

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Thursday, September 12, 2019
9:00 AM to 11:00 AM**

LOCATION: Teleconference

Call in # 866-280-1753 Conference Code: 5843241527

Agenda

1. Introductions (*Chair – Angela Gonzalez*)
2. SOC 1 Update (*KPMG – John Razos*)
3. Approval of minutes from the prior meeting (*Chair – Angela Gonzalez*)
4. Open action items (*Chair – Angela Gonzalez*)
 - None
5. BACWG activities – Discussion of Billing Issue Report (*NYISO – Bill Porter*)
6. NYISO Senior Management changes (*NYISO – Bill Porter*)
7. Audit briefings for completed audits
 - Windows System Administration (*NYISO – Greg Marcincuk*)
 - Interconnection Projects (*NYISO – Greg Marcincuk*)
 - EMS/BMS – SOC1 Readiness (*NYISO – Randy Browne*)
 - Generator Fuel Cost Adjustment (*NYISO – Bill Porter*)
 - Tariff Compliance Testing (*NYISO – Randy Browne*)
 - Consulting – Vendor Management Tool (*NYISO – Greg Marcincuk*)
 - Consulting – Optimization of SOC 1 IT Controls (*NYISO – Greg Marcincuk*)
 - Consulting – Datamart Upgrade Project (*NYISO – Greg Marcincuk*)
8. Other business / Open discussion (*Chair – Angela Gonzalez*)
 - MPAAS Input on Internal Audit's 2020 Audit Plan (*NYISO -- Greg Marcincuk*)
 - Internal Audit Reliance on Second Line Functions and Assurance Mapping (*NYPA – Angela Gonzalez*)
 - Other (*Open discussion with all MPAAS Members*)
9. Adjourn (*Chair – Angela Gonzalez*)