THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING

Wednesday, March 23, 2022
9:00 AM to 10:45 AM

LOCATION: Teams Invitation
Approved Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
Members				
Vivian Fu, Chair	National Grid	Transmission Owner	X	
Heather Welch, Vice-Chair	Central Hudson	Transmission Owner	X	
Rich DeJong	Avangrid Networks	Transmission Owner	X (Absent)	
Angela Gonzalez	NYPA	Public Power	X	
Vacant	LIPA	Transmission Owner	X (Absent)	
Stephanie Shen	Con Edison	Transmission Owner	X (Absent)	
Felicia Avery	Central Hudson	Transmission Owner		X
Juliann Madara	NYISO	NYISO Internal Audit		X
Visitors				
Randy Browne	NYISO	n/a		
John Lu	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
Alex Rusate	NYISO	n/a		

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. Approval of minutes from the prior meeting

The minutes from the November 17, 2021 MPAAS meeting were approved with no changes.

3. Open action items

None

4. SOC 1 Update

Mr. Browne provided an update on the 12-Month SOC 1 Report.

5. BACWG activities – Discussion of Billing Issues Report

The Subcommittee reviewed the February 11, 2022 Billing Issues Report and had no questions.

6. NYISO Senior Management changes

The NYISO announced February 23rd that effective March 1, 2022, Aaron Markham was promoted to Vice-President of Operations, replacing Wes Yeomans, who will be retiring May 1, 2022.

7. Audit briefings for completed audits

Internal Audit staff provided updates to the audit work completed since the prior MPAAS meeting:
- Resource Ramp Rate/Startup Times *(NYISO – Bill Porter)*
- Databases *(NYISO – John Lu)*
- RLS Application Penetration Testing *(NYISO – Greg Marcincuk)*
- NYISO.com Cyber Security Penetration Testing *(NYISO – Greg Marcincuk)*
- EMS/BMS Test Environment Security *(NYISO-Greg Marcincuk)*
- Reliability Needs Assessment (RNA) *(NYISO – Bill Porter)*
- Market Problem Reporting Procedure *(NYISO – Randy Browne)*
- Continuous Auditing *(NYISO – Staff)*
    i. Active Digital Certificates (*NYISO-Alex Rusate*)
    ii. Unauthorized Expenditures (*NYISO-Alex Rusate*)
    iii. User Acceptance Testing *(NYISO – Randy Browne)*
    iv. Transferred Employee Access *(NYISO – Randy Browne)*
    v. Out-of-Merit Generators *(NYISO – Randy Browne)*
    vi. Tivoli Monitoring *(NYISO-John Lu)*
    vii. Search for Unscanned Externally-Facing IP Addresses *(NYISO-John Lu)*
- Consulting:
    i. Distributed Energy Resources (DER) Process Controls (*NYISO -Randy Browne)*
- Internal Quality Assessment Review of Internal Audit *(NYISO-Alex Rusate)*

8. Other business / Open discussion

The Subcommittee held an open discussion on items of general interest, including:
- Ukraine/Cyber Risks
- The members asked:
    i. that NYISO IA staff review activity from other NYISO committees and working groups that might be of value to add to the agenda. This will be reported on and discussed at the next MPAAS meeting.
    ii. the BACWG Billing Issues report will be added as an appendix item, rather than a routine discussion item; and
    iii. that internal QAR programs be added as an item for discussion at the next MPAAS.

9. Adjournment

The meeting was adjourned at 10:45 AM.