

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**March 19, 2020
10:00 AM to 11:50 AM**

Location: Teleconference

Approved Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
<i>Attending Members</i>				
Angela Gonzalez, Chair	NYPA	Public Power	X (phone)	
Rich DeJong, Vice Chair	Avangrid Networks	Transmission Owner	X (phone)	
Tahmina Isgandarova	Con Edison	Transmission Owner	X (phone)	
Heather Welch	Central Hudson	Transmission Owner	X (phone)	
Vivian Fu	National Grid	Transmission Owner	X (phone)	
Kathleen Mitterway	LIPA	Transmission Owner	X (phone)	
Martin Silverman	NYISO	NYISO Internal Audit		X (phone)
<i>Visitors</i>				
Randy Browne	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
Alex Rusate	NYISO	n/a		
John Lu	NYISO	n/a		

Meeting convened at 10:00 AM

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. Approval of Minutes from the prior meeting

The minutes from the November 19, 2019 MPAAS meeting were approved with no changes.

3. Open Action Items from the prior meeting.

None

4. SOC1 EMS/BMS Update

Mr. Browne provided an update on the 2020 SOC 1 audit.
In addition, Mr. Silverman provided an update on the EMS/BMS Upgrade Project.

5. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the February 12, 2020 Billing Issue Report (as presented to the Billing & Accounting & Credit Policy Working Group on February 20, 2020) and had no questions.

6. NYISO Senior Management Changes

There have been none since the last MPAAS.

7. Briefings for NYISO's Completed Audits

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Transmission Congestion Contracts
- Network Telecomm and Telemetry Services
- Cyber Security – Internal Penetration Testing
- Cyber Security – Social Engineering
- Continuous Auditing
- External Quality Assessment Review of NYISO Internal Audit
- Consulting – Metering Service Entity Procedures
- Consulting – Energy Storage Resources – SOC 1 Impact
- Consulting – Distributed Energy Resources
- Consulting – Security of Internally Developed Software

8. Other Business / Open Discussion

The Subcommittee held an open discussion regarding the following items of general interest, including:

- MPAAS By-Laws and Charter
A discussion was held by the members, in which it was decided that Mr. DeJong would provide proposed changes to the By-Laws, and Ms. Gonzalez would provide proposed changes to the Charter.
- Internal Audit Scorecards-tabled until the next MPAAS.
- The impact of COVID-19 on audit plans.
- Mr. DeJong asked that the minutes show his appreciation of the NYISO Internal Audit Department's willingness to share its audit activities.

9. Adjourn

The meeting was adjourned at 11:50 AM.

MPAAS Schedule for 2020

- ~~March 19, 2020~~
- June 12, 2020
- September 17, 2020
- November 19, 2020