

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, June 15, 2022
9:00 AM to 12:00 PM**

LOCATION: Teams Invitation

Agenda

1. Introductions (*Chair – Vivian Fu*)
2. Approval of minutes from the prior meeting (*Chair – Vivian Fu*)
3. Open action items (*Chair – Vivian Fu*)
 - None
4. SOC 1 Update - 12-Month SOC 1 Report (*NYISO-Randy Browne*)
5. NYISO Sr. Management changes (*NYISO – Bill Porter*)
6. 2022 Vice-Chair Replacement for Heather Welch of CH (*Chair – Vivian Fu*)
7. Audit briefings for completed audits
 - 2021 Corporate Incentive Goal Validation (*NYISO – Alex Rusate*)
 - 2019-2021 Long-Term Incentive Program Validation (*NYISO – Alex Rusate*)
 - Officer and Board Expense Reports (*NYISO – Alex Rusate*)
 - Tariff Compliance Testing (*NYISO – Randy Browne*)
 - Logical Access Management (*NYISO-John Lu*)
 - Consulting:
 - Managing Confidential Information (MMA) (*NYISO – Greg Marcincuk*)
 - SOC 1 Impact of Transmission Outage Application (TOA) Replacement (*NYISO – Randy Browne*)
8. Other business / Open discussion (*Chair – Vivian Fu*)
 - Quality Assessment Review: Core Principles Effectiveness Framework (*NYISO-Alex Rusate*)
 - Recent NYISO Reports (Replacement for BACWG Activities) (*NYISO – Bill Porter*)
 - September 21 MPAAS In-Person (*Open discussion with all MPAAS Members*)
 - Other (*Open discussion with all MPAAS Members*)
9. Adjourn (*Chair – Vivian Fu*)