

ANNEX 6

**NOTICE OF DEREGISTRATION AND PERMANENT TRANSFER
OF GENERATING UNITS**

AND

**NOTICE OF REGISTRATION OF PERMANENTLY TRANSFERRED
GENERATING UNITS**

**NOTICE OF DEREGISTRATION AND
PERMANENT TRANSFER OF GENERATING UNITS³⁴**

[on Customer letterhead]

[FOOTNOTES AND BRACKETS ARE FOR INSTRUCTION ONLY -- DELETE ALL
FOOTNOTES AND REPLACE OR DELETE ALL BRACKETED TEXT AS APPROPRIATE
PRIOR TO EXECUTION]

[DATE]

ATTN: Manager, Member Relations
New York Independent System Operator, Inc.
10 Krey Boulevard
Rensselaer, NY 12144

RE: Notice of Intent to Deregister and Permanently Transfer Generating Units

Dear Sir or Madam:

[Customer's name] ("Customer") hereby notifies the NYISO that effective **[month, day, year]** (the "**Effective Date**"),³⁵ Customer intends to deregister the generating unit(s) at the PTIDs indicated in Attachment A hereto and incorporated herein by reference, and any load buses associated with those generating unit(s) for the purpose of providing station power, as indicated in Attachment A ("Generating Units").

Customer hereby acknowledges and agrees that:

- (1) Customer has, or will have prior to the Effective Date, satisfied all criteria set forth by the Public Service Commission of the State of New York, the Federal Energy Regulatory Commission, New York state law, and any other state or federal laws or regulations required in order for Customer to sell, transfer, retire and/or deregister the Generating Units.
- (2) The bidding and scheduling information pertaining to the Generating Units submitted or generated prior to the Effective Date may be made available to other parties that subsequently assume control of the Generating Units. Customer hereby waives any objection to such bidding and scheduling information being provided to such parties.
- (3) While the NYISO will deregister the Generating Units for billing purposes as of 00:00:01 on the Effective Date, any new party responsible for the Generating Units will not have the ability to schedule or bid those Generating Units until after

³⁴ The new party responsible for the generating units must be a registered NYISO Customer, in good standing, on the effective date of the transfer.

³⁵ The effective date must be the 1st of a month. The effective date must also be 60 or more days after the date the NYISO receives this notice.

the NYISO links the physical access to the Generating Units to the new party (unless the MIS Administrator for the Customer adds the new party as one of the Customer's authorized users). The NYISO will only change the links to allow for bidding and scheduling of Generating Units during normal NYISO business hours. Accordingly, Customer agrees to continue scheduling and bidding the Generating Units on behalf of, and in accordance with the directions given by, the new party until the NYISO links the physical access to the Generating Units to the new party.

- (4) Customer will remain responsible for payment of all obligations associated with the services Customer received under the NYISO OATT or NYISO Services Tariff related to the Generating Units through the Effective Date, including, but not limited to, payment for rebills, adjustments and resettlement, in accordance with Article 7 of the NYISO Services Tariff and Article 2.7 of the NYISO OATT, and any other financial obligations arising under the NYISO OATT or NYISO Services Tariff.

If you have any questions, please contact **[contact name]** at **[phone number]**.

Sincerely,

[name of authorized representative of Customer]
[title of authorized representative]

Attachment A
List of Generating Units
and associated Station Power Load Buses (if applicable)
for Deregistration

| <u>PTID</u> | <u>Generator/Station Power Load Bus Name</u> |
|-------------|--|
|-------------|--|

**NOTICE OF REGISTRATION OF
PERMANENTLY TRANSFERRED GENERATING UNITS**

[on Customer or Applicant letterhead]

[FOOTNOTES AND BRACKETS ARE FOR INSTRUCTION ONLY -- DELETE ALL
FOOTNOTES AND REPLACE OR DELETE ALL BRACKETED TEXT AS APPROPRIATE
PRIOR TO EXECUTION]

[DATE]

ATTN: Manager, Member Relations
New York Independent System Operator, Inc.
10 Krey Boulevard
Rensselaer, NY 12144

RE: Notice of Intent to Register Permanently Transferred Generating Units

Dear Sir or Madam:

[Customer or Applicant's name] ("Customer") hereby notifies the NYISO that effective **[month, day, year]** (the "**Effective Date**"),³⁶ Customer intends to register the generating unit(s) at the PTIDs indicated in Attachment A hereto and incorporated herein by reference, and any load buses associated with those generating unit(s) for the purpose of providing station power, as indicated in Attachment A ("Generating Units").

Customer hereby acknowledges that:

- (1) Customer is, or will be as of the Effective Date, a registered NYISO Customer that has satisfied all of the applicable requirements for participating in the NYISO-administered markets.³⁷
- (2) Customer has, or will have prior to the Effective Date, satisfied all criteria set forth by the Public Service Commission of the State of New York, the Federal Energy Regulatory Commission, New York state law, and any other state or federal laws or regulations required in order for Customer to own and/or operate the Generating Units.
- (3) While the NYISO will register the Generating Units to Customer for billing purposes as of 00:00:01 on the Effective Date, Customer will not have the ability to schedule or bid those Generating Units until after the NYISO links the physical access to the Generating Units to the Customer (unless the MIS Administrator for the transferring party adds Customer as one of its authorized users). The NYISO

³⁶ The effective date must be the 1st of a month. The effective date must also be 60 or more days after the date the NYISO receives this notice.

³⁷ The NYISO will not transfer generating units unless the new party responsible for the generating units is a registered NYISO Customer, in good standing, on the effective date of the transfer.

will only change the links to allow for Customer's bidding and scheduling of Generating Units during normal NYISO business hours. Accordingly, Customer agrees to coordinate directly with the transferring party and instruct the transferring party on the scheduling and bidding of the Generating Units on behalf of Customer until the NYISO links the physical access to the Generating Units to Customer.

If you have any questions, please contact **[contact name]** at **[phone number]**.

Sincerely,

[name of authorized representative of Customer or Limited Customer]
[title of authorized representative]

Attachment A
List of Generating Units
and associated Station Power Load Buses (if applicable)
for Registration

| <u>PTID</u> | <u>Generator/Station Power Load Bus Name</u> |
|-------------|--|
|-------------|--|