

**SMALL GENERATING FACILITY
PRE-APPLICATION REPORT
REQUEST FORM**

1. Instructions

By completing this request form, an Interconnection Customer may request a pre-application report from the NYISO regarding the proposed interconnection of a Small Generating Facility at a particular point on the New York State Transmission System or Distribution System pursuant to Section 32.1.2.2 of Attachment Z of the NYISO OATT.

An Interconnection Customer requesting a pre-application report must complete and execute this request form and submit the form, along with a non-refundable fee of \$1,000. The Interconnection Customer must provide a substantive answer to each of the questions in the request form and should not specify that the requested information is “to be determined” or “not available.” The Interconnection Customer should direct any questions regarding the requested information and the completion of this form to:

Designated Contact Person: Quentin Williams

Address: 10 Krey Blvd., Rensselaer, NY 12144

Telephone Number: (518) 356-6026

E-Mail Address: SGPreApp@nyiso.com

To facilitate processing, NYISO prefers that the fee be submitted electronically via wire transfer, and that the completed request form be submitted via e-mail to: SGPreApp@nyiso.com. Please request wiring instructions via e-mail from:

Chelsea Stevenson
Senior Accountant, Finance
Phone No. (518) 356-8805
E-mail: NYISOFinancePlanningStudies@nyiso.com

If paying by check, make deposit check(s) payable to: *New York Independent System Operator, Inc.*

Upon receipt of a completed request form and the required fee, the NYISO, will coordinate with the relevant Connecting Transmission Owner to collect readily available information and will provide the requestor with a pre-application report in the form set forth in Appendix A to this request form, completed to the extent readily available data exists. If the NYISO, in consultation with the relevant Connecting Transmission Owner, determines that the interconnection, as proposed, does not appear to be subject to the NYISO’s Small Generator Interconnection Procedures, the NYISO will: (1) inform the requestor that its proposed interconnection is not subject to the NYISO’s Small Generator Interconnection Procedures, and (2) provide the requestor with the pre-application report set forth in Appendix A that is completed to the extent possible. The report is non-binding and does not confer any rights.

Notwithstanding its request for a pre-application report, an Interconnection Customer must still successfully apply under Attachment Z of the NYISO OATT to interconnect a Small Generating Facility to the New York State Transmission System or Distribution System, to the extent that Attachment Z of the NYISO OATT is applicable to the proposed interconnection.

2. Interconnection Customer’s Contact Information

Designated Contact Person: _____

Address: _____

Telephone Number: _____

E-Mail Address: _____

3. Proposed Project Location

Street Address: _____

Town and County: _____

Nearby Cross Streets: _____

4. Proposed Point of Interconnection

Meter number/ pole number/ equivalent information (*e.g.*, utility’s facility ID or line ID, if known) identifying proposed Point of Interconnection (if available):

5. Small Generating Facility Information

Generator Type (*e.g.*, solar, wind, combined heat and power, etc): _____

Generator Size (alternating current kW): _____

Single or Three-Phase Generator Configuration: _____

Stand-Alone Generator (*i.e.*, no outside load, not including station power) –
Yes or No: _____

6. New or Existing Service

New Service Requested – Yes or No: _____

If No, and there is existing service, provide:

Customer Account Number: _____

Site Minimum Current Electric Load: ___kW Proposed Electric Load: ___kW

Site Maximum Current Electric Load: ___kW Proposed Electric Load: ___kW

Is load expected to change – Yes or No: ____

If known, please indicate whether the Small Generating Facility be used for any of the following?

Net Metering? Yes ___ No___

To Supply Power only to the requestor? Yes ___ No___

To Supply Power to Others Through Wholesale Sales Over the New York State Transmission System or Distribution System? Yes ___ No___

7. Other Information

Please provide any additional information or comments you would like to include in this request form.

8. Applicant Signature

I hereby certify that, to the best of my knowledge, all the information provided in this Pre-Application Report Request Form is true and correct.

Requestor: _____ Date: _____

PRE-APPLICATION REPORT

[TO BE COMPLETED BY THE NYISO AND APPLICABLE TRANSMISSION OWNER IN ACCORDANCE WITH ATTACHMENT Z OF THE NYISO'S OATT]

1. Interconnection Customer

This Pre-Application Report is for the following Interconnection Customer:

2. Proposed Point of Interconnection

The Interconnection Customer has requested information regarding the interconnection of a Small Generating Facility at the following Point of Interconnection:

3. Substation(s) Serving the Proposed Point of Interconnection

The proposed Point of Interconnection for the Interconnection Customer's Small Generating Facility would likely be served by the following substation(s)/area bus(es), bank(s), or circuit(s):

4. Information Regarding the Proposed Point of Interconnection and the Identified Substation

The following information is based on an interconnection at the proposed Point of Interconnection served by the substation/area bus, bank, or circuit identified above. The Interconnection Customer must request additional pre-application reports for information about the interconnection of its Small Generating Facility at additional Points of Interconnection.

- a. Total capacity of the identified substation/area bus, bank or circuit based on normal or operating ratings: _____ MW

- b. Existing aggregate generation capacity interconnected to the identified substation/area bus, bank, or circuit (*i.e.*, amount of generation online): _____ MW

- c. Aggregate queued generation capacity for the identified substation/area bus, bank or circuit (*i.e.*, amount of generation in the queue): _____ MW
- d. Available capacity of the identified substation/area bus or bank and circuit (*i.e.*, total capacity less the sum of existing aggregate generation capacity and aggregate queued generation capacity): _____ MW
- e. Substation nominal distribution voltage and/or transmission line nominal voltage (if applicable): _____ kV
- f. Nominal distribution circuit voltage at the proposed Point of Interconnection: _____ kV
- g. Approximate circuit distance between the proposed Point of Interconnection and the substation: _____
- h. Relevant line section(s)/station(s):
 - Actual or Estimated Peak Load: _____
 - Minimum Load Data
 - Daytime Minimum Load: _____
 - Absolute Minimum Load (if available): _____
- i. Protective devices and voltage regulating devices between the proposed Point of Interconnection and the substation/area.
 - Protective Devices
 - Number: _____
 - Rating: _____
 - Voltage Regulating Devices
 - Number: _____
 - Type (standard, bi-directional): _____

Does the substation have a load tap changer – Yes/No: _____

j. Number of phases available at the proposed Point of Interconnection: _____

If a single phase, distance from the three-phase circuit: _____

k. Limiting conductor ratings from the proposed Point of Interconnection to the distribution substation: _____

l. Is the proposed Point of Interconnection located on a spot network, grid network, or radial supply: _____

m. Based on the proposed Point of Interconnection, existing or known constraints (such as, but not limited to, electrical dependencies at that location, short circuit interrupting capacity issues, power quality or stability issues on the circuit, capacity constraints, or secondary networks):

5. Other (comments from the NYISO and or Connecting Transmission Owner)
