

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**March 24, 2021
9:00 AM to 10:10 AM**

Location: WebEx

Approved Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
<i>Attending Members</i>				
Rich DeJong, Chair	Avangrid Networks	Transmission Owner	X (WebEx)	
Vivian Fu, Vice Chair	National Grid	Transmission Owner	X (WebEx)	
Angela Gonzalez	NYPA	Public Power	X (WebEx)	
Kathleen Mitterway	LIPA	Transmission Owner	X (WebEx)	
Stephanie Shen	Con Edison	Transmission Owner	X (WebEx)	
Heather Welch	Central Hudson	Transmission Owner	X (WebEx)	
Martin Silverman	NYISO	NYISO Internal Audit		X (WebEx)
<i>Visitors (WebEx)</i>				
Felicia Avery	Central Hudson	Transmission Owner		
Randy Browne	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
Alex Rusate	NYISO	n/a		
John Lu	NYISO	n/a		

The meeting convened at 9:00 AM

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. Approval of Minutes from the prior meeting

The minutes from the November 19, 2020 MPAAS meeting were approved with no changes.

3. Open Action Items from the prior meeting.

None

4. SOC1 Update

Mr. Browne provided an update on the 2021 SOC 1 Audit.

5. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the January 13, 2021 Billing Issue Report (as last presented to the Billing & Accounting & Credit Policy Working Group on January 26, 2021) and had no questions.

6. NYISO Senior Management Changes

There have been none since the last MPAAS.

7. Briefings for NYISO's Completed Audits

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Network Model *(NYISO – Bill Porter)*
- ICAP Capability Period (Strip) Auction *(NYISO – (Alex Rusate)*
- Secure Coding of Internally Developed Software *(NYISO – (John Lu)*
- Application Penetration Testing *(NYISO – John Lu)*
- Customer Settlements- Metering Service Entities *(NYISO – Randy Browne)*
- Continuous Auditing *(NYISO – IA Staff)*
- Market Problem Reporting Procedure *(NYISO – Bill Porter)*
- Third-Party Relationships *(NYISO – Randy Browne)*
- Consulting – Energy Storage Resources *(NYISO – Randy Browne)*
- Consulting – Distributed Energy Resources (DER) *(NYISO – Randy Browne)*
- Consulting – Cost Containment and Tariff Changes *(NYISO- Greg Marcincuk)*
- Consulting – Short-Term Reliability Planning Process *(NYISO – Randy Browne)*
- Consulting – NERC Standards *(NYISO – Alex Rusate)*
- Consulting – Oracle Financials Upgrade-UAT and SOD *(NYISO – Alex Rusate)*

8. Other Business / Open Discussion

The Subcommittee held an open discussion on items of general interest, including Distributed Energy Resources, as well as climate change. The MPAAS By-Laws discussion was postponed until the June MPAAS.

9. Adjourn

The meeting was adjourned at 10:10 AM.

MPAAS Schedule

- ~~March 24, 2021~~
- June 16, 2021
- September 15, 2021
- November 17, 2021