

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, November 17, 2021
9:00 AM to 12:00 PM**

LOCATION: Teams Invitation

Agenda

1. Introductions (*Chair – Rich DeJong*)
2. Approval of minutes from the prior meeting (*Chair – Rich DeJong*)
3. Open action items (*Chair – Rich DeJong*)
 - None
4. SOC 1 Update - 12-Month SOC 1 Report (*NYISO-Randy Browne*)
5. BACWG activities – Discussion of Billing Issues Report (*NYISO – Bill Porter*)
6. NYISO Senior Management changes (*NYISO – Bill Porter*)
7. Elections for 2022 MPAAS Chair and Vice Chair (*Chair-Rich DeJong*)
8. MPAAS Schedule for 2022 (*NYISO-Bill Porter*)
9. Audit briefings for completed audits
 - Market Validation (*NYISO – Randy Browne*)
 - Cloud Computing (*NYISO – John Lu*)
 - ICAP Ineligible Forced Outages (*NYISO – Bill Porter*)
 - SOC 1 Annual Testing (*NYISO – Alex Rusate*)
 - Internal Quality Assessment Review of Internal Audit (*NYISO-Alex Rusate*)
 - Continuous Auditing (*NYISO – Alex Rusate*)
 - Consulting:
 - MSE Audit Procedures (*NYISO -Alex Rusate*)
 - NERC CIP Audit Evidence Review (*NYISO – Greg Marcincuk*)
 - Software Deployment Segregation of Duties (*NYISO – John Lu*)
 - Renewable Exemption Calculation Consulting (*NYISO – Alex Rusate*)
 - Non-CIP Third Party Cyber Security (*NYISO-Randy Browne*)
10. 2022 Audit Plan (*NYISO-Greg Marcincuk*)
11. Other business / Open discussion (*Chair – Rich DeJong*)
 - PCAOB 2020 audit inspection results (*NYISO – Alex Rusate*)
 - Supply Chain (*NYISO – Bill Porter*)
 - Other (*Open discussion with all MPAAS Members*)
12. Adjourn (*Chair – Rich DeJong*)