

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Friday, June 12, 2020
9:00 AM to 12:00 PM**

Via WebEx (see invitation)

If you are having issues with the WebEx audio, please use the following call in number:
+1 509-844-9208 or 866-499-4146

Agenda

1. Introductions (*Chair – Angela Gonzalez*)
2. Approval of minutes from the prior meeting (*Chair – Angela Gonzalez*)
3. Open action items (*Chair – Angela Gonzalez*)
 - None
4. SOC 1 Update (*NYISO-Randy Browne*)
5. BACWG activities – Discussion of Billing Issue Report (*NYISO – Bill Porter*)
6. NYISO Senior Management changes (*NYISO – Bill Porter*)
7. Audit briefings for completed audits
 - Midrange, Storage, & Distributed Systems (*NYISO – John Lu*)
 - Officer and Board Expense Reports (*NYISO – Alex Rusate*)
 - Corporate Incentive Goals (*NYISO – Greg Marcincuk*)
 - Tariff Compliance Testing (*NYISO – Bill Porter*)
 - Code of Conduct (*NYISO – Alex Rusate*)
 - Consulting – Oracle Financials Upgrade (*NYISO – Randy Browne*)
 - Consulting – Metering Service Entity (*NYISO – Alex Rusate*)
 - Consulting – SOC 1 Ranger Testing (*NYISO – Randy Browne*)
 - Consulting – Network Manager SOC 1 Controls Testing (*NYISO – Randy Browne*)
8. Other business / Open discussion (*Chair – Angela Gonzalez*)
 - COVID-19
 - i. What processes have changed in your organization due to COVID-19?
 - ii. How management has responded to COVID-19, and what areas of the business have been affected?
 - iii. What risks have been identified in your organization due to COVID-19?
 - iv. How has Internal Audit responded and been relevant to management during this time?
 - v. Have you seen the relaxation of controls since COVID-19, and how people are monitoring them?
 - vi. What opportunities for improvement have become apparent as a result of COVID-19?
 - MPAAS By-Laws and Charter-DeJong/Gonzalez (*Open discussion with all MPAAS Members*)
 - Internal Audit Scorecards (from 3-19-20 meeting) (*Open discussion*)
 - Other (*Open discussion with all MPAAS Members*)
9. Adjourn (*Chair – Angela Gonzalez*)