






NYISO 2021 Corporate Goals Achievement

GATEWAY GOALS

Goal Description	Results	Final Payout
1) Maintain Load: No interruption of load because of improper implementation of NYISO operating procedures by the NYISO.	 Achieved	No load shedding or interruptions of power (300 megawatts or greater for 15 minutes or longer)
2) Reliability Standards Violations: The NYISO will maintain compliance with NERC Standards and NYSRC rules.	 Achieved	No formal notifications of non-compliance with NERC Standards or NYSRC Rules.
3a) Functionality: Day-Ahead Market (DAM) schedules posted 100% of the time.	 Achieved	All DAM schedules were posted prior to 10:45 PM
3b) Functionality: Maintain Market Information System (MIS) availability ≥99.75%.	 Achieved	MIS availability was 100%
4) Excellence in Execution: The NYISO, through its actions, will not cause a Market Problem with a material, adverse impact on the market > \$100 million in a year (12-month period).	 Achieved	No market problems greater than \$100M occurred in 2021

QUALITY GOAL

Goal Description	Threshold (50%)	Target (100%)	Superior (150%)	Results	Weight (%)	Final Payout
5) Quality Goal: Work will be of a high quality as measured by the number and magnitude of quality defects. <u>High Impact Defects:</u> <ul style="list-style-type: none"> Market Problem/ Tariff Violation ≥ \$1 Million (as measured up to five years prior to identification) Unplanned outages of critical systems > 8 hours due to, for example, operational or cyber security issues Receipt of qualified SOC1 report <u>Low Impact Defects:</u> <ul style="list-style-type: none"> Market Problem/ Tariff Violation > \$100K (as measured up to five years prior to identification) Unplanned outages of critical systems 1-8 hours due to, for example, operational or cyber security issues Key Planning deliverables missed: Gold book 4/30/21 and CRP by 12/31/21 DAM schedules posted after 11:00 AM 	No more than one high impact defect	No high impact defects	No high impact defects	No high impact defect	25%	37.5%
	and	and	and	and		
	≤ 9 low impact defects, excluding self-identified Market Problems/ Tariff violations	≤ 6 low impact defects, excluding self-identified Market Problems/ Tariff violations	≤ 3 low impact defects, excluding self-identified Market Problems/ Tariff violations	No low impact defects		

STRATEGIC GOALS

6a) Project Initiatives / Business Plan: 24 Major projects identified in Business Plan will be timely completed.	≤ 4 missed projects	≤ 3 missed projects	≤ 2 missed projects	23 of 24 projects completed	25%	37.5%
6b) Project Initiatives / Key Project Initiatives: <ul style="list-style-type: none"> DER Participation Model – Deploy Communication Infrastructure, Complete Development of Software Code for the BSS and Billing Simulator Reserves for Resource Flexibility - Deployment Ancillary Service Shortage Pricing - Deployment Hybrid Co-Located Model - Deployment Enterprise Information Management - Deployment Carbon Pricing – Software Design Tailored Availability Metric – Deployment Climate Change and Grid in Transition – IssueDiscovery 	≤ 2 missed projects	≤ 1 missed projects	No missed projects	6 of 8 projects completed	30%	15%
7) Complete the Class Year Annual Transmission Baseline Assessment Study Cases (ATBA): Following the receipt of projects' executed Class Year Study Agreements, study deposits and required technical data, the NYISO will complete the ATBA Study Cases.	Completed within: 91 to 120 Days	Completed within: 61 to 90 Days	Completed within: <60 Days	All updates completed	10%	15%
8) Customer Satisfaction & Performance: Score is determined using three (3) survey channels (customer inquiry, MP survey & CEO/Executive survey) and measured as single metric.	84.5%	86.0%	87.0%	85.5% rating	5%	2.5%
9) Cost Management: Manage annual operating cost to a level below the RS 1 Revenue Requirement (\$) while achieving the 2021 project commitments.	1.5% below budget	2.5% below budget	3.5% below budget	3.25% below budget (\$5.15M)	5%	5%

Calculated Payout Percentage **112.5%**

NYISO Board Adjustment **7.5%**

Final Payout Percentage **120%**