

# Transmission Congestion Contracts Manual Attachments

## **Attachment U Historic Fixed Price TCC Extension Purchase Documents**

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## **Prepared By: NYISO TCC Market Operations**

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## **Attachment U-E:**

### **Historic Fixed Price TCC Extension Purchase Decision for a Load Serving Entity without an ETA Agent**

[*On LSE Letterhead*]

[*Insert Date*]

By [*Insert Method of Delivery to NYISO*]

Manager, TCC Market Operations  
 New York Independent System Operator, Inc.  
 10 Krey Boulevard  
 Rensselaer, New York 12144

Re: Historic Fixed Price TCC Extension Purchase Decision for a Load Serving Entity without an ETA Agent for the One-Year Period of [Month DD, YYYY] through [Month DD, YYYY]

Dear Sir or Madam:

[Name of LSE] (“LSE”) is the holder of certain Historic Fixed Price TCCs (“HFPTCCs”) that are eligible to be extended for one year at a time pursuant to Section 19.2.1.4 of Attachment M of the New York Independent System Operator, Inc. (“NYISO”) Open Access Transmission Tariff (“OATT”). LSE hereby notifies the NYISO that LSE is electing the option noted below with respect to its right to extend such HFPTCCs for the one-year period at issue.

Option 1: LSE elects to request a one-year extension of its eligible HFPTCCs as follows:

| POI | POI Name | POW | POW Name | Extension Purchase Price (\$ per HFPTCC) | # of HFPTCCs Currently Held | # of HFPTCCs Eligible to be Extended | Requested # of HFPTCCs to be Extended |
|-----|----------|-----|----------|--|-----------------------------|--------------------------------------|---------------------------------------|
|     |          |     |          |  |                             |                                      |                                       |
|     |          |     |          |  |                             |                                      |                                       |

With regard to the requested HFPTCC extension specified above, LSE hereby makes the following certifications:

As of the date of this letter and throughout the one-year period of the requested extension, LSE expects to: (i) be legally obligated to serve the Load that it historically served under the associated Existing Transmission Agreement (“ETA”) or a portion of that Load at least equal to the number of HFPTCCs that it elects to extend (subject to the rounding convention described in the OATT); and (ii) need the transmission capacity between the Point of Injection (“POI”) and Point of Withdrawal (“POW”) specified in the associated ETA to serve that Load.

LSE acknowledges that the NYISO: (i) is relying upon these certifications in connection with the requested HFPTCC extension; and (ii) may request that LSE submit additional information to verify the accuracy of LSE’s certifications. LSE’s eligibility to purchase the requested HFPTCC

extension is limited to the ability to provide and, if necessary, verify the certifications stated above. LSE's requested HFPTCC extension may be subject to reduction by the NYISO pursuant to Section 19.2.1.4 of Attachment M of the OATT to the extent necessary to ensure that feasibility issues do not arise with respect to the requested extension.

Option 2: LSE declines to purchase an extension of any of its eligible HFPTCCs for the one-year period at issue.

Subject to the requirements of Section 19.2.1.4 of Attachment M of the OATT, with respect to any HFPTCCs for which LSE declines to purchase an extension for the one-year period at issue, LSE shall remain eligible to request to purchase extensions of such HFPTCCs for subsequent years. If LSE fails to timely submit any response to the NYISO regarding its decision to purchase, or decline to purchase, an extension of its eligible HFPTCCs for the one-year period at issue, such failure to respond will terminate LSE's eligibility to purchase extensions for such HFPTCCs for all subsequent years.

Capitalized terms used but not defined herein shall have the meaning assigned to them in the OATT. The undersigned hereby certifies that he or she is duly authorized to execute and submit this letter on behalf of LSE, as well as bind LSE by the HFPTCC extension purchase decision reflected herein.

Sincerely,

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*[Insert Name of Authorized Representative of LSE]*  
*[Insert Title of Authorized Representative]*

## **Attachment U-F1:**

### **Historic Fixed Price TCC Extension Purchase Decision for a Load Serving Entity Appointing an ETA Agent**

[On LSE Letterhead]

[Insert Date]

By [Insert Method of Delivery to NYISO]

Manager, TCC Market Operations  
 New York Independent System Operator, Inc.  
 10 Krey Boulevard  
 Rensselaer, New York 12144

Re: Historic Fixed Price TCC Extension Purchase Decision for a Load Serving Entity  
 Appointing an ETA Agent for the One-Year Period of [Month DD, YYYY]  
 through [Month DD, YYYY]

Dear Sir or Madam:

[LSE Name] (“LSE”) is the holder of certain Historic Fixed Price TCCs (“HFPTCCs”) that are eligible to be extended for one year at a time pursuant to Section 19.2.1.4 of Attachment M of the New York Independent System Operator, Inc. (“NYISO”) Open Access Transmission Tariff (“OATT”). LSE hereby notifies the NYISO that LSE is electing the option noted below with respect to its right to extend such HFPTCCs for the one-year period at issue.

Option 1: LSE elects to request a one-year extension of its eligible HFPTCCs as follows:

| POI | POW Name | POI | POW Name | Extension Purchase Price (\$ per HFPTCC) | # of HFPTCCs Currently Held | # of HFPTCCs Eligible to be Extended | Requested # of HFPTCCs to be Extended |
|-----|----------|-----|----------|--|-----------------------------|--------------------------------------|---------------------------------------|
|     |          |     |          |  |                             |                                      |                                       |
|     |          |     |          |  |                             |                                      |                                       |

LSE hereby further notifies the NYISO that it has appointed [Name of ETA Agent] (“LSE Appointee”) to serve as LSE’s ETA Agent for the HFPTCCs at issue. LSE acknowledges that, as ETA Agent, LSE Appointee shall be: (i) the Primary Holder of any HFPTCCs that LSE elects to extend for the one-year period at issue; and (ii) the principal obligor with respect to all rights and obligations under the ISO Tariffs relating to the HFPTCCs at issue. LSE requests that the NYISO make any required changes to its records to reflect LSE Appointee as the ETA Agent for the HFPTCCs at issue.

With regard to the requested HFPTCC extension specified above, LSE hereby makes the following certifications:

As of the date of this letter and throughout the one-year period of the requested extension, LSE expects to: (i) be legally obligated to serve the Load that it historically served under the associated Existing Transmission Agreement (“ETA”)

or a portion of that Load at least equal to the number of HFPTCCs that it elects to extend (subject to the rounding convention described in the OATT); and (ii) need the transmission capacity between the Point of Injection (“POI”) and Point of Withdrawal (“POW”) specified in the associated ETA to serve that Load.

LSE acknowledges that the NYISO: (i) is relying upon these certifications in connection with the requested HFPTCC extension; and (ii) may request that LSE submit additional information to verify the accuracy of LSE’s certifications. LSE’s eligibility to purchase the requested HFPTCC extension is limited to the ability to provide and, if necessary, verify the certifications stated above. LSE’s requested HFPTCC extension may be subject to reduction by the NYISO pursuant to Section 19.2.1.4 of Attachment M of the OATT to the extent necessary to ensure that feasibility issues do not arise with respect to the requested extension.

Option 2: LSE declines to purchase an extension of its eligible HFPTCCs for the one-year period at issue.

Subject to the requirements of Section 19.2.1.4 of Attachment M of the OATT, with respect to any eligible HFPTCCs for which LSE declines to purchase an extension for the one-year period at issue, LSE shall remain eligible to request to purchase extensions of such HFPTCCs for subsequent years. If LSE fails to timely submit any response to the NYISO regarding its decision to purchase, or decline to purchase, an extension of its eligible HFPTCCs for the one-year period at issue, such failure to respond will terminate LSE’s eligibility to purchase extensions for such HFPTCCs for all subsequent years.

Capitalized terms used but not defined herein shall have the meaning assigned to them in the OATT. The undersigned hereby certifies that he or she is duly authorized to execute and submit this letter on behalf of LSE, as well as bind LSE by the HFPTCC extension purchase decision reflected herein.

Sincerely,

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***[Insert Name of Authorized Representative of LSE]***

***[Insert Title of Authorized Representative]***



## **Attachment U-F2:**

### **Confirmation and Acceptance of ETA Agent Appointment related to a Historic Fixed Price TCC Extension Purchase Decision of a Load Serving Entity**

**[On ETA Agent Letterhead]**

**[Insert Date]**

By **[Insert Method of Delivery to NYISO]**

Manager, TCC Market Operations  
New York Independent System Operator, Inc.  
10 Krey Boulevard  
Rensselaer, New York 12144

Re: Confirmation and Acceptance of ETA Agent Appointment related to a Historic Fixed Price TCC Extension Purchase Decision of a Load Serving Entity for the One-Year Period of [Month Day, YYYY] through [Month Day, YYYY]

Dear Sir or Madam:

[Name of LSE] (“LSE”) is the holder of certain Historic Fixed Price TCCs (“HFPTCCs”) that are eligible to be extended for one year at a time pursuant to Section 19.2.1.4 of Attachment M of the New York Independent System Operator, Inc. (“NYISO”) Open Access Transmission Tariff (“OATT”). LSE has provided the NYISO an accompanying letter: (i) setting forth its election with respect to its right to extend such HFPTCCs for the one-year period at issue; and (ii) notifying the NYISO that it has appointed [name of ETA Agent] (“LSE Appointee”) as ETA Agent for the HFPTCCs at issue.

LSE Appointee hereby acknowledges, agrees to, and accepts its appointment by LSE as ETA Agent for the HFPTCCs at issue. LSE Appointee acknowledges that, as ETA Agent, LSE Appointee shall be: (i) the Primary Holder of any HFPTCCs that LSE elects to extend for the one-year period at issue; and (ii) the principal obligor with respect to all rights and obligations under the ISO Tariffs relating to the HFPTCCs at issue. LSE Appointee hereby represents and warrants that it is financially able to, and will, comply with all rights and obligations associated with serving as the ETA Agent for the HFPTCCs at issue. As such, LSE Appointee requests that the NYISO make any required changes to its records to reflect LSE Appointee as the ETA Agent for the HFPTCCs at issue.

Capitalized terms used but not defined herein shall have the meaning assigned to them in the OATT. The undersigned hereby certifies that he or she is duly authorized to execute and submit this letter on behalf of LSE Appointee, as well as bind LSE Appointment by the rights and obligations undertaken as ETA Agent pursuant to this letter.

Sincerely,

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**[Insert Name of Authorized Representative of ETA Agent]**  
**[Insert Title of Authorized Representative]**