

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**November 19, 2019
9:00 AM to 10:45 AM**

Location: Teleconference

Approved Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member	Non-Voting Member
<i>Attending Members</i>				
Angela Gonzalez, Chair	NYPA	Public Power	X (phone)	
Rich DeJong	Avangrid Networks	Transmission Owner	X (phone)	
Tahmina Isgandarova	Con Edison	Transmission Owner	X (phone)	
Heather Welch	Central Hudson	Transmission Owner	X (phone)	
Martin Silverman	NYISO	NYISO Internal Audit		X
<i>Absent Members</i>				
Vivian Fu	National Grid	Transmission Owner	X	
Kathleen Mitterway, Vice Chair	LIPA	Transmission Owner	X	
<i>Visitors</i>				
Randy Browne	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		

Meeting convened at 9:00 AM

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. Approval of Minutes from the prior meeting

The minutes from the September 12, 2019 MPAAS meeting were approved with no changes.

3. SOC1 Update

Mr. Browne provided an update on the 2019 SOC 1 audit.
In addition, Mr. Silverman provided an update on the EMS/BMS Upgrade Project.

4. Open Action Items from the prior Meeting

None

5. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the October 15, 2019 Billing Issue Report (as presented to the Billing & Accounting & Credit Working Group on October 25, 2019) and had no questions.

6. NYISO Senior Management Changes

Mr. Porter noted that Robb Pike was named Vice President of Market Operations on September 19, 2019.

7. 2020 Elections – MPAAS Chair and Vice Chair

The Subcommittee elected the 2020 MPAAS Chair and Vice Chair:

- Chair – Angela Gonzalez (NYPA)
- Vice Chair – Rich DeJong (Avangrid)

8. MPAAS Schedule for 2020

The Subcommittee agreed to the 2020 meeting schedule:

- March 19, 2020 (teleconference)
- June 18, 2020 (in-person at NYISO)
- September 17, 2020 (teleconference)
- November 19, 2020 (teleconference)

9. Briefings for NYISO's Completed Audits

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Dependable Maximum Net Capability
- Patch Management
- TCC Application
- Accounting and Financial Reporting
- Market Problem Reporting Procedure
- SOC 1 – Annual Testing

10. 2020 Audit Plan

Mr. Marcincuk provided an overview of the 2020 NYISO Internal Audit Plan.

11. Other Business / Open Discussion

The Subcommittee held an open discussion regarding the following item of general interest:

- MPAAS members' view on top risks with respect to their 2020 audit plans.

12. Adjourn

The meeting was adjourned at 10:45 AM

MPAAS Schedule for 2019

- ~~March 14, 2019~~
- ~~June 13, 2019~~
- ~~September 12, 2019~~
- ~~November 19, 2019~~