

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, September 20, 2023
9:00 AM to 10:30 AM**

Teams Meeting

Agenda

1. Introductions (*Chair – Felicia Avery*)
2. SOC 1 Update (*KPMG*)
3. Approval of minutes from the prior meeting (*Chair – Felicia Avery*)
4. Open action items (*Chair – Felicia Avery*)
 - 2024 MPAAS Schedule
5. NYISO Internal Audit Department Changes (*NYISO – Brian Gasparro*)
6. NYISO Senior Management changes (*NYISO – Juliann Madara*)
7. Audit briefings for completed audits (*NYISO staff*)
 - Seasonal Operating Study (*Greg Marcincuk*)
 - Payroll Processing Follow-up Audit (*Greg Marcincuk*)
 - Physical Security Follow-up Audit (*Randy Browne*)
 - Officer & Board Member Expense Reimbursements (*Brian Gasparro*)
 - Continuous Auditing:
 - Payroll: Employee Data Validation (*Juliann Madara*)
 - Software Testing Documentation (*Greg Marcincuk*)
 - Completeness of Assets Monitored by QRadar (*Brian Gasparro*)
 - System Access for Transferred Employees (*Greg Marcincuk*)
 - Annual Code of Conduct Training (*Randy Browne*)
 - Conflict of Interest Survey (*Randy Browne*)
 - Capacity Resource Interconnection Service (CRIS) Rights (*Greg Marcincuk*)
 - Supplier Validation (*Greg Marcincuk*)
 - Positive Pay for Check Processing (*Randy Browne*)
 - Installed Capacity (ICAP) Ineligible Forced Outages (*Greg Marcincuk*)
 - Annual Incentive Plan Compensation (*Juliann Madara*)
8. Other business / Open discussion (*Chair – Felicia Avery*)
 - External Quality Assurance Review (*Juliann Madara*)
 - Other topics (*Open Discussion with all MPAAS Members*)
9. Adjourn (*Chair – Felicia Avery*)