

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.  
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**November 16, 2017  
9:00 AM to 2:00 PM**

**Location: KCC Conference Center  
10 Krey Blvd, Rensselaer, NY 12144**

Minutes

**Member/Attendance List**

<b>Name</b>	<b>Company Representing</b>	<b>Sector</b>	<b>Voting Member</b>	<b>Non-Voting Member</b>
<b><i>Attending Members</i></b>				
Kathleen Mitterway (Vice Chair)	LIPA	Transmission Owner	X (phone)	
Rich DeJong	Avangrid Networks	Transmission Owner	X (phone)	
Milan Shah	Con Edison	Transmission Owner	X (phone)	
Vivian Fu	National Grid	Transmission Owner	X (phone)	
Angela Gonzalez	NYPA	Public Power	X (phone)	
Martin Silverman	NYISO	NYISO Internal Audit		X
<b><i>Absent Members</i></b>				
Heather Welch (Chair)	Central Hudson	Transmission Owner	X	
Gretchen Sutcliffe	National Grid	Transmission Owner	X	
<b><i>Visitors</i></b>				
Randy Browne	NYISO	n/a		
Juliann Madara	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
John Zarvis	NYISO	n/a		

## **Meeting convened at 9:04 AM**

### **1. Introductions**

The Subcommittee members and visitors introduced themselves.

### **2. Approval of Minutes from the prior Meeting**

The minutes from the September 28, 2017 MPAAS meeting were approved with no changes.

### **3. Open Action Items**

NYISO Internal Audit provided MPAAS members with information about CATSWeb.

### **4. BACWG Activities – Discussion of Billing Issue Report**

The Subcommittee reviewed the October 17, 2017 Billing Issue Report (as presented to the Billing & Accounting & Credit Working Group on October 23, 2017) and had no questions.

### **5. SOC 1 Update**

Mr. Browne provided an update on the 2017 SOC 1 Audit.

### **6. NYISO Senior Management Changes**

None

### **7. Audit Briefings for Completed Audits**

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Cyber Security Penetration Testing – MIS
- SOC 1 – Annual Testing
- SOC 1 – Accuracy of Bid-to-Bill Reports

### **8. 2018 Audit Plan**

Mr. Marcincuk provided an overview of the 2018 NYISO Internal Audit Plan.

## **9. 2018 Elections – MPAAS Chair and Vice Chair**

The Subcommittee elected the 2018 MPAAS Chair and Vice Chair:

- Chair – Milan Shah (Con Edison)
- Vice Chair – Kathleen Mitterway (LIPA)

## **10. MPAAS Schedule for 2018**

The Subcommittee agreed to the 2018 meeting schedule:

- March 29, 2018
- June 28, 2018
- September 20, 2018
- November 29, 2018

## **11. Other Business / Open Discussion**

The Subcommittee held an open discussion regarding the following items of general interest:

- Audit Tools
- Consulting Engagements
- Corporate Executive Board
- Key Performance Indicators
- Fraud

## **12. Adjourn**

The meeting was adjourned at 11:58 AM