

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**November 29, 2018
9:00 AM to 11:00 AM**

Location: Teleconference

Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member (via phone)	Non-Voting Member
<i>Attending Members</i>				
Angela Gonzalez, Chair	NYPA	Public Power	X	
Kathleen Mitterway, Vice Chair	LIPA	Transmission Owner	X	
Rich DeJong	Avangrid Networks	Transmission Owner	X	
Tahmina Isgandarova	Con Edison	Transmission Owner	X	
Gretchen Sutcliffe	National Grid	Transmission Owner	X	
Martin Silverman	NYISO	NYISO Internal Audit		X
<i>Absent Members</i>				
Heather Welch	Central Hudson	Transmission Owner	X	
<i>Visitors</i>				
Randy Browne	NYISO	n/a		
Juliann Madara	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
John Zarvis	NYISO	n/a		

Meeting convened at 9:01 AM

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. Approval of Minutes from the prior Meeting

The minutes from the September 20, 2018 MPAAS meeting were approved with no changes.

3. Open Action Items from the prior Meeting

A discussion on agile auditing was presented by Ms. Gonzalez from NYPA.

4. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the October 9, 2018 Billing Issue Report (as presented to the Billing & Accounting & Credit Working Group on October 19, 2018) and had no questions.

5. SOC 1 Update

Mr. Browne provided an update on the 2018 SOC 1 Audit.

6. NYISO Senior Management Changes

Robert Fernandez, General Counsel was appointed as Interim President and CEO after the resignation of Brad Jones in October 2018. Karen Gach, Deputy General Counsel was appointed as Acting General Counsel.

7. Audit Briefings for Completed Audits

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Audits
 - Application Audit – ICAP System
 - Cyber Security Penetration Testing
 - Business Resiliency / Disaster Recovery
 - SOC 1 – Internal Audit Testing
- Consulting
 - Expense Report Automation

8. 2019 Audit Plan

Mr. Marcincuk provided an overview of the 2019 NYISO Internal Audit Plan.

9. 2019 Elections – MPAAS Chair and Vice Chair

The Subcommittee elected the 2019 MPAAS Chair and Vice Chair:

- Chair – Angela Gonzalez (NYPA)
- Vice Chair – Kathleen Mitterway (LIPA)

10. MPAAS Schedule for 2019

The Subcommittee agreed to the 2019 meeting schedule:

- March 14, 2019 (teleconference)
- June 13, 2019 (in-person at NYISO)
- September 12, 2019 (teleconference)
- November 14, 2019 (teleconference)

11. Other Business / Open Discussion

The Subcommittee held an open discussion regarding the following item of general interest:

- “As-Built” Documentation

12. Adjourn

The meeting was adjourned at 9:58 AM

MPAAS Schedule for 2018

- ~~March 29, 2018~~
- ~~June 28, 2018~~
- ~~September 20, 2018~~
- ~~November 29, 2018~~