

Transmission Congestion Contracts Manual Attachments

Attachment J: Non-Historic Fixed Price TCC Initial Purchase Documents

Attachment J-A: NOTICE OF INTENT TO PURCHASE AND CERTIFICATION OF LSE IN CONNECTION WITH PURCHASE OF NON-HISTORIC FIXED PRICE TCCS WHERE THE LSE WILL HOLD THE NON-HISTORIC FIXED PRICE TCCS

Attachment J-B1: NOTICE OF INTENT TO PURCHASE AND CERTIFICATION OF LSE IN CONNECTION WITH PURCHASE OF NON-HISTORIC FIXED PRICE TCCS WHERE THE LSE HAS APPOINTED A HOLDER OF THE NON-HISTORIC FIXED PRICE TCCS

Attachment J-B2: DECLARATION OF PARTY TO BE HOLDER OF NON-HISTORIC FIXED PRICE TCCS IN CONNECTION WITH PURCHASE OF NON-HISTORIC FIXED PRICE TCCS WHERE THE LSE HAS APPOINTED A HOLDER OF THE NON-HISTORIC FIXED PRICE TCCS

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DRAFT – FOR DISCUSSION PURPOSES ONLY

Prepared By: NYISO TCC Market Operations

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ATTACHMENT J-A

NOTICE OF INTENT TO PURCHASE AND CERTIFICATION OF LSE IN CONNECTION WITH PURCHASE OF NON-HISTORIC FIXED PRICE TCCS WHERE THE LSE WILL HOLD THE NON-HISTORIC FIXED PRICE TCCS

[on LSE letterhead]
 [FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES
 PRIOR TO EXECUTION]

[DATE]¹

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~²

Manager, TCC Market Operations
 New York Independent System Operator, Inc.
 10 Krey Boulevard
 Rensselaer, NY 12144

RE: NOTICE OF INTENT TO PURCHASE AND CERTIFICATION FOR AN LSE THAT
 WILL HOLD ITS NON-HISTORIC FIXED PRICE TCCS PURCHASED CONCURRENTLY
 WITH THE SPRING YYYY CENTRALIZED TCC AUCTION

Dear Sir or Madam:

[LSE name]³ (“LSE”) hereby notifies the NYISO that LSE is exercising its right under Section 19.2.2 of Attachment M of the NYISO Open Access Transmission Tariff (“OATT”) to notice its intent to purchase Non-Historic Fixed Price TCCs (“NHFPTCCs”) with the following Points of Withdrawal (“POWs”) and with a MW quantity no greater than the following:

Intent to Purchase NHFPTCCs		
POW PTID	POW Zone Name	Requested Number of NHFPTCCs (in whole MW only) ⁴
61752	WEST	
61753	GENESE	
61754	CENTRL	
61755	NORTH	
61756	MHK VL	
61757	CAPITL	
61758	HUD VL	
61759	MILLWD	
61760	DUNWOD	
61761	N.Y.C.	
61762	LONGIL	

¹ To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

² Specify ~~the method of delivery whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). The NYISO will accept a fixed copy if followed by an original hard copy within a reasonable period.~~

³ Insert name of the LSE submitting intent to purchase.

⁴ Insert the requested amount of NHFPTCCs by POW Load Zone in this table. Blank entries indicate that NHFPTCCs are not being requested by the LSE with the associated Load Zone as a POW.

With regard to each intent to purchase specified above, LSE makes the following certifications, acknowledging that the NYISO is relying upon these certifications in connection with the awarding of NHFPTCCs:

1. LSE expects, as of the date of this letter and throughout the next two years from the start date of the NHFPTCCs, to be legally obligated to serve the Load in each identified Load Zone in an amount and for a term that equals or exceeds the sum of the number of NHFPTCCs that it intends to purchase with a POW in that Load Zone and the number of Grandfathered TCCs, Grandfathered Rights, Historic Fixed Price TCCs, and other NHFPTCCs, in effect for the same term that are held by or on behalf of LSE with POWs in that Load Zone.
2. LSE has served Load in the identified Load Zone(s) in the most recently concluded Capability Period.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the NYISO Market Administration and Control Area Services Tariff.

Sincerely,

[Name of authorized representative of LSE]

[Title of authorized representative]

ATTACHMENT J-B1

**NOTICE OF INTENT TO PURCHASE AND CERTIFICATION OF LSE
IN CONNECTION WITH PURCHASE OF NON-HISTORIC FIXED PRICE TCCS WHERE
THE LSE HAS APPOINTED A HOLDER OF THE NON-HISTORIC FIXED PRICE TCCS**

(TO BE SUBMITTED IN CONJUNCTION WITH ATTACHMENT J-B2)

[on LSE letterhead]
 [FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES
 PRIOR TO EXECUTION]

[DATE]¹

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~²

Manager, TCC Market Operations
 New York Independent System Operator, Inc.
 10 Krey Boulevard
 Rensselaer, NY 12144

RE: NOTICE OF INTENT TO PURCHASE AND CERTIFICATION BY AND LSE THAT
 HAS APPOINTED A HOLDER OF ITS NON-HISTORIC FIXED PRICE TCCS PURCHASED
 CONCURRENTLY WITH THE SPRING YYYY CENTRALIZED TCC AUCTION

Dear Sir or Madam:

[LSE name]³ (“LSE”) hereby notifies the NYISO that LSE is exercising its right under Section 19.2.2 of Attachment M of the NYISO Open Access Transmission Tariff (“OATT”) to notice its intent to purchase Non-Historic Fixed Price TCCs (“NHFPTCCs”) and that it has appointed [Agent name]⁴ (“LSE Appointee”) to serve, for the ultimate benefit of the LSE, as the Agent solely for the limited purpose of establishing LSE Appointee’s right to purchase NHFPTCCs with the following Points of Withdrawal (“POWs”) and with a MW quantity no greater than the following:

Intent to Purchase NHFPTCCs		
POW PTID	POW Zone Name	Requested Number of NHFPTCCs (in whole MW only) ⁵
61752	WEST	
61753	GENESE	
61754	CENTRL	
61755	NORTH	
61756	MHK VL	
61757	CAPITL	
61758	HUD VL	
61759	MILLWD	
61760	DUNWOD	
61761	N.Y.C.	
61762	LONGIL	

¹ To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

² Specify the method of delivery whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). ~~The NYISO will accept a faxed copy if followed by an original hard copy within a reasonable period.~~

³ Insert name of the LSE submitting notice of appointment.

⁴ Insert name of party to serve as the Primary Holder of the TCCs.

⁵ Insert the requested amount of NHFPTCCs by POW Load Zone in this table. Blank entries indicate that NHFPTCCs are not being requested by the LSE with the associated Load Zone as a POW.

With regard to each intent to purchase specified above, LSE makes the following certifications, acknowledging that the NYISO is relying upon these certifications in connection with the awarding of NHFPTCCs:

1. LSE expects, as of the date of this letter and throughout the next two years from the start date of the NHFPTCCs, to be legally obligated to serve the Load in each identified Load Zone in an amount and for a term that equals or exceeds the sum of the number of NHFPTCCs that it intends to purchase with a POW in that Load Zone and the number of Grandfathered TCCs, Grandfathered Rights, Historic Fixed Price TCCs, and other NHFPTCCs, in effect for the same term that are held by or on behalf of LSE with POWs in that Load Zone.
2. LSE has served Load in the identified Load Zone(s) in the most recently concluded Capability Period.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the NYISO Market Administration and Control Area Services Tariff.

Sincerely,

[Name of authorized representative of LSE]
[Title of authorized representative]

ATTACHMENT J-B2

DECLARATION OF PARTY TO BE HOLDER OF NON-HISTORIC FIXED PRICE TCCS IN CONNECTION WITH PURCHASE OF NON-HISTORIC FIXED PRICE TCCS WHERE THE LSE HAS APPOINTED A HOLDER OF THE NON-HISTORIC FIXED PRICE TCCS

(TO BE SUBMITTED IN CONJUNCTION WITH ATTACHMENT J-B1)

[on Billing Organization's letterhead]
 [FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES
 PRIOR TO EXECUTION]

[DATE]¹

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~²

Manager, TCC Market Operations
 New York Independent System Operator, Inc.
 10 Krey Boulevard
 Rensselaer, NY 12144

RE: REQUEST TO PURCHASE NON-HISTORIC FIXED PRICE TCCS CONCURRENTLY
 WITH THE SPRING YYYY CENTRALIZED TCC AUCTION BY AN LSE'S APPOINTEE

Dear Sir or Madam:

[Agent name]³ ("LSE Appointee") hereby notifies the NYISO that LSE Appointee is, as an Agent appointed by [LSE name]⁴ ("LSE"), exercising its right under Section 19.2.2 of Attachment M of the NYISO Open Access Transmission Tariff ("OATT") to notice its intent to purchase Non-Historic Fixed Price TCCs ("NHFPTCCs") with the following Points of Withdrawal ("POWs") and with a MW quantity no greater than the following:

Intent to Purchase NHFPTCCs		
POW PTID	POW Zone Name	Requested Number of NHFPTCCs (in whole MW only) ⁵
61752	WEST	
61753	GENESE	
61754	CENTRL	
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¹ To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

² Specify ~~the method of delivery whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). The NYISO will accept a fixed copy if followed by an original hard copy within a reasonable period.~~

³ Insert name of the Agent submitting declaration.

⁴ Insert name of the LSE by which the Agent was appointed.

⁵ Insert the requested amount of NHFPTCCs by POW Load Zone in this table. Blank entries indicate that NHFPTCCs are not being requested by the LSE with the associated Load Zone as a POW. Information provided by the LSE Appointee should match that provided by the LSE.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the NYISO Market Administration and Control Area Services Tariff.

Sincerely,

[Name of authorized representative of LSE Appointee]
[Title of authorized representative]