



NYISO Governance: Frequently Asked Questions (FAQs)

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Committee Governance

1) What is the NYISO Shared Governance?

The NYISO Shared Governance process is a unique structure in which stakeholders from various market sectors have oversight responsibilities of the NYISO. There are three standing committees: the Management Committee (MC), the Business Issues Committee (BIC), and the Operating Committee (OC). Each committee oversees its own set of working groups and/or subcommittees.

- [ISO Agreement](#): Refer to Articles 7,8,9 in the ISO Agreement for the scope of responsibilities for each committee.
- By Laws: Each committee has a set of bylaws, which contain a set of procedures by which the committees must follow. The bylaws are located on the Committee general information page:

http://www.nyiso.com/public/webdocs/committees/general_information/mc_by_laws.pdf

http://www.nyiso.com/public/webdocs/committees/general_information/nyiso_oc_bylaws.pdf

http://www.nyiso.com/public/webdocs/committees/general_information/nyiso_bic_bylaws.pdf



2) Why is NYISO Shared Governance needed?

The NYISO Shared Governance is a critical component in the development of the NYISO markets. The Governance process provides stakeholders the opportunity to participate in a forum where issues regarding the operation, implementation, and future of the NYISO markets are discussed, debated, and voted on.

3) How is being a committee member different from being a NYISO customer?

Being a committee member is different from being a customer in that:

- The application for committee membership is a separate process from registering as a customer.
- There is an annual fee for committee membership, but no fee for being a customer.
- An organization does not have to be a customer in order to be a committee member.
- Committee members must adhere to the requirements set forth in the ISO Agreement. Customers must adhere to the requirements of the Open Access Transmission Tariff (OATT) and Market Administration and Control Area Services Tariff (MST).
- Committee members are involved in the design, development, and operation of the market whereas registered customers participate in the NYISO markets by utilizing any of the following services:
 - OATT Services: Firm Point to Point Transmission Service * Non-Firm Point to Point Transmission Service * Network Integration Transmission Service: Firm * Network Integration Transmission Service: Secondary * Applicable Ancillary Services
 - MST Services: Ancillary Services * LBMP Energy Purchases & Sales * Installed Capacity Purchases & Sales * Transmission Congestion Contract (TCC) Purchases & Sales

Joining the NYISO Governance

1) How do I become a NYISO committee member?

There are three requirements for membership in the NYISO Governance:

- A. [Committee Application](#): Completed and NYISO approved.
- B. [ISO Agreement](#): Signed and returned signature page of the ISO Agreement. The signature page is located in the application.
- C. Membership fee: There is an annual membership fee associated with a Party's status in the governance structure of the NYISO. Refer to the fee structure below to determine the fee that your organization will be charged.

\$0	Governmental agencies that represent Small Consumers or act as retail Load aggregators primarily for Small Consumers
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\$100	Non-profit organizations representing Environmental Parties and Small Consumers; and Members participating in the “Non Voting Entity” category
\$5000	All other companies and organizations

Committee Sectors

1) Which sector or subsector do I qualify to participate in?

The Governance is comprised of the following sectors and subsectors plus one category for “Non-Voting Entities”. Refer to Article 7.04 of the ISO Agreement for detailed information concerning the requirements that an applicant must meet to participate in a particular sector. The applicant must declare the sector they wish to and are qualified to participate in. If the applicant qualifies for participation in more than one sector, the applicant must choose one sector.

- Transmission Owner Sector
- Generation Owner Sector
- Other Supplier Sector
- End Use Consumer Sector
 - Large Consumer Subsector
 - Large Consumer Government Agency Subsector
 - Small Consumer Subsector
 - Governmental Agency Subsector
- Public Power/Environmental Parties Sector
 - State Public Power Authority Subsector
 - Municipal Electric Systems and Cooperatively Owned Electric Systems Subsector
 - Environmental Parties Subsector
- Non-Voting Entity (ISO Agreement 2.02)

2) I don't qualify for participation in any of the five sectors. Can I still join the Governance?

Per Section 2.02 (paragraph 3) of the ISO Agreement, individuals and organizations that either (i) have a significant interest in a sector but do not qualify for membership in that sector, or (ii) qualify for membership in a sector but choose not to join that sector (collectively, “Non-Voting Entities”), may participate on a non-voting basis in meetings of the MC, OC, and BIC, and subcommittees and working groups thereof.

Listed below are defined terms in Article 1 of the ISO Agreement that may be helpful in your sector selection:

1.31	End-Use Consumer	1.50	Investor-Owner Transmission Owners	1.107	Public Power Party
1.33	ESCO (Energy Service Co.)	1.57	Large Consumer	1.110	Residential Consumer
1.34	Environmental Party	1.57A	Large Energy Using Governmental Agency	1.115	Small Consumer
1.38	Generator	1.61	Load	1.116	State Public Power Authority



1.39	Generator Owner	1.62	Load Serving Entity ("LSE")	1.120	Supplier
1.46	Industrial Consumer	1.96	Other Supplier	1.130	Transmission Owner
1.11	Commercial Consumer	2.02	Parties to the ISO Agreement - Rights of non-voting entities	1.25A	Demand Response Provider
1.29A	Distributed Generator				

3) Can affiliates of committee members join the Governance?

A committee member and its affiliates may participate in the Governance in different sectors, provided they vote in only one sector. For additional information, see section 7.05 of the ISO Agreement

Committee Meetings



1) Are the committee meetings open to the public?

The public may only attend committee meetings in person and shall register with the liaison before entering the meeting. The public is not allowed to participate in the meeting. See Section 4.14 of the MC bylaws for additional information.

2) How does the committee and working group meeting material get distributed?

Meeting notices and materials are distributed via e-mail to the members of those groups. The material is posted to the NYISO website shortly thereafter under the respective Committee/Working Group meeting material web page.

Visit the following link to navigate through the committee web pages:

http://www.nyiso.com/public/markets_operations/committees/index.jsp

3) Can a committee member submit, to the NYISO, material to be posted to the web for discussion at a meeting?

Committee members are welcome to submit documents, for posting to the web, for any issue listed on an agenda. However, the NYISO is not responsible for the accuracy (or the confidentiality or sensitivity) of Market Participant information posted on the NYISO website.

4) How do I subscribe to the committee e-mail lists?

Once your organization is a registered committee member, please send an email to MemberRelations@nyiso.com and indicate which committees, subcommittees, working groups that you wish to subscribe to.

5) How do I assign a proxy for a meeting?

In accordance with the MC bylaws, Section 2.05, a member shall be deemed to be in attendance by proxy, so long as the representative/member gives notice by any of the following methods:

- By phone or e-mail (proxy@nyiso.com)
- By mail or fax with letterhead paper of the committee member organization
- Hand delivery

6) Do the NYISO use a web-conferencing service for conference call participants?

In addition to normal dial-in phone conferencing available for each meeting, WebEx web conferencing is available for many NYISO meetings. This service allows for viewing material as it is presented at the meeting. Most meetings on the NYISO's committee calendar provide a link to WebEx.

7) How do I add an issue to a committee or working group agenda?

Items shall be added to the agenda upon a request to the Chairperson upon 10 business days advance notice prior to the meeting. For more information, see Section 5.07 of the MC bylaws and Section 5.06 of the OC and BIC bylaws.

8) How is committee voting conducted?



Voting is conducted in the MC, BIC, and OC meetings. Each committee member is entitled to cast a vote, provided however that the entity is not an “affiliate” or a “Non-Voting Entity.” Each entity’s vote is equal to a percentage of their sector’s allocated voting shares. The voting shares are allocated among the sectors and subsectors as follows:

Generation Owners	21.5%
Other Suppliers	21.5%
Transmission Owners	20.0%
End Use Consumers	20.0%
<ul style="list-style-type: none"> • Large Consumers • Large Cons. Gov. Agency • Small Consumers • Gov. State-wide Consumer Advocate • Gov. Small Consumer & Retail Aggregator 	9.0% 2.0% 4.5% 2.7% 1.8%
Public Power	17.0%
<ul style="list-style-type: none"> • State Power Authorities • Munis and Coops • Environmental 	8.0% 7.0% 2.0%

Voting on a particular resolution is conducted by polling members for affirmatives/negative votes. A resolution needs at least 58 % of affirmative votes to pass. For additional information on how the voting shares are calculated see Section 7.03 of the ISO Agreement.

Committee Members

1) Where can I find a list of the current voting representatives? How can I contact my colleagues?

The roster of committee members is posted on the general information page of the Committee section of the NYISO website:

http://www.nyiso.com/public/markets_operations/committees/index.jsp

The NYISO also publishes a document with the contact information of committee members.

2) How do I make a change to my organization’s Committee Representative or Alternates?

A member may designate up to seven alternate representatives no later than the day before the date of the Committee meeting. The letter should be addressed to the liaisons. You can submit your letter via e-mail.



3) Who are the Committee and Working Group Chairs?

The Chairs are listed on the general information page of the Committee section of the NYISO website:

http://www.nyiso.com/public/markets_operations/committees/index.jsp

Board of Directors/Liaison Subcommittee

1) When does the NYISO Board of Directors meet and can I attend the meeting?

The Board meets on the third Tuesday of every month; in the morning they meet in Executive session and in the afternoon they meet with the Liaison Subcommittee. MC members may attend the Board meeting during its session with the Liaison Subcommittee.

2) What is the Liaison Subcommittee and can I attend those meetings?

The Liaison Subcommittee was formed to give MC members an opportunity to meet with and have direct communication with the NYISO Board. The Liaison Subcommittee meets on the third Tuesday of every month. The morning session is dedicated to establishing agenda items to bring before the Board in the afternoon session.

Participation in the Liaison Subcommittee meetings is open to MC members and is typically comprised of 15 members on a sectoral basis – 3 members from each sector – in addition to the Chairperson of the Liaison Subcommittee, and the MC Chair and Vice Chair. Participation is solicited on a monthly basis through the meeting notice; an RSVP is required

The Liaison Subcommittee meeting notice and Board agenda is posted to the NYISO Calendar:

<http://www.nyiso.com/public/committees/calendar/index.jsp>

Appeals

1) How do I make an appeal to the NYISO's Board of Directors?

An appeal to the Board ("Notice of Appeal") shall be filed, in triplicate, within 10 business days of the MC decision of which review is sought. Notice of Appeal shall be addressed to the Chair of the Board, care of the President of the ISO. Additional information on the Procedural Rules for Appeals to the Board can be found on the NYISO's web site under the Committees and Working Groups section at: http://www.nyiso.com/public/webdocs/committees/appeals/procedural_rules_for_appeals_board.pdf

2) How do I make an Appeal to the Management Committee?

A Party wishing to appeal an action of a Lower Committee to the MC must file a written notice of appeal ("Notice of Appeal") with the Secretary of the MC no later than 10 business days after the Lower Committee provides notice of such action pursuant to Section 7.11(f) of the ISO Agreement.

For additional information on appeal procedures, see:

- Management Committee Bylaws, Article XV. "Procedure for Appeals from Other Committees"
- Operating Committee Bylaws, Article XIII. "Appeals from Operating Committee Decisions"
- Business Issues Committee Bylaws, Article XIII. "Appeals from Business Issues Committee Decisions"

3) Where are appeals to the Board, meeting minutes, and Board decisions posted?



Appeals, Board Decisions, and Board meeting minutes are posted to the NYISO website under “Appeals to the Board/Minutes of Board Meetings” at the following location:

http://www.nyiso.com/public/markets_operations/committees/appeals/index.jsp