Procedures Related to the Resolution by the PSC of Disputes Arising Under the Comprehensive Reliability Planning Process

Subject to the provisions of the Public Service Law, applicable regulations and any relevant procedures that may be adopted by the Public Service Commission, the following guidelines shall apply to a dispute arising under section 5.3 or section 8.3 of Attachment Y of the NYISO OATT that is referred to the PSC by a market participant for resolution:

- 1. The A Market Participant party referring the a dispute to the PSC shall file and serve a petition pursuant to 16 NYCRR § 3.5. submit to the PSC and the NYISO a filing describing the specific issue or issues that are being disputed. The NYISO shall publish the filing electronically to the Technical Information Exchange (TIE) list.
- 2. A dispute must be filed with the PSC and the NYISO within thirty days after the NYISO approval of the RNA for disputes under §5.3 and within thirty days of the CRP for disputes under §8.3, or a party's right to refer the dispute to the PSC will be waived.
 2. The NYISO shall participate in the dispute resolution proceeding and shall make available in the proceeding the studies and analyses upon which the NYISO decision in dispute
- 3. The A Market Participant party referring the a dispute to the PSC shall request utilization of the Department of Public Service's Alternate dispute Dispute resolution

 Resolution processes Process (ADR), and request the assignment of an Administrative Law Judge (ALJ).
- 4. The NYISO shall participate in the ADR proceeding and shall make available in the proceeding the studies and analyses upon which the NYISO decision in dispute was based. The NYISO may submit a response to the filing.

was based.

- Responses must be made within fifteen days of the filing of the dispute with the PSC,
 subject to a determination by an ALJ that additional time is justified.
- 4.6. Market Participants Parties to the ADR proceeding may seek adjudication only of only those issues that have were -previously been raised within the NYISO governance process and are described in the filing made with the PSC.
- <u>5.7.</u> Issues litigated in the <u>ADR</u> proceeding shall not be subject to an evidentiary hearing, unless the ALJ determines that there is a factual issue that requires a hearing.
- <u>6.8.</u> The <u>AMarket Participant party</u> who referred the refers a dispute to the <u>PSC</u> shall have the burden of going forward and challenging the NYISO decision in dispute.
- 7.9. If more than one Market Participant party challenges the same NYISO decision, the Market Participants parties will consent to the consolidation of the disputes, subject to the approval of the presiding ALJ.
- 8.10. The NYISO and all Market Participants All parties participating in the ADR proceeding shall make a good faith effort to complete the process as promptly as reasonably possible.

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