

# *Economic Planning Process Manual – Congestion Assessment and Resource Integration Studies (CARIS) Appendices*

**Appendix B Additional CARIS Study Request Form**  
*mm/dd/2014*

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This document was prepared by:  
*System & Resource Planning*

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**REQUEST FOR ADDITIONAL CARIS STUDY**

1. The undersigned Market Participant or other interested party (the “Requestor”) submits this request for the NYISO to conduct, pursuant to Section 31.3.1.2.3 of Attachment Y to the OATT and ISO Procedures, a congestion and resource integration study (an “Additional CARIS Study”) that is separate from and in addition to those three congestion and resource studies that comprise the CARIS in accordance with Section 31.3.1.2.2 of Attachment Y to the OATT.
2. The Additional CARIS Study requested by the submittal of this form is separate from and in addition to (i) those studies that an Eligible Customer can request related to Transmission Service provided under Sections 3.7 or 4.5 of the OATT, (ii) those Interconnection Studies conducted under Attachments S, X and Z to the OATT, and (iii) those studies conducted to evaluate projects as potential solutions to Reliability Needs identified by the Comprehensive Reliability Planning Process contained in Attachment Y to the OATT.
3. Requests for an Additional CARIS Study will be handled on a first-come, first-served basis within the resource constraints of the NYISO. This provision shall not be construed to mean that the NYISO must complete and report the results of Additional CARIS Studies in the order that they were received.
4. Requestor shall be responsible for all reasonable actual costs incurred by the NYISO for the Additional CARIS Study. Such costs may include the cost of consultants and contractors retained by the NYISO, and the cost, if any, incurred by Transmission Owner(s) to supply study-related data when requested to do so by the NYISO.
5. When the scope and subject matter of two or more contemporaneous Additional CARIS Studies overlap to any material degree the NYISO, with the prior agreement of each affected Requestor, will conduct the overlapping study work on a consolidated basis and allocate the cost of such work equally to each affected Requestor. Upon execution of the Additional CARIS Study Agreement, the Requestor will designate whether it is willing to entertain a joint study at any point in the future.
6. This Request For Additional CARIS Study must be accompanied by a refundable deposit of \$25,000, payable to “The New York Independent System Operator, Inc.” The Additional CARIS Study Agreement will require Requestor to deposit additional money as needed to cover the actual cost of the Additional CARIS Study. The NYISO will apply all deposits toward the costs it incurs for the Additional CARIS Study.
7. The NYISO will post on its website the following facts regarding this Request For Additional CARIS Study: (i) a general description of the Additional CARIS Study requested, (ii) the identity of the Requestor, and (iii) the date the NYISO received this Request For Additional CARIS Study. The NYISO will treat the results of the Additional CARIS Study as Confidential Information as treated under Attachment F to the OATT; provided, however, the NYISO will post the results of this Additional CARIS

Study if and when Requestor seeks regulated cost recovery under the NYISO Tariff based upon the results of this Additional CARIS Study.

8. The NYISO conducts Additional CARIS Studies throughout the year using the most recently approved CARIS database and base case.
9. The NYISO will review the results of the Additional CARIS Studies to determine whether the results reveal Confidential Information that is not subject to disclosure under the NYISO's Code of Conduct. Confidential Information will be removed or the results aggregated or masked sufficiently to avoid the disclosure of Confidential Information. The NYISO will provide the results of the Additional CARIS Study to the Requestor. The NYISO will post the results of the Additional CARIS Study on its website if and when Requestor seeks regulated cost recovery under the OATT based upon the results of the Additional CARIS Study.
10. Requestor provides the following information:
  - a. The monitored system element to be studied: \_\_\_\_\_.  
**Note:** a separate Request For Additional CARIS Study, and a separate deposit, are required for each monitored system element that Requestor wants the NYISO to study.
  - b. A high level description of the requested study, to include possible scenarios and desired study completion date.
11. The NYISO will acknowledge receipt of this Request For Additional CARIS Study within ten (10) business days and at that time will also tell Requestor whether the information submitted with this Request For Additional CARIS Study is adequate or, if not, what type of additional information needs to be submitted.
12. The NYISO shall use Reasonable Efforts to complete the Additional CARIS Study by the date specified in the executed Additional CARIS Study Agreement.
13. Following receipt of a complete Request For Additional CARIS Study, the NYISO will establish a mutually agreeable time to meet with Requestor to discuss and determine the scope of the Additional CARIS Study. This study scope will be recorded in the Additional CARIS Study Agreement.
14. Requestor may withdraw this Request For Additional CARIS Study by terminating the Additional CARIS Study Agreement in accordance with its terms or, if the Additional CARIS Study Agreement has not yet been executed, the Requestor may terminate this Request For Additional CARIS Study by written notice to the NYISO. In the event that the Additional CARIS Study Agreement has been executed prior to termination, the NYISO, upon such termination, will cease work on the Additional CARIS Study and forward to Requestor either (i) an invoice for unpaid study work or (ii) a refund of that portion of the deposit not required to cover unpaid study work. The NYISO will forward all completed study results and work papers to Requestor with the refund, if one is due, or upon receipt of full payment from Requestor for unpaid study work.

15. This Request For Additional CARIS Study shall be submitted to AdditionalCARISStudyRequest@nyiso.com. The currently designated representative of the NYISO is:

Title:           Manager, Economic Planning  
Address:       New York Independent System Operator  
                  10 Krey Blvd.  
                  Rensselaer, NY 12144  
Telephone:     518-356-6000

16. Representative of Requestor to contact:

Name:           \_\_\_\_\_

Title:            \_\_\_\_\_

Address:         \_\_\_\_\_  
                  \_\_\_\_\_  
                  \_\_\_\_\_

Email:           \_\_\_\_\_

Telephone:       \_\_\_\_\_

Fax:             \_\_\_\_\_

