

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**September 22, 2021
9:00 AM to 11:00 AM**

Location: WebEx

Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
<i>Attending Members</i>				
Rich DeJong, Chair	Avangrid Networks	Transmission Owner	X (WebEx)	
Vivian Fu, Vice Chair	National Grid	Transmission Owner	X (WebEx)	
Angela Gonzalez	NYPA	Public Power	X (WebEx)	
Kathleen Mitterway	LIPA	Transmission Owner	Absent	
Stephanie Shen	Con Edison	Transmission Owner	X (WebEx)	
Felicia Avery for Heather Welch	Central Hudson	Transmission Owner	X (WebEx)	
Martin Silverman	NYISO	NYISO Internal Audit		X (WebEx)
<i>Visitors (WebEx)</i>				
Randy Browne	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
Alex Rusate	NYISO	n/a		

The meeting convened at 9:00 AM

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. Approval of Minutes from the prior meeting

The minutes from the June 16, 2021 MPAAS meeting were approved with no changes.

3. Open Action Items from the prior meeting.

None

4. SOC1 Update

KPMG provided an update on the 2021 SOC 1 Audit.

5. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the July, 2021 BACWG Billing Issue Report and had no questions.

6. NYISO Senior Management Changes

There have been none since the last MPAAS.

7. Briefings for NYISO's Completed Audits

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Virtual Private Network Remote Access *(NYISO – Greg Marcincuk)*
- NERC CIP Mock Audit *(NYISO – Greg Marcincuk)*
- Generator Deactivation Process *(NYISO – Bill Porter)*
- NYISO Rate Schedule 1 *(NYISO – Randy Browne)*
- Project Management *(NYISO – Alex Rusate)*
- Continuous Auditing *(NYISO – Greg Marcincuk, Alex Rusate, Bill Porter)*
- Consulting:
 - Monitoring Demand Curve Reset Consultants *(NYISO – Greg Marcincuk)*
 - SOC 1 Observation Testing Performed on Behalf of KPMG *(NYISO – Alex Rusate)*
 - NERC Standards Controls Review *(NYISO – Alex Rusate)*

8. Other Business / Open Discussion

The Subcommittee held a discussion on the risks posed by climate change for their companies, with respect to potential audit plans. The other topic on the agenda discussed was attracting and retaining talent.

9. Adjourn

The meeting adjourned at 11:00 AM.

MPAAS Schedule

- ~~March 24, 2021~~
- ~~June 16, 2021~~
- ~~September 22, 2021~~
- November 17, 2021