

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**Tuesday, November 19, 2019
9:00 AM to 11:00 AM**

LOCATION: Teleconference

Call in # 866-280-1753 Conference Code: 5843241527

Agenda

1. Introductions (*Chair – Angela Gonzalez*)
2. Approval of minutes from the prior meeting (*Chair – Angela Gonzalez*)
3. Open action items (*Chair – Angela Gonzalez*)
 - None
4. SOC 1 Update
 - 12-Month SOC 1 Report (*NYISO-Randy Browne*)
 - Status - EMS/BMS Upgrade Project (*NYISO-Martin Silverman*)
5. BACWG activities – Discussion of Billing Issue Report (*NYISO – Bill Porter*)
6. NYISO Senior Management changes (*NYISO – Bill Porter*)
7. Elections for 2020 MPAAS Chair and Vice Chair (*Chair-Angela Gonzalez*)
8. MPAAS Schedule for 2020 (*NYISO-Bill Porter*)
9. Audit briefings for completed audits
 - Dependable Maximum Net Capability (*NYISO – Bill Porter*)
 - Patch Management (*NYISO – Greg Marcincuk*)
 - TCC Application (*NYISO – Greg Marcincuk*)
 - Accounting and Financial Reporting (*NYISO – Greg Marcincuk*)
 - Market Problem Reporting Procedure (*NYISO – Randy Browne*)
 - SOC 1 - Annual Testing (*NYISO – Randy Browne*)
10. 2020 Audit Plan (*NYISO-Greg Marcincuk*)
11. Other business / Open discussion (*Chair – Angela Gonzalez*)
 - MPAAS Members' View on Top Risks with Respect to Their 2020 Audit Plans (*NYISO-Greg Marcincuk*)
 - Other (*Open discussion with all MPAAS Members*)
12. Adjourn (*Chair – Angela Gonzalez*)