

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**March 27, 2024
9:00 AM to 10:30 AM**

Teams Meeting

Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
Members				
Michael Cardarelli, Chair	Avangrid	Transmission Owner	X	
Stephanie Shen, Vice-Chair	Con Edison	Transmission Owner	X	
Felicia Avery	Central Hudson	Transmission Owner	X	
Vivian Fu	National Grid	Transmission Owner	Absent	
Angela Gonzalez	NYPA	Public Power	X	
Nishita Mody (Alternate)	NYPA	Public Power	X	
Cathy Widmark	LIPA	Transmission Owner	Absent	
Katelyn Dinunno (Alternate)	LIPA	Transmission Owner	X	
Marc Montalvo	Daymark	End Use Consumers	X	
Juliann Madara	NYISO	NYISO		X
Visitors				
Randy Browne	NYISO	n/a		
Brian Gasparro	NYISO	n/a		
Francesca Greaney	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Joe Paduano	NYISO	n/a		

1. Introductions

- The Subcommittee members and visitors introduced themselves.
 - New Member - Marc Montalvo, President & CEO of Daymark (End Use Consumers Sector)

2. Approval of minutes from the prior meeting

The minutes from the November 15, 2023, MPAAS meeting were approved with no changes.

3. Open action items

- None

4. SOC 1 Update

Mr. Browne provided a SOC 1 update to the MPAAS Members.

5. NYISO Senior Management Changes

Ms. Madara stated there were no Senior Management changes.

6. Audit briefings for completed Audits

NYISO Internal Audit staff provided updates to the audit work completed since the prior MPAAS meeting (Q4 2023):

- Consolidated Invoice Application – Vulnerability Assessment (*Greg Marcincuk*)
- Internal Penetration Testing (*Greg Marcincuk*)
- Compliance with Tariff Deadlines for MMA Procedures (*Randy Browne*)
- SOC 1 Internal Controls Testing (*Randy Browne*)
- Control Room Procedures Compliance (*Francesca Greaney*)
- Configuration Management Database (CMDB) (*Brian Gasparro*)
- Patch Management - Unix, Linux, UCS & ESXi Assets (*Brian Gasparro*)
- Continuous Auditing (CA)
(Discussed new CA and CA's where attendees had questions)
 - Access to External Platforms (*Brian Gasparro*)
 - Installed Capacity (ICAP) Ineligible Forced Outages (*Greg Marcincuk*)
 - Capacity Resource Interconnection Service (CRIS) Rights (*Greg Marcincuk*)
- Consulting:
 - Financial Risk Assessment Metrics (*Randy Browne*)
 - Distributed Energy Resources (DER) Procedure Review (*Randy Browne*)
 - Capacity Accreditation (*Greg Marcincuk*)
 - Interconnection Projects (*Greg Marcincuk*)
 - Baseline Secure Hardening Configurations (CIS Benchmarking) (*Brian Gasparro*)
 - Desktop-as-a-Service (DaaS) (*Brian Gasparro*)

7. Other business / Open discussion

- Discussion of Findings Follow-up Process
 - Members shared how their Findings Follow-up process works at their organization.
- Processes or procedures around refurbishing and/or scrapping Transformers.
 - Members shared how their organizations handle processes or procedures around refurbishing and/or scrapping Transformers.
 - Conversation will continue and be included on the Q2 2024 Agenda.

8. Adjournment

The meeting was adjourned at 10:30 AM.

MPAAS Schedule for 2024

- ~~March 27, 2024 (Virtual)~~
- June 26, 2024 (Onsite at NYISO)
- September 18, 2024 (Virtual)
- November 13, 2024 (Virtual)