

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.  
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**November 15, 2023  
9:00 AM to 10:30 AM**

**Teams Meeting**

**Minutes**

**Member/Attendance List**

<b>Name</b>	<b>Company Representing</b>	<b>Sector</b>	<b>Voting Member</b>	<b>Non-Voting Member</b>
<b>Members</b>				
Felicia Avery, Chair	Central Hudson	Transmission Owner	Absent	
Michael Cardarelli, Vice-Chair	Avangrid	Transmission Owner	<b>X (Phone)</b>	
Stephanie Shen	Con Edison	Transmission Owner	<b>X (Phone)</b>	
Vivian Fu	National Grid	Transmission Owner	Absent	
Angela Gonzalez	NYPA	Public Power	<b>X (Phone)</b>	
Cathy Widmark	LIPA	Transmission Owner	Absent	
Juliann Madara	NYISO	NYISO		<b>X</b>
<b>Visitors</b>				
Randy Browne	NYISO	n/a		
Brian Gasparro	NYISO	n/a		
Francesca Greaney	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Joe Paduano	NYISO	n/a		

**1. Introductions**

The Subcommittee members and visitors introduced themselves.

**2. Approval of minutes from the prior meeting**

The minutes from the September 20, 2023, MPAAS meeting were approved with no changes.

**3. Open action items**

The Committee agreed to the following meeting dates for 2024:

- March 27, 2024 (Virtual)
- June 26, 2024 (onsite at NYISO)
- September 18, 2024 (Virtual)
- November 13, 2024 (Virtual)

#### **4. SOC 1 Update**

Mr. Browne provided a SOC 1 update to the MPAAS Members.

#### **5. NYISO Senior Management Changes**

Ms. Madara stated Emilie Nelson was promoted to Executive Vice President & COO as of October 1, 2023, and Rick Gonzales will be retiring as Senior Vice President & COO as of December 31, 2023.

#### **6. Elections for 2024 MPAAS Chair and Vice-Chair**

The Committee elected the following Chair and Vice-Chair for 2024:

- Chair: Mr. Michael Cardarelli (Avangrid)
- Vice-Chair: Ms. Stephanie Shen (Con Edison)

#### **7. Audit briefings for completed Audits**

NYISO Internal Audit staff provided updates to the audit work completed since the prior MPAAS meeting:

- Backup Platforms & Data Replication (*Greg Marcincuk*)
- Facilities: Generators, UPS, and HVAC (*Greg Marcincuk*)
- Short-Term Assessment of Reliability (STAR) (*Greg Marcincuk*)
- Transaction Modifications (*Randy Browne*)
- Continuous Auditing:
  - Microsoft InTune Laptop Patching Tool Implementation (*Greg Marcincuk*)
  - Market Participant Portfolio Affiliation (*Greg Marcincuk*)

#### **8. 2024 NYISO Audit Plan**

Mr. Marcincuk provided an overview of the 2024 NYISO Internal Audit Plan.

#### **9. Other business / Open discussion**

- Discussion of Findings Follow-up Process was postponed to the Q1 2024 meeting.
- Committee members held a discussion on continuous auditing routines.

#### **10. Adjournment**

The meeting was adjourned at 10:18 AM.

#### **MPAAS Schedule for 2023**

- ~~March 29, 2023~~
- ~~June 21, 2023 (in-person)~~
- ~~September 20, 2023~~
- ~~November 15, 2023~~