

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.  
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, March 23, 2022  
9:00 AM to 12:00 PM**

**LOCATION: Teams Invitation**

**Agenda**

1. Introductions (*Chair – Vivian Fu*)
2. Approval of minutes from the prior meeting (*Chair – Vivian Fu*)
3. Open action items (*Chair – Vivian Fu*)
  - None
4. SOC 1 Update - 12-Month SOC 1 Report (*NYISO-Randy Browne*)
5. BACWG activities – Discussion of Billing Issues Report (*NYISO – Bill Porter*)
6. NYISO Senior Management changes (*NYISO – Bill Porter*)
7. Audit briefings for completed audits
  - Resource Ramp Rate/Startup Times (*NYISO – Bill Porter*)
  - Databases (*NYISO – John Lu*)
  - RLS Application Penetration Testing (*NYISO – Greg Marcincuk*)
  - NYISO.com Cyber Security Penetration Testing (*NYISO – Greg Marcincuk*)
  - EMS/BMS Test Environment Security (*NYISO-Greg Marcincuk*)
  - Reliability Needs Assessment (RNA) (*NYISO – Bill Porter*)
  - Market Problem Reporting Procedure (*NYISO – Randy Browne*)
  - Continuous Auditing (*NYISO – Staff*)
    - Active Digital Certificates (*NYISO-Alex Rusate*)
    - Unauthorized Expenditures (*NYISO-Alex Rusate*)
    - User Acceptance Testing (*NYISO – Randy Browne*)
    - Transferred Employee Access (*NYISO – Randy Browne*)
    - Out-of-Merit Generators (*NYISO – Randy Browne*)
    - Tivoli Monitoring (*NYISO-John Lu*)
    - Search for Unscanned Externally-Facing IP Addresses (*NYISO-John Lu*)
  - Consulting:
    - Distributed Energy Resources (DER) Process Controls (*NYISO -Randy Browne*)
  - Internal Quality Assessment Review of Internal Audit (*NYISO-Alex Rusate*)
8. Other business / Open discussion (*Chair – Vivian Fu*)
  - Ukraine/Cyber (*Open discussion with all MPAAS Members*)
  - Other (*Open discussion with all MPAAS Members*)
9. Adjourn (*Chair – Vivian Fu*)