

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.  
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, June 16, 2021  
9:00 AM to 12:00 PM**

**LOCATION: Webex Invitation**

**Agenda**

1. Introductions (*Chair – Rich DeJong*)
2. Approval of minutes from the prior meeting (*Chair – Rich DeJong*)
3. Open action items (*Chair – Rich DeJong*)
  - None
4. SOC 1 Update (*NYISO-Randy Browne*)
5. BACWG activities – Discussion of Billing Issue Report (*NYISO – Bill Porter*)
6. NYISO Senior Management changes (*NYISO – Bill Porter*)
7. Audit briefings for completed audits
  - Endpoint Protection (*NYISO – John Lu*)
  - Officer and Board Expense Reports (*NYISO – Alex Rusate*)
  - Corporate Incentive Goals (*NYISO – Greg Marcincuk*)
  - Tariff Compliance Testing (*NYISO – Alex Rusate*)
  - Continuous Auditing (*NYISO – Alex Rusate*)
  - Consulting:
    - Broader Regional Markets Controls Optimization (*NYISO – Alex Rusate*)
    - California Blackout Investigation Results/Recommendations (*NYISO – Bill Porter*)
    - SOC 1 SME Training on Interacting with Ext Auditors (*NYISO – Randy Browne*)
8. Other business / Open discussion (*Chair – Rich DeJong*)
  - Internal Audit in the Hybrid Environment (*NYISO*)
  - Increasing the Awareness of Internal Audit in Your Organization (*NYISO*)
  - The Latest Developments in Cybersecurity (*NYISO*)
  - Other (*Open discussion with all MPAAS Members*)
9. Adjourn (*Chair – Rich DeJong*)