

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**November 17, 2020
9:00 AM to 11:00 AM**

Location: WebEx

Approved Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
<i>Attending Members</i>				
Angela Gonzalez, Chair	NYPA	Public Power	X (WebEx)	
Rich DeJong, Vice Chair	Avangrid Networks	Transmission Owner	X (WebEx)	
Tahmina Isgandarova	Con Edison	Transmission Owner	X (WebEx)	
Heather Welch	Central Hudson	Transmission Owner	X (WebEx)	
Vivian Fu	National Grid	Transmission Owner	X (WebEx)	
Kathleen Mitterway	LIPA	Transmission Owner	X (WebEx)	
Martin Silverman	NYISO	NYISO Internal Audit		X (WebEx)
<i>Visitors (WebEx)</i>				
Stephanie Shen	Con Edison	Transmission Owner		
Felicia Avery	Central Hudson	Transmission Owner		
Randy Browne	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
Alex Rusate	NYISO	n/a		
John Lu	NYISO	n/a		

The meeting convened at 9:00 AM

1. Introductions

The Subcommittee members and visitors introduced themselves. Ms. Isgandarova of Con Ed introduced Ms. Stephanie Shen, who will be representing Con Ed at MPAAS going forward. Also, Ms. Welch of Central Hudson introduced Ms. Felicia Avery, who will be representing Central Hudson at some point in 2021.

2. Approval of Minutes from the prior meeting

The minutes from the September 17, 2020 MPAAS meeting were approved with no changes.

3. Open Action Items from the prior meeting.

None

4. SOC1 Update

Mr. Browne provided an update on the 2020 SOC 1 Audit.

5. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the October 15, 2020 Billing Issue Report (as last presented to the Billing & Accounting & Credit Policy Working Group on October 22, 2020) and had no questions.

6. NYISO Senior Management Changes

There have been none since the last MPAAS.

7. 2021 Elections – MPAAS Chair and Vice Chair

The Subcommittee elected the 2021 MPAAS Chair and Vice Chair:

- Chair – Mr. Rich DeJong (Avangrid)
- Vice Chair – Ms. Vivian Fu (National Grid)

8. MPAAS Schedule for 2021

The Subcommittee agreed to the 2021 meeting schedule by (all Wednesdays):

- March 17
- June 16
- September 15
- November 17

The meetings are to be held via Webex until further notice.

9. Briefings for NYISO's Completed Audits

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Tripwire (NYISO – John Lu)
- Cyber Security Penetration Testing (NYISO – Greg Marcincuk)
- Solar Forecasting (NYISO – Bill Porter)
- Credit (NYISO – Alex Rusate)
- Continuous Auditing (NYISO – Alex Rusate, Greg Marcincuk, John Lu)
- Consulting – SOC 1 Observation Testing on KPMG's Behalf (NYISO – Alex Rusate)

10. 2021 Audit Plan

Mr. Marcincuk provided an overview of the 2021 NYISO Internal Audit Plan.

11. Other Business / Open Discussion

The Subcommittee held an open discussion on items of general interest, including:

- The MPAAS By-Laws: Mr. DeJong will propose further changes to the current By-Laws by the first quarter of 2021, with a potential vote at the March MPAAS meeting.

12. Adjourn

The meeting was adjourned at 11:00 AM.

MPAAS Schedule for 2020

- ~~March 19, 2020~~
- ~~June 12, 2020~~
- ~~September 17, 2020~~
- ~~November 19, 2020~~