

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Thursday, March 29, 2018
9:00 AM to 11:00 AM**

Call in # 866-280-1753 Conference Code: 5843241527

LOCATION: NYISO Building, 10 Krey Boulevard, Rensselaer, NY

Agenda

1. Introductions
2. Approval of minutes from the prior meeting
3. Open action items
4. BACWG activities – Discussion of Billing Issue Report
5. SOC 1 update
6. NYISO Senior Management changes
7. Audit briefings for completed audits (since prior meeting)
 - a. ICAP Market Operations
 - b. IT Asset Management – Software
 - c. EMS/BMS: System Development Lifecycle
 - d. MMA – ICAP Underbidding
 - e. Corporate Incentive Goals - 2017
 - f. Continuous Auditing
 - g. Market Problem Reporting Procedure
 - h. Public Policy Transmission Planning Process (FERC 1000) Consulting
 - i. External Affairs Quality Assurance Process Consulting
 - j. EMS/BMS: SOC1 Documentation Consulting
 - k. Patching Consulting
8. 2018 Elections – MPAAS Chair
9. Other business / Open discussion
 - a. CIP 13 – Supply Chain Risk Management
 - b. Risk Assessment Results & Trends
 - c. Strategic Risks included in 2018 Audit Plans
 - d. Contractors used in Penetration Tests
10. Adjourn