

Regulatory Project Summary
Prepared for NYISO Board of Directors April 2000

WBS #	Project	X Ref	Priority	ID Description	Due Date
1.X.X	ICAP	B05	L	ISO make filing detailing & justifying any locational ICAP requirements, include impacts market & proposed mitigation measures.	7/1/00
		E08	L	OC responsible for development of locational installed capacity requirements. Determinations & justification of locational capacity requirement must be filed with FERC	7/1/00
		E14	L	BIC address impacts of any proposed locational ICAP requirements on markets & propose mitigation measures - if OC proposes.	7/1/00
		C01	H	TOs file requirement that ISO conduct open process to review accreditation criteria for ICAP suppliers & file with FERC.	7/1/00
		E04	TBD	MC provide guidance to BIC ICAP WG on revisions to market and auction rules including accreditation of ICAP suppliers	7/1/00
		E13	H	BIC consider & develop proposals to revise ICAP market & auction rules. Resolution of accreditation for ICAP suppliers.	7/1/00
		A42 B46	TBD TBD	Internet based ICAP Tools ISO develop rules for permanent ICAP auction to begin with summer 2000 capability period; clarify determination of market clearing prices & explain & support definition of market clearing price; permit any entity, not just LSEs, to participate	4/1/00 Completed
	Interruptible Load	E49	TBD	Develop method to implement use of interruptible load as operating reserve	6/1/00
2.X	TCC Auction				4/28/00
2.2	TCC Holders Posting	B18	H	ISO review (with stakeholders) & report on TCC auction timing and whether changes should be made in timing	12/15/00
		B19	H	ISO establish procedures for release of info on who owns TCCs, including secondary market transactions	12/15/00
		B22	L	ISO file evaluation of experience under TCC auction mechanism - rounds/%/binding prices	12/15/00
		B31	L	ISO file Transition Period Payments (LTPP), with explanatory material - requires 205 filing	12/15/00
2.4	Transmission Expansion	E41	H	BIC & ISO develop methodology to award transmission expansion TCCs	11/18/99 To be scheduled
3.X	6 Month Requirements				
3.1	Transmission Feasibility Study	B07	Hr	ISO study feasibility & cost for SW changes & tariff to accom transmission bidding in consultation with stakeholders & report to FERC.	5/18/00
3.2	Info Release	B10	Hr	ISO release info on individual energy bids to the public - not require names of bidders but must be able to track individual bidders bids over time	5/18/00
		D02	Hr	ISO make public energy, capacity, and ancillary services markets' & TCC bid info	5/18/00
		B15	Hr	ISO release ancillary services market bids to public - not require names of bidders but must be able to track individual bidders bids over time	5/18/00
		B20	Hr	ISO release info on individual TCC bids to the public - not require names of bidders but must be able to track individual bidders bids over time	5/18/00

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		B34	Hr	ISO release LSE bids to the public - not require names of bidders, but must be able to track individual bidders bids over time	5/18/00
		B37	Hr	ISO or TOs shall report all transmission service ISO provides for wholesale or unbundled retail on form No. 582 and be billed for FERC fees accordingly	5/18/00
4.X	Procedures & Notifications				
		B42	TBD	ISO post on OASIS & web-site explanations of why corrective action is not result of scarcity or surplus	3/21/00
		A26	H	Post summary DAM data for total bid load, Forecast load, transactions, total gen bid, etc by zone	5/18/00
		B43	H	ISO revisit timetable for posting corrective actions (I.e. revised LBMPs) Long term flag window for reserving & correcting prices	5/18/00
		B06	Hr	ISO explain & justify capacity benefit margin adjustments in calculating ATC	To be determined
		B39	Hr	ISO post on OASIS ATC & TTC for paths into, through, & out of the ISO	3/31/00
		D08	Hr	ISO post any transmission system info an ISO employee improperly discloses to any market participant on the OASIS & notify FERC	3/31/00
4.4.1	FPA 205	D20	Hr	ISO file amendments to Tariffs or ISO Agreement under FPA 205 when mutually agreed by ISO Board & Mgt Comm or by ISO Board when urgency requires.	4/28/00
		D22	Hr	ISO Board propose tariff changes to Management Committee -file agreed changes	4/28/00
4.4.2	FPA 206	D26	Hr	ISO & TOs file mutually agreed changes in ISO/TO Agreement under 205 of FPA; changes not mutually agreed to must be filed under FPA 206.	4/28/00
		D21	Hr	ISO Board file amendments to Tariffs or ISO Agreement under FPA 206 if / when not mutually agreed by ISO Board & Management Comm	4/28/00
4.4.3		D30	TBD	ISO & NYSRC may only amend the ISO/NYSRC Agreement by mutual written agreement - file modifications with FERC	4/28/00
		D14		ISO have implied obligation to notify FERC of a Party's signing of any ISO Related Agreements	Completed
		D15		ISO have implied obligation to notify FERC of A Party's withdrawal from the ISO Agreement	Completed
		D16	L	Process of selecting individual ISO directors subject to FERC approval. Implies ISO needs file this process.	9/1-10/30
		D09	H	ISO may disclose transmission system info in case of an Emergency then notify FERC & post on OASIS within 24 hours	4/10/00
		D10	H	TOs & NYPA coordinate with ISO to update TSC Updates subject to FERC filings.	4/17/00
		D23		ISO file with FERC any penalties assessed against Market Participant or Party; ISO may petition FERC for enforcement.	Completed
		D18		ISO prepare & file agreements, operating procedures & other procedures necessary to provide service as required under OATT & Services Tariff	Completed
		D07		ISO to provide OATT Attachment E, an index of point-to-point transmission service Customers	Completed
		D12		ISO to provide OATT Attachment I, an index of network transmission service Customers	Completed
		D13		ISO to provide OATT Attachment L, a listing of existing transmission agreements	Completed
		B49		TOs file revised Attachment L and new Attachment L-1 in accordance with MEUA Settlement.	Completed
		E26		TOs/ISO finalize Attachment L to ISO OATT	Completed
		F03		ISO file modification to ISO OATT Code of Conduct to remove post-ISO employment restriction (Section 9.0)	Completed
		F04	H	ISO file mods to Services Tariff to change time period Market Participant permitted to challenge Settlement (Sec	9/1/00

			7.4) to match OATT time period	
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4.6		B01	TBD	ISO serve NYPSC with notices sends to FERC under emergency conditions	4/14/00
		B35	TBD	ISO provide NYPSC same info gives FERC on monitoring & mitigation.	4/14/00
		D05	TBD	ISO notify FERC and NYPSC when Emergency State exists	4/14/00
		D09	H	ISO may disclose transmission system info in case of an Emergency then notify FERC & post on OASIS within 24 hours	4/14/00
		D19	TBD	ISO provide info on operations to State and Federal agencies	4/14/00
		B04	L	ISO adopt billing procedure for TOs showing development of charges under NYISO Tariffs	4/14/00
		D24	M	ISO publish reports covering power flow & congestion cost info on all NY transmission interfaces (Does not specify where must be published)	To be determined
		E19	M	BIC clarify obligations & proc for ISO & participants after 1st 30 days of operations (per ECA No. 3), if day-ahead market posting can't occur by 11AM	4/1/00
		F05	H	Procedures to execute mitigation	4/1/00
		5.X	Ongoing	B25	TBD
		B28A	Hr	ISO maintain on OASIS current list of transmission facilities subject to ISO control & ISO notification	4/4/00
		B29	TBD	ISO maintain historical records identifying time period & entity having control of transmission facilities	4/4/00
		B33	Hr	ISO post on OASIS any TO request to commit generators for local reliability that were not committed in the day-ahead market	To be determined
		C03		ISO file changes in RR, CCC & BU terms of TSC on behalf of LIPA. Table.1 of Tariff. RR - Revenue requirements. CCC - Scheduling System Control & Dispatch Coordination. BU - Billing Units	Completed
6.X	One Year Requirements	B36	Hr	ISO (in consult w/ stakeholders) examine 1) if circumstances in NY merit not paying unscheduled over-gen when other ISOs do, 2) whether same pricing applies to all unscheduled gen, 3) if reliability risks of under-gen are different than risk of over-gen, 4) whether LBMP price signals are sufficient to address over-gen problems, 5) whether harsher penalties than TO's proposal should apply in limited circumstances, 6) market rules to accommodate gens that can't precisely forecast & schedule energy (wind etc.)	5/1-11/17/00
		E22	M	BIC examine terms of payment for energy delivered in excess of scheduled amounts.	5/1-11/17/00
		B38	Hr	ISO file status report on operation of the governance structure.	8/15-11/10/00
		E01	Hr	ISO file status report on operation of governance structure	
		E17	M	BIC examine penalties that will be assessed against off-dispatch gens for disparities between scheduled & actual base-points(over generation)	5/1-11/17/00
		E05	M	MC review proposed penalties or sanctions for participants who don't comply with ISO rules, protocols, & procedures.	3/15/01
		F02	M	ISO develop proposed penalties/sanctions for participants that don't comply with ISO rules, protocols, & procedures	3/15/01
		E11	M	ISO (in consultation with stakeholders)consider market rules to accommodate the special characteristics of generators unable to precisely forecast & schedule energy production OC & staff prepare report for consideration by BIC.	3/15-11/17/00
7.X	Inter Area Control Agreement	B12		ISO examine, in consultation with HQ, if HQ'sDC intertie permit HQ to provide voltage support in NYCA - report to FERC	9/1/00
		E10		ISO examine, in consult w/ HQ, if HQ's DC intertie permits HQ to provide volt supporting NYCA - report to FERC	
		D01	H	ISO have inter control area agreements with any control area where entity is located for entity To take service under Services Tariff	
		B30	H	ISO & market participants develop guidelines for cost responsibility for new interconnections	3/21-6/9/00
		E07	H	OC provide technical support related to allocation of new interconnection cost responsibility.	3/21-6/9/00
		E25	H	BIC work with OC to develop guidelines for cost responsibility for new interconnections. (Transmission Cost Allocation)	3/21-6/9/00
		A36	L	Establish plan to move to Nodal Pricing (State Estimation integration)	4/2-9/1/00
		B08	Hr	ISO submit to FERC a plan for installation of metering equipment to implement nodal pricing proposed by TOs.	4/2-9/1/00
		E09	L	OC work w/ ISO staff to develop timeline & process for installation of metering required to implement nodal pricing	4/2-9/1/00

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8.3	Environmental Disclosure Project	A09	L	Environmental Disclosure Project - supply market data to NYPSC.	1/3/00-4/30/01
		F01	L	ISO provide NYPSC with sources & quantities of energy used by each LSE to support NYPSC requirement LSEs disclose environmental characteristics of energy they are supplying.	1/3/00-4/30/01
9.X	Other	D27	H	ISO prepare for review & approval by NYSRC the NPCC triennial review & similar reliability reports required by NPCC and NERC. (ISO prepare for New York Area Transmission review for review by the NYSRC and submittal to NPCC. This is an annual NPCC requirement that is either a Comprehensive or an Interim review. This year's review is Comprehensive.)	7/31/00
	FERC 715	G01	H	ISO file FERC Form 715	6/1/00
	FERC 714	G02	H	ISO file FERC Form 714	6/1/00
	EIA-411	G03	H	ISO give EIA-411 data to NPCC for filing	Completed
	Start Up Cost Recovery Notification	B45		None	Completed
	6-106 Filing	G04	M	ISO file State Energy Planning Process 6-106 Filing	6/30/00
	Reallocate Costs in funding Mechanism	B03	H	ISO revise funding mechanism to allocate costs for non-transmission services to parties that benefit from those services	4/1-9/29/00 Task to be defined
10.X	2 Year Requirements				
10.1	Marginal Losses	B02	L	ISO evaluate how marginal losses pricing works in practice & consider (with stakeholders) if there is a better method	6/1-2/16/01
10.2	Storm Watch Study	B11	L	ISO study bidding & dispatch under storm watch conditions & provide analysis of present method & possible options	10/2-2/16/01
10.3.X	Ancillary Services Report	B13	L	ISO evaluate option of using external resources for regulation & spinning reserve, include evaluation & recommendations in report on ancillary services markets (see next)	10/2-2/16/01
		B14	L	ISO submit evaluation of ancillary services markets	10/2-2/16/01
		E06	L	MC prepare 1st year market evaluation report(MC list refers to 7/28/99 Order - think is error)	10/2-2/16/01
		E15	L	BIC prepare an evaluation of ancillary service markets, collaborate with OC.	10/2-2/16/01
10.4	TCC Reconfiguration	B21	L	ISO include analysis & recommendations for TCC reconfiguration auction (monthly vs. 6 mo. outside the auction) in report due one year after operations begin	10/2-2/16/01
10.5		B22	L	ISO file evaluation of experience under TCC auction mechanism - rounds%/binding prices	10/2-2/16/01
10.6	Market Monitoring Review	B24	L	ISO Board oversee market monitoring program receive & disseminate advisor's reports	10/2-2/16/01
10.7	Min Gen & Start Up Study	B32	L	ISO report magnitude of minimum generation & start-up costs & explore in report alternative ways to allocate those costs	6/1-2/16/01
10.8	ISO Committee Operation Review	D17	Hr	ISO Board review operation of ISO Committees, in consultation with Committees, file report.	8/15-11/16/01
11.9.3	Operations Reg PI Definition	B17	L	ISO explicitly define performance index associated with regulation service	3/1-8/7/00