

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, December 14, 2022
9:00 AM to 10:30 AM**

Teams Meeting

Agenda

1. Introductions (*Stephanie Shen*)
2. Approval of minutes from the prior meeting (*Stephanie Shen*)
3. Open action items (*Stephanie Shen*)
 - None
4. SOC 1 Update – 12-Month SOC 1 Report is available! (*NYISO-Randy Browne*)
5. NYISO Senior Management changes (*NYISO – Bill Porter*)
6. Elections for 2023 MPAAS Chair and Vice Chair-defer to Q1, 2023 (*Stephanie Shen*)
7. MPAAS Schedule for 2023-defer to Q1, 2023 (*NYISO – Bill Porter*)
8. Audit briefings for completed audits (*NYISO staff*)
 - Physical Security (*Randy Browne*)
 - Load Forecasting Uncertainty Modeling (*Bill Porter*)
 - Human Resources: Employee Onboarding & Payroll (*Alex Rusate*)
 - Microsoft 365 Cloud (*Greg Marcincuk*)
 - Social Engineering (*Greg Marcincuk*)
 - External Cyber Security Penetration Testing (*Greg Marcincuk*)
 - Internal Quality Assessment Review of Internal Audit (*Alex Rusate*)
 - Continuous Auditing:
 - Search for Active Digital Certificates for Terminated Employees and Contractors (*Alex Rusate*)
 - Consulting:
 - Evaluating SOC Reports for Cloud Vendors (IT) (*Alex Rusate*)
9. 2023 Audit Plan (*Alex Rusate*)
10. Other business / Open discussion (*Stephanie Shen*)
 - Other (*Open Discussion with all MPAAS Members*)
11. Adjourn (*Stephanie Shen*)