	2 ALExan

12.4. Viewing Event Notifications

Market Participants can view summary information for event, SCR performance test, and communication test notifications issued by the NYISO. This allows for viewing of all notifications, including those for which the MP was not eligible to participate.

Viewing Event Notifications provides the MP with a snapshot of notifications which may be narrowed by the following parameters:

- Capability Period
- Events from date
- Notification type

- Message type
- Program
- Zone or Subload Pocket

The system initially populates the Events from Date with the system date and displays all notifications that have an Event End Date that occurs on or after the system date. The following data is displayed for each notification:

- Capability Period
- Notification type
- Notice date/time
- Message type
- Program
- Event start date/time
- Event end date/time
- Zone(s) or Subload pocket(s)
- Response expiration date/time
- Notification created by

Pre-requisites

- The MP representative performing the task is logged in to DRIS (see section 1.3, "Accessing the System").
- An Event Notification exists in DRIS.

To view an Event Notification

1. From the **Notification** menu, choose **Summary**.

The system displays the Notification Summary page with search criteria defaulted to initially display all Event Notifications with an Event End Date that occurs on the system date.

 To further narrow or expand the display of Event Notifications, from the corresponding search filters in the uppermost frame on the Notification Summary page (see Figure 270), choose from any combination of Capability Period, Events from Date, Notification Type, Program, Message Type, and Zone for which the system should display Event Notifications.

Figure 270: Notification Summary Page Search Filters

Linding Text	NEW YORK INDEPENDENT SYSTEM OPERATOR	orrow. Today	Demand Re Notification S	esponse l ^{Summary}	nformation Sys	tem	
Main • MP • Resource	SCR Perform	ance Factors	s▼ DR Event▼ Mitigati	on + Tables + No	tification ▼ DSASP ▼ BTM ▼		
Capability Period:		Y Notifi	cation Type:	*	Message Type:	*	
Events From Date:	05/24/2012	3	Program:	*	Zone:	*	Display

3. Near the top of the Notification Summary page, click the **Display** button.

The system populates the Notification Summary page below the search filters with data for the events or tests meeting the criteria chosen in at step 2 (see Figure 271).

Figure 271: Notification Summary Page Populated with Date

	10300100 . 501	R - Performance Fa	ctors · DR Event ·	Mitigation	 Tables - Noti 	fication · DSASP ·	BTM-			
Capability Per	od:	 Notification Typ 	et	✓ Mess	age Type:	v				
Events From Da	ate: 05/24/2012	× Program	π	۷	Zone:	¥ _0a	oley			
iotification Summ	ary									
Capability Period	Notification Type	Notice Date/Time	Wessage Type	Program	Event Start Date/Time	Event End Date/Time	Zones	Response Expiration Date/Time	Notification Created By	
Summer 2012	NVISO Event	05/24/2012 13:29	Extension	SCR	05/24/2012 14:00	05/24/2012 20:00	1		NYIS0	
Summer 2012	MVISO Event	05/24/2012 13:08	Communication Test		05/24/2012 13:08	05/24/2012 14:30		05/24/2012 14:30	NY/SO	
	NVISO Event	05/24/2012 12:50	Activation(2 Hour Notice)	EDRP	05/24/2012 14:00	05/24/2012 18:00	G.LJ.K	05/24/2012 13:30	NYIS0	
Summer 2012		05/24/2012 12:49	Activation(2 Hour Notice)	SCR	05/24/2012 14:00	05/24/2012 18:00	G.LJ.K	05/24/2012 13:30	NVISO.	
Summer 2012 Summer 2012	NY/SQ Event		-	6003	05/25/2012 14:00	05/25/2012 18:00	QULK	05/24/2012 10:01	NVISO.	
Summer 2012 Summer 2012 Summer 2012	NVISO Event NVISO Event	05/24/2012 08:01	Day-Altead Advisory	2010						

Note: The Notification Summary page defaults to display all Event Notifications with an Event End Date that occurs on or after the system date.

12.5. Receiving and Acknowledging Communication Tests

A Communication Test is a notification which provides for testing of the Event Notification process. The test allows for MPs to verify receipt of communications from the NYISO through email and phone and verify the ability of the MP organization to access DRIS to respond to a notification.

After MPs have received a Communication Test which requires an acknowledgement of receipt in DRIS, the Event Notification is viewable in DRIS.

Before an MP organization can receive a Communication Test, the following criteria must be met:

The MP organization must have set up and assigned one or more Event-Responder Contacts in DRIS for the specific DR program (see section 6 "

Managing Market Participant Organization Contacts").

Additionally, the email Communication Test will indicate the "From" email address as <u>edrp-</u> <u>scr@nyiso.com</u> and display a "Subject" line announcing that it is a Communication Test (see Figure 272).

The automated phone Event Notification will display the caller ID as the NYISO Customer Relations phone number, "518-356-6060" and begin with "This is a message from the New York ISO" (see Figure 273).

Note: After the initial automated phone statement, "This is a message from the New York ISO", the automated phone system will prompt the listener to "Press 1" to play the Event Notification message. Once the message has completed the system will prompt the listener to "Press 1" to confirm receipt of the message or "Press 2" to replay the message.

Figure 272: Example Email Message for Communication Test

- Email from: <u>edrp-scr@nyiso.com</u>
- Email Subject Line:
 - NYISO Communication Test

Email Content:

This is a communications test of the Demand Response Emergency Notification System for Emergency Demand Response Program (EDRP) resources and Special Case Resources in Zones A through K. This communication test is used to verify that Event Responder contacts in the Demand Response Information System (DRIS) are receiving e-mail and phone messages.

Individual resources are not required to respond.

Please have one representative from your organization provide a response within one hour by logging into the NYISO Demand Response Information System.

If any questions arise concerning this communications test please contact NYISO Customer Relations at 518-356-6060.

Figure 273: Example Phone Message for Communication Test

- Phone Caller ID: 518-356-6060
- Phone Introduction:
 - "This is a message from the New York ISO"
- Phone Message Content:

This is a <u>communications test</u> of the Demand Response Emergency Notification System for Emergency Demand Response Program resources and Special Case Resources in Zones A through K. This communication test is used to verify that all Event Responder contacts in the Demand Response Information System are receiving e-mail and phone messages. Individual resources are not required to respond. Please have one representative from your organization respond within one hour in accordance with separate email instructions. If any questions arise concerning this communications test please contact NYISO Customer Relations at 518-356-6060.

Pre-requisites to view a Communication Test

- The MP representative performing the task is logged in to DRIS (see section 1.3, "Accessing the System").
- A Communication Test requiring an acknowledgement exists in DRIS.

To view a Communication Test

1. From the Notification menu, choose Response Summary.

The system displays the Notification Responses page with search criteria defaulted to initially display all Event Notifications for the Capability Period.

 To further narrow or expand the display of Event Notifications, from the corresponding search filters in the uppermost frame on the Notification Responses page (see Figure 274), choose from any combination of Capability Period, Events from Date, Notification Type, Program, Message Type, Zone, and/or Exclude Expired for which the system should display Event Notifications.

Figure 274: Notification Responses Page Search Filters

Demand Response Information System Notification Responses									
Main + MP + Resour	rce• SCR•	Performan	ce Factors • D	R Event - Mitigatio	n≁ Tables≁ N	lotification - DSASP-	BTM•	_	
Capability Period:		✓ Notfic	ration Type:	*	Message Type:		Include Expired: N	*	
Events From Date: 0	5/24/2012 *	B	Program:	v	Zone:	×			Display

3. Near the top of the Notification Responses page, click the **Display** button.

The system populates the Notification Responses page below the search filters with data for the events or tests meeting the criteria chosen in at step 2 (see Figure 275).

4. A Communication Test can be identified by viewing the Message Type of the Event Notification (see Figure 275). Communication Tests will have no associated program or zone(s) or subload pocket(s).

Figure 275: Notification Responses Page with Communication Test

Capability Period:	Summer 2012	 Notificati 	on Type:	~	Message Type:	 Includ 	e Expired: N	~		
Events From Date:	05/24/2012	×B	Programs	*	Zone:	*		Display		
tification Requiring	a Response Sum	many								
spability Period	Notification Type	Notice Date/Time	Message Ty	Pro Pro	pram Event Start Date/Time	Event End Date/Time	Zones	Response Expiration Date/Tim	e Created By	
Summer 2012	NVISO Event	05/24/2012 13:08	Communicati	on Test	05/24/2012 13:08	05/24/2012 14:30		05/24/2012 14:30	NVISO	

Pre-requisites to respond to a Communication Test

- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").
- A Communication Test requiring an acknowledgement exists in DRIS.
- The Communication Test the MP is acknowledging has not yet expired.

— To respond to a Communication Test

 From the Notification Responses page, select the row housing the Communication Test notification and click the **Respond** button in the lower-right corner of the frame to initiate the Notification Response window (see Figure 276). Figure 276: Notification Responses Page with Communication Test Selected for Responding with Acknowledgement

Capability Period:	Summer 2012	۷	Notification Type	e	× 16	essage Type:		Include Expred: N	۷		
Events From Date:	05/24/2012	×	Program	2	*	Zone:	*	-		Deplay	
otification Requiring a	a Response Sum	mary									
apability Period	Notification Type	Notice I	Date/Time	Wessage Type	Progra	m Event Start Date/Time	Event End Date/Time	Zones		Response Expiration Date/Time	Notification Created By
Summer 2012	NVISO Event	05/24/2	1012 13:0	Communication Test)	05/24/2012 1	108 05/24/2012 1	14:30		05/24/2012 14:30	NVISO.

2. A Confirm Message window appears requesting acknowledgement of receipt of the Communication Test (see Figure 277). Click **OK** to acknowledge receipt as a response to the Communication Test. Figure 277: Communication Test Acknowledgement Confirmation Window



3. DRIS returns to the Notification Responses screen and refreshes to display the Notification Responses frame in the lowermost area of the page with the acknowledgement of the Communication Test displayed with the Response User and Response Date/Time saved (see Figure 278).

Note: When multiple contacts at an MP organization receive the Communication Test, only one user acknowledgement per MP organization is required in DRIS. If multiple contacts from the same MP organization acknowledge the Communication Test, the confirmation with the most recent submittal date will be saved in DRIS.

Figure 278: Notification Responses Frame Populated with Communication Test Acknowledgement

Main + MP + Re	esource • S	CR-	Performance	Factors - D	R Event -	Mitigation - T	ables - Notifi	cation - DS	SASP . BTN	N '			
Capability Period:	Summer 2012	*	Notification Type		×	Message Type:		 Include B 	Bipred: N	×			
Events From Date:	05/24/2012	хC	Program		٣	Zone:		*			Display		
Affication Requiring a	Response Sum	many	_								_		
						10.000						Interior	
pability Period	Notification Type	Notice	Cate/Time	lfessage Type	Proj	yan Date/Time	Date Tr		Zones		Expiration Date-Time	Created By	
pability Period Summer 2012	Notification Type NY/SO Event	Notice 05/24	Date-Time 2012 13:08	lfessage Type Communication Te		yam Date/Time 05/24/2012 13	Date 72	112 14 30	Zones		Response Expiration Date-Time 05(24/2012 14:30	Created By NVISO	
pability Period Summer 2012	Notication Type NY/SO Event	Notice 05/24	Date-Time	Message Type Communication Te		yan Evet Start Date/Time 05/24/2012 10	Deter C Date Tr 2 08 05242	9 212 14 30	Zores		Hergonia Exprator Data Time (552422121430	Increased By Created By NYISO	1 15
pability Period Summer 2012 Statution Responses to 319 Name	Notication Type NVISO Event	Notice 05/24	Date-Time 2012 13:05	Kessage Type Comunication Te	NI Conntract	yan Ever Start Date Time 05242012 13 Not Participating	Den Tr Den Tr 108 (55242 Response User	e e 212 14 30 Response Date	Zores		Hergonia Exprator Data Time (552422121430	incruction Created By NYISO	1.6

12.6. Viewing Event or Test Details

Once the event or test has taken place, the following details about the event will be made available for viewing in DRIS. These details will be used in ascertaining proper resource response data for import into DRIS.

- *Capability Period:* Capability Period in which the event or test occurred
- *Event Type:* Designation of the event as NYISO Event, Test, or TDRP Event
- *Program:* SCR or EDRP
- *Event Start Date:* The Start Date and Time of the event
- Event End Date: The End Date and Time of the event
- *Zones:* The zones and percentages or subload pockets and percentages called for the event
- *First Hour of Payment:* The first resource response hour that will be accepted for payment, Hour Beginning
- Last Hour of Payment: The last resource response hour that will be accepted for payment, Hour Beginning

- First Hour of Performance: The first resource response hour that will be accepted for the purpose of performance calculation, Hour Beginning
- Last Hour of Performance: The last resource response hour that will be accepted for the purpose of
 performance calculation, Hour Beginning
- *CBL Start Date:* The first date of the date range that may be used as a resource CBL Date when reporting the resource response to the event
- *CBL End Date:* The last date of the date range that may be used as a resource CBL Date when reporting the resource response to the event
- *Response Submittal End Date:* The last date when resource responses will be accepted, as an import into DRIS, for the event

Note: A null value displayed in the *First Hour of Performance* and the *Last Hour of Performance* indicates an event for which there was no day-ahead notice. The performance of SCR resources in an event for which there was no day-ahead notice will not be used in the calculations of the SCR resource performance factor. However, metered kW values are still required for all event hours on the event response import file when reporting resource data for event payments for an event for which there was no day-ahead notice.

- **To view event or test details**
- 1. From the **DR Event** menu, choose **DR Event Summary**.

The system displays the Summary of Demand Response Events page.

 From the corresponding search filters in the uppermost frame on the Summary of Demand Response Events page (see Figure 279), choose from any combination of Capability Period, Month, Event Type, Program and/or Zone for which the system should display events or tests.

Figure 279: Summary of Demand Response Events Page Search Filters

	NEW YORK INDEPENDENT SYSTEM OPERATOR Energy Markets Of TomorrowTo	Demand Re Summary of De	sponse Informatio	on Sys	stem		
n▼ MP▼ Resource▼	SCR - Performance Factors	 DR Event	les▼ Notification▼ DSASP▼ BTM				
Capability Period:	¥	Event Type:	Y Program:	*	Zone:	~	
all and	-						-

3. Near the top of the Summary of Demand Response Events page, click the **Display** button.

The system populates the DR Summary page below the search filters with data for the events or tests meeting the criteria chosen at step 2 (see Figure 280).

IS		NAT OF A	De s	mand Res	ponse Informa hand Response Ever	nts	em					
Main • MP •	Resource -	SCR+ P	erformance Fa	actors . DR Ev	ent • Mitigation • Ta	ibles - Notifica	stion + DSASP	• BTM •				
Capability Peri	od: Summer 21	010	× Event Ty	pe:	Program:		Zone: 👻					
Mor	the .	~						Deslay				
Event Summary								_				
Capability Period	Event Type	Program	Everal Shart Dete	Evert End Date	Zones	First Hour of Payment	Last Hour of Payment	First Hour of Performance	Last Hour of Performance	CBL Start Date	CBL End Date	Response Subrittel End Date
Summer 2010	NVISO Event	SOR	10/28/2010 09:15	10/26/2010 14:15	A, 8, C, D, E, F, G, H, I, J, K	10/26/2010 09:00	10/25/2010 14:00	10/26/2010 09:00	10/28/2010 14:00	09/28/2010	10/26/2010	01/21/2011 19:00
Summer 2010	NVISO Event	EDRP	08/10/2010 05:00	08/10/2010 10:00	1	08/10/2010 05:00	08/10/2010 10:00	08/10/2010 05:00	08/10/2010 10:00	07/10/2010	08/08/2010	12/10/2010 19:00
Summer 2010	Test	SCR	06/03/2010 14:00	08/03/2010 15:00	A.B.C.D.E.F.O.H.I.J.K	08/03/2010 14:00	05/03/2010 14:00	05/03/2010 14:00	08/03/2010 14:00	07/04/2010	06/01/2010	11/00/2010 19:00

Figure 280: Summary of Demand Response Events Page Populated with Data

12.7. Managing Resource Responses to an Event or Test

Resource responses to an event or test must be imported into DRIS within a pre-established time frame. This limited response acceptance period occurs up to and including seventy five days from the event or test date as specified on the DRIS Event Calendar (refer to Section 2.1).

It is the responsibility of the MP to perform the following:

- 1. Create a correctly structured, formatted, and populated event response file
- 2. Import the event response file to DRIS
- 3. Review and manage as necessary the results of the import process

Task 3 immediately preceding may require the MP take an additional action in the form of, for example, correcting exceptions (i.e., data errors, changes, or omissions) that prevent import of some or all data.

Note: Reporting resource event response data through the event response import file in DRIS is the **only** means by which response data will be accepted by the NYISO. The imported data will be used for both the determination of future performance calculations and for the processing of payment for the event or test.

12.7.1. Creating an Event Response File

Resource response to an event or test for the purposes of payment processing and/or for future performance calculations is initiated via MP import of the 'Event Response Import Template' File to DRIS. The 'Event Response Import Template' file can be accessed at www.nyiso.com >demand-response >SCR

Forms & Template> DRIS_SCR_Event_Response_Import_Template.xlsx. Responses from multiple resources may be submitted at one time, provided that the responses are for the same event or test as specified by DRIS.

As illustrated by the sample event response file in Figure 281, the .xlsx file header must identify the event type, DR program, and event start date and time. The file must also contain data for each resource that responded to the event or test, and must contain column headings.



Figure 281: Sample Event Response File in Excel

In order for an event response file to be successfully processed by DRIS, the header data must meet predefined rules. Figure 282 details these rules along with the error messages the system will generate should the file violate any of the rules. Likewise, in order for resource event response data to be imported to the system, the data must meet pre-defined rules related to formatting and value, based on the DR program rules. Figure 283 details the rules specific to the resource event response data.

After creating a properly structured, formatted, and populated event response file, the MP must import the file to DRIS as the next step in reporting resource responses to an event or test.



Attribute	Rule	Error Message
Event Type	The event type in the header must match that corresponding to the event chosen via the system interface.	Event Type <event type=""> in the Import File header does not match Event Type corresponding to the event selected for Import.</event>
Program	The program in the header must match that corresponding to the event chosen via the system interface.	Program <program> in the Import File header does not match Program corresponding to the event selected for Import.</program>
Start Date	The start date and time in the header must match that corresponding to the event chosen via the system interface. Must be in the following format: MM/DD/YYYY HH:MM	Start Date <start date=""> in the Import File header does not match Start Date corresponding to the event selected for Import.</start>

Figure 283: Rules Specific to Resource Data in Event Response File

Event Response Field Name	Column in Import File	Field Format	Description and Rule(s)
Resource ID	А	Numeric	The Resource ID assigned by the NYISO.
TO Account Number	В	Text Up to 30 characters	The account number that is associated with the resource in DRIS.
Performance Only Flag	С	Text 1 character	If Resource response is to be used for Performance calculations only, enter an X into the Performance Only Flag field and the CBL Date, CBL Include Indicator, and CBL kW fields remain blank. If Resource response is to be used for both Payment and Performance calculations, leave the Performance Only field blank.
Meter Authority	D	Text Up to 3 characters	The Transmission Owner or Meter Services Entity that is providing the meter data used for the Top 40 ACL values for the resource being enrolled. The Meter Authority will be the 3 character abbreviation for the Meter Authority being used for the resource. The list of abbreviated

Event Response Field Name	Column in Import File	Field Format	Description and Rule(s)
			Meter Authority names can be found on the NYISO: <u>Approved Meter Services Entities</u>
CBL Date 1	D	Date MM/DD/YYYY	Customer Baseline calculation date 1.
CBL Include Indicator 1	E	Text 1 character	If CBL Date 1 is included in the CBL calculation, enter a Y into the CBL Indicator 1 field. If CBL Date 1 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 1 field.
CBL Date 2	F	Date MM/DD/YYYY	Customer Baseline calculation date 2.
CBL Include Indicator 2	G	Text 1 character	If CBL Date 2 is included in the CBL calculation, enter a Y into the CBL Indicator 2 field. If CBL Date 2 is not included in the CBL calculation, enter an 'N' into the CBL Indicator 2 field.
CBL Date 3	Н	Date MM/DD/YYYY	Customer Baseline calculation date 3.
CBL Include Indicator 3	1	Text 1 character	If CBL Date 3 is included in the CBL calculation, enter a Y into the CBL Indicator 3 field. If CBL Date 3 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 3 field.
CBL Date 4	J	Date MM/DD/YYYY	Customer Baseline calculation date 4.
CBL Include Indicator 4	к	Text 1 character	If CBL Date 4 is included in the CBL calculation, enter a Y into the CBL Indicator 4 field. If CBL Date 4 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 4 field.
CBL Date 5	L	Date MM/DD/YYYY	Customer Baseline calculation date 5.
CBL Include Indicator 5	М	Text 1 character	If CBL Date 5 is included in the CBL calculation, enter a Y into the CBL Indicator 5 field. If CBL Date 5 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 5 field.
CBL Date 6	Ν	Date MM/DD/YYYY	Customer Baseline calculation date 6.

Event Response Field Name	Column in Import File	Field Format	Description and Rule(s)
CBL Include Indicator 6	0	Text 1 character	If CBL Date 6 is included in the CBL calculation, enter a Y into the CBL Indicator 6 field. If CBL Date 6 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 6 field.
CBL Date 7	Р	Date MM/DD/YYYY	Customer Baseline calculation date 7.
CBL Include Indicator 7	Q	Text 1 character	If CBL Date 7 is included in the CBL calculation, enter a Y into the CBL Indicator 7 field. If CBL Date 7 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 7 field.
CBL Date 8	R	Date MM/DD/YYYY	Customer Baseline calculation date 8.
CBL Include Indicator 8	S	Text 1 character	If CBL Date 8 is included in the CBL calculation, enter a 'Y' into the CBL Indicator 8 field. If CBL Date 8 is not included in the CBL calculation, enter an 'N' into the CBL Indicator 8 field.
CBL Date 9	Т	Date MM/DD/YYYY	Customer Baseline calculation date 9.
CBL Include Indicator 9	U	Text 1 character	If CBL Date 9 is included in the CBL calculation, enter a Y into the CBL Indicator 9 field. If CBL Date 9 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 9 field.
CBL Date 10	V	Date MM/DD/YYYY	Customer Baseline calculation date 10.
CBL Include Indicator 10	W	Text 1 character	If CBL Date 10 is included in the CBL calculation, enter a Y into the CBL Indicator 10 field. If CBL Date 10 is not included in the CBL calculation, enter an <i>N</i> into the CBL Indicator 10 field.
CBL kW Begin Hour 0 through CBL kW Begin Hour 23	X through AU	Numeric Up to 6 digits before decimal and 1 digit after decimal	Resource hourly CBL calculation for each event hour.
Metered kW Begin Hour 0 through	AV through	Numeric	Resource hourly metered load for each event hour.

Event Response Field Name	Column in Import File	Field Format	Description and Rule(s)
Metered kW Begin Hour 23	BS	Up to 6 digits before decimal and 1 digit after decimal	

Note: The Event Response Import template is available for download from the NYISO Website. The template accounts for a 24- or 23-hour day and has 24 hours for the CBL kW and Metered KW hour fields. In case of 25-hour day for the CBL kW and Metered kW hours fields, "CBL kW begin hour 2" and "Metered kW begin hour 2" should be used twice in the template.

12.7.2. Importing the Event Response File

After creating a properly structured, formatted, and populated event response file, the MP must import the file to DRIS as the next step in the process to report resource responses to an event or test.

Pre-requisites

- The MP organization was registered in MIS on the date that the event or test occurred.
- The MP organization was enrolled in DRIS in the DR program and Capability Period for which the event or test occurred.
- The DRIS Event Calendar indicates that the event or test for which the MP intends to import resource responses is open for performing this task (refer to Section 2.1).
- The MP has created a properly structured, formatted, and populated .csv or .xlsx or .xlsx file for upload of resource event response data to the system, as outlined under Section 12.7.1.
- The MP representative performing the task has been assigned the DRIS Web UI MP User privilege.
- The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the System").

To import an event response file

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 284).

Figure 284: Import/Export Page as Initially Displayed

-USO NEW YORK INDEPENDENT SYSTEM OPERATO	Der morrowToday Im	nand Re ports/Exp	esponse orts	e Infor	mation	Systen	n
Main • MP • Resource • SCR •	Performance Factors +	DR Event +	Mitigation -	Tables •	Notification -	DSASP -	BTM -
Capability Period: Summer 2015	✓ Display						
🖃 🔂 Imports							
SCR Resource Imports							
\Xi Provisional ACL Eligibility							
EDRP Resource Import							
E DSASP Resource Import							
E Resource Auction Sales							
Event Response							
🖃 🗁 Exports							
SCR Resource Exports							
\Xi Provisional ACL Eligibility							
EDRP Resource Export							
E DSASP Resource Export							
Energy Payments							
E Aggregation Performance Factors							
Aggregation UCAP Summary Export							

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** in which the event occurred.
- 3. Beside the Capability Period filter, click the **Display** button.

The system activates the middle frame and populates the lowermost frame with a list of import events for the Capability Period chosen at step 2.

4. On the left side of the middle frame and under the **Imports** heading, click **Event Response**.

The area to the right refreshes to display input components specific to the event for which responses are being imported (see Figure 285).

Figure 285: Import/Export Page Displaying Input Components Specific to Event Responses



- 5. Choose the applicable **Event** from the corresponding drop-down filter on the right side of the middle frame.
- 6. On the right side of the middle frame, click the **Browse** button.

The system displays a File Upload dialog box.

7. Via the File Upload dialog box, navigate to and choose the file containing the data for the event, then click the **Open** button.

The File Upload dialog box closes, and the system populates the Event Response File field in the right pane of the middle frame with the name of the chosen file.

8. Click the **Import** button, located in the lower-left corner of the right side of the middle frame.

The system displays a dialog box summarizing the results of the import process (see Figure 286).

9. Review the import results and proceed accordingly, as outlined under Section 12.7.3.

Note: Records Payment indicates the number of resource responses to an event or test accepted into DRIS for possible energy payment. Any resource responses indicated as Performance Only in the event response import file will not be included in the Records Payment count.

Figure 286: Sample Import Summary Dialog Box for Resource Event Responses

Import Type: EVENT_RESPONSE Record Counce 2 File Name: EventResponses_12-09-2010.csv Records Addedi 2 Start Time: 12/10/2010 14:21:39 Records Exception: 0 End Time: 12/10/2010 14:21:39 Records Pending: 0 Records Pending: 0 Records Pending: 0 Records Pending: 0 Records Pending: 0 Records Pending: 0 Records Pending: 0 Records Payment: 2 Records No Change: 0 Mitigation: 0 Records No Change: 0 Preceptions Field Value Exception Code Unique Id						
File Name: EventResponses_12-09-2010.csv Records Added: 2 Start Time: 12/10/2010 14:21:39 Records Exception: 0 End Time: 12/10/2010 14:21:39 Records General Alerts: 0 Records Pending: 0 Records Potential 0 Records Potential 0 Records Potential 0 Nitigation: 0 Records Payment: 2 Records No Change: 0 0 0 Records No Cha	Import Type:	EVENT_RESPONSE	Record Count:	2		
Start Time: 12/10/2010 14:21:39 Records Exception: 0 End Time: 12/10/2010 14:21:39 Records General Alerts: 0 Records Pending: 0 Records Pocential Mitigation: Records Payment: 2 Records No Change: 0	File Name:	EventResponses_12-09-2010.csv	Records Added:	2		
End Time: 12/10/2010 14:21:39 Records General Alarts: 0 Records Pending: 0 Records Potential Mitigation: Records Payment: 2 Records No Change: 0 Tessage	Start Time:	12/10/2010 14:21:39	Records Exception:	0		
Records Pending: 0 Records Potential Nitigation: Records Payment: 2 Records No Change: 0 Records No Change: 0 Records No Change: 0	End Time:	12/10/2010 14:21:39	Records General Alerts:	0		
Records Potential O Nitrigation: 2 Records No Change: 0 Tessage			Records Pending:	0		
Records Payment: 2 Records No Change: 0			Records Potential Mitigation:	0		
Records No Change: 0 Acceptions Field Value Exception Code Unique Id			Records Payment:	2		
Message Exceptions Field Name Field Value Exception Code Unique Id			Records No Change:	0		
tessage xceptions Tield Name Field Value Exception Code Unique Id						
Exceptions Field Name Field Value Exception Code Unique Id	nessage					
ielu vanie new value Exception code Onique tu	ressage					
	ixceptions	Faithful Faithful Faith			Transie a	
	ixceptions Field Name	Field Value Exception Code			Unique Id	

12.7.3. Rectifying Resource Response Exceptions

Once the MP initiates import of the event response file, DRIS evaluates the file and displays a Summary dialog box detailing a number of statistics (see Figure 286). Relevant to the results of the event response import are the count of total records in the file and the count of records in the file reported for payment processing. The difference in the two counts is the resulting number of records in the file reported for Performance Only.

If the displayed dialog box indicates that one or more rows have been designated as having exceptions, the MP must take further action to determine the reason(s) for the exceptions(s) and take corrective action in order to proceed with reporting the resource responses.

Note: If instead of similar information to that illustrated in Figure 286, the *Message* pane in the Summary dialog box displayed by the system indicates that the import failed, no resource response data will be imported. In order to proceed with the event response import process in this case, the MP must rectify the errors in the file header, as outlined in the *Exceptions* pane of the dialog box, and report the revised file containing data for all resources. Section 12.7.1, provides guidance on creating a properly structured and formatted event response file

If the data for one or more resources in the event response file contain exceptions (i.e., data errors, changes, or omissions) that prevent one or more responses from being imported to the system, the import results report details each exception on a separate line and identifies for each exception the resource ID of the record containing the exception, the specific field containing the exception, the value supplied in the field containing the exception, and a message specifying the nature of the exception (see Figure 287). The MP must review the report for purposes of creating and reporting a file containing correct data for the resource responses in question, along with correct header data, prior to the deadline for importing resource responses as specified on the DRIS Event Calendar (refer to Section 2.1).

Note: The MP may access the import report directly from the Summary dialog box displayed immediately following import by clicking the **Excel** button in the lower right-hand corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

	А	В	C	D	E
1	File Name	Response-11-29-2010.xls			
2	MP Name	NYISO Market Participant			
3	User	MP User			
4	Upload Type	EVENT_RESPONSE			
5	Capability Period	Winter 2010-2011			
6	Auction Month	Salah Arthur at			
7	Start Date of Import	12/22/2010 10:08:45 EST	-		
8	End Date of Import	12/22/2010 10:08:47 EST			
9	Records in File	25	i		
10	Records Saved	0			
11	Records Pending Approval	0			
12	Records With Exceptions	1			
13	Records With General Alerts	0			
14	Records With Energy Payment	23			
15	Records With Potential Mitigation				
16	Records No Change in DRIS		1	1	
17	Reference #	Message Type	Field	Value Supplied	Message
18	Resource ID: 2345678	Exception	Metered kW11	-200.6	Metered kW for hour 11 must be greater than or equal to 0
19	Resource ID: 8765403	Exception	CBL kW11	-30	CBL kW for hour 11 must be greater than or equal to 0
20					

Figure 287: Sample Import Results Report for an Event Response File Import

Pre-requisite

• The MP representative performing the task is logged in to DRIS (see Section 1.3, "Accessing the

System").

To access the import report and manage related errors

Note: If the Summary dialog box displayed after import is open, the import report may instead be accessed by clicking the **Excel** button in the lower right corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 288).

Figure 288: Imports/Exports Page

	Der morrowToday	nand Re ports/Exp	espons orts	e Infor	mation	Systen	n
Main • MP • Resource • SCR •	Performance Factors •	DR Event •	Mitigation -	Tables •	Notification -	DSASP -	BTM •
Capability Period: Summer 2015	✓ Display						
🖃 🔂 Imports							
SCR Resource Imports							
\Xi Provisional ACL Eligibility							
EDRP Resource Import							
E DSASP Resource Import							
E Resource Auction Sales							
Event Response							
🗉 🔄 Exports							
SCR Resource Exports							
Provisional ACL Eligibility							
EDRP Resource Export							
E DSASP Resource Export							
Energy Payments							
Aggregation Performance Factors							
Summary Export							

- 2. From the corresponding filter near the top of the page, choose the **Capability Period** for the previously reported event response file that generated the exception(s).
- 3. Beside the Capability Period filter, click the **Display** button.

The Import History frame at the bottom of the page refreshes to display a grid listing each import event for the chosen Capability Period (see Figure 289).

Figure 289: Populated Import History Grid on Imports/Exports Page



- 4. In the **Import History** grid, select the entry for the import event that generated the exception(s).
- 5. In the lower-right corner of page, click the **Export Exceptions** button.

The system displays a dialog box via which the import report can be saved or opened.

- Take the requisite steps to either save or open the import report.
 The report is either saved to the designated location or displayed on screen.
- 7. Review the report, correct all exceptions in the resource enrollment file, then import the

12.7.4. Viewing Resource Responses to an Event or Test

updated file to DRIS.

Once the MP has successfully imported resource responses to an event or test, the response data can be viewed at varying levels of detail. In addition, MPs can monitor when a resource response that was reported for payment has been processed, billed, and invoiced. Finally, DRIS provides for downloading event payment details for viewing payment data by a specific event or test.

Resource responses can be viewed at varying levels of detail in DRIS. The MP can view resource responses by:

summary,

- hourly detail, or
- event or test.

12.7.4.1. Viewing a Summary of Resource Responses

Viewing resource responses at a summary level provides the MP with a snapshot of the response to a specific event or test.

When viewing resource responses at the summary level, the MP must, at a minimum, specify a Capability Period and an event or test, or select a specific resource for which to view event or test data.

The MP can further narrow the data the system displays by any of the following additional parameters:

- Zone
- Aggregation
- Response status

Regardless of viewing scope, the system initially displays the following data for each resource response:

- Event, which includes Event Type, Program, Start Date and Time, Zones, and Zonal percentages
- Resource ID
- Resource name
- Program
- Aggregation ID
- Proxy Test indicator
- Provisional ACL indicator
- Incremental ACL indicator
- Response status
- Reason for under review
- Status comments
- Submittal date
- Reported by
- Performance only indicator
- Prepared for settlements indicator
- Invoiced indicator

Small customer aggregation indicator

Pre-requisites

- The MP has imported resource responses to an event or test.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To view a summary of resource responses

1. From the **DR Event** menu, choose **Event Response Details**.

The system displays the Event Response Details page.

 From the corresponding search filter(s) in the uppermost frame on the Event Response Details page (see Figure 290), choose the **Capability Period** and **Event** and/or the **Resource ID** for which the system should display resource responses.

Figure 290: Event Response Details Page Search Filters

	Tomarrow.	Today	Demand Respon Event Response Det	ise Informati	on Syst	em		
Main - MP - Resource - SCR -	Performan	ce Factor	s DR Event	Tables - Notification	- DSASP-	BTM ≁		
Capability Period: Summer 2011	×	Zone:	DR Event Summary	Resource ID:	*	Status:	*	
Event:	~	MP:	Event Response Details			Aggregation:	~	
Resource Responses to Events								

- Optionally, further limit the scope of resource responses to be displayed by the system to only a specific **Zone**, **Aggregation** and/or **Status** by choosing the applicable option(s) from the corresponding search filter(s) near the top of the page.
- 4. Near the top of the Event Response Details page, click the **Display** button.

The system populates the Resource Responses to Events grid below the search filters with an entry for each resource response meeting the criteria chosen at steps 2 and 3 (see Figure 291).

Tip: If the number of responses exceeds the space available in the grid, the program breaks the data set across separate pages, as reflected on the left side of the status bar. To navigate among multiple pages of resource enrollments, click the applicable button to either move forward one page (\checkmark), move back one page (\checkmark), move to the beginning of the data set (\blacksquare), or move to the end of the data set (\blacksquare).

Figure 291: Event Response Details Page Populated with Data

		NENT PERATON		Deman Event R	d Res	ponse In Details	formation	n Syste	m					
Main - MP -	Resource	- SCR-	Pe	rformance Fa	ctors -	DR Event -	Mitigation -	Tables -	Notificatio	DSASP	• втм •			
Capability Period:	Summer 2013	~	Zone	1	· Resource	ce ID:	~	Status:		*				
Event:	Test - SCR - 0	8/08/201 ~	MP:	Market Participant	~			Aggregation:		Y Reporting:		Display		
Resource Response	s to Events													
Event		Resource	D	Resource Name	Program	Aggrega	tion ID Pro	xy Test	Provisional ACL	Incremental ACL	Response Status	Reason for Und_	Submittal Date	Submitted By
Test - SCR - 08/08/	2013 4:00 PM	234	56789	Resource 1	SCR	987	4	8	0	21	Approved		10/15/2013 12	Market Participan

5. Optionally, view further details for a specific resource response by clicking the corresponding row in the Resource Responses to Events grid.

The system expands a two-pane frame at the bottom of the page, where additional event or test and response data in the form of *Event Information* are displayed on the left and *Hourly Meter Data, CBL Dates, and Hourly Billing Data* are displayed on the right (see Figure 292).

Figure 292: Event Response Details Page with Additional Details Visible

Contraction of the Association o		Event R	a Response Deta	ise inform	ation S	syster	m								
Main . MP . Resource	 SCR+ Per 	ormance Fac	dom + DR Ev	ent - Miliaa	ion• Tal	bies • 1	Notificatio	n . DSASP .	UTM-						
Solution in such the second				100	Tell.	-		The second se		_					
Capacitory Period: Summer 2011	2 w Zone:	Market Red Land	* Resource EC:			3465		-							
Event: Test + SCR - 0	06/08/201 MP:	Nave Hanoper			499	prepation:		Reporting		Display					
Resource Responses to Events															
Event	Sessience ID	Resource Name	Program	Apprepation 1D	Pracy T	int i	Provisional ACI	Lincremental ACL	/ Response Status	Reason for	Und Submittel Date	Submitted By	Performance Celu	Prepared for Sa.	Invoiced
lest - SCR - 00/00/2013 4:00 PM	23456709	Resource 1	SOR	1674	(D)		10	10	Approved		10/15/2013 12:	Market Participar	- EL	2	R.
Test - SCR - 8896/2013 5:00 PM	9874561	Resource 2	SIDR	2354	- 25		14		Approvet		1915/2013 12	Market Participan	1	1	
il 4 Pag 6 stV					Performance	a theory	Neter Data	fronderent froat	v Protes Data 1	BL Dates	theorify Rolling Costs			Depense	ant - ant of an
H 4 Page 6 stV Sense Deformation Type: Test	2 Program: SCR		Zpres; F. G. H	1.6	Performanc	a tionly i	Neter Data	foredirect fourt	y Picture Gada II Pactured	BL Dates	neurify Halling Coto Declared ValueTest Val	e Cepel	fy Reduction KW	Depirying Resson for Under	att - 187 d iss Review
H & Page & set = Tenes Information Type: Test Devel Tata	Program: SCR Tetrimmuna Hee	x	Zones: F. G. H Response Salend	1.K	Performance Resource 9674581	= Hourig D Hour — 1 17 i	Meter Data Met ACL Ve 296	FromBreed Frank reled ACL CRL IN 175	y Picture Gada 6 Petaned 380	BL Dates Langy Red. 75	mentin failing Soto Declared ValueTinst Valu	u: Capaci 95	fy Reduction KW	Depirring Reason for Under	ant - 1957 el 195 Annon
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11 4 rept 6 of 9 Freed Information Type: Test Type: Test Start: 04/02013 35:00 End: 04/02013 35:00	Program: ISCR Preference Hoe Pres: 00/00/20 Last: 00/01/20	s L3 18:00 L3 18:00	Zones: F. G. H Reservo Salvel End: 10/22/20 CB. Data	1.K W Detes 13 17:00	Performance Resource 9674581	a Hourly I Hour - 1 17	Neter Data Net ACL Ve 296	Transferrent Frankf riftet ACL CRK IN 175	Picter Data D Rosed 3 380	RL Dutes Campy Red. 75	towerfor Hollong Goto Godineet ValuetText Valu Se	ur Capaci 93	fy Reduction RW	Departing Resson for Under	anton Marian
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12.7.4.2. Viewing Hourly Resource Response Details

In viewing resource responses by detail, the MP can see comprehensive response data, including details pertaining to the specific event or test, broken down by resource for each hour of the event or test.

This data is visible in a two-pane frame at the bottom of the Event Response Details page, in the form of *Event Information* displayed on the left and *Hourly Meter Data, CBL Dates, and Hourly Billing Data* displayed on the right (see Figure 292).

The system displays the following Event Information for the selected event or test:

- Event type
- Program
- Zones including zonal percentages
- Event start date and time
- Event end date and time
- First hour of performance
- Last hour of performance
- First hour of payment
- Last hour of payment
- CBL date start date
- CBL date end date
- Response submittal end date

Note: A null value displayed in the *First Hour of Performance* and the *Last Hour of Performance* indicates an event for which there was no day-ahead notice. The performance of SCR resources in an event for which there was no day-ahead notice will not be used in the calculations of the SCR resource performance factor. However, metered kW values are still required for all event hours on the event response import file when reporting resource data for event payments for an event for which there was no day-ahead notice.

Additionally, the system displays event- and resource-specific response data in tabular format. Beginning with the Summer 2011 Capability Period, SCR resource response data will include Average Coincident Load (ACL) for the resource as a result of the program change from the Average Peak Monthly Demand (APMD) baseline methodology to the ACL baseline methodology. SCR resource response date which uses APMD data will continue to be viewable to the MP for Capability Periods prior to Summer 2011.

- Performance Hourly meter data
 - Resource ID
 - Hour beginning of the event
 - Net APMD, which is the result of APMD less any Shutdown kW value (prior to Summer 2011)
- Net ACL, which is the result of ACL including any Shutdown kW value (Summer 2011 and greater) and Incremental ACL kW value (Summer 2014 and greater) or the Verified ACL kW for resources enrolled with a Provisional ACL or Incremental ACL for the period of the event selected
- Verified ACL indicator
- CBL kW value
- Metered kW
- Energy reduction kW
- Declared value
- Capacity Reduction kW
- Reason for under review
- Enrollment Hourly meter data
 - Resource ID
 - Hour beginning of the event
 - Net APMD, which is the result of APMD less any Shutdown kW value (prior to Summer 2011)
 - Net ACL, which is the result of ACL including any Shutdown kW value (Summer 2011 and greater) and Incremental ACL kW value (Summer 2014 and greater)
 - CBL kW value
 - Metered kW
 - Energy reduction kW
 - Declared value
 - Reason for under review
- CBL dates
 - Date
 - Included indicator
- Hourly billing data
 - Settlement kW
 - Zonal LBMP
 - Net energy payment

- Adjusted for program overlap indicator
- Con invoice version

The *Enrollment Hourly Meter Data* tab will represent the primary view of event response data for the user until the window for importing Verified ACL data opens for the Capability Period of the event type selected. At this point, the *Performance Hourly Meter Data* tab representing updated meter data will become the primary view. For resources enrolled with a Provisional ACL or Incremental ACL for the month of the event selected, the value displayed in the **Net ACL** field will represent the Verified ACL of the resource and all capacity reduction calculations will be updated and based off of this value.

Pre-requisites

- The MP has imported resource responses to an event or test.
- The MP has logged in to DRIS, as outlined under section 1.3, "Accessing the System".

To view hourly resource response details by event

1. From the **DR Event** menu, choose **Event Response Details**.

The system displays the Event Response Details page.

 From the corresponding search filter(s) in the uppermost frame on the Event Response Details page (see Figure 293), choose, at a minimum, the **Capability Period** and **Event** and/or the **Resource ID** for which the system should display resource responses.

Figure 293: Event Response Details Page Search Filters

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Resource Response	es to Events							

3. Near the top of the Event Response Details page, click the **Display** button.

The system populates the Resource Responses to Events grid below the search filters with an entry for each resource response meeting the criteria chosen at step 2 (see Figure 294).

Figure 294: Event Response Details Page Populated with Data

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est - SCR - 08/08/2	2013 5:00 PM	987	1561	Resource 2	SCR	2354				Approved	

Note: Upon initial import of the resources responses to events or tests file, DRIS will calculate the resource response data in the file which pass all validations. After performance factors are calculated for the following equivalent Capability Period resources which did not have event or test responses imported into DRIS may be identified, by utilizing the "Reporting" feature in the search criteria. Two options can be found in this filter, *"Failure to Report"* and *"Failure to Report for 2nd Test"*.

4. View further details for a specific resource response by clicking the corresponding row in the Resource Responses to Events grid.

The system expands a two-pane frame at the bottom of the page, where additional event or test and response data in the form of *Event Information* are displayed on the left and *Performance Hourly Meter Data, Enrollment Hourly Meter Data, CBL Dates, and Hourly Billing Data* are displayed on the right (see Figure 295).

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Figure 295: Event Response Details Page with Enrollment Hourly Meter Data Tab Enabled

To view additional tabular data provided by the system, click the *Performance Hourly Meter Data*, *CBL Dates* or *Hourly Billing Data* tab (see Figure 296 or Figure 297).

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Figure 296: Event Response Details Page with Performance Hourly Meter Data Tab Enabled

Figure 297: Event Response Details Page with Hourly Billing Data Tab Enabled before the Verification

Window Opens and the Performance Hourly Meter Data Tab is Available

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12.7.5. Monitoring Results of the Event Response Import

Upon completion of the event response import, each resource response receiving no exceptions on import will receive one of the following Response Statuses:

- Approved
- Under Review

The NYISO may also assign one of the following Response Statuses to an imported response record:

- Denied
- Approved
- Under Review

Responses with an Approved status reported for payment have completed all requirements for payment processing and require no further action by the MP. Responses with an Approved status reported for performance only have also completed all requirements for processing and require no further action by the MP. Responses with an Under Review status, regardless of reporting for payment, performance, or both, require that further action be taken by the NYISO in evaluating the reported resource data. The reason(s) a specific resource response was placed under review can be found on the Event Response Details page (see Figure 298 and Figure 299).

Reasons for Under Review are shown at the response summary level, when applicable to the resource response as a whole, and at the hourly level, when applicable to only a specific hour(s) of the event response. Regardless of a record being placed under review for the entire resource response or only a specific hour(s), the resource response will not be processed for payment or performance until the response receives an Approved status by the NYISO.

Additionally, a resource response may receive a Denied status by the NYISO, which prevents the response from being processed for payment or performance.

Pre-requisites

- The MP has imported resource responses to an event or test.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To view resource event response status

1. From the **DR Event** menu, choose **Event Response Details**.

The system displays the Event Response Details page.

 From the corresponding search filter(s) in the uppermost frame on the Event Response Details page (see Figure 298), choose, at a minimum, the **Capability Period** and **Event** and/or the **Resource ID** for which the system should display resource responses.

Figure 298: Event Response Details Page Search Filters

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/lain + MP + Reso	urce + SCR + Perfo	rmance F	actors + D	R Event • Mitigation • Tables • DR Event Summary	Notification DSASP	ВТМ▼			
Capability Period:	Summer 2011	~	Zone:	Event Despense Datails	Resource ID:	*	Status:	~	
Event:		v	MP:	Event Response Details			Aggregation:	Y	

3. Near the top of the Event Response Details page, click the **Display** button.

The system populates the Resource Responses to Events grid below the search filters with an entry for each resource response meeting the criteria chosen at step 2 (see Figure 299).

150	News Press and the	Deman Event R	d Response Deta	se Informat ^{Ils}	ion Syste	em								
Main - MP - Resour	nce- SCR-	Performan	nce Factors	- DR Event-	Mitigation	- Tables-	Notification -	DSASP	- BTM-					
Capability Period: Summer 3014	v Zone	e i	* Resource ID:		* Status	t	~							
Event: Test - SCR - D	6/19/201 ~ MP	Market Participant	\$		Apprepation	1	* Reporting:		Dupler					
Resource Responses to Events							-	_						
Event-	Resource ID	Resource Name	Program	Appropriation ID	Praxy Test	Provisional ACL	Incremental ACL Pass	porse Station	Remon for Und	Submittal Date	Submitted By	Performance Only	Prepared for Se.	invoced
Test - SCR - 05/09/2013 4:00 PM	23436789	Resource 1	SCR	9674	0	12	IN ADD	Berror		915(2913 12:	Market Participa	ent.		

Figure 299: Event Response Details Page Highlighting Response Status and Reason for Under Review

- 4. View the Response Status and summary level Reason for Under Review for a specific resource response in the Resource Responses to Events grid (see Figure 299).
- View the hourly level Reason for Under Review by clicking the corresponding row in the Resource Responses to Events grid and viewing the displayed Hourly Meter Data tab (see Figure 300).

Figure 300: Event Response Details Page Highlighting Reason for Under Review at the Hourly Level on the Hourly Meter Data Tab

arante of	ENT PERATOR	Deman Event R	d Response	ponse Inform Details	nation Sy	stem			
Main - MP - Resource	- SCR-	Performance Fa	ctors - E	OR Event - Mitig	ation - Tabl	es - Notificat	ion - DSASP-	BTM-	
Capability Period: Summer 2013		Zone:	· Resource	e ID:	· ·	Status:	~		
Event: Test - SCR - 1	0/17/201 ~	MP: Market Participant	*		Appres	pation:	* Reporting	1	T Display
Resource Responses to Events									-
Event	Resource ID	Resource Name	Program	Aggregation ID	Proxy Test	Provisional A	1. Incremental ACL	Response St	tatus Reason for Under R
Test-SCR-08/02/2013 1:00PM	123378	Resource 1	SCR	6542		10	65	Approved	
fest-SCR-08/02/2013 1:00PM	546890	Resource 1	SCR	3257	12	10	10	Approved	-
Test-SCR-08/02/2013 2:00PM	789654	Resource 1	SCR	1999		12	13	Approved	
- Page I of the P	2								
Page I of 9 5 5	12				Performance Hop	ofy Huller Data - Data	diment theory Pictur Dat	CBL Distan	Standy Diff Bills
Page 1 of 2 + P vent followsation Type: Test	Program: S	KOR	Zonest	RGHIK	Performante Han Reserce. Han	efy Hutter Data Exer Net ACL COLLAN	diment theory Picture Data Meternal Energy Fa	cos Galers d. Declared Val	Disordy Diffield Table
Page 1 of 3 > > twent information Type: Test South Dates	Program: S Perfumues	ICR I	Zonesi	F. G. H. T. K Submittal Dates	Performance Itor Resource Prov	ely Mester Data Com 9et ACL COLLON	diment House Data	e CDL Dates d. Gedand Val	Strawig Dit to Jako ug/Teal Val
Page 1 of 9 b p trent information Type: Test Start: 06/08/2013 16:00	Program: S Performan Fest: 08	ICR ICR 10000 108/2013 18:00	Zonesi Research	F. G. H, I. K solenita Dates 9/22/2013 17:00	Performance Has Reserved. Have	ofy Hoster Links Core Ref. ACL COLLOW	dinest insuly Peter Cat Heard Comp Fe	e CSK Gales d. Dedared Val	Iteraty Date & Take up?Tet Val
Page 1 of 3 b b twent Information Type: Test Duent Dates Stat: 06/08/2013 15:00 End: 06/08/2013 17:00	Program: S Perfumue Fest: 08, Last: 08,	KR 68 Haast 19822013 18:00 19822013 18:00	Zones: Reserve End: 11	F. G. H. J. K Sciential Datas 2022/2013 17:00	Performance Has Reserve. Have	ofy Hoster Data Con Not ACL COLON	dinest Hearly Peter Data Heard Decy Fe	CBL Galaxy	Stearly ST & Pills upTed Viz Keases for Under
Page 1 of 8 b p twent Information Type: Test Event Dates Start: 06/08/2013 15:00 End: 06/08/2013 15:00	Program: S Performan First: 08, Last: 08,	CR: cr Haus (04/2013 16:00 (04/2013 16:00	Zonest Rogenee End: 1 CDL Date Start: 0	F. G. H. I. K Subilitat Dates 0/22/2013 17:00 19 19/09/2013	Performante Han Pennetit Pare -	nty Hester Chick Core Sect.ACL COLLAR	dhurst, Huarly Pictor Data Midand. Daray Fe	e CBL Gales 4 Oedard Val	Insuity Date of Table United Val Accessors for Under
Page 1 of 8 b p Event Information Type: Test Event Dates Stat: 08/08/2013 16:00 End: 08/08/2013 16:00 Pagment Hausy Fast: 08/08/2013 16:00	Program: S Performan: First: 08, Last: 08,	KR KR Hises 108/2013 16:00 068/2013 16:00	Zones: Reserve End: 1 CDL Date Start: 0 End: 0	F. G. H. J. K subintal Dates ar/22/2013 17:00 se 7/09/2013 co/22013	Performante Har Pennetik Fine -	efy Hester Chaix Core 944 ACL COR UN	dinesit Huging Peter Data Heland Dangy Re	CRC Gales 4 Declared Val	Heady Birly Take unTer Val Reason for Under
Page 1 of # > First Information Type: Test . Event Dates Stat:: 0#/08/2013 16:00 . End:: 0#/08/2013 16:00 . . First:: 0#/08/2013 16:00 . . Last:: 0#/08/2013 16:00 . .	Program: S Ferleman First: 08, Last: 08,	KR KR Havet 198/2013 18:00 198/2013 18:00	Zones: Reserved End: 1 CDL Date Start: 0 End: 0	F. G. H. J. K - Solonikal Datas 9/22/2013 17:00 56 7/09/2013 #06/2013	Performance Star Parateck Prov	efy Hoster Data Con	alwarat Housely Picture Dat Hedwid Dorsgy Fo	CRC Gebra	Baudy Dia g Bala Mg7 ed Va Ressan for Under

12.7.6. Monitoring Results of Event Responses Reported for Payment

Resource responses to an event or test reported for purposes of payment may be monitored at the summary or payment detail levels. The Resource Responses to Events summary view provides knowledge as to when a specific resource response record has been Prepared for Settlements by the NYISO and when the same record has been Invoiced by the NYISO Consolidated Invoice system.

The Hourly Billing Data view provides specific Payment Statuses as the resource response moves through the NYISO payment process. Payment Statuses that can be assigned to a resource response include the following:

- Prepared for Settlements: The event or test for which the resource response has been reported has been processed for payment by the NYISO and is waiting to be billed.
- *Billed:* The resource response has been processed by the NYISO settlement system, payment data is visible on the Billing Details tab in DRIS, and the response is waiting to be invoiced.
- *Invoiced:* The resource response has been processed by the NYISO Consolidated Invoice system, received an invoice number, and has completed the payment process.
- *Resettlement Copy:* A copy of the resource response has been made, post invoicing, for use in the event that the date of the event or test requires re-spinning by the NYISO payment process.
- *Pulled:* An updated resource response record for the resource, event, and MP has been Prepared for Settlements, causing an existing Prepared for Settlements, Billed, or Resettlement Copy to be pulled from the invoicing process and replaced with the newest version.
- *Canceled:* The resource response record has not yet reached the Billed stage of the payment process and has been removed from the payment process by the NYISO.

Pre-requisites

- The MP has imported resource responses to an event or test for payment.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System."

To view resource event response payment status

1. From the **DR Event** menu, choose **Event Response Details**.

The system displays the Event Response Details page.

 From the corresponding search filter(s) in the uppermost frame on the Event Response Details page (see Figure 301), choose, at a minimum, the **Capability Period** and **Event** and/or the **Resource ID** for which the system should display resource responses.

Figure 301: Event Response Details Page Search Filters

	if VATOR M Tomorrow,	Today	Demand Respon Event Response De	nse Informatio	on Syst	em		
Main MP Resource SCR	Performan	ce Factor	s	Tables - Notification -	DSASP-	BTM •		
Capability Period: Summer 2011	*	Zone:	DR Event Summary	Resource ID:	*	Status:	*	
Event:	*	MP:	Event Response Details			Aggregation:	~	
Resource Responses to Events								

3. Near the top of the Event Response Details page, click the **Display** button.

The system populates the Resource Responses to Events grid below the search filters with an entry for each resource response meeting the criteria chosen at step 2 (see Figure 302).

Figure 302: Event Response Details Page Highlighting Response Status Indicators

IS	CALL TONS	-	Titte	Deman Event R	d Response Sponse Detai	se Informa s	tion Sys	tem							
Main - MP - Reas	ource+ SCR+ P	entormiance	Factor	s+ DR Event+	Miligation • Table	s+ Notification+	DSASP+ 8TM	•							
Capability Period:	Winter 2013-201	4 *	Zone:		Resource ID:		- Stat	us:	*						
Event:	NYISO Event - Se	CR - 01~	MP:	All	Gen PTID:		Y Appregatio	ani:	Y 0	Saplay					
Resource Response	es to Events										-		_		
Provisional ACL	Incremental ACL	Response 1	Ratur	Status Comment	Reason for Und	Submittal Date	Gen PTID	Market Overlap	Submitted	0	Performance Only	Prepared for Se	1mvoiced	Last Update Date	Last Update By
10	11	Approved				02/28/2014 13:			MP Lis av	-	-	(37)	10	02/28/2014 13:	MP User

4. Note the summary level Prepared for Settlements indicator and Invoiced indicator for a specific resource response in the Resource Responses to Events grid (see Figure 302).
View the Payment Status on the Hourly Billing data tab by clicking the corresponding row in the Resource Responses to Events grid and then clicking the displayed Hourly Billing Data tab (see Figure 303).

Figure 303: Event Response Details Page Highlighting Response Status on the Hourly Billing Data Tab

- 150	Antore		-	Deman Event R	d Respon esponse Deta	ise Inform	nation	Syste	m			
Main . MP . Re	source -	SCR+ P	erforman	nce Factors	· DR Event ·	Mitigation -	Tables	Notific	ation - DS/	ASP+ BTM+		
Capability Period: Su	ammer 2013		Zonac		Resource ID:			2.6.4				
Event: Te	nt - SCR - 18	/12/201 -	100: Ila	itel Parlicipant	•			pprepation.		* Reporting		- Deplay
Resource Responses to	Events											
Event		Resource 10	- Res	ource Name	Program	Appropriate ID	Praty	Tell	Provisional ACL	Incremental AG.	Response Stat	Aus. Reason for Under Ro
Test-SCR-08/02/201	31:00PM	123378	R	esource 1	SCR	6542	0		13		Approved	
fest-SCR-08/02/2013	31:00PM	545890	R	esource 1	SCR	3257	0		13		Approved	
t i Pape 1 i	at 2 2	-							_			
s 11 + Pape 1 - P	er + 11	-					Time Terry 1	0 31	-	u forte		
 Pape 1 = Event Information Type: Test 	ate de la	2 Program: 5	0		Zones: F. G. H	4.L.K	Transference (n (2)	- 1ml) 10			
Type: Test Cont Only	ate de la	Program: 5 Federate	Ol.		Zones: F. G. H	¢LK Rai Data	and then the	n [3]	and the		ng Passes Add	aler for Querley / De Musice
Control Sector 2010	af 9 - 24 19 - 24 19 - 24	Program: 5 Pederman Fest: 08	(28) (26)[2013] 1	56:00	Zones: F. G. H Reports Salad End: 10/22/20	4. L. K Mai Datas 013 17:00	and the la	n [3])	5		ng ¹ 6.000 1.60	nere twee / termore
Pope 1 Pope 1 Pope 1 Pope Test Control Dates Spart: - ON/OR/2013 1 End: - ON/OR/2013 1	afs () () 16:00 17:00	Propant S Polyment S Fest: 06 Last: 08	K28. 00(2013) 1 00(2013) 1	16:00	Zones: F. G. H Reports Salad End: 10/22/20 CR, Outer	6. L. K Raj Datas 013 17:00	mai may la approximation at	er (31) er gridde		u for a for a	eg hansi Akri	metre Overlag / De Troper
The Pape 1 Pope	afs (+ 14) 15:00 17:00	Propant 5 Performan Ferti 00 Latt 00	KOR (x Hours (x6(2013) 1 (x6(2013) 1	16:00	Zones: F, G, H Resource School End: 10/22/21 CR, Oalen Start: 07/09/28	6, L, K and Dates 013 17:00	and the la	er (31) Or getune	5	and	ng hunur Adr	nerne tweek / textusjer 12 e
The Pape 1 Post Second	afs (r) (r) (5:00 (7:00) (5:00)	Program: 5 Pediaman Fest: 08	KCR. (06)/2013 1 (06)/2013 1	56-00	Zones: F, G, H Reports School End: 10/22/21 CR, Date: Start: 07/06/27 End: 06/06/21	4, I, K mad Dates 013 17:00 013 013	multime la graphical a	en (24) en gestabe	Section and the	u Mas u Mas u Mas u Mas u Mas u Mas u Mas	ng have Add	nete (vela / De note 11 e

12.7.7. Downloading Energy Payment Details

Energy payment details are viewable via a download initiated from the DRIS Imports/Exports page. Payment details, based on reported and processed resource responses to an event or test, can be exported by selecting a specific event or test. Resource responses must have a Payment Status of Invoiced to appear on the energy payment export. The following data is provided for each resource on the energy payment export:

- Resource ID
- Resource name
- TO account number
- Aggregation ID
- Strike price
- Zone
- CBL MW for each event hour
- Load MW for each event hour
- Performance MW for each hour
- LBMP for each event hour

- LBMP payment for each event hour
- Total LBMP payment for the resource for the event
- Total BPCG payment for the resource for the event
- Total payment for the resource for the event

Pre-requisites

- The MP has imported resource responses to an event or test for payment.
- The resource responses for the event selected have been processed for payment and the responses have received a payment status of Invoiced.
- The MP has logged in to DRIS, as outlined under Section 1.3, "Accessing the System".

To download Energy Payment details

1. From the **Main** menu, choose **Imports/Exports**.

The system displays the Imports/Exports page (see Figure 304).

Figure 304: Import/Export Page Showing Energy Payment Export Option

ain • MP • Resource • SCR •	Performance Factors •	DR Event -	Mitigation -	Tables •	Notification -	DSASP -	BTM •
Capability Period: Summer 2015	Display						
🔄 Imports							
SCR Resource Imports							
📰 Provisional ACL Eligibility							
EDRP Resource Import							
DSASP Resource Import							
Resource Auction Sales							
🔁 Event Response							
🔁 Exports							
SCR Resource Exports							
📰 Provisional ACL Eligibility							
EDRP Resource Export							
DSASP Resource Export							
Energy Payments							
Aggregation Performance Factors							

- 2. From the corresponding filter in the uppermost frame on the Imports/Exports page, choose the **Capability Period** encompassing the event or test for which Energy Payment values are to be downloaded.
- 3. Beside the Capability Period filter, click the **Display** button.

The system makes available the middle frame.

 Under the Exports heading in the left pane of the middle frame, choose Energy Payments. The system refreshes the right pane of the middle frame to display additional filters along with a button to initiate download of the file (see Figure 305).

Figure 305: Filters for Downloading an Energy Payment File

Capability Period: Summer 2015	Display
🗃 🗁 Imports	Energy Payments
 SCR Resource Imports Provisional ACL Eligibility EDRP Resource Import DSASP Resource Import Resource Auction Sales Event Response Exports SCR Resource Exports Provisional ACL Eligibility EDRP Resource Export 	Event: Test - SCR - 08/27/2015 04:00 PM - F, G, H, I, K
 DSASP Resource Export Energy Payments Aggregation Performance Factors Aggregation UCAP Summary Export 	Export

- 5. From the corresponding filter in the right pane of the middle frame, choose the applicable **Event or Test**.
- Click the Export button, located in the lower-left corner of the right pane in the middle frame.
 The system displays a dialog box via which the energy payment file can either be saved or opened.
- 7. Take the requisite steps to either save or open the energy payment file.

The energy payment file for the chosen event or test is either saved to the designated location or displayed on screen.

Note: If the energy payment file is saved, it is named according to the convention *EventPayments_[mm-dd-yyyy].csv*, where bracketed content is replaced with actual values to result in a file name such as *EventPayments_05-19-2010.csv*

13. Viewing Behind-the-Meter Net Generation Resource related Information

A BTM:NG Resource's Average Coincident Host Load (ACHL) and all related data will reside in DRIS and is able to be viewed by Market Participants who register BTM:NG Resources. For BTM:NG Resources that are participating in the NYISO Capacity market, once a Resource's ACHL has been calculated for a Capability Year by DRIS, the NYISO's ICAP Automated Market System (AMS) will use the ACHL to calculate the Average Host Load (AHL) used to determine the Resource's Net-ICAP.

BTM:NG Resource viewing screens show:

- BTM:NG Resource peak Load hours once they have been imported into DRIS, and
- the Resource's ACHL on the Enrollment screen

Note: For the purposes of the DRIS screens "BTM" refers specifically to "BTM:NG" Resources.

13.1. Viewing BTM:NG Resource Peak Load Hours

The NYISO will provide the BTM:NG Resource peak Load hours for each Capability Year. The BTM:NG Resource peak Load hours are the top forty (40) NYCA peak Load hours that occurred in the prior Summer Capability Period and the Winter Capability Period immediately prior to that.

The Peak Load Hours screen displays the BTM:NG Resource peak Load hours imported into DRIS by the NYISO for each Capability Year. The data displayed includes:

- Date Hour: This is the Date and Hour Beginning of each of the top 40 NYCA peak Load hours, and
- NYCA Rank: the rank (from 1 to 40) of each of the top 40 NYCA peak Load hours

Pre-requisites

 The Market Participant performing the task is logged in to DRIS (see section 1.3, "Accessing the System").

To view the BTM:NG Resource peak Load hours

- 1. From the menu, choose "Peak Load Hours" (see Figure 306).
- 2. The system displays the BTM Peak Load Hours page.

Figure 306: Dropdown options from Home Page - Peak Load Hours



3. Select "Capability Year" from the dropdown and click "Display" button (see Figure 307).

Figure 307: Capability Year Search Filter

Demand Response Infor BTM Peak Load Hours	mation System
Main + MP + Resource + SCR + Performance Factors + DR Event + Mitigation + Tables + Notification + DSAS	SP- BTM-
Capability Year:	Peak Load Hours Emolyments
B191 Peak Load Manes	
Dute Hour INYCA Rank	

- 4. The system displays the BTM Peak Load Hours page with the BTM:NG Resource peak Load hours for the Capability Year selected at step 12 (see Figure 308).
- 5. The hours displayed are by NYCA rank ascending.

Figure 308: BTM Peak Load Hours

Demand Response Information System											
Main+ MP+ Re	esource + SCR+ Pe	formance Factors + DR Event + Millipation + Tables + Notification + DSASP + BTM+									
Capability Y	Year: 2017/2018	♥ Display									
BTM Peak Load	d Hours										
Date Hour	NYCA Rank										
12/01/2015 00	1										
12/01/2015 01	2		°								
12/01/2015 02	3										
12/01/2015 03	4										
12/01/2015 04	5										
12/01/2015 05	6										
03/17/2016-06	7										
03/17/2016 07	8										
03/17/2016 08	9										
12/01/2015 19	10										
06/19/2016 00	11										
06/19/2016 01	12										
06/19/2016-02	13										
06/19/2016 03	14										
06/19/2016 04	15										
06/19/2016-05	16										
06/19/2016 06	17										
06/19/2016 07	18										
06/19/2016-08	19										
06/19/2016 09	20										
12/01/2015 09	21										
12/01/2015 10	22		~								
13/01/3015 11	12										
			Total count: 40 4a Excel								

Note: The MP may export the report directly from the BTM Peak Load Hours page by clicking the **Excel** button in the lower right-hand corner of the dialog box then taking the requisite steps to either open or save the corresponding file.

13.2. Viewing BTM:NG Resource Enrollment

The BTM Enrollment screen is composed of three inter-related grids: BTM Enrollment Summary, BTM Enrollment History and ACHL Hourly Details.

The **BTM Enrollment Summary** grid allows the Market Participant to view the ACHL for a BTM:NG Resource for each month of enrollment in the NYISO's markets.

The **BTM Enrollment History** grid shows the MP all prior ACHL values (if any) for the month selected in the BTM Enrollment Summary grid.

The **ACHL Hourly Details** grid shows the hourly Host Load data that has been used to calculate the selected ACHL record in the BTM Enrollment History grid.

Pre-requisites

 The Market Participant performing the task is logged in to DRIS (see section 1.3, "Accessing the System").

To view BTM:NG Resource Enrollment

1. From the BTM menu, choose "Enrollment"

Figure 309: Resource Enrollment Dropdown options from Home Page



- 2. From the BTM Enrollment screen, the following search criteria will be displayed:
 - Capability Year: Required field. Users can select from a list of all available Capability Years, sorted by Capability Year Descending
 - Resource: Users can enter the Generator Point Identifier (PTID), the Generator Name or the Transmission Owner Account Number of the BTM:NG Resource
 - Zone: Users can enter the NYISO Load zone in which the resource is physically located
 - ACHL Type: How the ACHL information was received: by Telemetry, Import, or Override
 - "Telemetry" indicates that the BTM:NG Resource's hourly Host Load data for the peak Load hours was received by the NYISO via telemetry
 - "Import" indicates that the BTM:NG Resource's hourly Host Load data for the peak Load hours was imported by the NYISO into DRIS using data provided by the Market Participant via the form posted on the NYISO website
 - "Override" indicates that the BTM:NG Resource does not have verifiable or sufficient hourly Host Load data and a forecasted ACHL is being used to establish the ACHL
 - Auction Month: Individual Months and Year associated with the selected Capability Year, sorted by Auction Month ascending
 - MP
 - Status = Published

Note: Status of "Published" indicates that the ACHL has been published from DRIS to ICAP AMS for the month to be used to calculate Average Host Load.

3. Optionally, view details of the Monthly Enrollment by specific search criteria listed above by selecting from the drop down lists and click Display.

Figure 310: BTM Enrollment Search Filter

		ORK ENDENT I OPERATOR View Of TemorrowToday	Demand Re BTM Enrollm	n			
Main • MP •	Resource •	SCR - Performance F	actors • DR Event •	Mitigation - Tab	eles • Notification	DSASP •	BTM •
Capability Year:	2015-2016	✓ Resource:	✓ Zone	s 👻	ACHL Type:	*	
Auction Month:		Y MP:	✓ Status	: Published			Display
BTM Enrollment S	ummary						

- 4. The following results display on the BTM Enrollment Summary grid based on search criteria selected:
 - Capability Year
 - PTID
 - Generator Name
 - TO Account Number of the BTM:NG Resource
 - Zone: Load Zone
 - Auction Month
 - MP (Market Participant representing the BTM:NG Resource)
 - Status of the ACHL
 - ACHL Type
 - Average Load MW: Peak Proxy Load Value (i.e., Average of the Resource's top 20 hourly Host Loads coincident with the BTM:NG Resource peak Load hours)
 - WNF: Weather Normalization Factor applicable to the BTM:NG Resource
 - RLGF: Regional Load Growth Factor applicable to the BTM:NG Resource
 - DRIS ACHL MW
 - Energy Only: Flag indicating whether a resource is participating only in the energy market
 - DRIS Submitted Date: Date and time DRIS submitted data to ICAP AMS
 - ICAP Published Date: Date and time ICAP AMS received the data from DRIS

Figure 311: BTM Enrollment screen populated with Summary, History, and ACHL Hourly Details Data

Main • MP •	Res	eurce •	SCR •	norrowToday Performance Fa	BT ctors •	DR Event • N	ent litigation • Ta	bles • Notif	lication • DS	ASP •	BTM •						
Capability Yes	ar: 201	15-2016	✓ Res	MP:	MP A	Zone:Status:	✓ Published	ACHL Type:		*	Display						
BTM Enrollmen	t Sumn	nary															
Capability Year	PTID	Gene	rator Name	TO Account Number	Zone	Auction Month	мр	Status	ACHL Type	Avera Load N	WNF	RLGF	DRIS ACHL MW	Energy Only	DRIS Subm	itted ICAP Publis	hed Date
2016-2017 2016-2017 2016-2017 2016-2017 2016-2017 2016-2017 2016-2017	1 1 1 1 1	Genera Genera Genera Genera Genera Genera	tor A tor A tor A tor A tor A tor A	T123 T123 T123 T123 T123 T123 T123 T123	<u>к</u> к к к к к к к	October 2016 November 20 December 20 January 2017 February 2017 March 2017 April 2017	MP A MP A MP A MP A MP A MP A MP A	Published Published Published Published Published Published	TELEMETRY TELEMETRY TELEMETRY TELEMETRY TELEMETRY IMPORT OVERRIDE	1 1 1 1 1 1	0.1 0.1 0.1 0.1 0.1 0.1 0.1	0.4512 0.4512 0.4512 0.4512 0.4512 0.4512 0.4512	159 159 159 159 159 159 159		09/16/2016 09/16/2016 09/16/2016 09/16/2016 09/16/2016 09/16/2016	10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016 10:2 09/16/2016	10:21:03 10:23:50 10:23:20 10:21:28 10:21:53 10:22:31 10:24:23 count: 7 *a Exc
BTM Enrollment	Histor	Y							ACHL H	ourly De	tails						
Auction Month	Statu	is shed	ACHL	ACHL Type	Submitt	ted Date *	-		PTID	Peak	Load Da	Raw Me	eter M Station	PowerSt	ation Power	Final Meter MW	Used In Calculation
Dctober 2016	Calcu	ulated	1.59	TELEMETRY	09/08/3	2016 14:49:30				12/01 12/01 12/01 12/01 12/01 12/01 12/01	/2014 12 /2014 13 /2014 14 /2014 15 /2014 15 /2014 16 /2014 17 /2014 18	1 1 1 1 1 1				1 1 1 1 1 1 1	<u>s</u> sss ss s s s s s s s s s s s s s s s

- 5. The Market Participant selects a month to view the history and hourly host Load data.
- 6. Based on the selection in the Summary grid, historical ACHL data for the month selected will be displayed (if any) in the History grid.

Note: A status of "Calculated" indicates that DRIS has calculated the ACHL for the BTM:NG Resource for the month, but has not been published to ICAP AMS.

- 7. The DRIS auto-selects the row with the most recent submitted date in the History Grid and displays the corresponding hourly Host Load data in the ACHL Hourly Details grid.
- 8. The ACHL Hourly Details grid displays the following:
 - PTID
 - Peak Load Date HB: Date and Hour Beginning of the Peak Load value displayed
 - Raw Meter MW: This is the hourly Host Load meter data of the Resource
 - Station Power MW: This is the hourly Station Power Load data (if applicable)
 - Station Power Operation: "Add" or "Subtract"

Note: "Add" indicates that the Station Power MW will be added to the Raw Meter MW. This is typically done when Raw Meter MW does not already include Station Power MW.

Note: "Subtract" indicates that the Station Power MW will be subtracted from the Raw Meter MW. This is typically done when (i) a Resource elects to perform a DMNC test instead of a DMGC test and (ii) the Raw Meter MW includes Station Power MW.

- Final Meter MW: The BTM:NG Resource's top forty metered hourly Host Load values.
- Used in Calculation: Flag indicating whether the specific hourly Host Load was included in

the top 20 hourly values used in calculating the Resource's ACHL. This data is auto populated by DRIS.

Note: The MP may export the report directly from the BTM Monthly Enrollment page by clicking the **Excel** button in the lower right-hand corner of the dialog box then taking the requisite steps to either open or save the corresponding file.