

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**September 17, 2020
9:00 AM to 11:45 AM**

Location: WebEx

Approved Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
<i>Attending Members</i>				
Angela Gonzalez, Chair	NYPA	Public Power	X (WebEx)	
Rich DeJong, Vice Chair	Avangrid Networks	Transmission Owner	X (WebEx)	
Tahmina Isgandarova	Con Edison	Transmission Owner	X (WebEx)	
Heather Welch	Central Hudson	Transmission Owner		
Vivian Fu	National Grid	Transmission Owner		
Kathleen Mitterway	LIPA	Transmission Owner	X (WebEx)	
Martin Silverman	NYISO	NYISO Internal Audit		X (WebEx)
<i>Visitors (WebEx)</i>				
Randy Browne	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
Alex Rusate	NYISO	n/a		
John Lu	NYISO	n/a		

Meeting convened at 9:00 AM

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. SOC1 Update

KPMG provided an update on the 2020 SOC 1 Audit.

3. Approval of Minutes from the prior meeting

The minutes from the June 12, 2020 MPAAS meeting were approved with no changes.

4. Open Action Items from the prior meeting.

None

5. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the August 17, 2020 Billing Issue Report (as last presented to the Billing & Accounting & Credit Policy Working Group on August 25, 2020) and had no questions.

6. NYISO Senior Management Changes

There have been none since the last MPAAS.

7. Briefings for NYISO's Completed Audits

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- ICAP Market Ops-Locational Capacity Requirements *(NYISO – Alex Rusate)*
- IT Technical Security-Cyber Security Operations Center *(NYISO – John Lu)*
- 2019 Vulnerability Assessment *(NYISO – Greg Marcincuk)*
- Automated Mitigation Process (AMP) *(NYISO – Randy Browne)*
- Continuous Auditing *(NYISO – Alex Rusate, John Lu, Randy Browne, Greg Marcincuk)*
- Consulting – Project Monitoring - W. NY and AC Trans. *(NYISO – Bill Porter)*

8. Other Business / Open Discussion

The Subcommittee held an open discussion regarding the following items of general interest, including:

- Planning for your 2021 Audits virtually.
- Member input sought on NYISO's 2021 Audit Plan.
- Moving forward with changes to the MPAAS By-Laws: It was reported by Mr. Silverman that per the NYISO Legal Department, changes to the MPAAS By-Laws or

Charter must be approved by the Management Committee. Bill Porter to send out the proposed edits for the By-Laws from the last MPAAS.

- Angela Gonzalez of NYPA shared her latest Internal Audit Scorecard for discussion.

9. Adjourn

The meeting was adjourned at 11:45 AM.

MPAAS Schedule for 2020

- ~~March 19, 2020~~
- ~~June 12, 2020~~
- ~~September 17, 2020~~
- November 19, 2020