

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

ACCURACY

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM/PERIODICITY	SIGN-OFF INDICATOR
ACCURACY	DATA	MARKET	1. Retroactive price corrections	Requires settlement adjustment	Correct prices prior to initial invoicing				
ACCURACY	DATA	MARKET	2. Price correction process integrity	Affects confidence in settlement accuracy	MPs to pursue assurances through MPAS process				
ACCURACY	DATA	MARKET	3. SMD market data accuracy & availability	Possible inability to reconcile settlements	1. Provide MPs with SMD PTS design data 2. Work with CDAS & BAWG to identify & implement prudent SMD PTS data replacement & smoothing methodologies				
ACCURACY	DATA	PERFORMANCE TRACKING	1. Retroactive PTS interval recreations	Requires settlement adjustment	1. Correct PTS data anomalies prior to initial invoicing				
ACCURACY	DATA	PERFORMANCE TRACKING	2. SMD PTS data accuracy	Possible inability to reconcile settlements	1. Provide MPs with SMD PTS business rules 2. Work with CDAS & BAWG to identify prudent PTS data replacement & smoothing methodologies				
ACCURACY	DATA	SETTLEMENT	1. Manually performed settlements	1. Lack of visibility of settlement determinants 2. Risk of material misstatement due to human error	1. Implement market mechanism at the same time requisite settlement applications deployed 2. Eliminate unnecessary manual intervention into settlement process				
ACCURACY	DATA	SETTLEMENT	2. Validate ADD thru DSS						
ACCURACY	DATA	SETTLEMENT	3. ConEd & Long Island sub-zonal loads reported aggregated	Inability to reconcile settlements based upon sub-zonal load ratio shares	Rescind requirement to report ConEd & Long Island sub-zonal loads aggregated				

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM/PERIODICITY	SIGN-OFF INDICATOR
ACCURACY	DATA	SETTLEMENT	4. Market Participant inability to identify anomalous residual adjustment & uplift balances	Lack of confidence in ancillary services costs due to inability to determine cost drivers	Establish thresholds for account balances which would trigger timely analyses & reporting of drivers behind the balances				
ACCURACY	DATA	SETTLEMENT	5. Accounting & Billing Manual	Inability to accurately reconcile settlements	Post & maintain revised manual				
ACCURACY	DATA	SETTLEMENT	6. Sandbox for MP participation in change control process	Lack of "what-if" analyses for data changes may result in less comprehensive testing of market designs.	BAWG White Paper on the benefits of pursuing the development of a Settlements sandbox environment for project consideration.				
ACCURACY	DATA	METERING	1. Post final bill meter corrections	Requires settlement adjustment					
ACCURACY	DATA	METERING	2. Current infrastructure adequacy	1. Possible inaccurate sub-zonal loads. 2. Possible generation settlement errors					
ACCURACY	DATA	METERING	3. Web-based MWh reconciliation enhancements						
ACCURACY	DATA	MODELING	1. Integrity of software to eliminate Grouped units	Potential settlement misstatement	Fully test code in SMD Market Trials				
ACCURACY	DATA	MODELING	2. Retroactively created PTIDs	Requires settlement adjustment	Not anticipated to be an issue going forward due to NYISO policy changes.				
ACCURACY	DATA	MODELING	3. Changes to sub-zonal boundaries						
ACCURACY	DATA	ORGANIZATIONAL	1. Transfer of accounts retroactively for sold assets	No visibility of settlement data for org taking over assets					
ACCURACY	DATA	ORGANIZATIONAL	2. Transfer of accounts retroactively for bankrupt orgs	No visibility of settlement data for org taking over assets					
ACCURACY	DATA	ORGANIZATIONAL	3. Can not transfer meter	Should not be a					

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM/PERIODICITY	SIGN-OFF INDICATOR
			authorities retroactively	reoccurring issue due to NYISO policy change					
ACCURACY	PROCESSING	MARKET	None identified						
ACCURACY	PROCESSING	PERFORMANCE TRACKING	1. Validation that CMD PTS is processing properly	Lack of confidence in billing determinant data			<ul style="list-style-type: none"> 1. Inform MPs of data correction/replacement methodologies 2. Train MPs on how to access PTS data through DSS for timely anomaly detection/correction 3. MPs to rely on MPAS process to provide reasonable assurance that processed accurately & completely 		
ACCURACY	PROCESSING	PERFORMANCE TRACKING	2. Validation that SMD PTS is processing properly	Lack of confidence in billing determinant data			<ul style="list-style-type: none"> 1. Inform MPs of data correction/replacement methodologies 2. Work with CDAS & BAWG to establish Market approved data smoothing & replacement methodologies 3. Train MPs on how to access PTS data through DSS for timely anomaly detection/correction 4. Rely on established MPAS process to provide reasonable assurance that process is functioning properly 		
ACCURACY	PROCESSING	SETTLEMENT	1. Sandbox for MP participation in change control process	Lack of "what-if" analyses for data			BAWG to provide White Paper/Concept of		

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM/PERIODICITY	SIGN-OFF INDICATOR
				changes may result in less comprehensive testing of market designs.	Operations on the benefits of pursuing the development of a Settlements sandbox environment for project consideration.				
ACCURACY	PROCESSING	SETTLEMENT	2. A&B Manual availability	Lack of visibility of settlement business rules for reconciliation	Post and maintain revised A&B manual				
ACCURACY	PROCESSING	SETTLEMENT	3. Time to market for settlement applications	1. Settlements implemented through manual processing 2. Software deployed in time for final invoicing offers no time to identify potential design flaws	1. Implement market mechanism at the same time requisite settlement applications deployed 2. Ensure sufficient design & QA testing				
ACCURACY	PROCESSING	AUCTION	None identified						
ACCURACY	INVOICING	ADJUSTMENTS	Lack of visibility of settlement determinants	Inability to reconcile settlement for subsequent billing to customers					
ACCURACY	INVOICING	ADJUSTMENTS	Risk of material misstatement due to human error	1. Misstatement of settlement 2. Difficult to identify errors due to lack of visibility of determinant data.	Eliminate non-discretionary adjustments				
ACCURACY	INVOICING	ORGANIZATIONAL	Orgs which have disbanded or bankrupt	1. MIS security prohibits access by successor org to settlement information billed under the predecessor org 2. Inability to assign					

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM/PERIODICITY	SIGN-OFF INDICATOR
				"org of record" retroactively					
ACCURACY	INVOICING	INTEREST	None identified						
ACCURACY	INVOICING	CAPACITY AUCTION	Load shifts						
ACCURACY	INVOICING	TCC AUCTION	None identified						

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

FINALITY

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM	SIGN-OFF INDICATOR
FINALITY	DATA	PRICES							
	DATA	MARKET							
	DATA	PTS							
	DATA	METERING							
FINALITY	SETTLEMENT	REGULATORY	1. Regulatory rulings whose settlements are applied retroactively						
	SETTLEMENT	REGULATORY	2. Time to market of settlement software applications						
	SETTLEMENT	REGULATORY	3. Different periodicities for retail market finality across TO's						
FINALITY	SETTLEMENT	SETTLEMENT SOFTWARE	1. Retroactive software "bug fixes"						
	SETTLEMENT	SETTLEMENT SOFTWARE	2. Time to market for settlement applications						
FINALITY	SETTLEMENT	BAD DEBT							
FINALITY	SETTLEMENT	BUDGET BALANCING	Budget under-/over-run refunds/recoveries						

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

ADMINISTRATION

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM	SIGN-OFF INDICATOR
ADMINISTRATION	FINANCIAL	CASH FLOW							
	FINANCIAL	CLEARING							
	FINANCIAL	REPORTING							
ADMINISTRATION	RESOURCES	STAFF							
	RESOURCES	SOFTWARE							
	RESOURCES	BUDGETARY							
	RESOURCES	TECHNOLOGICAL							
ADMINISTRATION	PROCESS SUPPORT	MANUAL PROCESSING							
	PROCESS SUPPORT	SOFTWARE SOLUTIONS							
	PROCESS SUPPORT	INTEGRATION							
ADMINISTRATION	GRID ACCOUNTING	MANUAL PROCESSING							
	GRID ACCOUNTING	SOFTWARE SOLUTIONS							
	GRID ACCOUNTING	RECONCILIATION							
ADMINISTRATION	MARKET ACCOUNTING	MANUAL PROCESSING							
	MARKET ACCOUNTING	SOFTWARE SOLUTIONS							
	MARKET ACCOUNTING	RECONCILIATION							
ADMINISTRATION	DOCUMENTATION	SETTLEMENT PROCESSES							
	DOCUMENTATION	BILLING ISSUES							

4-MONTH FINAL BILL TASK FORCE
TUESDAY, MAY 11, 2004 TELECONFERENCE
MARKET PARTICIPANT IDENTIFIED MILESTONES FOR 4-MONTH FINAL BILL CYCLE ACCEPTANCE

REGULATORY

CONCERN	CATEGORY	ELEMENT	ISSUE	IMPACT	RESOLUTION	MILESTONE	METRIC	REPORTING MECHANISM	SIGN-OFF INDICATOR
REGULATORY	SEAMS ISSUES	RETAIL/WHOLESALE TARIFF							
	SEAMS ISSUES	INTER-ISO							
REGULATORY	DPS-NYS								
	FERC								
	DOE								
	NERC								
	IRS								
	LITIGATION								