

# *Economic Planning Process Manual – Congestion Assessment and Resource Integration Studies (CARIS) Appendices*

**Appendix D Specific Project Submittal Request Form**

*mm/dd/2014*

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This document was prepared by:  
*System & Resource Planning*

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**CARIS PHASE 2 PROJECT- REQUEST FOR BENEFIT/COST ANALYSIS**

1. The undersigned developer of a regulated economic transmission project (the “Requestor”) submits this request for the NYISO to conduct, pursuant to Section 31.5.3.3 of Attachment Y to the Open Access Transmission Tariff (“OATT”), an analysis of the benefits and costs (“Benefit/Cost Analysis”) of a regulated economic transmission project that will interconnect with or be integrated into the existing New York State Bulk Power Transmission Facilities.
2. Requestor shall be responsible for all reasonable actual costs incurred by the NYISO for the Benefit/Cost Analysis. Such costs may include the cost of consultants and subcontractors retained by the NYISO, and the cost, if any, incurred by Transmission Owner(s) to supply analysis-related data when requested to do so by the NYISO.
3. When the scope and subject matter of two or more contemporaneous Benefit/Cost Analyses overlap to any material degree the NYISO, with the prior agreement of each affected Requestor, will conduct the overlapping analysis work on a consolidated basis and allocate the cost of such work equally to each affected Requestor.
4. This Request For Analysis must be accompanied by a refundable deposit of \$25,000 payable to “The New York Independent System Operator, Inc.” The Project Analysis Agreement will require Requestor to deposit additional money as needed to cover the actual cost of the Benefit/Cost Analysis. The NYISO will apply all deposits to the costs it incurs for the Benefit/Cost Analysis.
5. The NYISO will post on its website the following facts regarding this Request For Analysis: (i) a general description of the Benefit/Cost Analysis requested, (ii) the identity of the Requestor, and (iii) the date the NYISO received this Request For Analysis. The NYISO will also post the final results of this Benefit/Cost Analysis if Requestor seeks regulated cost recovery under Section 31.5.4 of Attachment Y to the OATT based upon the results of this Benefit/Cost Analysis.
6. Requestor shall submit to the NYISO, with this Request for Analysis, a Project Conceptual Package (“PCP”) as described in the Congestion Assessment and Resource Integration Study procedure “Regulated Economic Projects: Specific Projects Submittal”, which shall include information about the proposed transmission project, including but not limited to the following:
  - a. Requestor’s Contact Information;
  - b. Project Description;
  - c. Project Drawings;
  - d. Project Capital Costs;
  - e. Risk Profile;
  - f. Annual Revenue Requirements for Years 1-30;
  - g. Developer Business Information; and

- h. Any Other Reasonably Required Information to Aid NYISO in Understanding the Scope of the Project.
- 7. The NYISO will acknowledge receipt of this Request For Analysis within ten (10) business days and at that time will also tell Requestor whether the information submitted in the PCP is adequate, reasonable or, if not, what type of additional or alternative information needs to be submitted. The Requestor may subsequently update information provided; however, it is within the NYISO's sole discretion whether such updated information is reasonable and whether it will be accepted for purposes of the Benefit/Cost Analysis.
- 8. Following receipt of a complete Request For Analysis and PCP, the NYISO will meet with Requestor at a mutually agreeable time to discuss and determine the nature and scope of the Benefit/Cost Analysis, addressing any questions regarding the project description to ensure that all the technical parameters needed by the NYISO to perform the Benefit/Cost Analysis are understood. The analysis scope will be recorded in the Project Analysis Agreement.
- 9. Requestor may withdraw this Request For Analysis by terminating the Project Analysis Agreement in accordance with its Section 10.5 therein or, if the Project Analysis Agreement has not yet been executed, the Requestor may terminate this Request For Analysis by written notice to the NYISO. Upon termination, the NYISO will cease work on the Benefit/Cost Analysis and forward to Requestor either (i) an invoice for unpaid analysis work or (ii) a refund of that portion of the deposit not required to cover unpaid analysis work. The NYISO will forward all completed results and work papers, if any, to Requestor with the refund, if one is due, or upon receipt of full payment from Requestor for unpaid analysis work.
- 10. This Request For Analysis shall be submitted to [CARISSpecificProject@nyiso.com](mailto:CARISSpecificProject@nyiso.com). The currently designated representative of the NYISO is:

Title:            Manager, Economic Planning  
 Address:         New York Independent System Operator  
                     10 Krey Blvd.  
                     Rensselaer, NY 12144  
 Telephone:      518-356-6000

11. Representative of Requestor to contact:

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Email: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Fax: \_\_\_\_\_

12. This Request For Analysis is submitted by:

Requestor: \_\_\_\_\_  
By (signature): \_\_\_\_\_  
Name of  
Representative  
(type or print): \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

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