

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Thursday, September 17, 2020
9:00 AM to 11:00 AM**

LOCATION: WebEx Invitation

Agenda

1. Introductions (*Chair – Angela Gonzalez*)
2. SOC 1 Update (*KPMG and NYISO-Randy Browne*)
3. Approval of minutes from the prior meeting (*Chair – Angela Gonzalez*)
4. Open action items (*Chair – Angela Gonzalez*)
 - None
5. BACWG activities – Discussion of Billing Issue Report (*NYISO – Bill Porter*)
6. NYISO Senior Management changes (*NYISO – Bill Porter*)
7. Audit briefings for completed audits
 - ICAP Market Ops-Locational Capacity Requirements (*NYISO –Alex Rusate*)
 - IT Technical Security-Cyber Security Operations Center (*NYISO – John Lu*)
 - 2019 Vulnerability Assessment (*NYISO – Greg Marcincuk*)
 - Automated Mitigation Process (AMP) (*NYISO – Randy Browne*)
 - Continuous Auditing (*NYISO – Alex Rusate, John Lu, Randy Browne, Greg Marcincuk*)
 - Consulting – Project Monitoring-Western NY and AC Transm. (*NYISO – Bill Porter*)
8. Other business / Open discussion (*Chair – Angela Gonzalez*)
 - How to Plan for the 2021 Audit Plan Virtually (*Chair – Angela Gonzalez*)
 - MPAAS Input on Internal Audit's 2021 Audit Plan (*NYISO – Greg Marcincuk*)
 - MPAAS By-Laws and Charter (*Open discussion with all MPAAS Members*)
 - Internal Audit Scorecards (*carryover*)
 - Other (*Open discussion with all MPAAS Members*)
9. Adjourn (*Chair – Angela Gonzalez*)