

I. Business rule modifications and data corrections pending resolution

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status

II. Resolved business rule modifications and data corrections pending adjustment through the normal settlement cycle

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status
The estimated annual FERC Fee for the period beginning October 1, 2021 through September 30, 2022, was corrected from \$16,320,000 to \$16,300,000 in the Consolidated Invoice System and re-posted to the NYISO website.	\$3,494.63	October 2021 November 2021 December 1 – 3, 2021				The corrected amounts will be rebilled. October 2021 and November 2021 will be re-billed in their respective 4-month invoices. December 1 – 3 will be re-billed in the December monthly initial invoice.

III. Final Bill Challenges

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status

IV. Pending Approved DAC/Disputes

DESCRIPTION	\$ AMOUNT	ALLOCATION BASIS

V. Pending FERC/Court Activity

FERC DOCKET #	DESCRIPTION OF ISSUE	STATUS UPDATES	UPCOMING ACTIVITY
ER19-2276-000, 001, 002, 003	On June 27, 2019 NYISO 205 filing of tariff revisions regarding the establishment of a participation model for aggregations of resources, including Distributed Energy Resources (DER).	<p>On 2/24/20, NYISO filed Services Tariff proposed revisions in compliance with FERC's 1/23/20 Order accepting NYISO's proposal to amend its OATT and Services Tariff to integrate Aggregations and Distributed Energy Resources (DERs). The compliance filing is intended to:</p> <ul style="list-style-type: none"> (i) establish an effective date for tariff revisions for which the NYISO had previously not proposed an effective date; (ii) incorporate minor tariff revisions proposed by the New York Transmission Owners; and (iii) revise certain buyer-side mitigation tariff records reflecting the Commission's 12/20/19, Order in Docket No. ER19-467-000, et al. <p>On 4/21/20, FERC issued an order accepting the filing and NYISO's proposal to submit a compliance filing at least two weeks prior to the anticipated effective date for the DER participation model that will specify the date on which the revised tariff language will take effect.</p> <p>On January 23, 2020, FERC Order accepting tariff revisions and directing a compliance filing and informational report.</p> <p>On November 26, 2019, NYISO responded to the Commissioner's second deficiency letter dated October 30, 2019, answering the FERC's questions and requesting that the Commission issue an order accepting all tariff revisions proposed in the June 27, 2019 filing.(Docket No. ER18-2276-002)</p> <p>On September 18, 2019, NYISO responded to the Commissioner's deficiency letter regarding the June 27, 2019 DER filing, and resubmitted the MSE related tariff sections to request an effective date change from November 1, 2019 to May 1, 2020 (Docket No. ER19-2276-001).</p>	
IN21-4-000	<p>On February 8, 2021 FERC issued an Order approving stipulation and consent agreement between the Office of Enforcement (Enforcement) and Alliance NYGT LLC (NYGT). This order is in the public interest because it resolves on fair and equitable terms Enforcement's investigation under Part 1b of the Commission's regulations, 18 C.F.R. Part 1b (2020), into whether NYGT violated 18 C.F.R. § 35.41(a)-(b) (2020) and several provisions of the New York Independent System Operator (NYISO) Market Administration and Control Services Tariff (MST) related to its submission of offers and information to NYISO.</p>	NYGT will pay a disgorgement in the amount of \$369,264.19, plus \$94,710.09 in interest, to NYISO for the purpose of restitution and compensating market participants.	NYGT paid a lump sum of \$100,000(including interest) to NYISO and this amount was reimbursed to the market by manual adjustment on the March 7, 2021 invoice. NYGT will pay the remaining disgorgement in equal quarterly installments of \$48,998.39, until the disgorgement (including interest) is fully paid.
ER21-2947-000	On September 27, 2021, NRG Power Marketing LLC ("NRG PML") requested that the Federal Energy Regulatory Commission grant a limited, one-time waiver of the application of section 7.4.1.1.1 of the New York Independent System Operator Inc. ("NYISO") Market Administration and Control Area Services Tariff ("MST") to facilitate a settlement adjustment for Oswego unit number 5.	On January 27, 2022, FERC issued an order granting NRG's request to facilitate a settlement adjustment for Oswego unit number 5.	NYISO plans to include the invoice adjustment on the March 7, 2022 invoice.

I. Revenue Metering Issues

Location	DESCRIPTION OF ISSUE	STATUS UPDATES	UPCOMING ACTIVITY