Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
16.	06/22/2004 Exercise 1	Bidding	MP requests to modify Qualified-to-bid flags and Unit Commitment Parameters for generators could not be completed by Customer Relations staff due to existing bids and the NYISO internal testing schedule.	Notification was sent to Market Participants that NYISO would make arrangements to allow for requested modifications to Qualified-to bid flags and Unit Commitment Parameters to be completed on August 5. Customer Relations staff would coordinate changes with Market Participants as necessary. All MP requested changes were successfully completed.	Open issue under Review
31.	07/01/2004 Exercise 2	Bidding	MP receiving error message when submitting ISO committed fixed bid type for unit. Error message contained "VALIDATION FAILED", "Generator not DAM Dispatchable Energy Bid Qualified."	The ISO Committed Fixed construct is evaluated the same as the ISO Committed Flexible in the DAM (except for the lack of reserve availability MW's). What this means is that the construct expects a min gen that is different than their UOLn. What dispatchable means with regard to bids is that the min gen MW and UOL are not equal. It is necessary to set the qualified to bid flag for Dispatch energy equal to Y for units bidding as ISO Committed Fixed in the DAM.	Open issue under review
50.	07/30/2004 Exercise 3	Bidding	There needs to be some way for units that cannot effectively provide a reserve category to be eliminated from that market. If the software is going to require that a market participant enter some type of bid in the 30 minute non-sync reserve category, thereby indicating availability to provide the reserve, then the software also needs to check the other unit characteristics and eliminate units whose characteristics are incompatible with providing the service.	This is not a software restriction, the organization responsible for the generator just needs to get the categories properly initialized. An opportunity was provided to modify unit parameters on August 6 as noted above in #47. In addition, MPs need to contact their Customer Representative to modify their generator parameters in the current production system if they are inconsistent with the unit's capabilities. Prior to "Go Live" deployment the current production system parameters will be loaded into the SMD2 tables. Alternatively, MP could bid in the day-ahead market as either a fixed output unit or with a high non-synch reserve costs to preclude commitments in this manner.	Open issue under review
85.	08/24/2004 Exercise 5	Bidding	<ol> <li>Why does the Self scheduled MWs 00, 15, 30 &amp; 45 need to be entered while bidding self committed flex?</li> <li>Is it a minimum MW load and the bid curve taken if prices are higher?</li> </ol>	•	Open issue under review

	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
91.	Received 9/1/2004 Exercise 5	Bidding	Currently in the market trial exercises we have been prohibited from modifying unit commitment parameters for the next day if we have an accepted bid in the current day. Is this a function of the NYISO's performance of its own testing during market trials, or is this an actual design limitation of SMD2?	1. The Market Services Tariff indicates not all DAM accepted schedules can be changed in the HAM. The tariff prohibits ISO committed flexible and self committed flexible from switching to any other bid types in the HAM, unless there is a RT issue where the unit could not bid in appropriately. (Page 138)  2. To be eligible to participate in the reserves markets a unit must be ISO committed flexible or self committed flexible. (Rate Schedule 4, page 64)  3. The program logic will not allow a unit to have conflicting parameters from the accepted DAM bid to HAM bid.	Open issue under review
81.	08/23/2004 Exercises 3&4	Billing	MP reviewed some of the SMD2 Billing data for 7/22 and 8/05 and has a couple concerns and questions for the Regulation Billing.  1) The billing for 7/22/04 for generator shows a Regulation Provider Daily Payment of about \$x,xxx.xx. The Regulation Availability Bill code #216 however shows 0% performance for all 24 hrs of this day. MP is wondering where the true performance index results are located.  2) The billing for 8/5/04 for generator shows a Regulation Daily Payment of -\$x,xxx.xx (a charge not a payment), and the Reg Availability shows good indexes in the 99% range for all hours except HB6-21, which all show 0% indexes. MP is concerned there may be some issues with the Index calculations and subsequent Regulation Provider payments.	1) The PTS data for the first market trial day was indeed suspect and could be discounted. NYISO is reviewing value cited in the question. MP has been asked to provide a spreadsheet or screenshot that would be helpful. Open issue under review  2) The unit's entire day-ahead Regulation position was converted to Energy; therefore, the unit bought out of its day-ahead position and paid; it in turn was compensated at real-time prices for the energy produced above its day-ahead commitment.	Open issue under review

NI	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num	<b>Received</b> 07/29/2004	Commitmost	Issues 45-49 have been combined into issue #45	The unit was calcuted in the DAM during the harry 4.0	Onen issue
45. 46.	07/29/2004 Exercise 3	Commitment		The unit was selected in the DAM during the hours 4, 9-	Open issue under review
46.	Exercise 5		1) There appears to be an anomalous result in	15, and 18-21 for 30 min non- sync reserves. The unit is not started by SCUC, so the min run time and max stops	under review
			Market Trials #3. The bidding parameters for a		
48.			generator included an 8 hour minimum run time	are not relevant. When taken for DAM reserves the	
49.			and a single shutdown for the day. The unit	schedule is based on availably, the min run time and the	
			received a schedule commitment in the third	max stops are not considered.	
			market trial that violates the bidding parameters in		
			at least two respects. First, the unit is run for 3		
			different sets of hours for the day thereby violating		
			the unit's maximum number of shutdowns.		
			Second, the first commitment is for a single hour		
			(4), the second is for seven hours (9-15), and the		
			final commitment is for four hours (18-21). Each		
			of these commitments violates the unit's minimum		
			run time.		
			2) The treatment you are describing in SCUC		
			seems to be inconsistent with the unit's bids and		
			my understanding of the real-time market rules.		
			3) A unit bid in the DAM \$0 for 30 minute non-		
			sync reserves because it was told that they could		
			not enter a null bid for the reserve category.		
			Clearly there needs to be some way of indicating		
			the unit is not available to provide this type of		
			reserve due to the unit operating parameters.		
			4) The real-time market will only honor a one hour		
			or shorter minimum run time. However since the		
			unit indicated it had an 8 hour minimum run time		
			in it's bid, the only fair implementation if you		
			decide to turn the unit on for reserves is to		
			guarantee its costs for its full 8 hour minimum run		
			time.		
			5) As noted in #4 above, the commitment is also		
			troubling because the unit operating parameters		
			require four hours minimum notification for		
			startup. Clearly that is not consistent with being a		
			30 minute non-sync provider. Naturally, since the		
			unit told you that it required 4 hours notice to start		
			I am sure you will honor its 4 hour start time and		
			suspend all penalties associated with it starting 3.5		
			hours after you activate 30 minute reserves.		

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
52.	07/30/2004 Exercise 3	Commitment	A MP's calculations show that a generator was economic for hour 9 though hour 21. The MP's interpretation of the commitment notification is that for some reason SCUC did not commit the unit for any hours.	The unit was not committed based upon least-cost-production-cost. The SCUC solution is being reviewed by LECG for confirmation.	Open issue under review
80.	08/19/2004 Exercise 5	Commitment Data	1) In the 45th minute increment of the 0 hour in RTC, the MP's unit was scheduled below its min gen and self committed fixed bid MW.  2) In the 11:18 period in RTC basepoint data history from 8/6/04 was posted and no current day advisory basepoint data was available. This condition lasted until 11:34.	The unit was scheduled for XX.5 MW in the HAM for one of the 15 minute increments - RTD dispatched the unit to its self committed fixed bid MW in RT.      We were experiencing RTC basepoint posting problems at this time.	Open issue under review
82.	08/23/2004 Exercise 5	Commitment	Most of the problems MP observed were in the HAM market.  1) Generator was bid in the DAM in the normal manner for HE8. Yet in the Ham the bid was completely changed by the NYISO from a 3 point bid curve to an 11 point bid curve. Upper limits were also changed from the MW value that MP entered.  2) The GT's that were selected to run in the Dam had their bids changed in the Ham market from ISO Committed Flexible to Self Committed Fixed.  3) The units that were bid in as ISO Committed Fixed in the Dam market and the hours that they were committed to run the NYISO changed the bids to Self Committed Fixed.	1) Open issue under review 2) Open issue under review 3) Accepted DAM ISO committed fixed bids change to self committed bids in the HAM. This is a general rule - the ISO committed fixed category does not exist in the HAM market.	Open issue under review
84.	08/24/2004 Exercise 5	Commitment	MP does not understand the dispatch of reserves for HB 20. Asks why the unit was awarded reserves when a cheaper alternative was available. States all other aspects of dispatch appear to be correct.		Open issue under review

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
90.	8/31/2004 Exercise 5	Commitment	My issue with scheduling on the 19th is in the HAM market for the 00:00 hour. The 45th minute has a MW schedule which is below the unit's min gen. How this can happen.	The bids for HB 00:00 were all ISO Committed Fixed which were accepted in the DAM, and then converted to Self-Committed Fixed HAM bids with the DAM schedule as the Self Commit MW's. This all worked appropriately. RTC produced a MW schedule for one of the quarter hours below the unit's min gen. This appears to be an incorrect schedule from RTC. NYISO is reviewing the RTC data.	Open issue under review
98.	8/27/04 Exercise 5	Commitment	Units were mitigated outside the FERC defined constraints of NYC.	The SMD DAM AMP logic appears to mitigating units committed for LRR who are not located in the FERC defined constrained area of NYC. Discovered to be a messaging error. Fix in progress	Open issue under review
33.	07/16/2004 Exercise 2	Data	MP reported: 1. SMD2 DAM Constraints are sometimes reported multiple times in the same hour with different costs 2. SMD2 DAM Constraints are not always reported with the same names as the current system DAM Constraints, even when they look to be the same. The differences vary from additional underscores to different abbreviations for facilities. We would like to be sure that we are not reinventing the same constraints in our databases due to slightly different data reporting issues.	1. Result of a correction implemented on 7/1 for the DAM limiting constraints view to post only constraint costs +/02 cents and sub mode type 308.  2. Pertains to 7/8 posting for KENTAVE 138 VERNON 138 1. This issue is being worked on by ABB since it deals with the way data is being truncated in the OISR and posting to the MIS. It is posting the constraints for the two KENTAVE lines but the way its being truncated it appears to be the same one. This was reported to ABB on 7/14.	Open issue under review
69.	08/03/2004 Exercise 3	Data	MP downloaded the DAM bids from the 7/22/04 market trial exercise and is confused by the data. It looks fairly normal except for 2 units at each hour interval. There are some missing data on these rows, and it is not the same unit each hour. Then, at the end of the file are some data that has RTC and RTD in the market column. What is this data?	Open issue, MP asked to specify the location of the anomalies so staff can identify and analyze the issue.	Open issue under review

	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num	Received				
97.	9/10/2004 Exercise 5	Data	1a. Can you please provide a definition for the "upper operating limit - emergency" as it is used in the SMD2.  1b. Also please explain the relationship, if any, between this limit, the DMNC, and the upper operating limit as is bid into the MIS.  1c. Please advise under what power system conditions the upper operating limit emergency is used and how Transmission Owners will be notified when the emergency limits are in use by NYISO.  2. Please explain the use of the projected AVR status. Does this flag indicate that the generator is a voltage support service provider?  3a. Please elaborate on the use of the "prepare to start notification" indication. NYISO has defined in its data base an object ID for the flag for all generators, regardless of its type.  3b. Is it primarily intended for the quick start GT's, i.e. 10 minute and 30 minute GT's? If a GT is committed to run 45 minutes from now by RTC, will notification go out 45 minutes in advance?  3c. Is this flag triggered by RTC, RTD or both?  3d. Will the notification go out for steam units and if the answer is yes, then what does it mean?	la. From the NYISO Market Services Tariff - The upper operating limit that a Generator indicates it expects to be able to reach, or the maximum amount of demand that a Demand Side Resource expects to be able to reduce, at the request of the ISO during extraordinary conditions. Each Generator or Demand Side Resource shall specify a UOLe in its bids that shall be equal to or greater than its stated Normal Upper Operating Limit.  1b. The Emergency Upper Operating Limit (UOLe) must be greater than or equal to the Normal Upper Operating Limit (UOLn). UOLe must also be less than or equal to the relevant (summer/winter) maximum operating limit (DMNC)as defined in the Generator Parameters entered into the NYISO MIS.  1c. A Technical Bulletin will be issued that defines the conditions for using UOLe and the notification of the marketplace.  2. Open  3a. The flag is designed to notify 10-minute and 30-minute GTs that they have been committed to operate by RTC.  3b. RTC will commit 30-minute units 30 minutes ahead, and 10-minute units 15 minutes ahead. RTC may show basepoints for 10-minute and 30-minute units prior to these times, but the basepoints would be advisory only. The actual commitment from RTC only occurs 30 minutes prior for a 30-minute unit and 15 minutes prior for a 10-minute unit. An RTC basepoint issued 45 minutes ahead would be advisory only.  3c. The flag is triggered by RTC only.  3d. The GT Start flag is available for all units (including steam units) due to the nature of the implementation. However, the flag has no meaning with respect to steam units.	Open issue under review

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
55.	08/02/2004	Mitigation	MP tried to force DAM mitigation on several of		Open issue
	Exercise 3		his units during market exercise #3 by placing very		under review
			high bids in the last two curve points. In the DAM		
			the unit was taken for 320 MW's at \$290 a MW		
			but the clearing price was way below this offer.		
			The unit was not mitigated.		
20.	06/23/2004	System	MP user able to view Transaction Bilaterals from		Open issue
	Exercise 1		affiliated organization that MP also has user		under review
			account for.		
59.	08/04/2004	Upload/Download	In MP's automated software for the current	1. HTTP/ 1.1 standards.	Open issue
	Exercise 4		production system they are using HTTP/ 1.0	2.	under review
			standard, with the sandbox smd2 they using		
			HTTP/ 1.1. Which one will be used or "deployed"		
			on the new version NYISO is releasing?		
			2) After receiving the confirmation from your side,		
			why there's no more "End of session"?		
			Today when we send our bidpost request we open		
			a connection with NYISO, once we receive all the		
			data and the message is complete, bidpost will		
			close our session and that what trigger the event		
			that the message is complete and than we begin		
			our process to decode the message. In the SMD2		
			environment the session is not being closed and the event is not triggered.		
92.	9/2/2004	Upload/Download	The NYISO needs to allow MPs with automated		Open issue
/	Exercise 5	oproud/20 Willoud	systems for retrieving billing statements to test		under review
			their system on a proxy bill before the go-live date		
			for SMD2.		
17.	06/22/2004	Bidding	Market Participants unable to submit generator	Problem a result of date/time variance from normal	Closed
	Exercise 1		bids with a DAM expiration date.	Market Exercise market close and bid date for SCUC test	
				run. Variance accounted for and deployed in SMD2	
				production.	
3.	05/03/2004	Bidding	MP attempted a Self Committed Flex HAM bid	Problem corrected; code tested and deployed in SMD2	Closed
	Sandbox		without success. By selecting that option, the min	production.	
			gen cost field was locked out, but the error came		
			back with a min gen cost requirement.		

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
4.	05/03/2004 Sandbox	Bidding	MP received error message while entering generator bid into SMD2 Environment for 5/7/2004	After a review of the bid and the generator parameters it was determined that this unit was not qualified for an Ancillary Service that had an Availability Cost amount included as part of the bid.	Closed
5.	05/03/2004 Sandbox	Bidding	MP received error message while entering generator bid into SMD2 Environment for 5/7/2004	After a review of the bid it was determined that the user did not include 'hh:mm' value in the Bid Date field.	Closed
7.	05/05/2004 Sandbox	Bidding	MP receives 'fixed mingen MW cannot be null' error message when testing Generator Bid uploads.	Generator Bid upload contained data errors. Once corrected, the bid was successfully submitted.	Closed
11.	5/19/2004 Sandbox	Bidding	MP received error message when trying to submit generator DAM Bid file.  ORACLE error At data row 1.  ORA-20998: This Bid Schedule Type does not exist. In insert_gen_bid_row from SUBMIT_GEN_BID at loc 5 from SUBMIT_GEN_BID_UP_DN  ORA-06512: at "BIDBOX.RAISE_ERROR", line 260  ORA-06512: at "BIDBOX.SUBMIT_GEN_BID_UP_DN", line 119  ORA-06512: at line 1	Generator Bid upload contained data errors. Once corrected, the bid was successfully submitted.	Closed
13.	06/21/2004 Exercise 1	Bidding	MP cannot see their Station Power load busses when trying to bid them in SMD2 environment.	Station Power code has since been deployed into the SMD2 environment.	Closed
19.	06/23/2004 Exercise 1	Bidding	MPs receiving "Market Closed" error messages when attempting to update bids prior to scheduled close of DAM Market.	System referencing GMT rather than Local time. Parameter changed to correct problem.	Closed
21.	06/23/2004 Exercise 1	Bidding	MP received validation failed for DAM bid that did not have reserves bids and received validation passed for HAM bid that did not have reserve bids.	For the DAM, if 10-min or 30-min Spinning Reserves Qual-to-Bid Flag is Y, then MIS shall require a non-null value to be bid. The acceptable range of values is from zero up to the existing upper constraint. For the HAM, MIS shall accept only null value for reserves availability cost.  This generator has bid_dam_10min_spin_resv = 'Y' and bid_dam_30min_spin_resv = 'Y'. So the reserve bids are required in the DAM.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
23.	06/23/2004 Exercise 1	Bidding	MP requests to change unit Upper Operating Limits and ICAP MWs were not able to be completed by Customer Relations staff.	The internal NYISO Generator Administrator form was corrected and staff was able to post the revised Op Cap and ICAP information.	Closed
38.	07/20/2004 Exercise 2	Bidding	MP requests complete list of validation rules is published for SMD, equivalents of the production bid messages currently available.	Links were established for the list of validation rules on the SMD2 OASIS page.	Closed
86.	08/26/2004 Exercise 5	Bidding	MP created a number of bids to test the use of expiration dates in DAM/HAM and observed something they could not explain.  8/19/2004 DAM focusing on HB 03 Unit 1's bid had expiration date 08/18/2004 at 11:10. Unit 2's bid had an expiration date 08/19/2004 at 01:45. In both cases the bids were rejected in the DAM but HAM bids appeared in the MIS.  1) If a DAM bid with any expiration date is rejected in the DAM why would the bid be copied into the HAM?  2) Since the HAM market closes at 01:45 for the HB 03 dispatch hour should the bid be set to expire at 01:44?	1 & 2) Both units were bid into the HAM market on 8/13 by NYISO staff in preparation for the market trial. Rejected bids are not converted automatically into HAM bids. The expiration of a DAM bid only applies to the closing of the DAM market or SRE's.	Closed
95.	9/9/2004 Exercise 5	Bidding	NY Transaction ID XXXXX: It looks like NY generated a mock unique number for each deal. However, NY ID XXXXX does have a unique number specific to our organization. We are concerned that this may mean a tag was created in OATI for this deal. Could you please verify that a tag does not exist	No tags were created for transactions for the market trials. Some bids were copied from the historical day and the unique number was probably copied with the historic bid.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
83.	08/24/2004 Exercise 5	Billing	MP did not receive daily data for their grouped GT's in their settlement statement.     MP can not determine how the hourly reserve payments roll-up to the daily values.	1) The systems changes related to the SMD 2.0 project have necessitated the removal of grouped generators from Customer Settlements data generated on or after the SMD 2.0 effective date. As a result, participants with grouped generators will no longer see records for their the parents of their grouped generators in all Customer Statement files generated after the SMD 2.0 effective date. However, records will be present for all of the individual units (children) that comprise the grouped generator. This change affects all new billing days on or after the SMD 2.0 effective date, as well as all past billing days that are re-spun on or after the SMD 2.0 effective date.  2) The SMD2 daily and hourly settlements files used in testing do not include all SMD2 billing codes, so these roll-ups are difficult to tie out. This will be addressed in the SMD2 Market Settlement Rules and Processes Manual	Closed
77.	8/18/2004 Exercise 4	Commitment	1. HB 04:00 HAM, 04:00=170MWs and 04:15=75MWs and 04:30=178.10MWs (not within ramp rate, partial MWs accepted). 2. Partial MWs accepted in DAM 3. HB 18:00, 18:00=230.8MWs, 18:15=0MWs, 18:30=90MWs, 18:45=0MWs (min down time an issue, start-up time an issue, partial MWs an issue).	The pricing basepoints should have pulled in the actual generation at the time that each RTD run was initialized and ramped the unit towards its 15 minute schedule What the pricing dispatch actually did was to use the submitted self-scheduled generation level at each 15 minute point without regard to the physical ramp limitations between time periods.  Units can be awarded schedules with tenths of MW's under SMD2	Closed
24.	06/25/2004 Exercise 1	Commitment	MP inquired about the commitment of their generator when the MWs were bid at a high dollar amount, the DAM prices settled at a much lower price, yet their unit was Bid Accepted for the energy.	The Market Participant had submitted the unit as self committed fixed for the entire unit's capability during the hours in question. Therefore they were indicating to the NYISO that they would be a price taker for the entire unit's capability during those hours. The bid curve was not a factor in the unit's schedule.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
44.	07/29/2004 Exercise 3	Commitment	The attached file is the DAM schedule for market exercise #3. All units bid in for that day participated in all 24 hours. The MIS returned less than 24 hours for many of our units. There does not appear to be any consistency to the missing hours. In addition, we have witnessed other discrepancies, with the same unit, on subsequent downloads. There are also missing 15 minute periods in the HAM/RT schedules.	This was a posting and not a scheduling issue, a code fix was deployed to correct both of these issues.	Closed
51.	07/30/2004 Exercise 3	Commitment	There are two commitment issues from the market trials that a MP would like the ISO to look into. A generator received a schedule for the day. The MP checked whether the unit was economic for energy and determined it was not. It is possible that it was committed for 30 minute non-sync reserves but, like another unit, its start up time and minimum run time would preclude it from providing that service.	Determined to be a problem with the way the bid was structured. Results were consistent with SMD2 market rules.	Closed
54.	07/30/2004 Exercise 3	Commitment	There are many units in market trial data that have "partial" schedules for the hour - not all quarter hour records exist in MIS.	It was determined that this was attributed to a flag setting on our part that caused this to occur. We have corrected the configuration.	Closed
62.	08/05/2004 Exercise 4	Commitment	For transaction ID# xxxxxxx HB 13, 15, 16, and 17; why does the bid status for HAM show "EVALUATING" and has a schedule when these hours have already passed? Also, why is the bid status for DAM HB 22, 23 displaying as "ADVISORY ACCEPTED"?	We had problems with the HAM evaluation during this timeframe causing the bids to get stuck in this state. This has since been corrected and the bids have been moved to an Advisory Accepted status.  Advisory Accepted bid means that the bid has been accepted by the system, but has not been through the Scheduling checkout process (which we don't have for this exercise due to the simulation which is regulating external flows).	Closed

	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num	Received				
67.	08/12/2004 Exercise 4	Commitment	MP does not believe that generator's DAM schedule for 8/5/2004 was correct. Believes the unit should have been scheduled at a higher level for more hours of the day. It was scheduled at its min gen all day. Bid Min Gen \$ and Start-Up costs vary by hour. The first bid block was at the unit's min gen of MW1 with a price of \$1x, the second block was for MW2 at \$2x. The posted LBMPs were above the unit's \$1x bid but less than the \$2x bid for 10 hours. MP asks if the unit should have gotten scheduled above MW1 for all or some of those 10 hours.	The results were determined to be consistent with SMD2 market rules. Block bidding has not changed with SMD (other than all units are now bidding in this fashion). The posted LBMPs were <u>not</u> above their second block bid for MW2 at \$2x. Since the LBMP was never greater than \$2x, the unit was correctly dispatched to its minimum generation.	Closed
74.	08/16/2004 Exercise 4	Commitment	MP highlighted hours that their units dropped below the mingen value (some hours were zero). The units did not receive DAM awards and MP bid into the HAM to guarantee commitment. Why did the unit dispatch in this fashion?	The unit was not dispatched below MIN GEN, however, the units hourly averages probably did come in below that value.  The reason for the odd dispatch was a software error in the routines that pass commitment status between RTC and RTD for real-time committable steam units. The error has been identified and fixed, and including in the release to be used during Market Trial #5.  Given it was a real-time commitment, we would not honor there minimum run time for any longer than 1 hour. Minimum down time would have been honored; however, given the scarcity conditions we were facing we forced the unit back into commitment.	Closed

	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num	Received				
75.	08/17/2004 Exercise 5	Commitment	MP bid unit in DAM 8/19/2004 as ISO Committed Fixed bid for all 24 periods. Following message returned when attempting to bid in the 10 & 30 Min NSR markets.  08/17/2004 11:50:50 AM - HAM/Self-Committed Fixed DAM/ISO-Committed Fixed DAM bids can only have 0 or null 10-minute-spin-\$/MW 08/17/2004 12:52:07 PM - HAM/Self-Committed Fixed DAM/ISO-Committed Fixed DAM bids can only have 0 or null 30-minute-spin-\$/MW  MP thought fixed unit could not provide reserves or regulation. Zero (0) bid would indicate unit is capable of participating in the reserve market and willing to accept market clearing price. Null	This was an unintended consequence of fixing another issue earlier. This issue was corrected.	Closed
			\$/MW would indicate unit is not participating in the reserve market. MP was able to submit bids Null ancillary bids 0000-1200, \$0 for 1200-2400 and regulation bids for all 24 periods.		
76.	08/18/2004 Exercise 5	Commitment	MP asks what the "Op Cap Reserve" indicates on the Generator Bids Results screen. The DAM bid was rejected but the generator has XX MWs allotted to the OP CAP reserve category. Does that mean that the NYISO is counting those MWs for reserve? Why is there an OP CAP Reserve MW award when the unit was not bid in to the reserve market?	This is the Forecast Required Energy for Dispatch (FRED). The MW's not committed can be looked at as potential suppliers if needed. This is why the entire capacity of the unit was in the OP Cap Reserve. A unit can be selected to perform based on a SRE. The SRE process provides a method to commit supplemental resources. The evaluation uses unexpired/unaccepted Day Ahead Bids. Therefore, a generator would be SRE'd to comply with FRED. SRE's are determined in an economic manner. See Section 10.0 of the Day Ahead Scheduling Manual.	Closed
78.	08/19/2004 Exercise 5	Commitment	MP asks why their units have been on since HB0? They were rejected in the DAM and MP did not submit HAM bids for those units They also had DAM expiration dates of 8/18/2004 16:00.	There was a brief time period that morning (08/19) where NYISO staff was doing some web testing with this MP's units only to find that it involved the trials time frame. Internal testing was stopped and this has been corrected.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
89.	8/27/2004 Exercise 5	Commitment	DAM Bidding:  1. Hour 00:00 - Why was I accepted at XX1 MW when my bid should have had me at XX2 MW.  2. Hour 07:00 - Why was I accepted at XX.30 when I should have been at XX.00 MW and why is it not an even megawatt load.	DAM Hr 00: The unit should not have been scheduled to XX2MW. Block bidding unchanged from legacy and represents up to costs. Per bid cost up to XX1 MW was \$XX, costs up to XX2 MW were \$XX. DAM hr 07: The difference between block and ideal dispatches reflected on the unit which had a near marginal bid. The unit was accurately dispatched to XX.00 MW in ideal dispatch.	Closed
64.	08/06/2004 Exercise 4	Data Prices	Some comments:  1. Posted internal/external interface flows have duplicate entries with a negative PTID on one of them. It also appears the date stamp only updates at RTC intervals not RTD intervals.  2. Transmission Line RT Scheduled Outages includes data for irrelevant periods e.g. an outage on 8-2 was included in the 8-5 file  3. There is no RT Transmission Line Outage file posted though the email announcement indicated that the ISO would be tripping lines during the MT.  4. Prices - only looked at the Zonal ones, they seemed to go nuts at odd times - like very early on the 5th and at other times. MP has not found the issues that may have caused the stress to cause high prices, hopes that the review process will address this. MP saw one interval with price over \$2600.	1&2. Posting problems were identified and corrected for Exercise 5.  3. The execution of the exercise did not include full production staffing. The RT Scheduled Transmission Outages notification function was not exercised.  4. The price anomalies were discussed in detail at the August 31 Market Structures Working Group conference call.	Closed
8.	05/14/2004 Sandbox	Data	MP finds some different file formats between NYISO SMD2 Sandbox and Production. DAM Zonal LBMPs and DAM Ancillary Service Prices file headings differ.	File headings were changed in SMD2 to match current production files.	Closed
35.	07/19/2004 Exercise 2	Data	MP questioned the ability of the NYISO to produce the TO file in SMD2 environment.	The Day-Ahead Operating plan was available for market exercise #4.	Closed
36.	07/20/2004 Exercise 2	Data	MP reports discrepancy with the HAM Generator Schedule query response file. The MP states that the response file is different for 7/20/04, than it has been for other days. The file does not distinguish 15min intervals.	Problem corrected; code tested and deployed in SMD2 production.	Closed

Num	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num 40.	<b>Received</b> 07/22/2004	Data	1. The data being posted is not consistent. For	There was a problem with the way the interface prices	Closed
40.	Exercise 3	Data	any of the NYCA encapsulated zones zonal prices	were posting during this period. Problem corrected; code	Closed
	Exercise 3		(i.e. excluding PJM/HQ/OH/NPX) RTC is posting	tested and deployed in SMD2 production.	
			every 15 minutes (timestamp on page	tested and deproyed in SMD2 production.	
			http://mis2.nyiso.com/public/P-3Alist.htm not at		
			:15, :30, :45 etc. but, at :21, :39, : 51 etc.) showing		
			prices for :00, :15, :30 and :45. For some reason,		
			on the html page there is no posting past 03:30.		
			Both the csv file and pdf file are incomplete at this		
			time and do not reflect the information posting in		
			the html format. The 12:08 posting showed prices		
			for each quarter hour to HB15:00 but, the 12:21		
			posting lost the 14:00, 14:15 and 14:30 postings.		
			They then returned on the 13:07 posting. Is this		
			intentional? Is there a document that explains how		
			the prices are to be posted? These inconsistencies		
			make it very difficult for us to test our software.		
			2. At the file with timestamp 11:06 on the P-		
			3Alist.htm page has as its last advisory HAM		
			posting that for 13:45. HB14:00 shows up on the		
			posting that for 13.43. HB14.00 shows up on the posting time stamped at 11:21. Is it then revised		
			and reposted every 15 minutes until it is set at the		
			13:21 posting or does it continue to be revised		
			until the 13:07 posting?		
41.	07/23/2004	Data	The Premgf files MP pulled down from	This performance issue was addressed. There was a	Closed
41.	Exercise 3	Data	smdftp1.nyiso.com do not match the SMD2	mismatch between Bidpost and the PreMGF files where	Closed
	LACICISC 3		schedules.	the PreMGF files where taking longer than 15 mins to	
			Schedules.	generate. The process of tuning the database to generate	
				the files within the 15 min intervals was successfully	
				·	
				completed before the next market exercise.	

	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num	Received				
43.	07/27/2004 Exercise 3	Data	<ol> <li>Currently when MP performs a download for their DAM Awards they receive Bid Accepted, Bid Rejected, Validation Passed, and Validation Failed bids. During Market Trial #3 they did not receive any Validation Passed or Validation Failed bids, this caused them a problem because their processes are looking for those Validation bids as verification. Received Validation Passed and/or Validation Failed bids during Market Trials #1 and #2.</li> <li>MP bids all their units into the DAM market for all hours. The downloaded DAM Awards showed no bids for a number of the unit's during many different hours.</li> </ol>	In fixing another problem, the query for generator schedules was affected. Problem corrected; code tested and deployed in SMD2 production.	Closed
53.	07/30/2004 Exercise 3	Data	What will the hourly advisory file format looks like in SMD2 for group units? Will it still list each individual unit in the group plus at the group level?	<ol> <li>After SMD goes live, if you request a file for a pre-SMD day that has not been re-billed since SMD deployment, you will see Group records as you did before.</li> <li>After SMD goes live, if you request a file for a pre-SMD day that has been re-billed since SMD deployment, you will not see Group records.</li> </ol>	Closed
56.	08/03/2004 Exercise 3	Data	MP asks that the comma be removed from the message field in the response from the MIS. The comma is causing problems when parsing data into our database. It does not follow the ISO published format. Example: "HAM, Self-Committed Fixed DAM, and ISO-Committed Fixed DAM bids can only have 0 or null 30-minute-nonsynch-\$/MW"	The comma included within the message field was replaced with a period, consistent with the legacy format.	Closed
60.	08/05/2004 Exercise 4	Data	There were posting issues noted: 1. Real Time Actual Load: nothing posted for 8/5 2. Integrated Real Time Actual Load: incomplete data 3. Hour Ahead Market LBMP - Generator: last updated at 08/04/04 21:42 EDT 4. Real Time Market LBMP - Zonal: file last updated at 08/05/04 08:31 EDT	Real Time Actual Load: process restarted, posting correctly     Integrated Real Time Actual Load: functioning correctly, will remove the external zones - which have no load numbers - from the files     Hour Ahead Market LBMP- Generator: PDF file creation affecting process, will restrict data posting to CSV and HTML files     Real Time Market LBMP-Zonal: process restarted, posting correctly	Closed

	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num	Received	Б.			
63.	08/05/2004	Data	1. Please look at the historical data sections of this	1. The 8/4 time frames began generating on system start	Closed
	Exercise 4		report. Notice its data from 8/4 21:35 - 21:55.	up. There were some problems with the postings, but	
			Followed by the expected RTC data for 8/5/04	these were straightened out by the end of the exercise.	
			14:15 on. I don't think this feature could be	2. The "energy chart" feature was not available for	
			considered working during the SMD2 trial.	Exercise 4 and malfunctioned due to a data exchange	
			2. The "Energy Chart" feature has not been	error in Exercise 5. The problem has been isolated and	
			working at all today.	the fix is in process.	
68.	08/13/2004	Data	1. MP's OrgName in the first column of the daily	1. This is a temporary file for use in the market exercises	Closed
	Exercise 4		data contains a ",". The OrgName in the hourly	only. It was suggested that the Market Participant open	
			file does not. In the CSV world this extra"," has	the file in a test editor and delete the comma prior to	
			the effect of shifting the data by one column. MP	importing the file into Excel.	
			requests NYISO change the daily file to be	2. The grouped GT information will look different in the	
			consistent with the hourly download data file.	daily file. The individual units in a group are not going	
			2. On the daily download MP received blank data	to roll into the parent in the daily file.	
			for all their GTs. Based on the hourly data, MP	3. The grouped GT information will look different in the	
			believes their GT's were dispatched and file should	daily file. The individual units in a group are not going	
			have some daily total results.	to roll into the parent in the daily file. A new Customer	
			3. MP needs to know which of the GT PTIDs are	Statements Data Dictionary (V.19) has been provided to	
			going to be used in the Customer Settlements	MPs with the SMD2 file structures	
			environment, for both the daily and hourly data	4. The hourly data template is a temporary tool to be used	
			(the group PTIDs did not show up in the hourly	only during the market exercises. It will not be adjusted	
			data). Will Customer Settlements use the same	to include headings. Headers were provided in	
			structure that is currently being used today?	spreadsheet format to MP. The response parameters for	
			4. When MP downloaded the hourly data it does	this template are described in the Customer Statements	
			not come with a heading row. This lack of headers	Data Dictionary V.18 located on the NYISO web site at:	
			makes it harder to analyze the data.	http://www.nyiso.com/markets/pdf/data_dictionary_v18.	
				pdf. The data returned by this query will follow the order	
				in which they are listed in the Customer Statements Data	
				Dictionary V.18.	
79.	08/19/2004	Data	Generator Forecast Schedule chart not displaying	Staff has verified that the Gen Review Forecasted	Closed
	Exercise 5		data correctly for unit.	Schedules screen was working properly, and is now	
				correcting the cause of the data error. The graph was	
				reacting to having to plot a trend for data showing up in	
				the database table spanning 08/06/2004 to 08/19/2004.	
				That was the reason for the datestamps and incorrect x-	
				and y-axis settings.	

NI	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num 87.	<b>Received</b> 08/27/2004 Exercise 5	Data	On the new billing codes 776 and 777 both have the exact description, what is the difference? Should one be a charge and one a credit?	Billing code 776 accounts for the FIC that apply to failed transactions that are tied to the LBMP energy market.  Billing code 777 accounts for the FIC that apply to failed transactions that were tied to a specific load bus in the NYCA.	Closed
88.	8/27/2004 Exercise 5	Data	1. In the response file for a HAM query, you have the 5 minute instruction inside the file. Sometimes, the market name is called "RTD", other times called "SCD". Any determination from NYISO for what that would be?  2. In the NYISO market participant's user guide, "Generator SCD Schedules" template is supported. However, when I submit the query file I get: TIME_STAMP=08/24/2004 14:35 BID_TYPE=GEN_SCD DATA_ROWS=0 Are you still supporting this template any more???	1) The RTD is the Real Time Dispatch determined schedule for a time period. The SCD is the Security Constrained Dispatch determined schedule for a time period.  2) The template is still supported. However, this template can only be used for historical (pre-SMD2) generator data.	Closed
93.	9/9/2004 Exercise 5	Data	NY Transaction ID XXX: This deal appears under both purchases and sales on the statement. Our records indicate it is a purchase from NY. Could you please confirm if this is an error in the statement and whether the deal is a purchase or sale.	MP was looking at two different parts of the statement which contained different data for the same transaction. The transaction was a purchase.	Closed
94	9/9/2004 Exercise 5	Data	When we downloaded the customer statement it was missing headers for each column so we had to manually enter these. Could you confirm these headers include all the necessary charge codes and that all the new SMD2 charge codes have been included. The statement is attached.	Confirmed headers. The customer statement file used in Market Trials is a temporary file and is not the SMD2 final version. Not all the SMD2 codes are included.	Closed
96.	9/9/2004 Exercise 5	Data	I calculated BPCG for the Market Trial #5 for 3 transactions and the calculation isn't the same as the ADVISORY STATEMENT. Do you know if there is a change in the formula for the BPCG.	Customer was using wrong pricing data. When correct data was used, statement tied out.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
39.	07/22/2004 Exercise 3	Mitigation	MP asks NYISO to clarify what data point in the generator award that will reflect a bid being mitigated. MP under impression that point #12 was reserved for this for all generators. However, in the case of a GT, where only two points are bid, it appears that the third point is reserved for mitigation.	An extra point may be inserted at any point in a bid curve as a result of mitigation in order to exclude the capacity scheduled for spinning reserve from being mitigated. The 12th point allows for the 'extra' point's insertion on a bid curve that has eleven user defined points.	Closed
42.	07/26/2004 Exercise 3	Mitigation	MP asks NYISO staff to explain how the NYISO will process the HAM awards (specifically the 15-Min advisory schedules) in the times where real time mitigation occurs.	15 minute advisory schedules are not processed any differently as a result of real-time mitigation.	Closed
25.	06/25/2004 Exercise 1	Prices	MP noticed that Ancillary Services clearing prices did not reflect Availability Prices associated with accepted bids for several units.	It was determined that \$0 bids were being passed to the evaluating process which then calculated \$0 Ancillary Service clearing prices. Code fix deployed and verified in Market Exercise #4.	Closed
71.	08/11/2004 Exercise 4	Prices	MP asked during Market Exercise review session whether the posted prices for HAM LBMP externals were correct.	NYISO staff confirmed that the RTC process is not posting external prices during its execution of RTC30, RTC45 and RTC00, so the posted prices have been accurate. The only prices posted for external zones are those generated during RTC15.  We may have confused some MP's by saying that the external prices "are calculated hourly;" they may have interpreted this to mean that the price would be the same at all four timestamps. To be more precise, RTC15 generates four quarter-hour prices for external zones.	Closed
9.	05/19/2004 Sandbox	System	MP uploaded a bid with an expiration date/time in it of 05/20/2004 11:00, the response came back with "05/20/2004 11:00" for an expiration date/time. However, when Market Participant reviewed the bid through the web pages it displayed the expiration date/time in GMT not local time.	The Expiration Time field on page ref E-7 corrected to display in local time on generator bid review.	Closed
10.	05/19/2004 Sandbox	System	Gen bid display error - start-up cost on web page is not displayed in correct format.	The Start-Up \$ value now displays to two decimal places on web page, regardless of its value.	Closed
12.	06/21/2004 Exercise 1	System	Link to SMD2 OASIS located on the main OASIS page rather than on the SMD2 transition page like it was for Sandbox testing.	Link added to the SMD2 transition page.	Closed

None	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num 14.	Received 06/22/2004 Exercise 1	System	Some Netscape 4.X users are having difficulty opening the SMD2 OASIS page. Their browser hangs up during the loading of the page.	IT verified that anything on the gold OASIS page is also used elsewhere on other www.nyiso.com pages. NYISO staff replicated the problem but able to load the page OK after hitting the refresh button.	Closed
32.	07/02/2004 Exercise 2	System	Generator Reference Price (GRP-2) web interface is experiencing Oracle errors when user clicks on the Energy Reference Prices and Startup/minimum generation reference price radio buttons on the Web.	Problem corrected; code tested and deployed in SMD2 production.	Closed
			Energy Reference Prices - ORA-00904: "BIDBOX"."GEN_MITIGATION_VW"."DAM_I N_CITY": invalid identifier Startup/minimum generation reference price - ORA-00904: "UPDTIME": invalid identifier		
57.	08/03/2004 Exercise 3	System	MIS Admins getting "java.lang.OutOfMemoryError" when attempting administrative functions.	Some new monitoring processes were implemented on the related server by the Unix Admins; these processes consume lots of memory. Process was shut down because of possibility that it was responsible for using up all the memory.	Closed
1.	04/28/2004 Sandbox	Upload/Download	Market Participant ("MP") frequently getting errors when trying to query for transaction schedules.  ORACLE error At data row 0.  ORA-00942: table or view does not exist	The problem was a missing view in the database that failed to be copied over during the last refresh. The view has since been put in place and the templates work as expected.	Closed
2.	04/29/2004 Sandbox	Upload/Download	MP was testing upload/download application and found it would not read in the return file. Upon examination found carriage returns in the SMD2 return file not in current production files.	It was determined that the extra characters are a result of the NYISO changing from an NT to UNIX platform. The Market Participant would modify their application to accommodate the extra character in the return file.	Closed
6.	05/04/2004 Sandbox	Upload/Download	MP discovers that a '&' was required at the end of the template query string in order to successfully extract data. The current production system does not require this and the documentation states the same.	Code deployed to allow queries to work with or without '&' at end. The system will now accept a hard carriage return or an ampersand at the end of the statement to extract download information.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
15.	06/22/2004 Exercise 1	Upload/Download	MP's automated system is receiving exception responses when attempting to upload bid files. Test files are returning a response stating that no rows were found. The query function uses the same PostFunction and seemed to be working fine.	MP discovered that when they were reading the file to submit in the post, the linefeeds and carriage returns in the file were being stripped out. Once this was realized, they tried adding back both characters and found that the line feed would work.	Closed
18.	06/23/2004 Exercise 1	Upload/Download	MP receiving error message when attempting to submit generator bid. Error Message: ORA-20998: The combination of Generator, date_hour, bid status, source must be unique. In insert_gen_bid_row from SUBMIT_GEN_BID at loc 5	Problem corrected; code tested and deployed in SMD2 production.	Closed
22.	06/23/2004 Exercise 1	Upload/Download	MP reported this error when trying to place a transaction bid - ORACLE error At data row 1. ORA-20996: 1000091 - Bid is not within the valid transaction date range Error Log Id: 390693 from CALL_OSS_INSERT_PROC from INSERT_HOURLY_TRAN_BID_UP_DN ORA-06512: at "BIDBOX.RAISE_ERROR", line 260 ORA-06512: at "BIDBOX.INSERT_HOURLY_TRAN_BID_UP_DN", line 216 ORA-06512: at line 1	NYISO staff looked at the file and it was okay. It was then uploaded and returned a Validation Passed message. Problem has not reoccurred.	Closed
26.	06/25/2004 Exercise 1	Upload/Download	MP claims that in the NYISO SMD2 MP Document section 8.2.4, the format of the Transaction bid is not correct. It seems that there is an undocumented field between "PSE number" and "Receiving control" (field 7 and 8).	The 'spare' field between the "PSE number" and "Receiving control" fields is documented in the Transaction Bid Submission Parameters section; this 'spare' field is also required in the current production system.	Closed
27.	06/25/2004 Exercise 1	Upload/Download	MP states that in between the connectivity testing and the start of Market trials, NYISO changed the IP Address of the bidpost2.nyiso.com server from https://bidpost2.nyiso.com/cgi-bin/main.exe. MP had to reconfigure the firewall for the changed IP address to query and download data files using their application. Request to be notified in advance of any upcoming changes to the NYISO test environment.	In a 04/29/2004 notification to MPs the NYISO provided the IP Address that would be used in the SMD2 Sandbox testing and Market Exercises.  "To test the upload/download process using an automated system: https://bidpost2.nyiso.com/cgi-bin/main.bin"	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
28.	06/29/2004 Exercise 2	Upload/Download	MP received the following Oracle error when attempting to submit a multi-hour block transaction.  ORACLE error- At data row 13.  ORA-20996: 1000098 - The OSS transaction for this contract is not a Multi-hour Block Transaction. Please create a new transaction or remove the minimum run time. Error Log Id: 390805 from CALL_OSS_INSERT_PROC from INSERT_HOURLY_TRAN_BID_UP_DN ORA-06512: at "BIDBOX.RAISE_ERROR", line 260 ORA-06512: at "BIDBOX.INSERT_HOURLY_TRAN_BID_UP_DN", line 216 ORA-06512: at line 1	Problem corrected; code tested and deployed in SMD2 production to allow Multi-hour Block Transactions.	Closed
29.	06/29/2004 Exercise 2	Upload/Download	MP submitted a Wheel Through transaction and received error message.  ORACLE error At data row 1.  ORA-20998: ERROR: Generator PJM_GEN_KEYSTONE, ptid= 24065 and Bus load name NE_LOAD_SANDY PD, ptid= 55858 Firm DAM & HAM transactions with external generators must have null transaction priority In CHECK_TRAN_CONTRACT from INSERT_HOURLY_TRAN_BID_UP_DN ORA-06512: at "BIDBOX.RAISE_ERROR", line 260 ORA-06512: at "BIDBOX.INSERT_HOURLY_TRAN_BID_UP_DN", line 216 ORA-06512: at line 1	Modified bid by removing 'Transaction Priority' and resubmitted successfully. Can only include Transaction Priority with internal and export transactions.	Closed
30.	06/30/2004 Exercise 2	Upload/Download	MP getting error message when trying to submit transaction bids via Upload/Download.	MP using Administrator account in template file, needed to include user account for this function.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
34.	07/16/2004 Exercise 2	Upload/Download	MP attempting to download market trials Gen Schedules for 7/8/04 market exercise. It returns data rows of 0. MP also previously used template to down load data for 6/24/04, now can't download data for that day.	Problem corrected; code tested and deployed in SMD2 production.	Closed
37.	07/20/2004 Exercise 2	Upload/Download	NYISO staff identified issue with the download templates and some organization's ability to download their advisory data. The ampersand in the names of some organizations was throwing off the template logic thinking that it is at the end of the org name line when it is really in the middle of the name.	Deployed to SMD2 production a solution to the ampersand issue in the billing templates by allowing MP's to either enter their organization name or organization id in the templates. The affected MP's will need to submit their download requests using their org_id in the following format, ORGANIZATION=12345&.	Closed
58.	08/03/2004 Exercise 4	Upload/Download	MP attempted to delete evaluated generator bids via an Upload/Download template and received an error message.	A user cannot delete a bid record that has a status Being Evaluated, Bid Accepted or Bid Rejected. The template was attempting to do that with this bid, resulted in the error message.	Closed
61.	08/05/2004 Exercise 4	Upload/Download	It appears that even though a MP's download request was successful, the process is hanging up preventing the customer from submitting a second request.	We have corrected the procedures to increase performance for the new requests.	Closed

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
65.	08/09/2004	Upload/Download	It appears the ISO is forcing MP to download	A1) Yes, the added load on the SMD system was	Closed
05.	Exercise 4	Data	tremendous amounts of data when setting the	considered in its design specifications.	Closed
	L'ACICISC 4	Data	Advisory schedule flag to "Y" - a day's worth of	A2) The template is working as designed. When the user	
			HAM or DAM schedules plus the RTC & RTD	enters HAM in GEN_SCH they will get HAM and SCD	
			schedule window.	data. If you wish to modify this template to pull only	
			Q1) Has the ISO considered the additional load	HAM not SCD, this will need to be entered as an	
			that will be placed on market system hardware?	enhancement and scheduled for a future release.	
			When MP's could be polling the MIS with	A3) SCD/RTD data has been added to the query response	
			automated processes every 5 minutes to obtain	A4) The template is working as designed. These features	
			their 15 minute schedules?	will need to be entered as enhancements and scheduled	
			Q2) Why would the MIS return SCD data? This	for a future release.	
			does not appear to be correct.	A5) The advisory flag refers to whether or not you want	
			Q3) I would like to start up my automated	to get the advisory schedules. The response would	
			download. It had to be shut it down because SCD	include both the HAM/RTC and SCD/RTD advisory (i.e.	
			was not recognized. Has RTD been added to this	projected future) schedules if 'Y' is the parameter used.	
			template?	Only past and the most recent schedules are returned if	
			Q4a) Why provide Hourly bid data for hours that	'N' is used.	
			have elapsed? Why provide 15 minutes schedules		
			that have elapsed? For the 24 hour of the Day the		
			ISO could be returning 1,000's of rows of data for		
			schedules that have elapsed during the day.		
			Q4b) I asked at the last review meeting if selective		
			queries could be developed. Just for RTD, RTC		
			and possibly adding "to" and "from" fields rather		
			than returning data for periods that have elapsed		
			since the beginning of the day. Any progress on		
			this concern?		
			Q4c) Has the ISO considered creating a market		
			download for "RTC/RTD" with the ability to		
			request market status "Accepted" or "Rejected".		
			Or consider allowing the user to request a "to" and		
			"from" for specific HAM schedule hours during		
			the day to reduce the amount of processing on both		
			sides of the query.		
			Q5) Why would the MIS return 5 minute data if		
			the advisory flag is set to 'N'?		

Num	Date First Received	Issue Type	Issue	Resolution/Explanation	Issue Status
66.	08/10/2004 Exercise 4	Upload/Download	When MP tries the download template for LBMP energy for Market Exercise 3, they just get the header and Data=0. MP wants to look at the data NYISO recreated and posted on 8/5/04.	The data is posted. When constructing the query, the end date must be >= start date +1. This start-end date relationship is true for all the SMD2 queries that require an end date.	Closed
70.	08/06/2004 Exercise 4	Upload/Download	MP ran the GEN_SCH query. In the file for the Bid Accepted hours the "HAM" lines are every 15 minutes  1) If NYISO modifies the bid (status MODIFIED or Bid Accepted but mitigated) can the modification be only on one 15 minute "HAM" line or the Modified/mitigated changes would be true always for all four 15 minutes "HAM" lines, for this particular hour?  2) Is there any way to get history data for RTC/RTD? Currently in the file there are only RTDs for the upcoming hour and RTCs for the following 2 hours. If my system is down for a few hours and I want to update the missed data is there any query to get the RTC/RTD for the whole day or I would use the data from the "HAM" lines?	1) Once triggered, Mitigation extends through the remainder of the hour, and therefore it could last from one to four RTC intervals.  2) It is assumed this refers to extracting data with the Generator Bids and Schedules template 8.3.1  The template variable  CURRENT_ADVISORY_SCHEDULES_FLAG value will determine what data is returned. If 'Y' is selected, the advisory schedules are returned. This appears to describe the data mentioned above. If 'N' is selected, the data returned should include the values from 00:00 through the latest actual schedule posting of the date requested. If the date input is a historic one (i.e. <= today's date -1), the RTC/RTD schedules for the entire day should be returned.	Closed
72.	08/13/2004 Exercise 4	Upload/Download	TRAN_SCH query was submitted and produced this error message:  ORACLE error- At data row 0. ORA-20998: You can not run an Upload/Download request of the same type until your previous request has completed. In UPLOAD_DOWNLOAD_PRE_PROCESS ORA-06512: at "BIDBOX.RAISE_ERROR", line 254 ORA-06512: at "BIDBOX.UPLOAD_DOWNLOAD_PRE_PROCESS", line 243 ORA-06512: at line 1	We believe there may be an issue with the clustering of the upload/download application, where if a query takes more than 5 minutes on the one server it sends the request to the clustered server while the other request is still running causing the database to pass this error. We are looking into expanding this time limit to allow large queries to finish.	Closed

	Date First	Issue Type	Issue	Resolution/Explanation	Issue Status
Num	Received				
73.	08/16/2004	Upload/Download	MP trying to download the hourly settlements	We found that the clustered OSS code may be the cause	Closed
	Exercise 4		data. Gets "Error 404 Not Found" message.	of our problems with the SMD web applications not	
			_	being available. We are currently removing the clustered	
				OSS code and restoring the upload/download and bidpost	
				applications to their original state prior to the 08/20 OSS	
				code deployment.	

Color code: Red – open issue

Blue – New issue added since last posting

Green – New information / resolutions added since last posting