

## Cluster Study Decision Period Trainings

## Table of Contents

<b>Phase 1 Entry Decision Period Training Document.....</b>	<b>3</b>
<b>Phase 2 Entry Decision Period Training Document.....</b>	<b>7</b>

## Phase 1 Entry Decision Period Training Document

When the Phase 1 Entry Decision Period opens, the Primary Contact for the Project will receive a notice that the window is open.

To Submit the Decision:

1. The Primary and any of the Secondary contacts for the Project can log into the NYISO Interconnection Projects Portal.
2. On the home page you will see a section for 'My Decisions – Action needed'. If you have any Projects that require action during the Cluster Study decision period, there will be a record listed in this section.
3. Click on 'Submit Phase 1 Entry Decision Period' in the last column for the Project for which you are submitting your decision.

INQUIRIES FINANCIALS LOGOUT

**WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!**

- Click on a **button** below to initiate a new Interconnections Request. By starting a new IR, you will be the primary contact.
- Select one of the **Projects** below by clicking on the project name to view project details, milestones and required actions.
- Click [here](#) to view the Interconnection Process on the NYISO website for more details.
- This site is best viewed using the current browser version of Firefox, Chrome and Safari. **This site is not compatible with Internet Explorer.**
- Click [here](#) to review Knowledge Articles pertaining to Planning and the Interconnection process.
- Click [here](#) to download the Cluster Study Scoping Meeting NDA form. Once executed, submit the form by clicking the Cluster Study Scoping Meeting NDA button below. An Interconnection Customer will need to sign and upload this form in the Interconnection Projects Community Portal **before** the Transition Cluster Scoping Meeting.

CONTACT STAKEHOLDER SERVICES IP SUPPORT

**MY PROJECTS**

Project Name	Queue Number	Status	Type
Test Project - Limited POI 5	N/A	3C - Phase 1 Entry Decision Period	Facility

View All

**MY DECISIONS - ACTION NEEDED**

Project Submission Group Name	Project	End Date	Create Submission Link
Test Project - Limited POI 5 - Entry Deci...	Test Project - Limited POI 5	1/21/2025	Submit Phase 1 Decision

View All

Cluster Study Scoping Meeting NDA

Cluster Facility Interconnection Request

Transmission Interconnection Request

Transmission Expansion Request

Load Interconnection Request

4. A new screen will present, 'Phase 1 Entry Decision'
  - 4.1. Complete all **required** fields.
  - 4.2. Click next.

**WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!**

---

### Phase 1 Entry Decision

\* Are You Electing for this Cluster Study Project to proceed to the Phase 1 Study?

Yes

---

Proposed Initial Backfeed Date	* Month 12	* Year ⓘ 2025
Proposed Synchronization Date	* Month 1	* Year ⓘ 2026
Proposed Commercial Operation Date	* Month 2	* Year ⓘ 2026

A Readiness Deposit 1 is required and shall be \$4,000 per MW based on the requested ERIIS amount at the Point of Interconnection for the Cluster Study Project.

\* Enter amount of Readiness Deposit 1 submitted to NYISO (number only) ⓘ

\$200,000.00

Note: if you navigate away from this form or your system times out any information entered will NOT be saved.

**Next**

- 4.3. Complete all required fields regarding the financial details related to how the Readiness Deposit 1 has been met with the NYISO.
  - 4.3.1. The Wire/ACH needs to be completed prior to submission of the Phase 1 Entry Decision Period record.
  - 4.3.2. If utilizing a LOC or Surety bond, the originals of those documents need to be submitted to the NYISO Credit department prior to submission of the Phase 1 Entry Decision Period record.

**WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!**

**Phase 1 Entry Decision**

\*Are you utilizing a Wire or ACH for some or all of your Readiness Deposit?

Yes

▼

▼ **Wire/ACH details**

\* Date Payment Submitted

Feb 10, 2025

\* Company Name Submitting Payment

ABC Company

\* Federal Reference ID/ACH #

1234567890

\* Amount of Wire

\$520,000

\*Are you utilizing a Letter of Credit for some or all of your Readiness Deposit?

No

▼

\*Are you utilizing a Surety Bond for some or all of your Readiness Deposit?

No

▼

Note: if you navigate away from this form or your system times out any information entered will NOT be saved.

Previous Screen Submit

4.3.3. Once you have completed all financial details and click ‘Submit,’ a confirmation will appear on screen that “Your entry was successfully submitted.”

**WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!**

**Phase 1 Entry Decision**

Your entry was successfully submitted.

You may now close this browser window.

Previous

4.3.4. If the financial details cannot be verified, the Phase 1 Entry Decision Period submission will be ‘Declined’.

4.3.4.1. Project that fails to submit the Readiness Deposit 1 within the Phase 1 Entry Decision Period window will be withdrawn from the Cluster Study process.

WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!

- Click on a **button** below to initiate a new Interconnections Request. By starting a new IR, you will be the primary contact.
- Select one of the **Projects** below by clicking on the project name to view project details, milestones and required actions.
- Click [here](#) to view the Interconnection Process on the NYISO website for more details.
- This site is best viewed using the current browser version of Firefox, Chrome and Safari. **This site is not compatible with Internet Explorer.**
- Click [here](#) to review Knowledge Articles pertaining to Planning and the Interconnection process.
- Click [here](#) to download the Cluster Study Scoping Meeting NDA form. Once executed, submit the form by clicking the Cluster Study Scoping Meeting NDA button below. An Interconnection Customer will need to sign and upload this form in the Interconnection Projects Community Portal **before** the Transition Cluster Scoping Meeting.

CONTACT STAKEHOLDER SERVICES IP SUPPORT

Cluster Study Scoping Meeting NDA

Cluster Facility Interconnection Request

Transmission Interconnection Request

Transmission Expansion Request

Load Interconnection Request

**MY PROJECTS**

Project Name	Queue Number	Status	Type
<a href="#">Test Project - Limited POI 5</a>	N/A	SC + Phase 2 Entry Decision Period	Facility
<a href="#">View All</a>			

**MY DECISIONS - SUBMITTED**

Project Submission Group Name	Status	End Date	Create Submission Link
<a href="#">Test Project - Limited POI 5 - Entry Decision - Ph...</a>	Decided	1/21/2025	<a href="#">Resubmit Phase 1 Decision</a>
<a href="#">Test Project - Limited POI 5 - Entry Decision - Ph...</a>	Decided	1/29/2025	<a href="#">Resubmit Phase 2 Decision</a>
<a href="#">View All</a>			

- Once the Phase 1 Entry Decision Period window closes, any open Phase 1 Entry Decision Period Project submission will automatically be closed with a status of “Declined” and such project(s) will be withdrawn pursuant to Section 40.7.6.1 of OATT Attachment HH. Projects that do elect to proceed to the Phase 1 Study but fail to (1) submit Readiness Deposit 1 or (2) submit updated proposed dates for Initial Backfeed Date, Synchronization Date, and Commercial Operation Date will also be withdrawn pursuant to Section 40.7.6.1 of OATT Attachment HH.
- Any Cluster Study Project withdrawals before or during the Phase 1 Entry Decision Period stage, whether initiated by the Interconnection Customer or NYISO, will result in a Withdrawal Penalty in an amount equal to 25% of its Study Deposit. If an Interconnection Customer withdraws or is withdrawn after the Phase 1 Entry Decision Period, increased Withdrawal Penalties may apply as determined based on when in the Cluster Study Process the Interconnection Request or CRIS-Only Request withdraws or is deemed withdrawn by the NYISO as detailed in Sections 40.7.6, 40.10.9, and 40.15.5 of OATT Attachment HH. However, a Cluster Study Project will not be assessed a Withdrawal Penalty if the NYISO determined that the Cluster Study Project cannot move forward due to Physical Infeasibility pursuant to Section 40.7.3 of OATT Attachment HH.

## Phase 2 Entry Decision Period Training Document

When the Phase 2 Entry Decision Period opens, the Primary Contact for the Project will receive a notice that the window is open.

To Submit the Decision:

1. The Primary and any of the Secondary contacts for the Project can log into the NYISO Interconnection Projects Portal.
2. On the home page you will see a section for 'My Decisions – Action needed'. If you have any Projects that require action during the Cluster Study decision period, there will be a record listed in this section.
3. Click on 'Submit Phase 2 Entry Decision Period' in the last column for the Project for which you are submitting your decision.

The screenshot shows the NYISO Interconnections Projects Community portal. At the top, there is a navigation bar with 'INQUIRIES', 'FINANCIALS', and 'LOGOUT'. Below this is a welcome message: 'WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!'. A list of instructions follows, including clicking on a button to initiate a new Interconnections Request, selecting projects, and clicking on 'here' to view the Interconnection Process. A 'CONTACT STAKEHOLDER SERVICES IP SUPPORT' bar is present. The main content area is titled 'MY PROJECTS' and contains a table with columns for Project Name, Queue Number, Status, and Type. A 'View All' link is below the table. A red box highlights the 'MY DECISIONS - ACTION NEEDED' section, which contains a table with columns for Project Submission Group Name, Project, End Date, and Create Submission Link. A red box highlights the 'Submit Phase 2 Decision' button in the 'Create Submission Link' column.

CONTACT STAKEHOLDER SERVICES IP SUPPORT

**MY PROJECTS**

Project Name	Queue Number	Status	Type
Test Project - Limited POI 5	N/A	5C - Phase 2 Entry Decision Period	Facility

View All

**MY DECISIONS - ACTION NEEDED**

Project Submission Group Name	Project	End Date	Create Submission Link
Test Project - Limited POI 5 - Entry Decl.,	Test Project - Limited POI 5	7/15/2025	Submit Phase 2 Decision

View All

4. A new screen will present, 'Phase 2 Entry Decision'

4.1.1 Complete all required fields.

4.1.2 Click next.

## WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!

### Cluster Study Entry Decision Flow

\* Are you electing for this Cluster Study Project to proceed the Phase 2 Study?

Yes

---

A Readiness Deposit 2 is required and is the greater of:  
(i) the Readiness Deposit 1 amount for the Cluster Study Project,  
and  
(ii) 20% of the cost estimate determined in the Phase 1 Study for any Connecting Transmission Owner's Attachment Facilities, Distribution Upgrades, and Local System Upgrade Facilities for the Cluster Study Project.

\* Enter amount of Readiness Deposit 2 submitted to NYISO (number only) ⓘ

\$200,000.00

An Interconnection Customer that submits to the ISO a Site Control Deposit due to demonstrated Regulatory Limitations and has not already provided the required Site Control demonstration, must demonstrate that it is taking identifiable steps to satisfy the necessary regulatory requirements from the applicable federal, state, local and/or tribal entities prior to entering the Phase 2 Study.

Provide documentation demonstrating the steps you are taking to satisfy the necessary regulatory requirements.

Or drop files

Uploaded File Name(s):  
[Decision Period Testing\_Blank.xlsx]

Note: If you navigate away from this form or your system times out any information entered will NOT be saved.

4.2 Complete all required fields regarding the financial details related to how the Readiness Deposit 2 has been met with the NYISO.

4.2.1 If utilizing a LOC or Surety bond, the originals of those documents need to be submitted to the NYISO Credit department prior to submission of the Phase 2 Entry Decision Period record.

4.2.2 Please note, you will be required to upload a Payment Remittance Form. This form can be found under the "Payment Remittance" section of the page.

## WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!

### Cluster Study Entry Decision Flow

#### Payment Method

- ACH  
 Wire Transfer

\* Has the ACH or Wire Transfer been completed?

Yes

#### Wire/ACH details

\* Date Paid to NYISO

Jul 1, 2025

\* ACH Addenda ⓘ

ABC Company

Federal Reference ID

1234567890

\* Wire Transfer Memo: ⓘ

Phase 2 RD for ABC Company

\* Payment Remittance

 Upload Files Or drop files

[Payment Remittance Form](#)

Uploaded File Name(s):

[Payment Remittance Upload Form.xlsx]

\* Are you utilizing a Letter of Credit for some or all of your Readiness Deposit?

No

\* Are you utilizing a Surety Bond for some or all of your Readiness Deposit?

No

Note: if you navigate away from this form or your system times out any information entered will NOT be saved.

Previous Screen

Submit

- 4.2.3 Once you have completed all financial details and click 'Submit,' a confirmation will appear on screen that "Your entry was successfully submitted." Additionally, you will also receive a confirmation email stating that the entry submission was received and approved.

### Entry Decision - Phase 2

Your entry was successfully submitted.

*If Entry Decision - Phase 2 Entry Decision is complete, you may now close this browser window.*

*To revise your Entry Decision - Phase 2, please click "Resubmit Decision" button below.*

Resubmit Decision

- 4.2.4 Projects that fail to submit the Readiness Deposit 2 within the Phase 2 Entry Decision Period window will be withdrawn from the Cluster Study process.

WELCOME TO THE NYISO INTERCONNECTIONS PROJECTS COMMUNITY!

- Click on a **button** below to initiate a new Interconnections Request. By starting a new IR, you will be the primary contact.
- Select one of the **Projects** below by clicking on the project name to view project details, milestones and required actions.
- Click [here](#) to view the Interconnection Process on the NYISO website for more details.
- This site is best viewed using the current browser version of Firefox, Chrome and Safari. **This site is not compatible with Internet Explorer.**
- Click [here](#) to review Knowledge Articles pertaining to Planning and the Interconnection process.
- Click [here](#) to download the Cluster Study Scoping Meeting NDA form. Once executed, submit the form by clicking the Cluster Study Scoping Meeting NDA button below. An Interconnection Customer will need to sign and upload this form in the Interconnection Projects Community Portal **before** the Transition Cluster Scoping Meeting.

CONTACT STAKEHOLDER SERVICES IP SUPPORT

**MY PROJECTS**

Project Name	Queue Number	Status	Type
Test Project - Limited POI 5	N/A	5C = Phase 2 Entry Decision Period	Facility

[View All](#)

**MY DECISIONS - SUBMITTED**

Project Submission Group Name	Project	End Date	Create Submission Link
Test Project - Limited POI 5 - Entry Decl...	Test Project - Limited POI 5	7/15/2025	<a href="#">Resubmit Phase 2 Decision</a>

[View All](#)

Cluster Study Scoping Meeting NDA

Cluster Facility Interconnection Request

Transmission Interconnection Request

Transmission Expansion Request

Load Interconnection Request

- Once the Phase 2 Entry Decision Period window closes, any open Phase 2 Entry Decision Period Project submission will automatically be closed with a status of “Declined.” Such project(s)’ failure to make a timely election to enter Phase 2 will be deemed an election to not move forward into Phase 2, and the Project will be withdrawn pursuant to Section 40.7.6.1 of OATT Attachment HH. Projects that do elect to proceed to the Phase 2 Study but fail to (1) submit Readiness Deposit 2 or (2) submit updated proposed dates for Initial Backfeed Date, Synchronization Date, and Commercial Operation Date will also be withdrawn pursuant to Section 40.7.6.1 of OATT Attachment HH.
- Any Cluster Study Project withdrawals before or during the Phase 2 Entry Decision Period stage, whether initiated by the Interconnection Customer or NYISO, will result in a Withdrawal Penalty in an amount equal to 50% of its Study Deposit + 10% of Readiness Deposit 1. If an Interconnection Customer withdraws or is withdrawn after the Phase 2 Entry Decision Period, increased Withdrawal Penalties may apply as determined based on when in the Cluster Study Process the Interconnection Request or CRIS-Only Request withdraws or is deemed withdrawn by the NYISO as detailed in Sections 40.7.6, 40.10.9, and 40.15.5 of OATT Attachment HH. However, a Cluster Study Project will not be assessed a Withdrawal Penalty if the NYISO determined that the Cluster Study Project cannot move forward due to Physical Infeasibility pursuant to Section 40.7.3 of OATT Attachment HH.