

## Proposed Revisions to Section 4.1.6 of the Market Services Tariff

Submitted by Paul Gioia, on behalf of the Transmission Owners (Revisions in blue)

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### 4.1.6a Customer Compliance with Laws, Regulations and Orders

All Customers shall comply with all applicable federal, state and local laws, regulations and orders, including orders from the ISO.

~~1. In particular, Customers that FERC determines to have engaged in electric energy market manipulation (see 18 C.F.R. § 1c.2, or any successor provision thereto) have also violated their obligations under this ISO Services Tariff.~~

1. In particular, a violation of FERC regulations related to electric energy market manipulation (see 18 C.F.R. Section 1c.2, or any successor provision thereto) also constitutes a violation of this Services Tariff

2. If the ISO becomes aware that a Customer may be engaging in, or might have engaged in, electric energy market manipulation, it shall promptly inform its Market Monitoring Unit and may also inform FERC's Office of Enforcement. If the ISO informs FERC's Office of Enforcement, it shall request that FERC determine whether a violation has occurred and, if so, that FERC impose appropriate remedies.

3. This Section 4.1.6a of the ISO Services Tariff does not independently empower the ISO or its Market Monitoring Unit to impose penalties for, or to provide a remedy for, violations of FERC's prohibition against electric energy market manipulation, or for violations of the ISO's Tariffs.