

4.12.2 General Requirements

In order to enroll Special Case Resources, every RIP must use the Demand Response Information System (DRIS) to import the specified enrollment file.

The RIP must upload the enrollment file into the DRIS on or before the date and time specified in the ICAP Event Calendar and DRIS Event Calendar. Each Special Case Resource must obtain an identification number from the NYISO and be accepted by the NYISO as an Installed Capacity Supplier before the Unforced Capacity from the Special Case Resource can be claimed by an LSE towards its LSE Unforced Capacity Obligation or offered in an auction administered by the NYISO.

A Special Case Resource that is enrolled must also be accepted by the NYISO before the enrollment is effective. Once accepted, a Special Case Resource is a “New Special Case Resource” if it is In-City (a) from the date of the ICAP Spot Market Auction into which it is first offered, to the calendar date immediately preceding the date of the ICAP Spot Market Auction twelve (12) months after the initial ICAP Spot Market Auction into which the Special Case Resource was offered, and at any other time (b) beginning on the date of the ICAP Spot Market Auction into which it is offered if, prior to such ICAP Spot Market Auction, the Special Case Resource is not offered in (x) a Capacity market auction or (y) as a Resource in a Bilateral Transaction certified by both parties, provided it was an eligible Resource for such auction or Bilateral Transaction, at any point within the immediately preceding twelve (12) consecutive months, and at any time after being accepted by the NYISO as Special Case Resource.

New Special Case Resources are eligible Resources only in the ICAP Spot Market Auction; UCAP from New Special Case Resource may not be used to cover UCAP offered in a Capability Period Auction, Monthly Auction, or through a Bilateral Transaction. If a New Special Case Resource is included in UCAP certified for a Capability Period Auction or Monthly Auction sale, or through a Bilateral Transaction certified by both parties to the transaction, the amount of UCAP attributable to the New Special Case Resource will constitute a shortfall.

Every RIP must enroll a Special Case Resource with the NYISO in accordance with the schedule specified in the ICAP Event Calendar and DRIS Event Calendar, which can be found at the following location on the NYISO Web site:

http://icap.nyiso.com/ucap/public/evt_calendar_display.do

Interval meters are required of all Special Case Resources, unless the Special Case Resources are part of a Small Customer Aggregation. Such metering must satisfy all requirements of the Metering, Verification, Billing and Settlement Section of the *NYISO Emergency Demand Response Program Manual*, available from the NYISO Web site at http://www.nyiso.com/public/products/demand_response/edrp.jsp. The metering must also reflect the end-use nature of the Special Case Resource, i.e., single metering of multiple end-use customers on primary, secondary, or tie-line feeders is prohibited.

A Special Case Resource that supplies Load reductions solely through the use of a distributed generator (whether or not operated in parallel with the NYCA) and that elects to measure such Load reductions by metering the output of such distributed generator in accordance with Section 3.3(b) of [Attachment J](#) hereto shall report to the NYISO DMNC test data as part of its Special Case Resource enrollment in addition to other generator information requested in that enrollment. A Special Case Resource that supplies Load reductions solely through the use of a distributed generator and that elects to measure such Load reductions by metering the output of such distributed generator in accordance with Section 3.3(b) of [Attachment J](#) must deduct from the output of such generator: (i) any auxiliary Load consumed by the generator and supplied from an external source; and (ii) any Load from a load bank used in conjunction with the generator when responding to NYISO dispatch under Section **Error! Reference source not found.**

A Special Case Resource may specify generation in excess of its facility load; in accordance with the *NYISO Services Tariff*, provided that it has installed metering capability satisfactory to the NYISO in order to quantify the net load change during a curtailment. By enrolling a Special Case Resource that is a generator, the RIP is certifying to the NYISO, on behalf of itself and the Special Case Resource, that the Special Case Resource has obtained all necessary regulatory approvals to sell energy at wholesale and meet applicable utility interconnection and delivery (including metering) requirements.

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The NYISO must receive from the RIP, concurrently, both (a) a letter to the NYISO with original signature from an authorized representative of the SCR concurrent with the first month that a RIP enters for an SCR generator a declared value greater than the baseline value, attesting that the resource providing ICAP is not a generator:

- that is synchronized to the local distribution system, and
- that is capable of providing Installed Capacity in an amount greater than the APMD of the Load to which it is interconnected, and
- whose generator output over the previous 12-month period was greater than that consumed by the host load.

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and (b) a letter from an authorized representative of the RIP to the NYISO with original signature stating that it has no information from the SCR that is inconsistent with the information set forth in the letter from the SCR submitted concurrently.

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Special Case Resources must meet the qualifications and comply with the procedures described below. A RIP claiming Unforced Capacity from Special Case Resources must comply with the requirements and procedures set forth in the *ICAP Manual*.

The Unforced Capacity of Special Case Resources may only be offered in auctions administered by the NYISO or be claimed by an LSE towards its LSE Unforced Capacity Obligation in even increments of 100 kW (e.g. 590 kW of Unforced Capacity would be rounded down to 500 kW). However, Special Case Resources

may be aggregated to minimize the effect of this requirement, provided that each such aggregation is identified as a single block of Unforced Capacity. Aggregations of this type may only be used to meet the 100 kW block requirement but cannot be used to allow over-performance by one Special Case Resource to compensate for under-performance by another Special Case Resource. The RIP shall report the performances of each Special Case Resource individually directly into the DRIS using an import file formatted as specified in the *NYISO Demand Response Information System User's Guide* (available from the NYISO Web site at http://www.nyiso.com/public/markets_operations/documents/manuals_guides/index.jsp). The NYISO shall track each Special Case Resource's performance in accordance with the procedures contained in this Section **Error! Reference source not found.** Performance measurements will be calculated in accordance with Section 3.3 of [Attachment J](#) to this *ICAP Manual*.

RIP performance will be based on the performance of its overall portfolio of Special Case Resources. A RIP will not be charged with a deficiency charge if the total performance of its individual Special Case Resources eligible to be sold within its committed supply meets or exceeds the total capacity sold by the RIP. If the RIP's portfolio of Special Case Resources eligible to be sold in the applicable Capacity auction or through a Bilateral Transaction does not meet its full commitment, the RIP will be subject to deficiency penalties as applicable to any Installed Capacity Resource.

The NYISO will also allow participation by aggregations of small customers using alternative metering and performance measurement subject to the procedures and limitations set forth in the *NYISO Emergency Demand Response Program Manual* (available from the NYISO Web site at the following URL: http://www.nyiso.com/public/products/demand_response/edrp.jsp), except that the total of all such aggregations for Special Case Resources shall not exceed 100 MW. Each small customer aggregation will be reviewed by the NYISO staff and the Installed Capacity Working Group, and must be approved by at least four of the Chairs and Vice-Chairs of the Management Committee and the Business Issues Committee and the Chairs of the Installed Capacity Working Group and Price Responsive Load Working Group. The RIP shall report the performance of each small customer aggregation (each aggregation separate from any other aggregation and separate from resources not in the aggregation) directly into the DRIS, using an import file formatted as specified in the *NYISO Demand Response Information System User's Guide*. The RIP shall provide additional documentation to verify performance as requested by the NYISO.