

# **ICAP Affiliated Entities: Compliance Reminder for ICAP Suppliers in Load Zones G-J**

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# Agenda

- **Objective**
- **Background**
- **MP Obligation**
- **Process**
- **Resources**

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# Objective

- **The NYISO would like to remind all ICAP Suppliers in a Mitigated Capacity Zone of the on-going obligation to update their list of Affiliated Entities in the NYISO's ICAP Automated Market System (AMS).**
  - This obligation to report and manage MP Affiliate Information is separate and distinct from both Stakeholder Services' and Member Relations' Affiliate reporting requirements.

# Background

# Supply Side Mitigation Measures

- **Physical withholding provision**

- Specific provision on audit of decisions to retire, remove, or de-rate capacity

- **Pivotal Supplier measures**

- Subject to offer cap (greater of UCAP Offer Reference Level and Going-Forward Costs, if a GFC determination was made)
- “Must offer” requirement for Mitigated UCAP (UCAP subject to control by a Pivotal Supplier)

# Pivotal Suppliers

- Each month, at the close of the certification period, the NYISO will determine if a Market Party and its Affiliated Entities (together, a “portfolio”) are Pivotal Suppliers
  - At least some portion of the suppliers’ resources under common control are needed to meet the UCAP requirement in the Mitigated Capacity Zone
    - Control, generally, is by contract or an ownership interest

# Designation of Affiliated Entities

- **Market Parties that own or have “control” of capacity in a MCZ are required to identify their “Affiliated Entities”, based on the criteria in the Market Services Tariff, Attachment H, Section 23.2.1**
  - MW of all capacity Resources are counted in the portfolio
  - Control may be rebutted
    - Generally, it can be rebutted with a showing that control has been shifted
  - The ICAP Event Calendar specifies the deadlines for identifying Affiliated Entities

# MP Obligation



# MP Obligation

- Each Market Participant is required to identify each of its Affiliated Entities
  - See MST Section 5.12.1
- Reporting/updating is done through the ICAP Automated Market System
- Deadlines are posted on the ICAP Event Calendar

# Process

# AMS Event Calendar

## Event Schedule

### Legend:

Winter Season Event	Summer Season Event	Season Change Event
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<b>Wednesday Dec 05, 2018</b>		
05:00 PM		AFFILIATES - Deadline to request additions/deletions to affiliate list of new org names for Jan
05:00 PM		IMPORT RIGHTS - Deadline for Import Rights returns if ISO announced fully allocated
05:00 PM		IMPORT RIGHTS - ISO posts the remaining available Import Rights
05:00 PM		SCR - Enrollment Period for auction month of Jan Closes
<b>Thursday Dec 06, 2018</b>		
08:00 AM		MONTHLY AUCTION - Bid period opens for Jan Monthly Auction
08:00 AM		MONTHLY AUCTION - Offer period opens for Jan Monthly Auction
08:00 AM		SCR - RIPS may begin to view and take action on the ACL data requests for Jan
05:00 PM		SCR - RIPS deadline to report resources with partial PTID Sales for Dec in DRIS
<b>Friday Dec 07, 2018</b>		
05:00 PM		MONTHLY AUCTION - Bid period closes for Jan Monthly Auction
05:00 PM		MONTHLY AUCTION - Offer period closes for Jan Monthly Auction
<b>Tuesday Dec 11, 2018</b>		
05:00 PM		IMPORT RIGHTS - ISO posts the remaining available Import Rights after Monthly auction
05:00 PM		MONTHLY AUCTION - ISO posts results of Jan Monthly Auction
<b>Wednesday Dec 12, 2018</b>		
08:00 AM		IMPORT RIGHTS - Import Rights First Come First Serve - begin to submit requests
05:00 PM		AFFILIATES - Deadline to select or de-select affiliate names for Jan ICAP Market
05:00 PM		IMPORT RIGHTS - ISO notifies Import Rights Requestors of Priority
05:00 PM		IMPORT RIGHTS - Import Rights First Come First Serve - deadline for requests
<b>Thursday Dec 13, 2018</b>		

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# MP Affiliate Form

**ISO** *Installed Capacity*  
MP Affiliate Form

Auction ▾ Mitigation ▾ Certify ▾ Bids/Offers ▾ Load Forecast ▾ Calendar ▾ Rights ▾ Derating Factor ▾ DMNC ▾ SCR ▾ Admin ▾ Billing ▾ Upload/Download

MP Affiliate Form

Active Affiliations only? Yes ▾ Display

Affiliation Start Date	First Effective Spot Auction Month	Affiliation End Date	Last Effective Spot Auction Month	Last Updated By	Last Update Date
Please click the display button to retrieve data.					

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# Process

- In the ICAP AMS, the Market Participant can select or deselect affiliates.
- The Market Participant defines the dates the Affiliate relationship is in effect and the AMS associates that Affiliate relationship for the effective ICAP Spot Auction Months that the relationship is Active.
- If an Affiliate relationship (affiliate organizations/entities) has not changed from the information that was reported for the previous period, then no changes to those previous entries are required.
- If more than one type of Affiliated Entity description describes the relationship, select the relationship that best describes situation. (The AMS provides for the selection of only one description.)

# Process

- **If an Affiliated Entity is not in the Affiliated Entity AMS drop down list**
  - The Market Participant must initiate a formal request to Stakeholder and Member Services (SMS) to have a new Affiliated Entity Organization added to the Affiliated Entity List.
  - The deadline to submit this formal request is identified in the ICAP Event Calendar
- **Further information on the process is located in the ICAP Automated Market System Users' Guide**
- **Questions on specific relationships should be sent to your Stakeholder Services representative**

# Resources

# Resources

- **ICAP Automated Market System:**  
<https://icap.nyiso.com/ucap/>
- **ICAP Automated Market System User's Guide:**  
[https://www.nyiso.com/documents/20142/3625950/ICAP\\_AMS\\_UG.pdf/d8734ac2-fe90-40ad-4093-3fba4802a941](https://www.nyiso.com/documents/20142/3625950/ICAP_AMS_UG.pdf/d8734ac2-fe90-40ad-4093-3fba4802a941)



# Questions?

We are here to help. Let us know if we can add anything.

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- Planning the power system for the future
- Providing factual information to policy makers, stakeholders and investors in the power system



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