

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, December 14, 2022
9:00 AM to 9:50 AM**

**Teams Meeting
Minutes**

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
Members				
Vivian Fu, Chair	National Grid	Transmission Owner		
Felicia Avery, Vice-Chair	Central Hudson	Transmission Owner		
Michael Cardarelli	Avangrid Networks	Transmission Owner	X	
Angela Gonzalez	NYPA	Public Power		
Stephanie Shen	Con Edison	Transmission Owner	X	
Juliann Madara	NYISO	NYISO		X
Visitors				
Randy Browne	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
Alex Rusate	NYISO	n/a		

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. SOC 1 Update

Randy Browne, NYISO, provided an update on the 2022 SOC 1 audit, and noted that the NYISO 12-month SOC 1 report is available upon request.

3. Approval of minutes from the prior meeting

The minutes from the September 28, 2022, MPAAS meeting were approved with no changes.

4. Open action items

None

5. NYISO Senior Management changes

There were none since the last MPAAS meeting in September 2022.

6. Elections for 2023 MPAAS Chair and Vice Chair

Deferred to the Q1 2023 MPAAS meeting based on limited attendance.

7. MPAAS Schedule for 2023

Deferred to the Q1 2023 MPAAS meeting based on limited attendance.

8. Audit briefings for completed audits

NYISO Internal Audit staff provided updates on audit work completed since the prior MPAAS meeting:

- Physical Security
- Load Forecasting Uncertainty Modeling
- Human Resources: Employee Onboarding & Payroll
- Microsoft 365 Cloud
- Social Engineering
- External Cyber Security Penetration Testing
- Internal Quality Assessment Review of Internal Audit
- Continuous Auditing:
 - Search for Active Digital Certificates for Terminated Employees and Contractors
- Consulting:
 - Evaluating SOC Reports for Cloud Vendors (IT)

9. NYISO 2023 Audit Plan

NYISO Internal Audit (Alex Rusate) presented its 2023 Audit Plan.

10. Other business / Open discussion

The Subcommittee held an open discussion on items of general interest.

11. Adjournment

The meeting was adjourned at 9:50 AM.

MPAAS Schedule for 2022

- ~~March 23, 2022~~
- ~~June 15, 2022~~
- ~~September 28, 2022~~
- ~~December 14, 2022~~