

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Wednesday, March 27, 2024
9:00 AM to 10:30 AM**

Teams Meeting

Agenda

1. Introductions *(2024 Chair – Michael Cardarelli)*
 - New Member - *Marc Montalvo, President & CEO of Daymark (End Use Consumers Sector)*
2. Approval of minutes from the prior meeting *(2024 Chair – Michael Cardarelli)*
3. Open action items *(2024 Chair – Michael Cardarelli)*
 - None
4. SOC 1 Update – 12-Month SOC 1 Report *(Randy Browne)*
5. NYISO Senior Management changes *(Juliann Madara)*
 - None
6. Audit briefings for completed audits, continuous auditing, & consulting engagements *(NYISO staff)*
 - Consolidated Invoice Application – Vulnerability Assessment *(Greg Marcincuk)*
 - Internal Penetration Testing *(Greg Marcincuk)*
 - Compliance with Tariff Deadlines for MMA Procedures *(Randy Browne)*
 - SOC 1 Internal Controls Testing *(Randy Browne)*
 - Control Room Procedures Compliance *(Francesca Greaney)*
 - Configuration Management Database (CMDB) *(Brian Gasparro)*
 - Patch Management - Unix, Linux, UCS & ESXi Assets *(Brian Gasparro)*
 - Q4 Continuous Auditing:
 - Access to External Platforms *(Brian Gasparro)*
 - Completeness of Assets Monitored by QRadar *(Brian Gasparro)*
 - Installed Capacity (ICAP) Ineligible Forced Outages *(Greg Marcincuk)*
 - Capacity Resource Interconnection Service (CRIS) Rights *(Greg Marcincuk)*
 - Supplier Validation *(Francesca Greaney)*
 - Payroll - Employee Data Validation *(Francesca Greaney)*
 - System Access for Transferred Employees *(Francesca Greaney)*
 - Positive Pay for Check Processing *(Randy Browne)*
 - Software Testing Documentation *(Randy Browne)*
 - Consulting:
 - Financial Risk Assessment Metrics *(Randy Browne)*
 - Distributed Energy Resources (DER) Procedure Review *(Randy Browne)*
 - Capacity Accreditation *(Greg Marcincuk)*
 - Interconnection Projects *(Greg Marcincuk)*
 - Baseline Secure Hardening Configurations (CIS Benchmarking) *(Brian Gasparro)*
 - Desktop-as-a-Service (DaaS) *(Brian Gasparro)*
7. Other business / Open discussion *(2024 Chair – Michael Cardarelli)*
 - Discussion of Findings Follow-up Process was postponed to the Q1 2024 meeting.
 - Processes or procedures around refurbishing and/or scrapping Transformers.
 - Other *(Open Discussion with all MPAAS Members)*
8. Adjourn *(2024 Chair – Michael Cardarelli)*