

Reliability Planning Process Manual Attachments

Attachment A NYISO Developer Qualification Form
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This document was prepared by:
System & Resource Planning

New York Independent System Operator
10 Krey Blvd
Rensselaer, NY 12144
(518) 356-6060
www.nyiso.com

NYISO Developer Qualification Form

(Completed applications should be sent to DeveloperQualification@NYISO.com)

Name of Developer: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Contact Person: _____ Alternate Contact: _____

Telephone: () _____ Telephone: () _____

Fax: () _____ Fax: () _____


Email: _____ Email: _____

The following information is required by the Attachment Y of the NYISO OATT for Developers seeking qualification to propose transmission solutions in response to reliability, economic, or public policy needs identified in the Comprehensive System Planning Process.

Instructions:

- Provide the information requested for items one through seven below by inserting the information into the boxes provided or as a separate attachment(s) that clearly identify the item for which the information is provided.
- Provide any notarized certificates from Affiliates that are required by the Attachment Y to the NYISO OATT as attachments to this form. A sample template can be requested by contacting the NYISO at DeveloperQualification@NYISO.com.
- Once complete, send this form and all related attachments via e-mail to DeveloperQualification@NYISO.com.

1. Please provide the NYISO a description of transmission facilities (not to exceed ten facilities) that the Developer has previously developed, constructed, maintained or operated and the status of those facilities, including whether the construction was completed, whether and when the facility entered into commercial operations, whether the facility has been suspended or terminated for any reason, and evidence demonstrating the ability of the Developer to address and timely remedy any operational failure, if any, of the facilities.



2. Please provide the technical and engineering qualifications and experience of the Developer relevant to the development, construction, operation and maintenance of a transmission facility, including evidence of the Developer’s demonstrated capability to adhere to standardized construction, maintenance, and operating practices and to contract with third parties, to develop, construct, maintain, and/or operate transmission facilities. Also include a detailed plan describing the extent to which the Developer would rely on the technical expertise and experience of an Affiliate or third-party contractor(s) to develop, construct, operate, and maintain a transmission facility, including a description of the technical and engineering qualifications and experience and capabilities of the Affiliate or any third parties with which the Developer will contract for these purposes, in the absence of the Developer’s own previous experience developing, constructing, operating, or maintaining transmission facilities.

3. Please provide evidence of demonstrated experience financing or arranging financing for transmission facilities, if any, including a description of such projects (not to exceed ten projects) over the previous ten years, the capital costs and financial structure of such projects, a description of any financing obtained for these projects through rates approved by the Commission or a state regulatory agency, the financing closing date of such projects, and whether any of the projects (not limited to just the projects described) in the last ten years are in default. Also include a detailed plan describing the extent to which the Developer would rely on the financial resources, financial expertise, or experience of an Affiliate or third-party contractor(s) to finance or develop the project including a description of the financial qualifications and experience and capabilities of the Affiliate or any third parties with which the Developer will contract for these purposes, in the absence of the Developer’s own previous experience financing and developing transmission facilities.

For each of items four through seven below, please provide all applicable information for the Developer and for any Affiliate or third party already selected by the Developer to assist with financing of any potential project(s).

4. Please attach copies of audited annual financial statements from the most recent three years and the most recent quarterly financial statement, or equivalent information.

5. Please provide the senior long-term unsecured debt rating from Moody's Investor Services, Standard & Poor's, Fitch or equivalent information, if available. If the senior long-term unsecured debt rating is unavailable, provide the issuer rating.

6. Please provide a description of any prior bankruptcy declarations, material defaults, dissolution, merger, or acquisition by the Developer or its predecessors or subsidiaries occurring within the previous five years.

7. Please provide such other evidence that demonstrates the Developer's current and expected capability to finance a transmission project in response to a reliability, economic, or public policy need.

A large, empty rectangular box with a thin black border, intended for the developer to provide evidence as requested in the question above. The box is currently blank.