

# Transmission Congestion Contracts Manual Attachments

## Attachment L: Historic Fixed Price TCC Conversion Documents

**Attachment L-A: REQUEST TO CONVERT ETAS TERMINATING DURING THE APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH TRANSMISSION RIGHTS UNDER THE ETAS WILL HOLD THE HISTORIC FIXED PRICE TCCS**

**Attachment L-B1: CERTIFICATION OF LSE IN CONNECTION WITH CONVERSION OF ETAS TERMINATING DURING THE APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH TRANSMISSION RIGHTS UNDER THE ETA HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCCS WHICH HOLDER WILL BE EXERCISING ITS CONVERSION RIGHTS**

**Attachment L-B2: DECLARATION OF PARTY TO BE HOLDER OF HISTORIC FIXED PRICE TCCS IN CONNECTION WITH A REQUEST TO CONVERT ETAS TERMINATING DURING THE APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH TRANSMISSION RIGHTS UNDER THE HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCCS**

Issued: ~~07/28/2015~~ MM/DD/YYYY

**DRAFT - FOR DISCUSSION PURPOSES ONLY**

## Prepared By: NYISO TCC Market Operations

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## ATTACHMENT L-A

**REQUEST TO CONVERT ETAS TERMINATING DURING THE APPLICABLE  
CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH  
TRANSMISSION RIGHTS UNDER THE ETAS WILL HOLD THE HISTORIC FIXED PRICE  
TCCS**

[on LSE letterhead]  
[FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES  
PRIOR TO EXECUTION]

[DATE]<sup>1</sup>

By [Insert Method of Delivery to NYISO]~~[By Hand]~~ ~~[By Courier]~~<sup>2</sup>

Manager, TCC Market Operations  
New York Independent System Operator, Inc.  
10 Krey Boulevard  
Rensselaer, NY 12144

[Transmission Owner providing service]<sup>3</sup>  
[Address of Transmission Owner providing service]<sup>4</sup>

RE: CONVERSION OF ETAS TERMINATING DURING THE APPLICABLE  
CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE HOLDER OF  
THE HISTORIC FIXED PRICE TCCS WILL BE THE SAME AS THE LSE WITH  
TRANSMISSION RIGHTS UNDER THE ETAS

Dear Sir or Madam:

[LSE name]<sup>5</sup> (“LSE”) hereby notifies the NYISO and [name of Transmission Owner providing service]<sup>6</sup> that LSE is exercising its right under Section 19.2.1 of Attachment M of the NYISO OATT to convert Existing Transmission Agreements (“ETAs”) listed in Table 1A of Attachment L of the OATT into Historic Fixed Price TCCs as follows:

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<sup>1</sup> To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

<sup>2</sup> Specify the method of delivery whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). ~~The NYISO will accept a faxed copy if followed by an original, hard copy within a reasonable period.~~

<sup>3</sup> Insert name of Transmission Owner(s) listed in Table 1A of Attachment L as the “Provider” of transmission service for the terminated ETAs being converted to Historic Fixed Price TCCs. All Transmission Owners providing transmission service under the relevant ETAs should be listed.

<sup>4</sup> Insert the address of the Transmission Owner(s) providing service for the terminated ETAs being converted to Historic Fixed Price TCCs. If this is not known, the NYISO can provide that information upon request.

<sup>5</sup> Insert name of the LSE submitting conversion declaration.

<sup>6</sup> Insert name of Transmission Owner(s) listed in Table 1A of Attachment L as the “Provider” of transmission service for the terminated ETAs being converted to Historic Fixed Price TCCs. All Transmission Owners providing transmission service under the relevant ETAs should be listed.

INFORMATION ON EXPIRING ETAS <sup>7</sup>						REQUESTED # OF HISTORIC FIXED PRICE TCCS	REQUESTED EFFECTIVE DATE OF HISTORIC FIXED PRICE TCCS	REQUESTED DURATION OF HISTORIC FIXED PRICE TCCS
LSE Name	POI	POI Name	POW	POW Name	MWs Available as Historic Fixed Price TCCs	(in whole MWs only)	Indicate "Day After" or "Next Cap Period" <sup>8</sup>	Indicate "5+5" or "10" or "5+7" <sup>9</sup>

With regard to each ETA specified above, LSE makes the following certifications, acknowledging that the NYISO is relying upon these certifications in connection with the conversion of the ETA into Historic Fixed Price TCCs:

1. the ETA will expire during the "Applicable Capability Period" (as defined in the NYISO Procedure for Converting ETAs into Historic Fixed Price TCCs) such that on the effective date (as specified above) of the relevant Historic Fixed Price TCC, LSE will have no further transmission rights under the ETA;
2. LSE expects, as of the date of this letter and throughout the next year from the start date of the Historic Fixed Price TCCs, to both (i) be legally obligated to serve the Load that it historically served under the ETA or a portion of that Load at least equal to the number of Historic Fixed Price TCCs that it elects to obtain; and (ii) need the transmission capacity between the Point of Injection and Point of Withdrawal specified in the ETA to serve that Load; and
3. if LSE selects a term of 5 years, renewable for a 7-year term, (i) LSE purchases Energy from the New York Power Authority ("NYPA") under agreement(s) that will expire in 2025, (ii) the ETAs are listed in Table 1A to Attachment L, as it may be amended, as expiring in 2013, and (iii) the LSE will use the Historic Fixed Price TCCs resulting from the ETA to hedge the congestion costs associated with deliveries under its NYPA agreement(s).

Further, in connection with the conversion of each ETA specified above, LSE hereby acknowledges that:

1. consistent with Section 19.2.1 of Attachment M of the OATT, the term of the Historic Fixed Price TCCs acquired pursuant to this request to convert shall begin at the start of the first hour on the effective date specified above and end, subject to renewal, at the end of the last hour of the one year anniversary of the effective date specified above;

<sup>7</sup> Complete the information in this part of the table based on information provided by the NYISO. No variations will be accepted.

<sup>8</sup> "Day After" indicates an effective date of the day after the ETA terminates; "Next Cap Period" indicates an effective date of the start of the next Capability Period following the ETA termination.

<sup>9</sup> "5+5" indicates a 5-year Historic Fixed Price TCC renewable for a second 5-year term; "10" indicates a 10-year Historic Fixed Price TCC; "5+7" indicates a 5-year Historic Fixed Price TCC renewable for a seven year term, available only if the termination date and other facts certified in paragraph 3 below are applicable.

2. LSE shall pay to the NYISO (or, if applicable, be paid) the purchase price for the Historic Fixed Price TCCs acquired pursuant to this declaration as described in Section 19.2.1 of Attachment M of the OATT;
3. LSE shall comply with the NYISO's credit requirements to the extent applicable in connection with the Historic Fixed Price TCCs acquired pursuant to this request ;
4. if the NYISO concludes that LSE's requested conversion would make an existing and valid TCC infeasible, the NYISO will reduce the number of Historic Fixed Price TCCs that LSE may obtain as described in Section 19.2.1 of Attachment M of the OATT; and
5. all other provisions of the OATT and Services Tariff and of the ISO Procedures (as each may be amended) shall apply to the conversion of the ETAs, the resulting Historic Fixed Price TCCs, and other related issues.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the Services Tariff.

Sincerely,

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[Name of authorized representative of LSE]  
[Title of authorized representative]

**ATTACHMENT L-B1**

**CERTIFICATION OF LSE**

**IN CONNECTION WITH**

**CONVERSION OF ETAS TERMINATING DURING THE APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH TRANSMISSION RIGHTS UNDER THE ETAS HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCCS WHICH HOLDER WILL BE EXERCISING ITS CONVERSION RIGHTS**

**(TO BE SUBMITTED IN CONJUNCTION WITH ATTACHMENT L-B2)**

[on LSE letterhead]  
[FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES  
PRIOR TO EXECUTION]

[DATE]<sup>1</sup>

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~<sup>2</sup>

Manager, TCC Market Operations  
New York Independent System Operator, Inc.  
10 Krey Boulevard  
Rensselaer, NY 12144

[Transmission Owner providing service]<sup>3</sup>  
[Address of Transmission Owner providing service]<sup>4</sup>

RE: DECLARATION OF THE LSE WITH TRANSMISSION RIGHTS UNDER AN ETA  
THAT IT HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCCS IN  
CONNECTION WITH THE CONVERSION OF ETAS TERMINATING DURING THE  
APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS

Dear Sir or Madam:

[LSE name]<sup>5</sup> (“LSE”) hereby notifies the NYISO and [name of Transmission Owner providing service]<sup>6</sup> that LSE has appointed [ETA Agent name]<sup>7</sup> (“LSE Appointee”) to serve, for the ultimate benefit of the LSE, as the ETA Agent in connection with Existing Transmission Agreements (“ETAs”) listed in Table 1A of Attachment L of the NYISO OATT solely for the limited purpose of establishing LSE Appointee’s right to convert such ETAs into Historic Fixed Price TCCs as follows:

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<sup>1</sup> To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

<sup>2</sup> Specify the method of delivery whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). ~~The NYISO will accept a fixed copy if followed by an original, hard copy within a reasonable period.~~

<sup>3</sup> Insert name of Transmission Owner(s) listed in Table 1A of Attachment L as the “Provider” of transmission service for the terminated ETAs that ETA Agent is authorized to convert to Historic Fixed Price TCCs. All Transmission Owners providing transmission service under the relevant ETAs should be listed.

<sup>4</sup> Insert the address of the Transmission Owner(s) providing service for the terminated ETAs that ETA Agent is authorized to convert to Historic Fixed Price TCCs. If this is not known, the NYISO can provide that information upon request.

<sup>5</sup> Insert name of the LSE submitting notice of appointment.

<sup>6</sup> Insert name of Transmission Owner(s) listed in Table 1A of Attachment L as the “Provider” of transmission service for the terminated ETAs that ETA Agent is authorized to convert to Historic Fixed Price TCCs. All Transmission Owners providing transmission service under the relevant ETAs should be listed.

<sup>7</sup> Insert name of party to serve as the Primary Holder of the TCCs created through conversion. This party must be the billing organization (e.g., NYPA, NYMPA) currently responsible for interfacing with the NYISO on behalf of the LSE for purposes of scheduling, etc.



INFORMATION ON EXPIRING ETAS <sup>8</sup>						REQUESTED # OF HISTORIC FIXED PRICE TCCS	REQUESTED EFFECTIVE DATE OF HISTORIC FIXED PRICE TCCS	REQUESTED DURATION OF HISTORIC FIXED PRICE TCCS
LSE Name	POI	POI Name	POW	POW Name	MWs Available as Historic Fixed Price TCCs	(in whole MWs only)	Indicate "Day After" or "Next Cap Period" <sup>9</sup>	Indicate "5+5" or "10" or "5+7" <sup>10</sup>

With regard to each ETA specified above, LSE makes the following certifications, acknowledging that the NYISO is relying upon these certifications in connection with LSE Appointee's conversion of the ETA into Historic Fixed Price TCCs:

1. the ETA will expire during the "Applicable Capability Period" (as defined in the NYISO Procedure for Converting ETAs into Historic Fixed Price TCCs) such that on the effective date (as specified above) of the relevant Historic Fixed Price TCC, LSE will have no further transmission rights under the ETA;
2. LSE expects, as of the date of this letter and throughout the next year from the start date of the Historic Fixed Price TCCs, to both (i) be legally obligated to serve the Load that it historically served under the ETA or a portion of that Load at least equal to the number of Historic Fixed Price TCCs that it has authorized LSE Appointee to elect to obtain; and (ii) need the transmission capacity between the Point of Injection and Point of Withdrawal specified in the ETA to serve that Load; and
3. if LSE selects a term of 5 years, renewable for a 7-year term, (i) LSE purchases Energy from the New York Power Authority ("NYPA") under agreement(s) that will expire in 2025, (ii) the ETAs are listed in Table 1A to Attachment L, as it may be amended, as expiring in 2013, and (iii) the LSE will use the Historic Fixed Price TCCs resulting from the ETA to hedge the congestion costs associated with deliveries under its NYPA agreement(s).

<sup>8</sup> Complete the information in this part of the table based on information provided by the NYISO. No variations will be accepted.

<sup>9</sup> "Day After" indicates an effective date of the day after the ETA terminates; "Next Cap Period" indicates an effective date of the start of the next Capability Period following the ETA termination.

<sup>10</sup> "5+5" indicates a 5-year Historic Fixed Price TCC renewable for a second 5-year term; "10" indicates a 10-year Historic Fixed Price TCC; "5+7" indicates a 5-year Historic Fixed Price TCC renewable for a seven year term, available only if the termination date and other facts certified in paragraph 3 below are applicable.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the Services Tariff.

Sincerely,

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[Name of authorized representative of LSE]  
[Title of authorized representative]

**ATTACHMENT L-B2**

**DECLARATION OF PARTY TO BE HOLDER OF HISTORIC FIXED PRICE TCCS IN CONNECTION WITH A REQUEST TO CONVERT ETAS TERMINATING DURING THE APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH TRANSMISSION RIGHTS UNDER THE ETAS HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCCS**

**(TO BE SUBMITTED IN CONJUNCTION WITH ATTACHMENT L-B1)**

[on Billing Organization's letterhead]  
[FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL  
FOOTNOTES PRIOR TO EXECUTION]

[DATE]<sup>1</sup>

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~<sup>2</sup>

Manager, TCC Market Operations  
New York Independent System Operator, Inc.  
10 Krey Boulevard  
Rensselaer, NY 12144

[Transmission Owner providing service]<sup>3</sup>  
[Address of Transmission Owner providing service]<sup>4</sup>

RE: REQUEST TO CONVERT ETAS TERMINATING DURING THE  
APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS BY AN  
LSE'S APPOINTEE

Dear Sir or Madam:

[ETA Agent name]<sup>5</sup> ("LSE Appointee") hereby notifies the NYISO and [name of Transmission Owner providing service]<sup>6</sup> that LSE Appointee is, as an ETA Agent, exercising its right under Section 19.2.1 of Attachment M of the NYISO OATT to convert Existing Transmission Agreements ("ETAs") listed in Table 1A of Attachment L of the OATT into Historic Fixed Price TCCs as follows:

---

<sup>1</sup> To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

<sup>2</sup> Specify the method of delivery ~~whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). The NYISO will accept a fixed copy if followed by an original, hard copy within a reasonable period.~~

<sup>3</sup> Insert name of Transmission Owner(s) listed in Table 1A of Attachment L as the "Provider" of transmission service for the terminated ETAs being converted to Historic Fixed Price TCCs. All Transmission Owners providing transmission service under the relevant ETAs should be listed.

<sup>4</sup> Insert the address of the Transmission Owner(s) providing service for the terminated ETAs being converted to Historic Fixed Price TCCs. If this is not known, the NYISO can provide that information upon request.

<sup>5</sup> Insert name of the ETA Agent submitting conversion declaration.

<sup>6</sup> Insert name of Transmission Owner(s) listed in Table 1A of Attachment L as the "Provider" of transmission service for the terminated ETAs being converted to Historic Fixed Price TCCs. All Transmission Owners providing transmission service under the relevant ETAs should be listed.

INFORMATION ON EXPIRING ETAS <sup>7</sup>						REQUESTED # OF HISTORIC FIXED PRICE TCCS <sup>8</sup>	REQUESTED EFFECTIVE DATE OF HISTORIC FIXED PRICE TCCS <sup>9</sup>	REQUESTED DURATION OF HISTORIC FIXED PRICE TCCS <sup>10</sup>
LSE Name	POI	POI Name	POW	POW Name	MWs Available as Historic Fixed Price TCCs	(in whole MWs only)	Indicate "Day After" or "Next Cap Period" <sup>11</sup>	Indicate "5+5" or "10" or "5+7" <sup>12</sup>

In connection with the conversion of each ETA specified above, LSE Appointee hereby acknowledges that:

1. consistent with Section 19.2.1 of Attachment M of the OATT, the term of the Historic Fixed Price TCCs acquired pursuant to this declaration shall begin at the start of the first hour on the effective date specified above and end, subject to renewal, at the end of the last hour of the one year anniversary of the effective date specified above;
2. LSE Appointee shall pay to the NYISO (or, if applicable, be paid) the purchase price for the Historic Fixed Price TCCs acquired pursuant to this declaration as described in Section 19.2.1 of Attachment M of the OATT;
3. LSE Appointee shall in connection with the Historic Fixed Price TCCs acquired pursuant to this declaration comply with the NYISO's credit requirements to the extent applicable;
4. if the NYISO concludes that LSE Appointee's requested conversion would make an existing and valid TCC infeasible, the NYISO will reduce the number of Historic Fixed Price TCCs that LSE Appointee may obtain as described in Section 19.2.1 of Attachment M of the OATT; and
5. all other provisions of the OATT and Services Tariff and of the ISO Procedures (as each may be amended) shall apply to the conversion of the ETAs, the resulting Historic Fixed Price TCCs, and other related issues.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the Services Tariff.

Sincerely,

<sup>7</sup> Complete the information in this part of the table based on information provided by the NYISO. No variations will be accepted.

<sup>8</sup> Information provided by the LSE Appointee should match that provided by the LSE.

<sup>9</sup> Information provided by the LSE Appointee should match that provided by the LSE.

<sup>10</sup> Information provided by the LSE Appointee should match that provided by the LSE.

<sup>11</sup> "Day After" indicates an effective date of the day after the ETA terminates; "Next Cap Period" indicates an effective date of the start of the next Capability Period following the ETA termination.

<sup>12</sup> "5+5" indicates a 5-year Historic Fixed Price TCC renewable for a second 5-year term; "10" indicates a 10-year Historic Fixed Price TCC; "5+7" indicates a 5-year Historic Fixed Price TCC renewable for a seven year term, available only if the termination date and other facts certified in paragraph 3 below are applicable.

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[Name of authorized representative of LSE Appointee]  
[Title of authorized representative]