

I. Business rule modifications and data corrections pending resolution

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status
As a result of the DER April deployment there was a PTS Hourly Integration issue which also introduced grouped units not being charged Rate Schedule 1 Annual Budget and FERC fees from April 19 – 30, 2023. The fix for the PTS Hourly Integration issue was deployed on May 15, 2023. The group unit issue was not discovered for this timeframe until 05/18/23 and although it had already been inadvertently corrected with the PTS Hourly Integration fix, it was after the April 2023 initial invoice was issued. This resulted in a financial impact of approximately \$265,000 on the invoice. The April 2023 4-Month Settlement, to be issued on September 08, 2023, will have the corrected values.	Approx. \$265,000	April 19 – 30, 2023	485			Closed – Correction included in the April 2023 4-Month Invoice, which was issued on 09/08/23.

II. Resolved business rule modifications and data corrections pending adjustment through the normal settlement cycle

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status
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III. Final Bill Challenges

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status
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IV. Pending Approved DAC/Disputes

DESCRIPTION	\$ AMOUNT	ALLOCATION BASIS

V. Pending FERC/Court Activity

FERC DOCKET #	DESCRIPTION OF ISSUE	STATUS UPDATES	UPCOMING ACTIVITY
ER19-2276-000, 001, 002, 003,004	On June 27, 2019, NYISO 205 filing of tariff revisions regarding the establishment of a participation model for aggregations of resources, including Distributed Energy Resources (DER).	<p>On 2/24/20, NYISO filed Services Tariff proposed revisions in compliance with FERC's 1/23/20 Order accepting NYISO's proposal to amend its OATT and Services Tariff to integrate Aggregations and Distributed Energy Resources (DERs). The compliance filing is intended to:</p> <ul style="list-style-type: none"> (i) establish an effective date for tariff revisions for which the NYISO had previously not proposed an effective date; (ii) incorporate minor tariff revisions proposed by the New York Transmission Owners; and (iii) revise certain buyer-side mitigation tariff records reflecting the Commission's 12/20/19, Order in Docket No. ER19-467-000, et al. <p>On 4/21/20, FERC issued an order accepting the filing and NYISO's proposal to submit a compliance filing at least two weeks prior to the anticipated effective date for the DER participation model that will specify the date on which the revised tariff language will take effect.</p> <p>On January 23, 2020, FERC Order accepting tariff revisions and directing a compliance filing and informational report.</p> <p>On November 26, 2019, NYISO responded to the Commissioner's second deficiency letter dated October 30, 2019, answering the FERC's questions and requesting that the Commission issue an order accepting all tariff revisions proposed in the June 27, 2019 filing.(Docket No. ER18-2276-002)</p> <p>On September 18, 2019, NYISO responded to the Commissioner's deficiency letter regarding the June 27, 2019, DER filing, and resubmitted the MSE related tariff sections to request an effective date change from November 1, 2019 to May 1, 2020 (Docket No. ER19-2276-001).</p> <p>On May 6, 2022, FERC accepted NYISO request for early effective date notice for DER real-time telemetry provisions to accommodate implementation of CSR, specific to tariff section for DER filing, effective March 31, 2021.</p> <p>On June 01, 2023, NYISO Section 205 filing for proposed tariff revisions to clarify and complement the market rules proposed in the June 27, 2019, filing. The proposed changes in this filing are:</p> <ul style="list-style-type: none"> (i) Provide for distribution utility review of individual DER and Aggregations until the full set of Order No. 2222 rules take effect, (ii) establish a minimum capability requirement for individual DER participating in an Aggregation, (iii) clarify settlements for Aggregations containing one or more Energy Storage Resources, (iv) establish rules for existing Resources to transition into the DER and Aggregation participation model, (v) clarify metering requirements for Aggregations, (vi) modify the Market Mitigation rules related to Aggregation reference levels, (vii) modify the methodology used to calculate Load baselines for Demand Side Resources participating in DER Aggregations, (viii) modify the NYISO's Bid-Production Cost Guarantee payment and Day-Ahead Margin Assurance Payment calculations, (ix) make certain miscellaneous revisions and modifications to defined terms. <p>On August 17, 2023, NYISO responded to the Commissioner's deficiency letter regarding the June 1, 2023, filing. As explained in the June 1 filing, the NYISO plans to make the revisions proposed in this Docket No. ER23-2040-000 effective simultaneously with the tariff revisions proposed and accepted in Docket No. ER19-2276-000, et al. (Docket No. ER23-2040).</p>	

I. Revenue Metering Issues

Location	DESCRIPTION OF ISSUE	STATUS UPDATES	UPCOMING ACTIVITY