

Transmission Congestion Contracts Manual Attachments

Attachment M: Historic Fixed Price TCC Renewal Documents

Attachment M-C: REQUEST FOR ANNUAL RENEWAL FOR A HISTORIC FIXED PRICE TCC REACHING THE ANNIVERSARY OF ITS INITIAL EFFECTIVE DATE ON A DATE THAT FALLS WITHIN THE APPLICABLE CAPABILITY PERIOD WHERE THE HOLDER OF THE HISTORIC FIXED PRICE TCC IS THE SAME AS THE LSE THAT HAD TRANSMISSION RIGHTS UNDER THE ETAS

Attachment M-D1: CERTIFICATION OF LSE IN CONNECTION WITH THE ANNUAL RENEWAL FOR A HISTORIC FIXED PRICE TCC REACHING THE ANNIVERSARY OF ITS INITIAL EFFECTIVE DATE ON A DATE THAT FALLS WITHIN THE APPLICABLE CAPABILITY PERIOD WHERE THE LSE THAT HAD TRANSMISSION RIGHTS UNDER THE ETAS HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCC

Attachment M-D2: DECLARATION OF PARTY WHO IS THE HOLDER OF HISTORIC FIXED PRICE TCCS IN CONNECTION WITH A REQUEST TO RENEW ETAS TERMINATING DURING THE APPLICABLE CAPABILITY PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH TRANSMISSION RIGHTS UNDER THE ETAS HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCCS

Issued: ~~07/28/2015~~MM/DD/YYYY

DRAFT – FOR DISCUSSION PURPOSES ONLY

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ATTACHMENT M-C

REQUEST FOR ANNUAL RENEWAL FOR A HISTORIC FIXED PRICE TCC REACHING THE ANNIVERSARY OF ITS INITIAL EFFECTIVE DATE ON A DATE THAT FALLS WITHIN THE APPLICABLE CAPABILITY PERIOD WHERE THE HOLDER OF THE HISTORIC FIXED PRICE TCC IS THE SAME AS THE LSE THAT HAD TRANSMISSION RIGHTS UNDER THE ETAS

[on LSE letterhead]
 [FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES
 PRIOR TO EXECUTION]

[DATE]¹

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~²

Manager, TCC Market Operations
 New York Independent System Operator, Inc.
 10 Krey Boulevard
 Rensselaer, NY 12144

RE: ANNUAL RENEWAL FOR A HISTORIC FIXED PRICE TCC REACHING THE ANNIVERSARY OF ITS INITIAL EFFECTIVE DATE ON A DATE THAT FALLS WITHIN THE APPLICABLE CAPABILITY PERIOD WHERE THE HOLDER OF THE HISTORIC FIXED PRICE TCC IS THE SAME AS THE LSE THAT HAD TRANSMISSION RIGHTS UNDER THE ETAS

Dear Sir or Madam:

[LSE name]³ (“LSE”) is the holder of the Historic Fixed Price TCCs indicated below. LSE hereby notifies the NYISO that LSE is exercising its right to renew for one year its Historic Fixed Price TCCs as follows:

INFORMATION ON CURRENTLY HELD HISTORIC FIXED PRICE TCCS ⁴								ANNUAL RENEWAL
LSE Name	POI	POI Name	POW	POW Name	# of Historic Fixed Price TCCs Currently Held	Initial Effective Date of Historic Fixed Price TCCs	Duration of Historic Fixed Price TCCs (indicate 5, 7, or 10 years)	Requested # of Historic Fixed Price TCCs to be Renewed for One Year (in whole MWs only)

With regard to each Historic Fixed Price TCC specified above, LSE hereby makes the following certifications, acknowledging that the NYISO is relying upon these certifications in connection with the

¹ To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

² Specify the method of delivery whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). ~~The NYISO will accept a fixed copy if followed by an original, hard copy within a reasonable period.~~

³ Insert name of the LSE submitting renewal declaration.

⁴ Complete the information in this part of the table based on information provided by the NYISO. No variations will be accepted.

renewal of the Historic Fixed Price TCCs:

1. the ETA expired during a previous Applicable Capability Period (as defined in the NYISO Procedure for Annual Renewal of Historic Fixed Price TCCs) such that on the renewal date of the relevant Historic Fixed Price TCC, LSE will have no further transmission rights under the ETA; and
2. LSE expects, as of the date of this letter and throughout the next year from the renewal date of the Historic Fixed Price TCCs, to both (i) be legally obligated to serve the Load that it historically served under the ETA or a portion of that Load at least equal to the number of Historic Fixed Price TCCs that it elects to renew (subject to the rounding convention described in the NYISO's tariffs); and (ii) need the transmission capacity between the Point of Injection and Point of Withdrawal specified in the ETA to serve that Load.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the Services Tariff.

Sincerely,

[Name of authorized representative of LSE]
[Title of authorized representative]

ATTACHMENT M-D1

CERTIFICATION OF LSE

**IN CONNECTION WITH THE ANNUAL RENEWAL FOR A HISTORIC FIXED PRICE TCC
REACHING THE ANNIVERSARY OF ITS INITIAL EFFECTIVE DATE ON A DATE THAT
FALLS WITHIN THE APPLICABLE CAPABILITY PERIOD
WHERE THE LSE THAT HAD TRANSMISSION RIGHTS UNDER THE ETAS HAS
APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCC**

(TO BE SUBMITTED IN CONJUNCTION WITH ATTACHMENT M-D2)

[on LSE letterhead]
 [FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES
 PRIOR TO EXECUTION]

[DATE]¹

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~²

Manager, TCC Market Operations
 New York Independent System Operator, Inc.
 10 Krey Boulevard
 Rensselaer, NY 12144

RE: DECLARATION OF THE LSE WITH TRANSMISSION RIGHTS UNDER AN ETA
 THAT IT HAS APPOINTED A HOLDER OF THE HISTORIC FIXED PRICE TCC IN
 CONNECTION WITH THE RENEWAL OF THE HISTORIC FIXED PRICE TCC
 TERMINATING WITHIN THE APPLICABLE CAPABILITY PERIOD

Dear Sir or Madam:

[LSE name]³ (“LSE”) hereby notifies the NYISO that LSE has appointed [ETA Agent name]⁴ (“LSE Appointee”) to serve, for the ultimate benefit of the LSE, as the ETA Agent in connection with Existing Transmission Agreements (“ETAs”) listed in Table 1A of Attachment L of the NYISO OATT solely for the limited purpose of renewing the Historic Fixed Price TCCs as follows:

INFORMATION ON CURRENTLY HELD HISTORIC FIXED PRICE TCCS ⁵								ANNUAL RENEWAL
LSE Name	POI	POI Name	POW	POW Name	# of Historic Fixed Price TCCs Currently Held	Initial Effective Date of Historic Fixed Price TCCs	Duration of Historic Fixed Price TCCs (indicate 5, 7, or 10 years)	Requested # of Historic Fixed Price TCCs to be Renewed for One Year (in whole MWs only)

With regard to each Historic Fixed Price TCC specified above, LSE hereby makes the following certifications, acknowledging that the NYISO is relying upon these certifications in connection with the

¹ To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

² Specify the method of delivery ~~whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). The NYISO will accept a fixed copy if followed by an original, hard copy within a reasonable period.~~

³ Insert name of the LSE submitting notice of appointment.

⁴ Insert name of party serving as the Primary Holder of the TCCs created through conversion. This party must be the billing organization (e.g., NYPA, NYMPA) currently responsible for interfacing with the NYISO on behalf of the LSE for purposes of scheduling, etc.

⁵ Complete the information in this part of the table based on information provided by the NYISO. No variations will be accepted.

renewal of the Historic Fixed Price TCCs:

1. the ETA expired during a previous Applicable Capability Period (as defined in the NYISO Procedure for Annual Renewal of Historic Fixed Price TCCs) such that on the renewal date of the relevant Historic Fixed Price TCC, LSE will have no further transmission rights under the ETA; and
2. LSE expects, as of the date of this letter and throughout the next year from the renewal date of the Historic Fixed Price TCCs, to both (i) be legally obligated to serve the Load that it historically served under the ETA or a portion of that Load at least equal to the number of Historic Fixed Price TCCs that it elects to renew (subject to the rounding convention described in the NYISO's tariffs); and (ii) need the transmission capacity between the Point of Injection and Point of Withdrawal specified in the ETA to serve that Load.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the Services Tariff.

Sincerely,

[Name of authorized representative of LSE]
[Title of authorized representative]

ATTACHMENT M-D2

**DECLARATION OF PARTY WHO IS THE HOLDER OF HISTORIC FIXED PRICE TCCS
IN CONNECTION WITH
A REQUEST TO RENEW ETAS TERMINATING DURING THE APPLICABLE CAPABILITY
PERIOD INTO HISTORIC FIXED PRICE TCCS WHERE THE LSE WITH TRANSMISSION
RIGHTS UNDER THE ETAS HAS APPOINTED A HOLDER OF THE HISTORIC FIXED
PRICE TCCS**

(TO BE SUBMITTED IN CONJUNCTION WITH ATTACHMENT M-D1)

[on Billing Organization's letterhead]
 [FOOTNOTES ARE FOR INSTRUCTIONS ONLY -- DELETE ALL FOOTNOTES
 PRIOR TO EXECUTION]

[DATE]¹

By [Insert Method of Delivery to NYISO] ~~[By Hand]~~ ~~[By Courier]~~²

Manager, TCC Market Operations
 New York Independent System Operator, Inc.
 10 Krey Boulevard
 Rensselaer, NY 12144

RE: REQUEST TO RENEW A HISTORIC FIXED PRICE TCC REACHING THE
 ANNIVERSARY OF ITS INITIAL EFFECTIVE DATE ON A DATE THAT FALLS WITHIN THE
 APPLICABLE CAPABILITY PERIOD BY AN LSE'S APPOINTEE

Dear Sir or Madam:

[ETA Agent name]³ ("LSE Appointee") hereby notifies the NYISO that LSE Appointee is, as an ETA
 Agent, exercising its right to renew for one year the Historic Fixed Price TCCs as follows:

INFORMATION ON CURRENTLY HELD HISTORIC FIXED PRICE TCCS ⁴								ANNUAL RENEWAL ⁵
LSE Name	POI	POI Name	POW	POW Name	# of Historic Fixed Price TCCs Currently Held	Initial Effective Date of Historic Fixed Price TCCs	Duration of Historic Fixed Price TCCs (indicate 5, 7, or 10 years)	Requested # of Historic Fixed Price TCCs to be Renewed for One Year (in whole MWs only)

¹ To be dated and received by the NYISO no later than the date set forth in Attachment D of the NYISO TCC Manual, unless such date is otherwise extended by the NYISO.

² Specify the method of delivery whether the letter will be delivered by hand or by courier (e.g., US mail, FedEx). ~~The NYISO will accept a fixed copy if followed by an original, hard copy within a reasonable period.~~

³ Insert name of the ETA Agent submitting renewal declaration.

⁴ Complete the information in this part of the table based on information provided by the NYISO. No variations will be accepted.

⁵ Information provided by the LSE Appointee should match that provided by the LSE.

Capitalized terms used herein and not defined herein shall have the meaning assigned to them in the OATT or, if not therein defined, in the Services Tariff.

Sincerely,

[Name of authorized representative of LSE Appointee]
[Title of authorized representative]